

## POSITIVE TOEPLITZ OPERATORS ON THE BERGMAN SPACE

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Communicated by S. Barza

**ABSTRACT.** In this paper we find conditions on the existence of bounded linear operators  $A$  on the Bergman space  $L_a^2(\mathbb{D})$  such that  $A^*T_\phi A \geq S_\psi$  and  $A^*T_\phi A \geq T_\phi$  where  $T_\phi$  is a positive Toeplitz operator on  $L_a^2(\mathbb{D})$  and  $S_\psi$  is a self-adjoint little Hankel operator on  $L_a^2(\mathbb{D})$  with symbols  $\phi, \psi \in L^\infty(\mathbb{D})$  respectively. Also we show that if  $T_\phi$  is a non-negative Toeplitz operator then there exists a rank one operator  $R_1$  on  $L_a^2(\mathbb{D})$  such that  $\tilde{\phi}(z) \geq \alpha^2 \tilde{R}_1(z)$  for some constant  $\alpha \geq 0$  and for all  $z \in \mathbb{D}$  where  $\tilde{\phi}$  is the Berezin transform of  $T_\phi$  and  $\tilde{R}_1(z)$  is the Berezin transform of  $R_1$ .

### 1. INTRODUCTION

Let  $\mathbb{D}$  be the open unit disc in the complex plane  $\mathbb{C}$  and  $dA(z) = \frac{1}{\pi} dx dy$  be the normalized area measure on  $\mathbb{D}$ . Let  $L^2(\mathbb{D}, dA)$  be the space of complex-valued, absolutely integrable, measurable functions on  $\mathbb{D}$  with respect to the area measure  $dA$  and  $L_a^2(\mathbb{D})$  be the Bergman space consisting of all analytic functions that are in  $L^2(\mathbb{D}, dA)$ . Here the norm  $\|\cdot\|_2$  and the inner product are taken in the space  $L^2(\mathbb{D}, dA)$ . It is [4] not difficult to see that  $L_a^2(\mathbb{D})$  is a closed subspace of  $L^2(\mathbb{D}, dA)$ . We denote the orthogonal projection from  $L^2(\mathbb{D}, dA)$  into  $L_a^2(\mathbb{D})$  by  $P$ . Let  $L^\infty(\mathbb{D})$  be the space of complex-valued, essentially bounded, Lebesgue measurable functions on  $\mathbb{D}$  and  $H^\infty(\mathbb{D})$  be the space of bounded analytic functions on  $\mathbb{D}$ . For  $n \geq 0, n \in \mathbb{Z}$ , let  $e_n(z) = \sqrt{n+1}z^n$ . The sequence  $\{e_n\}_{n=0}^\infty$  forms an orthonormal basis of  $L_a^2(\mathbb{D})$ . Let  $K(z, \bar{w}) = \overline{K_z(w)} = \frac{1}{(1-z\bar{w})^2} = \sum_{n=0}^\infty e_n(z)\overline{e_n(w)}$ .

*Date:* Received: 12 December 2012; Accepted: 25 February 2013.

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2010 *Mathematics Subject Classification.* Primary 47B15 ; Secondary 47B35.

*Key words and phrases.* Bergman space, Positive operators, Berezin transform, Toeplitz operators, Little Hankel operators.

The function  $K(z, \bar{w})$  defined on  $\mathbb{D} \times \mathbb{D}$  is called the Bergman kernel of  $\mathbb{D}$  or the reproducing kernel of  $L_a^2(\mathbb{D})$ . Let  $k_z(w) = \frac{K(w, \bar{z})}{K(z, \bar{z})} = \frac{1 - |z|^2}{(1 - \bar{z}w)^2} = \frac{K_z(w)}{\|K_z\|_2}$ . These functions  $k_z$  are called the normalized reproducing kernels of  $L_a^2(\mathbb{D})$  for each  $z \in \mathbb{D}$ . It is clear [10] that they are unit vectors in  $L_a^2(\mathbb{D})$ .

For  $\phi \in L^\infty(\mathbb{D})$ , we define the Toeplitz operator from  $L_a^2(\mathbb{D})$  into itself by  $T_\phi f = P(\phi f)$  and the Hankel operator  $H_\phi$  from  $L_a^2(\mathbb{D})$  into  $(L_a^2(\mathbb{D}))^\perp$  is defined by  $H_\phi f = (I - P)(\phi f)$ . The little Hankel operator  $S_\phi$  from  $L_a^2(\mathbb{D})$  into itself is defined as  $S_\phi f = P(J(\phi f))$  where  $J : L^2(\mathbb{D}, dA) \rightarrow L^2(\mathbb{D}, dA)$  is defined as  $Jf(z) = f(\bar{z})$ . These operators [10] are all bounded.

Let  $\mathcal{L}(H)$  denote the algebra of all bounded linear operators from the Hilbert space  $H$  into itself. Let  $\mathcal{LC}(H)$  denote the ideal of compact operators in  $\mathcal{L}(H)$ . A bounded linear operator  $A \in \mathcal{L}(H)$  is said to be positive if  $\langle Ax, x \rangle \geq 0$  for all  $x \in H$ . The notation  $A \geq 0$  will mean that  $A$  is positive. We say  $A \geq B$  when  $\langle Ax, x \rangle \geq \langle Bx, x \rangle$  for all  $x \in H$ . For arbitrary selfadjoint operators  $A, B \in \mathcal{L}(H)$  we write  $A \leq B$  if and only if  $B - A \geq 0$ . An operator  $A \in \mathcal{L}(H)$  is called hyponormal if  $A^*A \geq AA^*$  and the operator  $A \in \mathcal{L}(H)$  is called power bounded if  $\|A^n\| \leq K$  for a fixed  $K > 0$  and  $n = 1, 2, \dots$ . Let  $T$  be a bounded linear operator on a Hilbert space  $H$ . We denote  $\frac{T + T^*}{2}$  by  $\text{Re}(T)$  and  $\frac{T - T^*}{2i}$  by  $\text{Im}(T)$ . Define the Berezin transform for operators  $T \in \mathcal{L}(L_a^2(\mathbb{D}))$  by the formula

$$\tilde{T}(z) = \langle Tk_z, k_z \rangle, z \in \mathbb{D}.$$

The function  $\tilde{T}$  is called the Berezin transform of  $T$ . If  $T \in \mathcal{L}(L_a^2(\mathbb{D}))$  then  $\tilde{T} \in L^\infty(\mathbb{D})$  and  $\|\tilde{T}\|_\infty \leq \|T\|$  as  $|\tilde{T}(z)| = |\langle Tk_z, k_z \rangle| \leq \|T\|$  for all  $z \in \mathbb{D}$ . We shall write  $\tilde{T}_\phi = \tilde{\phi}$  for  $\phi \in L^\infty(\mathbb{D})$ . That is,  $\tilde{\phi}(z) = \langle T_\phi k_z, k_z \rangle = \tilde{T}_\phi(z)$  for all  $z \in \mathbb{D}$ .

In the set of bounded Hermitian operators from a Hilbert space  $H$  into itself, various types of ordering by means of the cones of non-negative, positive definite and positive invertible operators can be defined. In this paper we investigate whether it is possible to compare the Berezin transform of non-negative Toeplitz and little Hankel operators. In section 2, we prove a few preliminary lemmas. In section 3, we show that if  $T_\phi$  is a positive Toeplitz operator on the Bergman space and  $S_\psi$  is a self-adjoint little Hankel operator then there exist bounded linear operators  $A \in \mathcal{L}(L_a^2(\mathbb{D}))$  such that  $A^*T_\phi A \geq S_\psi$ . Similarly, we show that there exists  $A \in \mathcal{L}(L_a^2(\mathbb{D}))$  such that  $A^*T_\phi A \geq T_\phi$ . Further, one can find a sequence  $\{A_n\} \in \mathcal{L}(L_a^2(\mathbb{D}))$  such that  $A_n \xrightarrow{w} 0$  and  $A_n^*T_\phi A_n \geq T_\phi$  for all  $n$ . In section 4, we prove that if  $T_\phi$  is a non-negative Toeplitz operator in  $\mathcal{L}(L_a^2(\mathbb{D}))$  then there exists a rank one operator  $R_1 \in \mathcal{L}(L_a^2(\mathbb{D}))$  such that  $\tilde{\phi}(z) \geq \beta \tilde{R}_1(z)$  for all  $z \in \mathbb{D}$  and for some constant  $\beta \geq 0$ .

## 2. PRELIMINARY LEMMAS

In this section we prove a few preliminary lemmas which will be used in proving the main results of the paper.

For finite rank operators in  $\mathcal{L}(L_a^2(\mathbb{D}))$  one can define a trace functional  $\text{tr}$  by  $\text{tr}(T) = \sum_{k=1}^n \langle f_k, g_k \rangle$  when  $T = \sum_{k=1}^n f_k \otimes g_k$ .

**Lemma 2.1.** *Let  $S, T \in \mathcal{L}(L_a^2(\mathbb{D}))$ . If  $\text{tr}(ASA) = \text{tr}(ATA)$  for every rank one projection  $A \in \mathcal{L}(L_a^2(\mathbb{D}))$ , then  $S = T$ .*

*Proof.* Let  $A = f \otimes f$ , where  $f$  is a unit vector. Then  $A$  is a rank one projection and every rank one projection takes this form. By the assumption, we have

$$\begin{aligned} \langle Sf, f \rangle &= \text{tr}(Sf \otimes f) \\ &= \text{tr}(ASA) = \text{tr}(ATA) \\ &= \text{tr}(Tf \otimes f) \\ &= \langle Tf, f \rangle. \end{aligned}$$

Thus  $\langle Sf, f \rangle = \langle Tf, f \rangle$  holds for every unit vector  $f \in L_a^2(\mathbb{D})$ . Therefore,  $\langle Sk_z, k_z \rangle = \langle Tk_z, k_z \rangle$  for all  $z \in \mathbb{D}$ . Hence  $S = T$ .  $\square$

**Lemma 2.2.** *If  $T_\phi$  is invertible and  $\langle A^*T_\phi^{-1}Af, g \rangle \langle A^*T_\phi Af, g \rangle = \langle A^*Af, g \rangle^2$  for every  $f, g \in L_a^2(\mathbb{D})$  and for some  $A \in \mathcal{L}(L_a^2(\mathbb{D}))$  whose range is dense in  $L_a^2(\mathbb{D})$  then  $\phi$  is a constant function.*

*Proof.* Since  $\overline{\text{Range}A} = L_a^2(\mathbb{D})$  we have  $\langle T_\phi^{-1}f, g \rangle \langle T_\phi f, g \rangle = \langle f, g \rangle^2$  for all  $f, g \in L_a^2(\mathbb{D})$ . Now fix a nonzero  $f \in L_a^2(\mathbb{D})$ . Then, for every  $g \in (\text{Sp}\{f\})^\perp \subset L_a^2(\mathbb{D})$ , we have  $\langle T_\phi^{-1}f, g \rangle = 0$  or  $\langle T_\phi f, g \rangle = 0$  since  $\langle T_\phi^{-1}f, g \rangle \langle T_\phi f, g \rangle = \langle f, g \rangle^2 = 0$ . Let  $M_f = \{g \in (\text{Sp}\{f\})^\perp : \langle T_\phi f, g \rangle = 0\}$  and  $N_f = \{g \in (\text{Sp}\{f\})^\perp : \langle T_\phi^{-1}f, g \rangle = 0\}$ . Then  $M_f \cup N_f = (\text{Sp}\{f\})^\perp$ . Because  $(\text{Sp}\{f\})^\perp, M_f$  and  $N_f$  are all closed linear subspaces, we must have  $M_f \subseteq N_f = (\text{Sp}\{f\})^\perp$  or  $N_f \subseteq M_f = (\text{Sp}\{f\})^\perp$ . If  $N_f = (\text{Sp}\{f\})^\perp$ , then  $T_\phi^{-1}f \in \text{Sp}\{f\}$ . So there exists a  $\lambda_f \in \mathbb{C}$  such that  $T_\phi^{-1}f = \lambda_f f \neq 0$ , that is,  $T_\phi f = \lambda_f^{-1}f$ . If  $M_f = (\text{Sp}\{f\})^\perp$ , then  $T_\phi f \in \text{Sp}\{f\}$ , that is,  $T_\phi f = \lambda_f f$  for some scalar  $\lambda_f$ . Since  $f$  is arbitrary, we see that for every  $f \in L_a^2(\mathbb{D})$ , there is a scalar  $\lambda_f$  such that  $T_\phi f = \lambda_f f$ . This implies that there exists a  $\lambda \in \mathbb{C}$  such that  $\phi \equiv \lambda$ .  $\square$

**Corollary 2.3.** *Suppose that  $T_\phi$  is invertible and  $\langle S_{\psi^+}T_\phi^{-1}S_\psi f, g \rangle \langle S_{\psi^+}T_\phi S_\psi f, g \rangle = \langle S_{\psi^+}S_\psi f, g \rangle^2$  for every  $f, g \in L_a^2(\mathbb{D})$  and  $\ker S_\psi = \{0\}$ . Then  $\phi \equiv C$ , a constant function.*

*Proof.* We need only to observe that  $\overline{\text{Range}S_\psi} = L_a^2(\mathbb{D})$  if and only if  $\ker S_\psi = \{0\}$ .  $\square$

**Lemma 2.4.** *Let  $A$  be a nonnegative operator in  $\mathcal{L}(L_a^2(\mathbb{D}))$ . Then  $\ker A = \ker A^{1/2}$  and  $\overline{\text{Range}A} = \overline{\text{Range}A^{1/2}}$ . If  $\text{Range}A$  is closed then  $\text{Range}A^{1/2}$  is closed and  $\text{Range}A = \text{Range}A^{1/2}$  and  $A = A^{1/2}B$ , for some invertible  $B \in \mathcal{L}(L_a^2(\mathbb{D}))$ .*

*Proof.* Since  $\langle Af, f \rangle = \langle A^{1/2}f, A^{1/2}f \rangle$ ,  $f \in L_a^2(\mathbb{D})$ , it follows that  $\ker A \subseteq \ker A^{1/2}$ . Conversely, if  $f \in \ker A^{1/2}$ , we obtain  $Af = A^{1/2}A^{1/2}f = 0$ . Thus  $\ker A = \ker A^{1/2}$ . Also, observe that  $\overline{\text{Range}A} = (\ker A)^\perp = (\ker A^{1/2})^\perp = \overline{\text{Range}A^{1/2}}$ . The lemma follows from [7].  $\square$

**Lemma 2.5.** *Let  $\psi \in C(\overline{\mathbb{D}})$ , the space of continuous functions on  $\overline{\mathbb{D}}$  and  $\|\psi\|_\infty \leq 1$ . Let  $T_\phi$  be a positive Toeplitz operator on  $L_a^2(\mathbb{D})$  such that  $T_\phi \leq S_{\psi^+}T_\phi S_\psi$  where  $\psi^+(z) = \overline{\psi(\bar{z})}$ . Then  $T_\phi = S_{\psi^+}T_\phi S_\psi$ . Further  $\overline{\text{Range}T_\phi}$  reduces  $S_\psi$  and  $S_\psi|_{\overline{\text{Range}T_\phi}}$  is unitary.*

*Proof.* Let  $T_\phi^{1/2}S_\psi = L$ . The operator  $L$  is compact [10] as  $\psi \in C(\overline{\mathbb{D}})$  and  $S_\psi$  is a contraction as  $\|\psi\|_\infty \leq 1$ . Further,  $LL^* = T_\phi^{1/2}S_\psi S_{\psi^+}T_\phi^{1/2} \leq T_\phi$ . This is so since  $S_\psi^* = S_{\psi^+}$ . Hence  $0 \leq S_{\psi^+}T_\phi S_\psi - T_\phi \leq S_{\psi^+}T_\phi S_\psi - T_\phi^{1/2}S_\psi S_{\psi^+}T_\phi^{1/2} = L^*L - LL^*$ . Hence the operator  $L$  is hyponormal. Since  $L$  is compact,  $L$  is normal. The normality of  $L$  implies that  $T_\phi = S_{\psi^+}T_\phi S_\psi = T_\phi^{1/2}S_\psi S_{\psi^+}T_\phi^{1/2}$ , and hence it follows that  $S_{\psi^+}$  is an isometry on  $\overline{\text{Range}T_\phi}$  and  $T_\phi$  commutes with  $S_\psi$  (and so also with  $S_{\psi^+}$ ). Consequently,  $S_{\psi^+}S_\psi T_\phi = S_{\psi^+}T_\phi S_\psi = T_\phi = T_\phi S_\psi S_{\psi^+}$ . Hence  $\overline{\text{Range}T_\phi}$  reduces  $S_\psi$  and  $S_\psi|_{\overline{\text{Range}T_\phi}}$  is unitary.  $\square$

### 3. NON-NEGATIVE TOEPLITZ OPERATORS

In this section we show that if  $T_\phi$  is a positive Toeplitz operator in  $\mathcal{L}(L_a^2(\mathbb{D}))$  and  $\psi \in L^\infty(\mathbb{D})$  can be expressed as a linear combination of Bergman kernels and some of its derivative then there exist bounded linear operators  $A \in \mathcal{L}(L_a^2(\mathbb{D}))$  such that  $A^*T_\phi A \geq S_\psi^*S_\psi$ . If in addition  $\psi(z) = \overline{\psi(\bar{z})}$  then we can find  $A \in \mathcal{L}(L_a^2(\mathbb{D}))$  such that  $A^*T_\phi A \geq S_\psi$ . Further, we find conditions for the existence of  $A \in \mathcal{L}(L_a^2(\mathbb{D}))$  such that  $A^*T_\phi A \geq T_\phi$ . It is also possible to find sequences  $\{A_n\}$  of operators in  $\mathcal{L}(L_a^2(\mathbb{D}))$  such that  $A_n \xrightarrow{w} 0$  and  $A_n^*T_\phi A_n \geq T_\phi$  for all  $n$ .

**Theorem 3.1.** *Let  $T_\phi$  be a positive Toeplitz operator in  $\mathcal{L}(L_a^2(\mathbb{D}))$  with symbol  $\phi \in L^\infty(\mathbb{D})$  and  $S_\psi$  be a little Hankel operator in  $\mathcal{L}(L_a^2(\mathbb{D}))$  where*

$$\overline{\psi(z)} = \sum_{j=1}^N \sum_{\gamma=0}^{m_j-1} c_{j\gamma} \frac{\partial^\gamma}{\partial b_j^\gamma} K_{b_j}(z)$$

where  $\mathbf{b} = \{b_j\}_{j=1}^N$  is a finite set of points in  $\mathbb{D}$ ,  $c_{j\gamma} \neq 0$  for all  $j, \gamma$  and  $m_j$  is the number of times  $b_j$  appears in  $\mathbf{b}$ . Then there exists an operator  $A \in \mathcal{L}(L_a^2(\mathbb{D}))$  such that  $A^*T_\phi A \geq S_\psi^*S_\psi$  and  $\|A^*T_\phi A\| \geq \|S_\psi^*S_\psi\|$ . Further, in addition if  $\psi(z) = \overline{\psi(\bar{z})}$  then it is also possible to find  $A \in \mathcal{L}(L_a^2(\mathbb{D}))$  such that  $A^*T_\phi A \geq S_\psi$  and  $(A^*T_\phi A)(z) \geq \widetilde{S}_\psi(z)$  where  $\widetilde{H}$  denotes the Berezin transform of  $H \in \mathcal{L}(L_a^2(\mathbb{D}))$ , and  $\|A^*T_\phi A\| \geq \|S_\psi\|$ . In case  $A$  is positive, then there exists an invertible  $T \in \mathcal{L}(L_a^2(\mathbb{D}))$  such that  $A^{1/2}T_\phi A^{1/2} \geq (T^*)^{-1}S_\psi T^{-1}$ .

*Proof.* From [5] it follows that  $S_\psi$  is a finite rank operator on  $L_a^2(\mathbb{D})$  and therefore  $S_\psi^*S_\psi$  is a finite rank operator and  $\text{Range } S_\psi^*S_\psi$  is closed in  $L_a^2(\mathbb{D})$ . Notice also that

$$\dim \left( \overline{\bigcup_{\lambda>0} E^{T_\phi}[\lambda, \infty)(L_a^2(\mathbb{D}))} \right) = \infty. \quad (3.1)$$

This is so as  $E^{T_\phi}(0, \infty)(L_a^2(\mathbb{D})) = \overline{\text{Range}T_\phi}$  and from [9] it follows that  $\overline{\text{Range}T_\phi}$  is infinite dimensional. Let  $M = \{Y \in \mathcal{L}(L_a^2(\mathbb{D})) \mid Y^*T_\phi Y \geq S_\psi^*S_\psi\}$ . We first

claim that 0 is in the WOT-closure of  $M$ . To show this suppose 0 is not in the WOT-closure of  $M$ . Then there is a WOT-neighborhood

$$V = \{B \in \mathcal{L}(L_a^2(\mathbb{D})) : |\langle Bf_i, g_i \rangle| \leq \epsilon, i = 1, \dots, n\}$$

of 0 (for some  $\epsilon > 0$ ) which does not intersect  $M$  where  $f_1, \dots, f_n, g_1, \dots, g_n \in L_a^2(\mathbb{D})$ . Let  $K$  be the linear span of  $g_1, g_2, \dots, g_n$ . From (3.1), it follows that there exists  $\lambda > 0$  such that  $\dim E^{T_\phi}[\lambda, \infty)(L_a^2(\mathbb{D})) > n + \text{rank}(S_\psi^* S_\psi)$ . It thus follows that  $\dim(E^{T_\phi}[\lambda, \infty)(L_a^2(\mathbb{D})) \cap K^\perp) \geq \text{rank}(S_\psi^* S_\psi)$ . Since  $S_\psi^* S_\psi$  is a self adjoint operator of finite rank, there exist real numbers  $\{\theta_i\}_{i=1}^k$  and an orthonormal basis  $\{\delta_i\}_{i=1}^k$  for  $\text{Range} S_\psi^* S_\psi$  such that  $S_\psi^* S_\psi f = \sum_{i=1}^k \theta_i \langle f, \delta_i \rangle \delta_i$  and  $|\theta_i| > 0$  for all  $i = 1, \dots, k$ . Let  $B \in \mathcal{L}(L_a^2(\mathbb{D}))$  be such that  $B|_{(\text{Range} S_\psi^* S_\psi)^\perp} = 0$  and  $B\delta_i = u_i$  where  $\{u_i\}_{i=1}^k$  is an orthonormal set in  $E^{T_\phi}[\lambda, \infty)(L_a^2(\mathbb{D})) \cap K^\perp$ .

Now, for each  $g \in \text{Range} S_\psi^* S_\psi$ , we have  $\|Bg\| = \|g\|$  and  $Bg \in E^{T_\phi}[\lambda, \infty)(L_a^2(\mathbb{D}))$ . Thus  $\langle B^* T_\phi Bg, g \rangle = \langle T_\phi Bg, Bg \rangle \geq \lambda \|Bg\|^2 = \lambda \|g\|^2$ . Let  $f \in L_a^2(\mathbb{D})$ . Then  $f = g + h$ , where  $g \in \text{Range} S_\psi^* S_\psi$  and  $h \in (\text{Range} S_\psi^* S_\psi)^\perp$ . Hence

$$\langle S_\psi^* S_\psi f, f \rangle = \sum_{i=1}^k \theta_i |\langle f, \delta_i \rangle|^2 \leq \max_i |\theta_i| \|g\|^2$$

and

$$\langle A^* T_\phi A f, f \rangle = \langle T_\phi A f, A f \rangle = \langle T_\phi A g, A g \rangle \geq \lambda \|g\|^2 \geq \frac{1}{t^2} \langle S_\psi^* S_\psi f, f \rangle,$$

where  $\frac{1}{t^2} = \frac{\lambda}{\max_i |\theta_i|}$ . Thus  $t^2 B^* T_\phi B \geq S_\psi^* S_\psi$  and  $tB \in M$ . Further since

$B(L_a^2(\mathbb{D})) \subset K^\perp$ , we have  $tB \in V$ . Hence  $V \cap M \neq \emptyset$ . This is a contradiction. Thus there exists operator  $A \in \mathcal{L}(L_a^2(\mathbb{D}))$  such that  $A^* T_\phi A \geq S_\psi^* S_\psi$  and therefore  $\|A^* T_\phi A\| \geq \|S_\psi^* S_\psi\|$ . In case  $\psi(z) = \overline{\psi(\bar{z})}$ , the operator  $S_\psi$  is self-adjoint. Proceeding similarly as above, one can show that there exists  $A \in \mathcal{L}(L_a^2(\mathbb{D}))$  such that  $A^* T_\phi A \geq S_\psi$  and therefore  $(\widetilde{A^* T_\phi A})(z) \geq \widetilde{S_\psi}(z)$  and  $\|A^* T_\phi A\| \geq \|S_\psi\|$ . If  $A$  is positive then by Lemma 2.4 there exists an invertible operator  $T \in \mathcal{L}(L_a^2(\mathbb{D}))$  such that  $A = A^{1/2} T$ . Hence  $A^* T_\phi A \geq S_\psi$  implies  $A^{1/2} T_\phi A^{1/2} \geq (T^*)^{-1} S_\psi T^{-1}$ .  $\square$

If  $f(z) = \sum_{n=0}^\infty a_n z^n$  is holomorphic on  $\mathbb{D}$ , a simple calculation shows that

$$\int_{\mathbb{D}} |f(z)|^2 dA(z) = \sum_{n=0}^\infty \frac{|a_n|^2}{n+1}.$$

Consequently,  $f \in L_a^2(\mathbb{D})$  if and only if the last expression is finite. The scalar product of  $f(z) = \sum_{n=0}^{\infty} a_n z^n$  and  $g(z) = \sum_{n=0}^{\infty} b_n z^n$ ,  $f, g \in L_a^2(\mathbb{D})$ , is given by

$$\langle f, g \rangle_{L_a^2(\mathbb{D})} = \sum_{n=0}^{\infty} \frac{a_n \bar{b}_n}{n+1}.$$

The truncation projections on  $L_a^2(\mathbb{D})$  will be denoted by  $P_n$ ,  $0 \leq n < \infty$ , and it is defined by

$$P_n f = P_n(a_0, a_1, a_2, \dots, a_n, a_{n+1}, \dots) = (a_0, a_1, \dots, a_n, 0, 0, \dots).$$

These are, of course, orthogonal projections on  $L_a^2(\mathbb{D})$  which converges strongly to the identity  $I$  on  $L_a^2(\mathbb{D})$ .

**Theorem 3.2.** *Let  $T_\phi$  be a non-negative nonzero Toeplitz operator on  $L_a^2(\mathbb{D})$  with symbol  $\phi \in L^\infty(\mathbb{D})$ . Then*

- (i): *For each  $\epsilon > 0$ , there exists an operator  $A \in \mathcal{L}(L_a^2(\mathbb{D}))$  such that  $\|P_n A P_n\| \leq \epsilon$  and  $A^* T_\phi A \geq T_\phi$ . If  $\text{tr}(B A^* T_\phi A B) = \text{tr}(B T_\phi B)$  for every rank one projection operator  $B \in \mathcal{L}(L_a^2(\mathbb{D}))$ , then  $A^* T_\phi A = T_\phi$ .*
- (ii): *If  $T_\phi \leq \widetilde{A^* T_\phi A}$  for some  $A \in \mathcal{L}(L_a^2(\mathbb{D}))$  then  $T_\phi \leq A^* T_\phi A$ . That is,  $\tilde{\phi}(z) \leq \widetilde{A^* T_\phi A}(z)$  for all  $z \in \mathbb{D}$ . Furthermore if  $T_\phi \leq \text{Re}(A^* T_\phi)$  and  $T_\phi = A^* T_\phi A$  for some  $A \in \mathcal{L}(L_a^2(\mathbb{D}))$  then  $A^* T_\phi = T_\phi$ .*
- (iii): *If  $K = A^* T_\phi$  for some  $A \in \mathcal{L}(L_a^2(\mathbb{D}))$  and  $T_\phi \leq \text{Re}(K)$  and  $A^*$  is power bounded then  $K = T_\phi$ .*
- (iv): *If for some  $A \in \mathcal{L}(L_a^2(\mathbb{D}))$ ,  $\|A\| \leq 1$ ,  $A^* T_\phi A \geq T_\phi$  then  $T_\phi^{1/2} A$  is a hyponormal operator.*
- (v): *Let  $T_\phi$  be invertible and  $E$  be a nonzero projection and  $\lambda \in \mathbb{R}$ ,  $\lambda > 0$  such that  $ET_\phi E = \lambda E$  and  $ET_\phi^{-1} E = \frac{1}{\lambda} E$ . Then  $\text{Range} E$  is a subspace of the eigenspace of  $T_\phi$  corresponding to the eigenvalue  $\lambda$ .*
- (vi): *If  $T_\phi$  is invertible and  $\langle A^* T_\phi^{-1} A f, g \rangle \langle A^* T_\phi A f, g \rangle = \langle A^* A f, g \rangle^2$  for every  $f, g \in L_a^2(\mathbb{D})$  and for some  $A \in \mathcal{L}(L_a^2(\mathbb{D}))$  such that  $\overline{\text{Range} A} = L_a^2(\mathbb{D})$  then  $\phi$  is a constant function.*
- (vii): *If  $\psi \in C(\overline{\mathbb{D}})$ ,  $\|\psi\|_\infty \leq 1$ ,  $S_\psi^* T_\phi S_\psi \geq T_\phi$  then  $T_\phi = S_\psi^* T_\phi S_\psi$  and  $T_\phi^{1/2} S_\psi$  is a hyponormal operator.*

*Proof.* We shall assume first that  $T_\phi$  is one-one. For  $\lambda > 0$ , let  $E_\lambda$  be the spectral measure of the interval  $[\lambda, \infty)$ . Since  $T_\phi$  is one-one and non-negative, hence  $E_\lambda \rightarrow I$ , the identity operator, in the strong operator topology. Thus there exists  $\lambda = \lambda(\epsilon) > 0$  such that the orthogonal projection  $E_\lambda \in \mathcal{L}(L_a^2(\mathbb{D}))$  satisfies

$$T_\phi E_\lambda = E_\lambda T_\phi, \|(I - E_\lambda) P_n\| \leq \sqrt{\epsilon}$$

and  $\dim(\text{Range} E_\lambda) \geq 2 \dim(\text{Range} P_n)$ . Also the spectral measure  $E_\lambda$  satisfies

$$\langle T_\phi f, f \rangle \geq \lambda \|f\|^2 \tag{3.2}$$

for all  $f \in \text{Range} E_\lambda$ , From [9], it follows that  $\text{Range} T_\phi$  is infinite dimensional. Thus there exists an unitary operator  $U$  on  $\text{Range} E_\lambda$  such that

$$(\text{Range} U E_\lambda P_n) \perp (\text{Range} E_\lambda P_n).$$

Define  $A \in \mathcal{L}(L_a^2(\mathbb{D}))$  as  $Af = \alpha UE_\lambda f + (I - E_\lambda)f$ , where  $\alpha > 0$  is chosen in such a way that  $A^*T_\phi A \geq T_\phi$ . We shall now verify that such  $\alpha$  exists. Since  $T_\phi$  commutes with  $E_\lambda$  we have

$$\begin{aligned} \langle A^*T_\phi Af, f \rangle &= \langle T_\phi Af, Af \rangle \\ &= \langle \alpha T_\phi UE_\lambda f + T_\phi(I - E_\lambda)f, \alpha UE_\lambda f + (I - E_\lambda)f \rangle \\ &= \alpha^2 \langle T_\phi UE_\lambda f, UE_\lambda f \rangle + \langle T_\phi(I - E_\lambda)f, (I - E_\lambda)f \rangle. \end{aligned}$$

On the other hand,

$$\begin{aligned} \langle T_\phi f, f \rangle &= \langle T_\phi E_\lambda f, f \rangle + \langle T_\phi(I - E_\lambda)f, f \rangle \\ &= \langle T_\phi E_\lambda f, E_\lambda f \rangle + \langle T_\phi E_\lambda f, (I - E_\lambda)f \rangle \\ &\quad + \langle T_\phi(I - E_\lambda)f, E_\lambda f \rangle + \langle T_\phi(I - E_\lambda)f, (I - E_\lambda)f \rangle \\ &= \langle T_\phi E_\lambda f, E_\lambda f \rangle + \langle T_\phi(I - E_\lambda)f, (I - E_\lambda)f \rangle. \end{aligned}$$

Hence the only condition which has to be satisfied by  $\alpha$  is

$$\alpha^2 \langle T_\phi UE_\lambda f, UE_\lambda f \rangle \geq \langle T_\phi E_\lambda f, E_\lambda f \rangle.$$

The condition is satisfied by sufficiently large  $\alpha$  because of (3.2) and because  $\text{Range}T_\phi$  is an infinite dimensional subspace of  $L_a^2(\mathbb{D})$ . To show that  $\|P_n A P_n\| \leq \epsilon$ , observe that  $\|P_n A P_n\| = \sup \{ |\langle P_n A P_n f, g \rangle| : f, g \in L_a^2(\mathbb{D}), \|f\| = \|g\| = 1 \}$ . Let  $\|f\| = \|g\| = 1$ . We have

$$\begin{aligned} |\langle P_n A P_n f, g \rangle| &= |\langle A P_n f, P_n g \rangle| \\ &= |\langle E_\lambda A P_n f, E_\lambda P_n g \rangle + \langle (I - E_\lambda) A P_n f, (I - E_\lambda) P_n g \rangle| \\ &= |\langle \alpha U E_\lambda P_n f, E_\lambda P_n g \rangle + \langle (I - E_\lambda) P_n f, (I - E_\lambda) P_n g \rangle| \\ &= |0 + \langle (I - E_\lambda) P_n f, (I - E_\lambda) P_n g \rangle| \\ &\leq \|(I - E_\lambda) P_n f\| \|(I - E_\lambda) P_n g\| \\ &\leq \|(I - E_\lambda) P_n\| \|(I - E_\lambda) P_n\| \leq \epsilon. \end{aligned}$$

To prove the general case, let  $M = \ker T_\phi$ . Decompose  $L_a^2(\mathbb{D})$  into an orthogonal direct sum  $L_a^2(\mathbb{D}) = (\ker T_\phi)^\perp \oplus \ker T_\phi = M^\perp \oplus M$  and let  $Q$  be the orthogonal projection onto  $M^\perp$ . Let  $T_\phi^{M^\perp} = T_\phi|_{M^\perp}$  be the restriction of  $T$  to  $M^\perp$ . Let  $N = Q P_n L_a^2(\mathbb{D})$  and let  $Q_1$  be the orthogonal projection from  $M^\perp$  onto  $N$ . Applying the first of the proof to the operator  $T_\phi^{M^\perp}$  and the projection  $Q_1$  we find an operator  $A_1 \in \mathcal{L}(M^\perp)$  with  $\|Q_1 A_1 Q_1\| \leq \frac{\epsilon}{\|P_n\|^2}$  and  $A_1^* T_\phi^{M^\perp} A_1 \geq T_\phi^{M^\perp}$ . Let  $A = A_1 \oplus 0$ , so  $A_1 = Q A Q$ . Then  $A^* T_\phi A \geq T_\phi$ . It remains to show that



$\|P_n A P_n\| \leq \epsilon$ . Since  $Q$  and  $Q_1$  are self-adjoint we have

$$\begin{aligned}
\|P_n A P_n\| &= \sup_{\|f\|=\|g\|=1} |\langle P_n A P_n f, g \rangle| \\
&= \sup_{\|f\|=\|g\|=1} |\langle A P_n f, P_n g \rangle| \\
&= \sup_{\|f\|=\|g\|=1} |\langle Q A Q P_n f, P_n g \rangle| \\
&= \sup_{\|f\|=\|g\|=1} |\langle A Q P_n f, Q P_n g \rangle| \\
&\leq \sup_{\substack{\|f\| \leq \|P_n\| \\ \|g\| \leq \|P_n\|}} |\langle A_1 f, g \rangle| \\
&\leq \|P_n\|^2 \sup_{\substack{\|f\|=\|g\|=1 \\ f, g \in M^\perp}} |\langle A_1 Q_1 f, Q_1 g \rangle| \\
&\leq \|P_n\|^2 \|Q_1 A Q_1\| \leq \epsilon.
\end{aligned}$$

If further  $\text{tr}(B A^* T_\phi A B) = \text{tr}(B T_\phi B)$  for every rank one projection  $B \in \mathcal{L}(L_a^2(\mathbb{D}))$  then from Lemma 2.1 it follows that  $A^* T_\phi A = T_\phi$ . This proves (i). We shall now prove (ii). By applying Schwarz inequality [1] to the positive semi-definite form  $\langle f, g \rangle \longrightarrow \langle T_\phi f, g \rangle, f, g \in L_a^2(\mathbb{D})$  we obtain

$$\begin{aligned}
\langle T_\phi f, f \rangle &\leq \langle \text{Re}(A^* T_\phi) f, f \rangle \\
&= \text{Re} \langle A^* T_\phi f, f \rangle \\
&\leq |\langle A^* T_\phi f, f \rangle| \\
&\leq \langle T_\phi f, f \rangle^{\frac{1}{2}} \langle T_\phi A f, A f \rangle^{\frac{1}{2}}
\end{aligned}$$

for all  $f \in L_a^2(\mathbb{D})$ . Hence  $\langle T_\phi f, f \rangle \leq \langle A^* T_\phi A f, f \rangle$  for all  $f \in L_a^2(\mathbb{D})$ . That is,  $T_\phi \leq A^* T_\phi A$ . In addition to  $T_\phi \leq \text{Re}(A^* T_\phi)$ , if  $T_\phi = A^* T_\phi A$  is assumed, then we obtain  $\langle T_\phi f, f \rangle = \text{Re} \langle A^* T_\phi f, f \rangle = |\langle A^* T_\phi f, f \rangle| = \langle A^* T_\phi f, f \rangle$  for all  $f \in L_a^2(\mathbb{D})$  and hence  $T_\phi = A^* T_\phi$ . Now we shall prove (iii). Since  $A^* T_\phi A - T_\phi \geq 0$ , it follows that  $A^*(A^* T_\phi A - T_\phi)A \geq 0$ . That is,  $A^{*2} T_\phi A^2 \geq A^* T_\phi A$ . Repeating the process  $n$  times, we have  $A^{*n+1} T_\phi A^{n+1} \geq A^{*n} T_\phi A^n$ . Thus,  $\{A^{*n} T_\phi A^n \mid n = 1, 2, \dots\}$  is an increasing sequence of positive operators. This sequence is bounded, since  $A^*$  is power bounded. Therefore, it converges to a positive operator on  $L_a^2(\mathbb{D})$ , say  $B$ , in the strong operator topology. Notice that

$$\begin{aligned}
A^* B A &= A^* \left( \lim_{n \rightarrow \infty} A^{*n} T_\phi A^n \right) A \\
&= \lim_{n \rightarrow \infty} A^{*n+1} T_\phi A^{n+1} \\
&= B.
\end{aligned}$$



From the operator inequality  $T_\phi \leq \frac{(A^*T_\phi + T_\phi A)}{2}$ , we have

$$\begin{aligned} A^{*n}T_\phi A^n &\leq \frac{[A^{*n}(A^*T_\phi + T_\phi A)A^n]}{2} \\ &= \frac{[A^*(A^{*n}T_\phi A^n) + (A^{*n}T_\phi A^n)A]}{2}. \end{aligned}$$

By letting  $n$  tend to  $\infty$ , we have  $B \leq \frac{(A^*B+BA)}{2} = \text{Re}(A^*B)$ . Thus  $B = A^*B$ . Since  $T_\phi \leq B$ , it follows that the range of  $T_\phi$  is contained in the range of  $B$ , and hence [6], we have  $T_\phi = A^*T_\phi = K$ . To prove (iv) suppose  $\|A\| \leq 1$  and  $A^*T_\phi A \geq T_\phi$ . Now

$$\begin{aligned} (T_\phi^{1/2}A)^*(T_\phi^{1/2}A) - (T_\phi^{1/2}A)(T_\phi^{1/2}A)^* &= A^*T_\phi A - T_\phi^{1/2}AA^*T_\phi^{1/2} \\ &= A^*T_\phi A - T_\phi^{1/2}AA^*T_\phi^{1/2} \\ &\geq T_\phi - T_\phi^{1/2}AA^*T_\phi^{1/2} \\ &= T_\phi^{1/2}(I - AA^*)T_\phi^{1/2} \\ &\geq 0 \end{aligned}$$

and therefore  $T_\phi^{1/2}A$  is a hyponormal operator. To prove (v), we can assume without loss of generality that  $\lambda = 1$ . Let  $h$  be any unit vector from the range of  $E$ . Multiplying the equations  $ET_\phi E = E$  and  $ET_\phi^{-1}E = E$  by  $F_h = h \otimes h$  from the left and also from the right we obtain  $(h \otimes h)T_\phi(h \otimes h) = h \otimes h$  and  $(h \otimes h)T_\phi^{-1}(h \otimes h) = h \otimes h$ . These imply  $\langle T_\phi h, h \rangle = 1$  and  $\langle T_\phi^{-1}h, h \rangle = 1$ . Consider the Cauchy-Schwarz inequality for the new inner product

$$(f, g) = \langle T_\phi^{-1}f, g \rangle, f, g \in L_a^2(\mathbb{D}).$$

Insert  $f = T_\phi h$  and  $g = h$ . As  $h$  is a unit vector, we see that there is equality in the corresponding inequality

$$|\langle T_\phi^{-1}T_\phi h, h \rangle|^2 \leq \langle T_\phi^{-1}T_\phi h, T_\phi h \rangle \langle T_\phi^{-1}h, h \rangle.$$

This gives us that  $T_\phi h$  is a nonzero scalar multiple of  $h$ . It is clear that this scalar is necessarily 1. So we have  $T_\phi h = h$  for any unit vector  $h$  from the range of  $E$ . This proves our claim. The proof of (vi) follows from Lemma 2.2. To prove (vii), observe that  $S_\psi^* = S_{\psi^+}$  where  $\psi^+(z) = \overline{\psi(\bar{z})}$ . From Lemma 2.5, it follows that  $S_{\psi^+}T_\phi S_\psi = T_\phi$  and from (iv) we obtain  $T_\phi^{1/2}S_\psi$  is a hyponormal operator.  $\square$

**Theorem 3.3.** *Let  $T_\phi$  be a positive Toeplitz operator on the Bergman space  $L_a^2(\mathbb{D})$  with symbol  $\phi \in L^\infty(\mathbb{D})$ . Then there exists a sequence  $\{A_n\}$  of operators in  $\mathcal{L}(L_a^2(\mathbb{D}))$  such that  $A_n \rightarrow 0$  in weak operator topology and  $A_n^*T_\phi A_n \geq T_\phi$  for all  $n$ . Thus  $\widetilde{A_n^*T_\phi A_n}(z) \geq \widetilde{\phi}(z)$  for all  $z \in \mathbb{D}$ .*

*Proof.* We take an index set  $I$  for the set of all pairs  $n_\epsilon = (P_n, \epsilon)$  where  $P_n$  is the finite dimensional projection on  $L_a^2(\mathbb{D})$ ,  $\epsilon > 0$ . Set  $(P_m, \epsilon_1) \prec (P_r, \epsilon_2)$  if  $m \leq r$  and  $\epsilon_1 > \epsilon_2$ . By Theorem 3.2, for each  $n_\epsilon$  there exists  $A_n \in \mathcal{L}(L_a^2(\mathbb{D}))$  such that  $\|P_n A P_n\| \leq \epsilon$  and  $A_n^*T_\phi A_n \geq T_\phi$ . Let  $U_{n_\epsilon} = \{A \in \mathcal{L}(L_a^2(\mathbb{D})) : \|P_n A P_n\| < \epsilon\}$ . It

is not difficult to see that each  $n_\epsilon \in I$  defines a WOT-neighbourhood  $U_{n_\epsilon}$  of 0. It is also clear that in this way we obtain a basis of the weak operator topology neighbourhoods of 0. Furthermore notice that for each  $n_\epsilon$ , we have  $A_m \in U_{n_\epsilon}$  for all  $m > n_\epsilon$ . Hence  $A_n \rightarrow 0$  in the weak operator topology.  $\square$

#### 4. BEREZIN TRANSFORM OF POSITIVE TOEPLITZ OPERATORS

In this section we show that if  $T_\phi$  is a non-negative Toeplitz operator in  $\mathcal{L}(L_a^2(\mathbb{D}))$  then there exists a rank one operator  $R_1 \in \mathcal{L}(L_a^2(\mathbb{D}))$  such that  $\tilde{\phi}(z) \geq \alpha^2 \tilde{R}_1(z)$  for all  $z \in \mathbb{D}$  and for some constant  $\alpha \geq 0$ . Here  $\tilde{\phi}$  is the Berezin transform of  $T_\phi$  and  $\tilde{R}_1$  is the Berezin transform of  $R_1$ .

Let  $H$  and  $K$  be Hilbert spaces and let  $T \in \mathcal{L}(H, K)$ . A maximizing vector for  $T$  is a non-zero vector  $x \in H$  such that  $\|Tx\| = \|T\|\|x\|$ . Thus a maximizing vector for  $T$  is one at which  $T$  attains its norm. On a Banach space, even rank one operators need not have maximizing vectors [8]. The operator  $(Hx)(t) = tx(t)$ ,  $0 < t < 1$ , is bounded on  $L^2(0, 1)$  but has no maximizing vector. However, compact operators on Hilbert spaces do have maximizing vectors [8].

**Theorem 4.1.** *Let  $T_\phi$  be a non-negative Toeplitz operator in  $\mathcal{L}(L_a^2(\mathbb{D}))$  with symbol  $\phi \in L^\infty(\mathbb{D})$  and  $\epsilon > 0$ . Then there exists a non-negative operator  $C \in \mathcal{L}(L_a^2(\mathbb{D}))$  such that  $\|C - T_\phi\| < \epsilon$ ,  $R_\epsilon = C - T_\phi = \epsilon(h \otimes h)$  for some  $h \in L_a^2(\mathbb{D})$  and the operator  $C$  has a maximizing vector. Further,  $\tilde{\phi}(z) \geq \alpha^2 \tilde{R}_1(z)$  for all  $z \in \mathbb{D}$  and for some constant  $\alpha \geq 0$ .*

*Proof.* Let  $T_\phi$  be a non-negative Toeplitz operator in  $\mathcal{L}(L_a^2(\mathbb{D}))$  and  $\epsilon > 0$ . Now

$$\|T_\phi\| = \sup_{\substack{g \in L_a^2(\mathbb{D}) \\ \|g\|=1}} \langle T_\phi g, g \rangle = \sup\{\langle T_\phi g, g \rangle : \|g\| = 1, g \in (\ker T_\phi)^\perp\}.$$

Hence there exists a unit vector  $h \in (\ker T_\phi)^\perp$  such that  $\|T_\phi\| - \frac{\epsilon}{2} \leq \langle T_\phi h, h \rangle$ . Define  $R_\epsilon k = \epsilon \langle k, h \rangle h = \epsilon(h \otimes h)k$ . Then  $R_\epsilon$  is a non-negative operator of rank one and  $\|R_\epsilon\| = \epsilon$ . Moreover,

$$\begin{aligned} \|T_\phi + R_\epsilon\| &= \sup_{\|f\|=1} \langle (T_\phi + R_\epsilon)f, f \rangle \\ &\geq \langle (T_\phi + R_\epsilon)h, h \rangle \\ &\geq \|T_\phi\| + \frac{\epsilon}{2}. \end{aligned}$$

Now  $T_\phi + R_\epsilon$  is non-negative, and so  $\|T_\phi + R_\epsilon\|$  lies in the spectrum of  $T_\phi + R_\epsilon$ . Since  $R_\epsilon$  is compact, Weyl's theorem implies essential spectrum of  $T_\phi + R_\epsilon$  is equal to the essential spectrum of  $T_\phi$ . But the spectrum of  $T_\phi$  is bounded by  $\|T_\phi\|$  and hence  $\|T_\phi + R_\epsilon\|$  must lie in the discrete spectrum of  $T_\phi + R_\epsilon$ . In other words, there exists a unit vector  $f \in L_a^2(\mathbb{D})$  such that  $(T_\phi + R_\epsilon)f = \|T_\phi + R_\epsilon\|f$ . Finally, we can assume without loss of generality that  $f \in (\ker T_\phi)^\perp$ . This is so, since  $L_a^2(\mathbb{D}) = \ker T_\phi \oplus (\ker T_\phi)^\perp$  and if  $f = f_1 + f_2$ ,  $f_1 \in \ker T_\phi$ ,  $f_2 \in (\ker T_\phi)^\perp$  then

$$(T_\phi + R_\epsilon)f_1 = \langle f_1, h \rangle h = 0.$$

Thus if we write  $C = T_\phi + R_\epsilon$  then  $C$  is non-negative,  $\|C - T_\phi\| = \|R_\epsilon\| = \epsilon$  and  $\|Cf\| = \|C\|\|f\|$ . That is,  $f$  is a maximizing vector of  $C$ . Now let  $\epsilon = 1$ . Then  $R_1 = (h \otimes h)$ ,  $\|h\| = 1$ . Let

$$E = \{X \in \mathcal{L}(L_a^2(\mathbb{D})) : X \geq 0, |\langle Xf, g \rangle|^2 \leq \langle T_\phi f, f \rangle \langle R_1 g, g \rangle \text{ for all } f, g \in L_a^2(\mathbb{D})\}.$$

Now suppose  $X \in E$ . Then for  $f, g \in L_a^2(\mathbb{D})$ ,

$$\begin{aligned} \left\langle \begin{pmatrix} T_\phi & X \\ X & R_1 \end{pmatrix} \begin{pmatrix} f \\ g \end{pmatrix}, \begin{pmatrix} f \\ g \end{pmatrix} \right\rangle &= \langle T_\phi f, f \rangle + \langle Xg, f \rangle + \langle Xf, g \rangle + \langle R_1 g, g \rangle \\ &= \langle T_\phi f, f \rangle + \langle R_1 g, g \rangle + 2\operatorname{Re} \langle Xf, g \rangle \\ &\geq 2 \langle T_\phi f, f \rangle^{1/2} \langle R_1 g, g \rangle^{1/2} + 2\operatorname{Re} \langle Xf, g \rangle \\ &\geq 2|\langle Xf, g \rangle| + 2\operatorname{Re} \langle Xf, g \rangle \\ &\geq 2|\langle Xf, g \rangle| - 2|\langle Xf, g \rangle| = 0. \end{aligned}$$

Conversely, if  $X \geq 0$  and  $\begin{pmatrix} T_\phi & X \\ X & R_1 \end{pmatrix}$  is a positive operator in  $\mathcal{L}(L_a^2 \oplus L_a^2)$  then

$$\begin{aligned} \left| \left\langle \begin{pmatrix} T_\phi & X \\ X & R_1 \end{pmatrix} \begin{pmatrix} f \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ g \end{pmatrix} \right\rangle \right|^2 &\leq \left\langle \begin{pmatrix} T_\phi & X \\ X & R_1 \end{pmatrix} \begin{pmatrix} f \\ 0 \end{pmatrix}, \begin{pmatrix} f \\ 0 \end{pmatrix} \right\rangle \\ &\quad \left\langle \begin{pmatrix} T_\phi & X \\ X & R_1 \end{pmatrix} \begin{pmatrix} 0 \\ g \end{pmatrix}, \begin{pmatrix} 0 \\ g \end{pmatrix} \right\rangle \end{aligned}$$

for all  $f, g \in L_a^2(\mathbb{D})$ . A simplification of these inner products yields

$$|\langle Xf, g \rangle|^2 \leq \langle T_\phi f, f \rangle \langle R_1 g, g \rangle \text{ for all } f, g \in L_a^2(\mathbb{D}).$$

Hence  $X \in E$ . Thus

$$E = \left\{ X \in \mathcal{L}(L_a^2(\mathbb{D})) : X \geq 0 \text{ and } \begin{pmatrix} T_\phi & X \\ X & R_1 \end{pmatrix} \text{ is a positive operator in } \mathcal{L}(L_a^2 \oplus L_a^2) \right\}.$$

We shall now verify that  $\max_{X \in E} X = \alpha R_1 = \alpha(h \otimes h)$  for some constant  $\alpha \geq 0$ . Suppose  $T_\phi$  is a positive invertible operator in  $\mathcal{L}(L_a^2(\mathbb{D}))$ . Then from [2], [3] it follows that  $\max_{X \in E} X = \frac{1}{\|T_\phi^{-1/2} h\|} h \otimes h = \frac{1}{\|T_\phi^{-1/2} h\|} R_1$ , a scalar multiple of  $R_1$ . If  $T_\phi$  is an arbitrary positive operator then it follows from [2] that  $\max_{X \in E} X$  is again a scalar multiple of  $R_1$ , and

$$\max_{X \in E} X = \max \left\{ r R_1 : r \geq 0, \begin{pmatrix} T_\phi & r R_1 \\ r R_1 & R_1 \end{pmatrix} \geq 0 \right\}.$$

The inequality  $\left\langle \begin{pmatrix} T_\phi & r R_1 \\ r R_1 & R_1 \end{pmatrix} \begin{pmatrix} f \\ g \end{pmatrix}, \begin{pmatrix} f \\ g \end{pmatrix} \right\rangle \geq 0$  is equivalent to

$$\langle T_\phi f, f \rangle + r \langle R_1 g, f \rangle + r \langle R_1 f, g \rangle + \langle R_1 g, g \rangle \geq 0 \text{ for all } f, g \in L_a^2(\mathbb{D}).$$

This can be rewritten as

$$\langle T_\phi f, f \rangle + \|R_1(g + rf)\|^2 - r^2 \|R_1 f\|^2 \geq 0$$

which holds for all  $f, g \in L_a^2(\mathbb{D})$  if and only if  $\langle T_\phi f, f \rangle - r^2 \|R_1 f\|^2 \geq 0$  or equivalently,  $r^2 R_1 \leq T_\phi$ . Thus from [3], it follows that  $\max_{X \in E} X = \max\{r R_1 : r \geq 0, r^2 R_1 \leq T_\phi\} = \sqrt{\lambda(T_\phi, R_1)} R_1$  where

$$\lambda(T_\phi, R_1) = \begin{cases} \|T_\phi^{-\frac{1}{2}} h\|^{-2}, & \text{if } h \in \text{Range}(T_\phi^{\frac{1}{2}}), \\ 0, & \text{otherwise.} \end{cases}$$

Thus  $\max_{X \in E} X = \alpha R_1$ , for some  $\alpha \geq 0$ . Hence  $\begin{pmatrix} T_\phi & \alpha R_1 \\ \alpha R_1 & R_1 \end{pmatrix} \geq 0$ . That is,  $|\langle \alpha R_1 k_z, k_w \rangle|^2 \leq \langle T_\phi k_z, k_z \rangle \langle R_1 k_w, k_w \rangle$  for all  $z, w \in \mathbb{D}$ . Hence

$$|\alpha|^2 |\langle k_z, h \rangle \langle h, k_w \rangle|^2 \leq \tilde{\phi}(z) |\langle h, k_w \rangle|^2 \text{ for all } z, w \in \mathbb{D}.$$

If  $h \neq 0$  then there exists  $w \in \mathbb{D}$  such that  $\langle h, k_w \rangle \neq 0$ . Thus  $\tilde{\phi}(z) \geq |\alpha|^2 |\langle h, k_z \rangle|^2 = \alpha^2 \tilde{R}_1(z)$  for all  $z \in \mathbb{D}$ .  $\square$

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