

**THE FEKETE-SZEGŐ THEOREM FOR CLOSE-TO-CONVEX  
 FUNCTIONS OF THE CLASS  $K_{sh}(\alpha, \beta)$**

MASLINA DARUS

ABSTRACT. For  $0 \leq \alpha < 1$  and  $0 < \beta \leq 1$ . Let  $K_{sh}(\alpha, \beta)$  be the class of normalized close-to-convex functions defined in the open unit disc  $D$  by

$$\left| \arg \left( \frac{zf'(z)}{g(z)} \right) \right| \leq \frac{\pi\alpha}{2},$$

such that  $g \in S^*(\beta)$ , the class of analytic normalized starlike functions of order  $\beta$ , i.e. for  $z \in D$ ,

$$\Re \left( \frac{zg'(z)}{g(z)} \right) > \beta.$$

For  $f \in K_{sh}(\alpha, \beta)$  and given by  $f(z) = z + a_2z^2 + a_3z^3 + \dots$ , some sharp bounds are obtained for the Fekete-Szegő functional  $|a_3 - \mu a_2^2|$  when  $\mu$  is real.

1. INTRODUCTION

Let  $S$  denote the class of normalized analytic univalent functions  $f$  defined by

$$(1.1) \quad f(z) = z + \sum_{n=2}^{\infty} a_n z^n$$

for  $z \in D = \{z : |z| < 1\}$ . A classical theorem of Fekete and Szegő [4] states that for  $f \in S$  given by (1.1),

$$|a_3 - \mu a_2^2| \leq \begin{cases} 3 - 4\mu, & \text{if } \mu \leq 0, \\ 1 + 2 \exp\left(\frac{-2\mu}{1-\mu}\right), & \text{if } 0 \leq \mu \leq 1, \\ 4\mu - 3, & \text{if } \mu \geq 1, \end{cases}$$

and that this is sharp.

Later, several authors attempted the related problems for either  $\mu$  is complex or  $\mu$  is real. For the subclasses  $C, S^*$  and  $K$  of convex, starlike and close-to-convex functions respectively, sharp upper bounds for the functional  $|a_3 - \mu a_2^2|$  have been obtained for all real  $\mu$  [5], [7], [6]. In particular for  $f \in K$  and given by (1.1), Keogh and Merkes [5] showed that

$$|a_3 - \mu a_2^2| \leq \begin{cases} 3 - 4\mu, & \text{if } \mu \leq 0, \\ \frac{1}{3} + \frac{4}{9\mu}, & \text{if } \frac{1}{3} \leq \mu \leq \frac{2}{3}, \\ 1, & \text{if } \frac{2}{3} \leq \mu \leq 1, \\ 4\mu - 3, & \text{if } \mu \geq 1, \end{cases}$$

---

2000 *Mathematics Subject Classification.* 30C45.

*Key words and phrases.* Fekete-Szegő theorem, close-to-convex functions, starlike, convex.

and that for each  $\mu$  there is a function in  $K$  such that equality holds. In [9], Fekete-Szegő functional is obtained for close-to-convex function defined as follows.

**Definition 1.** Let  $0 \leq \alpha < 1$ ,  $0 < \beta \leq 1$  and let  $f$  be given by (1.1). Then  $f \in K_{hs}(\alpha, \beta)$  if and only if, there exist  $g \in S_s^*(\beta)$  such that for  $z \in D$ ,

$$\operatorname{Re} \left( \frac{zf'(z)}{g(z)} \right) > \alpha$$

where  $S_s^*(\beta)$  denotes the class of starlike functions of order  $\beta$  defined in a sector, i.e.  $g \in S_s^*(\beta)$  if and only if,  $g$  is analytic in  $D$  with  $g(z) = z + b_2z^2 + b_3z^3 + \dots$  and

$$\left| \arg \left( \frac{zg'(z)}{g(z)} \right) \right| \leq \frac{\beta\pi}{2}$$

for  $z \in D$ .

The authors [9] prove the following:

**Theorem 1.** Let  $f \in K_{hs}(\alpha, \beta)$  and be given by (1.1), then for  $0 \leq \alpha < 1$ ,  $0 < \beta \leq 1$  and  $\mu$  real,

$$3|a_3 - \mu a_2^2| = \begin{cases} 3\beta^2(1-\mu) - 3\mu(1-\alpha)^2 - 2(1-\alpha)(\beta(3\mu-2) - 1), \\ \quad \text{if } \mu \leq \frac{2\beta}{3(1+\beta-\alpha)}, \\ 3\beta^2(1-\mu) + 2(1-\alpha) + \frac{\beta^2}{3\mu}(2-3\mu)^2, \\ \quad \text{if } \frac{2\beta}{3(1+\beta-\alpha)} \leq \mu \leq \frac{4\beta}{3(1+\beta)}, \\ 2 - 2\alpha + \beta, \\ \quad \text{if } \frac{4\beta}{3(1+\beta)} \leq \mu \leq \frac{4[\beta(2+\alpha)+1]}{3[\beta(3+\alpha)+1-\alpha]}, \\ 3\beta^2(\mu-1) + 2(1-\alpha) + \frac{\beta^2(1-\alpha)(3\mu-2)^2}{4-3\mu(1-\alpha)}, \\ \quad \text{if } \frac{4[\beta(2+\alpha)+1]}{3[\beta(3+\alpha)+1-\alpha]} \leq \mu \leq \frac{2(2+\beta)}{3(1+\beta-\alpha)}, \\ 3\beta^2(\mu-1) + 3\mu(1-\alpha)^2 + 2(1-\alpha)(\beta(3\mu-2) - 1), \\ \quad \text{if } \mu \geq \frac{2(2+\beta)}{3(1+\beta-\alpha)}. \end{cases}$$

For each  $\mu$ , there is a function  $f \in K_{hs}(\alpha, \beta)$  such that equality holds.

In this paper, we look into the class  $K_{sh}(\alpha, \beta)$  defined as the following:

**Definition 2.** Let  $0 < \alpha \leq 1$ ,  $0 \leq \beta < 1$  and let  $f$  be given by (1.1). Then  $f \in K_{sh}(\alpha, \beta)$  if and only if, there exist  $g \in S_h^*(\beta)$  such that for  $z \in D$ ,

$$(1.2) \quad \left| \arg \left( \frac{zf'(z)}{g(z)} \right) \right| \leq \frac{\pi\alpha}{2}$$

where  $S_h^*(\beta)$  denotes the class of starlike functions of order  $\beta$  defined in a half plane, i.e.  $g \in S_h^*(\beta)$  if and only if,  $g$  is analytic in  $D$  with  $g(z) = z + b_2z^2 + b_3z^3 + \dots$  and

$$(1.3) \quad \Re \left( \frac{zg'(z)}{g(z)} \right) > \beta$$

for  $z \in D$ .

## 2. RESULT

We prove the following:

**Theorem 2.** *Let  $f \in K_{sh}(\alpha, \beta)$  and be given by (1.1), then for  $0 < \alpha \leq 1$ ,  $0 \leq \beta < 1$  and  $\mu$  real,*

$$3|a_3 - \mu a_2^2| = \begin{cases} 1 - \beta + (2 - 3\mu)(1 + \alpha - \beta)^2, \\ \quad \text{if } \mu \leq \frac{2(\alpha - \beta)}{3(1 + \alpha - \beta)}, \\ (1 - \beta)(3 - 2\beta - 3\mu(1 - \beta)) + 2\alpha + \frac{\alpha(1 - \beta)^2(2 - 3\mu)^2}{2 - \alpha(2 - 3\mu)}, \\ \quad \text{if } \frac{2(\alpha - \beta)}{3(1 + \alpha - \beta)} \leq \mu \leq \frac{2}{3}, \\ 1 + 2\alpha - \beta, \\ \quad \text{if } \frac{2}{3} \leq \mu \leq \frac{2(2 + \alpha - \beta)}{3(1 + \alpha - \beta)}, \\ \beta - 1 + (3\mu - 2)(1 + \alpha - \beta)^2, \\ \quad \text{if } \mu \geq \frac{2(2 + \alpha - \beta)}{3(1 + \alpha - \beta)}. \end{cases}$$

For each  $\mu$ , there is a function  $f \in K_{sh}(\alpha, \beta)$  such that equality holds.

We first state simple lemmas which we shall use throughout the paper.

**Lemma 1.** ([8, p. 166.]) *Let  $h \in P$  i.e.  $h$  be analytic in  $D$  and be given by*

$$h(z) = 1 + c_1 z + c_2 z^2 + \dots$$

and  $\Re h(z) > 0$  for  $z \in D$ , then  $|c_n| \leq 2$  and

$$\left| c_2 - \frac{c_1^2}{2} \right| \leq \left( 2 - \frac{|c_1|^2}{2} \right).$$

**Lemma 2.** ([2]) *For  $0 \leq \beta < 1$ , let  $g \in S_h^*(\beta)$  and*

$$g(z) = z + b_2 z^2 + b_3 z^3 + \dots$$

Then for  $\mu$  real,

$$\left| b_3 - \frac{3}{4} \mu b_2^2 \right| \leq (1 - \beta) \max\{1, |3 - 2\beta - 4\mu(1 - \beta)|\}.$$

**Lemma 3.** *Let  $f \in K_{sh}(\alpha, \beta)$  and be given by (1.1), then*

$$|a_2| \leq 1 + \alpha - \beta,$$

and

$$3|a_3| \leq 2\alpha^2 + (4\alpha + 3 - 2\beta)(1 - \beta).$$

*Proof.* Since  $g \in S_h^*(\beta)$ , it follows from (1.3) that

$$(2.4) \quad zg'(z) = g(z)[p(z)(1 - \beta) + \beta]$$

for  $z \in D$ , with  $p \in P$  given by  $p(z) = 1 + p_1 z + p_2 z^2 + \dots$ . Equating coefficients, we obtain,

$$(2.5) \quad b_2 = (1 - \beta)p_1,$$

and

$$(2.6) \quad 2b_3 = (1 - \beta)p_2 + (1 - \beta)b_2 p_1.$$

Also it follows from (1.2) that

$$(2.7) \quad zf'(z) = g(z)h(z)^\alpha$$

where  $h \in P$ . Writing  $h(z) = 1 + c_1z + c_2z^2 + \dots$  and equating coefficients in (2.7) we have

$$(2.8) \quad 2a_2 = b_2 + c_1\alpha,$$

and

$$(2.9) \quad 3a_3 = b_3 + c_2\alpha + c_1b_2\alpha + \frac{\alpha}{2}(\alpha - 1)c_1^2.$$

The result now follows on using the classical inequalities  $|c_1| = |c_2| \leq 2$ ,  $|p_1| = |p_2| \leq 2$ , and the inequalities  $|b_2| \leq 2(1 - \beta)$  and  $|b_3| \leq (1 - \beta)(3 - 2\beta)$  which follow from (2.5) and (2.6).  $\square$

*Proof.* It follows from (2.5),(2.7),(2.8) and (2.9) that

$$(2.10) \quad 3(a_3 - \mu a_2^2) = \left(b_3 - \frac{3}{4}\mu b_2^2\right) + \alpha \left(c_2 - \frac{c_1^2}{2}\right) + \frac{\alpha^2}{4}(2 - 3\mu)c_1^2 + \frac{\alpha}{2}(2 - 3\mu)c_1b_2.$$

And so equation (2.10) gives

$$(2.11) \quad 3|a_3 - \mu a_2^2| \leq \left|b_3 - \frac{3}{4}\mu b_2^2\right| + \alpha \left|c_2 - \frac{c_1^2}{2}\right| + \frac{1}{4}\alpha|2 - 3\mu||c_1^2| + \frac{\alpha}{2}|2 - 3\mu||c_1||b_2|.$$

We first consider the case  $\frac{2(\alpha - \beta)}{3(1 + \alpha - \beta)} \leq \mu \leq \frac{2}{3}$ . Equation (2.11) gives

$$\begin{aligned} 3|a_3 - \mu a_2^2| &\leq (1 - \beta)(3 - 2\beta - 3\mu(1 - \beta)) + \alpha \left(2 - \frac{c_1^2}{2}\right) + \frac{1}{4}\alpha^2(2 - 3\mu)|c_1^2| \\ &\quad + \frac{\alpha}{2}(2 - 3\mu)|c_1||b_2| \\ &\leq (1 - \beta)(3 - 2\beta - 3\mu(1 - \beta)) + \alpha \left(2 - \frac{c_1^2}{2}\right) + \frac{1}{4}\alpha^2(2 - 3\mu)|c_1^2| \\ &\quad + \alpha(1 - \beta)(2 - 3\mu)|c_1| \\ &= \Upsilon(x) \quad \text{say, with } x = |c_1|, \end{aligned}$$

where we have used Lemmas 1 and 2 and the fact that  $|b_2| \leq 2(1 - \beta)$  for  $g \in S_h^*(\beta)$ . An elementary argument shows that the function  $\Upsilon$  attains a maximum at  $x_0 = \frac{2(1 - \beta)(2 - 3\mu)}{2 - \alpha(2 - 3\mu)}$ , and so  $|a_3 - \mu a_2^2| \leq \Upsilon(x_0)$ , which proves the theorem if  $\mu \leq \frac{2}{3}$  and  $\alpha \geq 0$ . Choosing  $c_1 = \frac{2(1 - \beta)(2 - 3\mu)}{2 - \alpha(2 - 3\mu)}$ ,  $c_2 = 2$ ,  $b_2 = 2(1 - \beta)$  and  $b_3 = (1 - \beta)(3 - 2\beta)$  in (2.10) shows that the result is sharp. We note that  $|c_1| \leq 2$ , i.e.  $\mu \geq \frac{2(\alpha - \beta)}{3(1 + \alpha - \beta)}$ .

Next consider the case  $\mu \leq \frac{2(\alpha - \beta)}{3(1 + \alpha - \beta)}$ . Then

$$\begin{aligned} |a_3 - \mu a_2^2| &\leq \left|a_3 - \frac{2(\alpha - \beta)}{3(1 + \alpha - \beta)}a_2^2\right| + \left(\frac{2(\alpha - \beta)}{3(1 + \alpha - \beta)} - \mu\right)|a_2|^2, \\ &\leq \frac{3 + 2\alpha - 3\beta}{3} + \left(\frac{2(\alpha - \beta)}{3(1 + \alpha - \beta)} - \mu\right)(1 + \alpha - \beta)^2, \\ &= \frac{1 - \beta}{3} + \frac{(2 - 3\mu)}{3}(1 + \alpha - \beta)^2. \end{aligned}$$

for  $\alpha \geq 0$ , where we have used the result already proved in the case  $\mu = \frac{2(\alpha - \beta)}{3(1 + \alpha - \beta)}$ , and the fact that for  $f \in K_{sh}(\alpha, \beta)$ , the inequality  $|a_2| \leq 1 + \alpha - \beta$  holds. Equality is attained on choosing  $c_1 = c_2 = 2$ ,  $b_2 = 2(1 - \beta)$  and  $b_3 = (1 - \beta)(3 - 2\beta)$  in (2.10).

Suppose now that  $\frac{2}{3} \leq \mu \leq \frac{2(2+\alpha-\beta)}{3(1+\alpha-\beta)}$ . Since  $g \in S_h^*(\beta)$  we can write  $zg'(z) = g(z)[\beta + (1-\beta)p(z)]$  for  $p \in P$ , with  $p(z) = 1 + p_1z + p_2z^2 + \dots$ , and so equating coefficients we have that  $b_2 = p_1(1-\beta)$  and  $2b_3 = (1-\beta)p_2 + (1-\beta)^2p_1^2$ .

We deal first with the case  $\mu = \frac{2(2+\alpha-\beta)}{3(1+\alpha-\beta)}$ . Thus (2.10) gives

$$a_3 - \frac{2(2+\alpha-\beta)}{3(1+\alpha-\beta)}a_2^2 = \frac{1}{6}(1-\beta) \left( p_2 - \frac{p_1^2}{2} \right) + \frac{\alpha}{3} \left( c_2 - \frac{c_1^2}{2} \right) + \frac{(1-\beta)(\alpha-1+\beta)}{12(1+\alpha-\beta)}p_1^2 - \frac{\alpha(1-\beta)}{3(1+\alpha-\beta)}p_1c_1 - \frac{\alpha^2}{6(1+\alpha-\beta)}c_1^2,$$

and so if  $\alpha + \beta \leq 1$ ,

$$\begin{aligned} \left| a_3 - \frac{2(2+\alpha-\beta)}{3(1+\alpha-\beta)}a_2^2 \right| &\leq \frac{1}{6}(1-\beta) \left| p_2 - \frac{p_1^2}{2} \right| + \frac{\alpha}{3} \left| c_2 - \frac{c_1^2}{2} \right| + \frac{(1-\beta)(1-\alpha-\beta)}{12(1+\alpha-\beta)}|p_1|^2 \\ &\quad + \frac{\alpha(1-\beta)}{3(1+\alpha-\beta)}|p_1||c_1| + \frac{\alpha^2}{6(1+\alpha-\beta)}|c_1|^2, \\ &\leq \frac{1}{6}(1-\beta) \left( 2 - \frac{p_1^2}{2} \right) + \frac{\alpha}{3} \left( 2 - \frac{c_1^2}{2} \right) + \frac{(1-\beta)(1-\alpha-\beta)}{12(1+\alpha-\beta)}|p_1|^2 \\ &\quad + \frac{\alpha(1-\beta)}{3(1+\alpha-\beta)}|p_1||c_1| + \frac{\alpha^2}{6(1+\alpha-\beta)}|c_1|^2, \\ &= \frac{1+2\alpha-\beta}{3} - \frac{\alpha(1-\beta)}{6(1+\alpha-\beta)}(|p_1| - |c_1|)^2, \\ &\leq \frac{1+2\alpha-\beta}{3}, \end{aligned}$$

where we have used Lemma 1.

Now write

$$\begin{aligned} a_3 - \mu a_2^2 &= \frac{(1+\alpha-\beta)(3\mu-2)}{2} \left( a_3 - \frac{2(2+\alpha-\beta)}{3(1+\alpha-\beta)}a_2^2 \right) \\ &\quad + \frac{3(1+\alpha-\beta)}{2} \left( \frac{2(2+\alpha-\beta)}{3(1+\alpha-\beta)} - \mu \right) \left( a_3 - \frac{2}{3}a_2^2 \right), \end{aligned}$$

and the result follows at once on using the theorem already proved in the cases  $\mu = \frac{2(2+\alpha-\beta)}{3(1+\alpha-\beta)}$  and  $\mu = \frac{2}{3}$  for  $\alpha + \beta \leq 1$ . Equality is attained when  $f$  is given by

$$f'(z) = \frac{(1+z^2)^\alpha}{(1-z^2)^{1+\alpha-\beta}}.$$

We finally assume that  $\mu \geq \frac{2(2+\alpha-\beta)}{3(1+\alpha-\beta)}$ . Write

$$a_3 - \mu a_2^2 = \left( a_3 - \frac{2(2+\alpha-\beta)}{3(1+\alpha-\beta)}a_2^2 \right) + \left( \frac{2(2+\alpha-\beta)}{3(1+\alpha-\beta)} - \mu \right) a_2^2,$$

and the result follows at once on choosing the theorem already proved for  $\mu = \frac{2(2+\alpha-\beta)}{3(1+\alpha-\beta)}$  and the inequality  $|a_2| \leq 1 + \alpha - \beta$ , which was proved in Lemma 3. Equality is attained on choosing  $c_1 = 2i$ ,  $c_2 = -2$ ,  $b_2 = 2i(1-\beta)$  and  $b_3 = -(1-\beta)(3-2\beta)$  in (2.10).

We remark that whenever  $\beta = 0$  the theorem reduces to [1]. We also note that [3] and [2] give a complete result for both  $\frac{zf'(z)}{g(z)}$  and  $\frac{zg'(z)}{g(z)}$  defined in a sector and both defined in a half plane respectively.  $\square$

## REFERENCES

- [1] H.R. Abdel-Gawad and D.K. Thomas. On the Fekete-Szego theorem for strongly close-to-convex functions. *Proc. Amer. Math. Soc.*, 114(2):345–349, 1992.
- [2] M. Darus and D.K. Thomas. On the Fekete-Szego theorem for close-to-convex functions. *Math. Japonica*, 44(3), 1996.
- [3] M. Darus and D.K. Thomas. The Fekete-Szego theorem for strongly close-to-convex functions. *Scientiae Mathematicae*, 3:201–212, 2000.
- [4] M. Fekete and G. Szego. Eine Bemerkung über ungerade schlichte Funktionen. *J. Lond. Math. Soc.*, 8:85–89, 1933.
- [5] F.R. Keogh and E.P. Merkes. A coefficient inequality for certain classes of analytic functions. *Proc. Amer. Math. Soc.*, 20:8–12, 1969.
- [6] W. Koepf. On the Fekete-Szego problem for close-to-convex functions. *Proc. Amer. Math. Soc.*, 101:89–95, 1987.
- [7] W. Koepf. On the Fekete-Szego problem for close-to-convex functions ii. *Arch. Math.*, 49:490–533, 1987.
- [8] Ch. Pommerenke. *Univalent functions*. Vandenhoeck and Ruprecht, Göttingen, 1975.
- [9] K.A. Rahman and M. Darus. The Fekete-Szego theorem for close-to-convex functions of the class  $k_{hs}(\alpha, \beta)$ . *Inst. Jour. Math & Comp Sci (Math Ser)*, 14(3):171–177, 2001.

*Received November 05, 2001.*

SCHOOL OF MATHEMATICAL SCIENCES,  
FACULTY OF SCIENCE AND TECHNOLOGY,  
UNIVERSITI KEBANGSAAN MALAYSIA, BANGI 43600  
SELANGOR DARUL EHSAN, MALAYSIA  
*E-mail address:* maslina@pkrisc.cc.ukm.my