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# Equivariant Higher K-theory for Compact Lie Group Actions

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#### Introduction

The aim of this paper is to construct an equivariant higher K-theory for compact Lie group actions in a way analogous to the ones constructed in [2], [3] for finite groups and [6] for profinite groups.

In Section 1, we discuss the category  $\mathcal{A}(G)$  of homogeneous spaces on which Mackey functors are defined. In Section 2 we define the higher K-groups  $K_n^G(G/H, \mathcal{C})$ ,  $n \geq 0$  for any exact category  $\mathcal{C}$  and show that  $K_n^G(-,\mathcal{C}): \mathcal{A}(G) \to \mathbb{Z}$ -mod is a Mackey functor and that  $K_n^G(-,\mathcal{C})$  are  $K_0^G(-,\mathcal{C})$ -modules. In Section 3, we explore induction techniques in the style of [4] to show that  $K_n^G(G/G, \underline{M}(\mathbb{C}))$  (all  $n \geq 0$ ) are hyperelementary computable where  $\underline{M}(\mathbb{C})$  is the category of finite dimensional vector spaces over the complex numbers.

In a final section, we briefly discuss possible generalisations of the foregoing to the category of G-spaces of G-homotopy type of G-CW complexes.

#### **Notations and Conventions**

Let  $\mathcal{C}$  be an exact category in the sense of Quillen [9]. Then for all  $n \geq 0$  we write  $K_n(\mathcal{C})$  for the Quillen K-groups  $\pi_{n+1}(BQ\mathcal{C})$ . If  $\mathcal{B}$  is any small category, we denote by  $[\mathcal{B}, \mathcal{C}]$  the category of covariant functors from  $\mathcal{B}$  to  $\mathcal{C}$ . Unless otherwise stated, G denotes a compact Lie group, and maps between G-spaces are G-equivariant. If H is a closed subgroup of G, we write  $N_G(H)$  or just NH for the normaliser of H in G. Also we write R(G) for the complex representation ring of G.

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## 1. Mackey functors on the category $\mathcal{A}(G)$ of homogeneous spaces

**1.1.** Let G be a compact Lie group, X a G-space. The component category  $\pi_0(G,X)$  is defined as follows: Objects of  $\pi_0(G,X)$  are homotopy classes of maps  $\alpha: G/H \to X$  where H is a closed subgroup of G. A morphism from  $[\alpha]: G/H \to X$  to  $[\beta]: G/K \to X$  is a G-map  $\sigma: G/H \to G/K$  such that  $\beta\sigma$  is G-homotopic to  $\alpha$ .

Note that since  $\operatorname{Hom}(G/H,X) \simeq X^H$  where  $\varphi \to \varphi(eH)$ , we could consider objects of  $\pi_0(G,H)$  as pairs (H,c) where  $c \in \pi_0(X^H)$  = the set of path components of  $X^H$ .

**1.2.** A G-ENR (Euclidean Neighbourhood Retract) is a G-space that is G-homeomorphic to a G-retract of some open G-subset of some G-module V. Let Z be a compact G-ENR,  $f: Z \to X$  a G-map. For  $\alpha: G/H \to X$  in  $\pi_0(G, X)$ , we identify  $\alpha$  with the path component  $X_{\alpha}^H$  into which G/H is mapped by  $\alpha$ .

Put  $Z(f,\alpha) = Z^H \cap f^{-1}(X_\alpha^H)$  := subspace of  $Z^H$  mapped under f into  $X_\alpha^H$ . The action of  $N_\alpha H/H$  on  $Z^H$  induces an action of  $N_\alpha H/H$  on  $Z(f,\alpha)$  i.e.  $Z(f,\alpha)$  is an Aut $(\alpha)$ -space (see [4]). Note that Aut $(\alpha) = \{\sigma : G/H \to G/H | \alpha \sigma \simeq \alpha\}$  and  $N_\alpha(H)_H$ , the isotropy group of  $\alpha \in \pi_0(X^H)$  is isomorphic to Aut $(\alpha)$ .

**1.3.** Let  $\{Z_i\}$  be a collection of G-ENR,  $f_i: Z_i \to X$ , G-maps. Say that  $f_i: Z_i \to X$  is equivalent to  $f_j: Z_j \to X$  if and only if for each  $\alpha: G/H \to X$  in  $\pi_0(G, X)$ , the Euler characteristic  $\chi(Z(f_i, \alpha)/\operatorname{Aut}(\alpha)) = \chi(Z(f_j, \alpha)/\operatorname{Aut}(\alpha))$ .

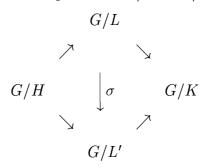
Let  $\mathcal{U}(G,X)$  be the set of equivalence classes  $[f:Z\to X]$  where addition is given by  $[f_0:Z_0\to X]+[f_1:Z_1\to X]=[f_0+f_1:Z_0+Z_1\to X];$  the identity element is  $\phi\to X$ ; and the additive inverse of  $[f:Z\to A]$  is  $[f\circ p:Z\times A\to Z\to X],$  where A is a compact G-ENR with trivial G-action and  $\chi(A)=-1$  (see [4]).

Then  $\mathcal{U}(G,X)$  is the free Abelian group generated by  $[\alpha]$ ,  $\alpha \in \pi_0(G,X)$  i.e.  $[f:Z \to X] = \Sigma n(\alpha)[\alpha]$ , where  $G/H \times E^n \subset Z$  is an open n-cell of Z, and the restriction of f to  $G/H \times E^n$  defines an element  $[\alpha]$  of  $\mathcal{U}(G,X)$ .

The cell is called an *n*-cell of type  $\alpha$ . Let  $n(\alpha) = \Sigma(-1)^i n(\alpha, i)$  where  $n(\alpha, i) =$  number of *i*-cells of type  $\alpha$  (see [4]).

If X is a point, write  $\mathcal{U}(G)$  for  $\mathcal{U}(G,X)$ .

**1.4.** For a compact Lie group G, the category  $\mathcal{A}(G)$  is defined as follows:  $ob\mathcal{A}(G) :=$  homogeneous spaces G/H. The morphisms in  $\mathcal{A}(G)(G/H, G/K)$  are the elements of the Abelian group  $\mathcal{U}(G, G/H \times G/K)$  and have the form  $\alpha : G/L \to G/H \times G/K$  which can be represented by diagram  $\{G/H \stackrel{\alpha}{\leftarrow} G/L \stackrel{\beta}{\rightarrow} G/K\}$ , so that  $\mathcal{U}(G, G/H \times G/K) =$  free Abelian group on the equivalence classes of diagrams  $G/H \stackrel{\alpha}{\leftarrow} G/L \stackrel{\beta}{\rightarrow} G/K$  where two such diagrams are equivalent if there exists an isomorphism  $\sigma : G/L \to G/L'$  such that the diagram



commutes up to homotopy.

Composition of morphisms is given by a bilinear map

$$\mathcal{U}(G, G/H_1 \times G/H_2) \times \mathcal{U}(G, G/H_2 \times G/H_3) \to \mathcal{U}(G, G/H_1 \times G/H_3)$$

where the composition of  $(\alpha, \beta_1): A \to G/H_1 \times G/H_2$  and  $(\beta_2, \gamma): B \to G/H_2 \times G/H_3$  yields a G-map  $(\alpha \bar{\alpha}, \gamma \bar{\gamma}): C \to G/H_1 \times G/H_3$ , where  $\bar{\gamma}$ ,  $\bar{\alpha}$  are maps  $\bar{\gamma}: C \to B$  and  $\bar{\alpha}: C \to A$ , respectively.

**1.5. Remarks.** (i) Each morphism  $G/H \stackrel{\alpha}{\longleftarrow} G/L \stackrel{\beta}{\longrightarrow} G/K$  is the composition of special types of morphisms

$$G/H \stackrel{\alpha}{\longleftarrow} G/L \stackrel{\mathrm{id}}{\longrightarrow} G/L$$
 and  $G/L \stackrel{\mathrm{id}}{\longleftarrow} G/L \stackrel{\beta}{\longrightarrow} G/K$ .

(ii) Let  $\pi_0$  (or G) be the homotopy category of the orbit category or (G), that is, the objects of  $\pi_0$  (or G) are the homogeneous G-spaces G/H and morphisms are homotopy classes  $[G/L \to G/K]$  of G-maps  $G/L \to G/K$ . We have a covariant functor  $\pi_0$  (or G)  $\to \mathcal{A}(G)$  given by  $[G/L \xrightarrow{\beta} G/K] \to (G/L \xleftarrow{\mathrm{id}} G/L \xrightarrow{\beta} G/K)$  and a contravariant functor  $\pi_0$  (or G)  $\to \mathcal{A}(G)$  given by

$$[G/H \to G/L] \to (G/H \leftarrow G/L \xrightarrow{\mathrm{id}} G/L)$$

(iii) Addition is defined in  $\mathcal{A}(G)(G/H, G/K) = \mathcal{U}(G, G/H \times G/K)$  by

$$(G/H \leftarrow G/L \rightarrow G/K) + (G/H \leftarrow G/L' \rightarrow G/K)$$
$$= (G/H \leftarrow (G/L)\dot{\cup}(G/L') \rightarrow G/K)$$

where  $(G/L)\dot{\cup}(G/L')$  is the topological sum of G/L and G/L'.

**1.6.** Let R be a commutative ring with identity. A Mackey functor M from  $\mathcal{A}(G)$  to R-mod is a contravariant additive functor. Note that M is additive if

$$M: \mathcal{A}(G)(G/H, G/K) \to \underline{R\text{-mod}}(M(G/K), M(G/H))$$

is an Abelian group homomorphism.

- 1.7. Remarks. M comprises of two types of induced morphisms
- (i) If  $\alpha: G/H \to G/K$  is a G-map, regarded as an ordinary morphism  $\alpha_!: G/H \stackrel{\text{id}}{\longleftarrow} G/H \stackrel{\alpha}{\longrightarrow} G/K$  of  $\mathcal{A}(G)$ , we have an induced morphism

$$M(\alpha_!) = M^*(\alpha) =: \alpha^* : M(G/K) \to M(G/H)$$

- (ii) If  $\alpha$  in (i) is induced from  $H \subset K$ , i.e.  $\alpha(gH) = gK$ , call  $\alpha^*$  the restriction morphism.
- (iii) If we consider  $\alpha$  as a transfer morphism  $\alpha': G/H \leftarrow G/K \rightarrow G/K$  in  $\mathcal{A}(G)$ , then we have

$$M(\alpha^!) =: M_*(\alpha) =: \alpha_* : M(G/H) \to M(G/K)$$

and call  $\alpha_*$  the induced homomorphism associated to  $\alpha$ .

**1.8.** Let M, N, L be Mackey functors on  $\mathcal{A}(G)$ . A pairing  $M \times N \to L$  is a family of bilinear maps  $M(S) \times N(S) \to L(S)$ :  $(x,y) \to x \cdot y$   $(S \in \mathcal{A}(G))$  such that for each G-map  $f: G/H = S \to T = G/K$  we have

$$L^*f(x,y) = (M^*fx) \cdot (N^*fy) \qquad (x \in M(T), \ y \in N(T));$$
  
$$x \cdot (N_*fy) = L_*f((M^*fx) \cdot y) \qquad (x \in M(T), \ y \in N(S));$$
  
$$(M_*fx) \cdot y = L_*f(x \cdot (N^*fy)) \qquad (x \in N(S), \ y \in N(T)).$$

A Green functor  $V: \mathcal{A}(G) \to \underline{R\text{-mod}}$  is a Mackey functor together with a pairing  $V \times V \to V$  such that for each object S, the map  $V(S) \times V(S) \to V(S)$  turns V(S) into an associative R-algebra such that  $f^*$  preserves units.

If V is a Green functor, a left V-module is a Mackey functor M together with a pairing  $V \times M \to M$  such that M(S) is a left V(S)-module for every  $S \in \mathcal{A}(G)$ .

**1.9. Remarks.** The Mackey functor as defined in 1.6 is equivalent to the earlier definitions in [2], [3], [7] defined for finite and profinite groups G as functors from the category  $\hat{G}$  of G-sets to  $\underline{R}$ -mod. Observe that if  $(M_*, M^*) = M$  is a Mackey functor (bifunctor)  $\hat{G} \to \underline{R}$ -mod (see [7]), we can get  $\tilde{M} : \mathcal{A}(G) \to \underline{R}$ -mod by putting  $\tilde{M}(G/H) = M_*(G/H) = M^*(G/H)$  on objects while a morphism  $G/H \xleftarrow{\alpha} G/L \xrightarrow{\beta} G/K$  in  $\mathcal{A}(G)$  is mapped onto  $M(G/H) \xleftarrow{M_*(\alpha)} M(G/L) \xleftarrow{M} M(G/K)$  in  $\underline{R}$ -mod. Then M is compatible with composition of morphisms.

Conversely, let  $\tilde{M}: \mathcal{A}(G) \to \underline{R\text{-mod}}$  be given and for  $(\alpha, \beta) \in \mathcal{A}(G)(G/H, G/K)$ , let  $\tilde{M}^*(\alpha)$  and  $\bar{M}_*(\beta)$  be as defined in 1.7. Then, we can extend  $\tilde{M}$  additively to finite G-sets to obtain Mackey functors as defined in [2], [3], [7].

**1.10.** Universal example of a Green functor. Define  $\bar{V}(G/H) := \mathcal{U}(G, G/H)$ ,  $\mathcal{U}(H, G/G) := \bar{V}(H)$ . Now, consider  $\mathcal{U}(G, G/H) = \mathcal{U}(G, G/G \times G/H)$ , as a morphism set in  $\mathcal{A}(G)$ . Then, the composition of morphisms

$$\mathcal{U}(G, G/G \times G/K) \times \mathcal{U}(G, G/H \times G/K) \to \mathcal{U}(G, G/G \times G/H)$$

defines an action of  $\bar{V}$  on morphisms.

**1.11. Theorem.** [4]  $\bar{V}: \mathcal{A}(G) \to \underline{\mathbb{Z}\text{-}mod}$  is a Green functor, and any Mackey functor  $M: \mathcal{A}(G) \to \mathbb{Z}\text{-}mod$  is a  $\bar{V}\text{-}mod$ ule.

### 2. An equivariant higher K-theory for G-actions

- **2.1.** Let G be a compact Lie group, X a G-space. We can regard X as a category  $\underline{X}$  as follows. The objects of  $\underline{X}$  are elements of X and for  $x, x' \in X$ ,  $\underline{X}(x, x') = \{g \in G | gx = x'\}$ .
- **2.2.** Let X be a G-space,  $\mathcal{C}$  an exact category in the sense of Quillen [9]. i.e.  $\mathcal{C}$  is an additive category embeddable as a full subcategory of an Abelian category  $\mathcal{O}$  such that  $\mathcal{C}$  is equipped with a class  $\mathcal{E}$  of exact sequences

$$0 \to M' \to M \to M'' \to 0 \tag{I}$$

such that

- (i)  $\mathcal{E}$  is the class of sequences (I) in the  $\mathcal{C}$  that are exact in  $\mathcal{O}$ .
- (ii)  $\mathcal{C}$  is closed under extensions in  $\mathcal{O}$  that is, if (I) is an exact sequence in  $\mathcal{O}$  and  $M', M'' \in \mathcal{C}$  then  $M \in \mathcal{C}$ .

Let  $[\underline{X}, \mathcal{C}]$  be the category of functors  $\underline{X} \to \mathcal{C}$ . Then  $[\underline{X}, \mathcal{C}]$  is an exact category where a sequence  $0 \to \zeta' \to \zeta \to \zeta'' \to 0$  is exact in  $[\underline{X}, \mathcal{C}]$  if and only if

$$0 \to \zeta'(x) \to \zeta(x) \to \zeta''(x) \to 0$$

is exact in  $\mathcal{C}$ . In particular for X = G/H in  $\mathcal{A}(G)$ ,  $[G/H, \mathcal{C}]$  is an exact category.

- **2.3. Example.** The most important example of  $[\underline{G/H}, \mathcal{C}]$  is when  $\mathcal{C}$  is the category  $\underline{M}(\mathbb{C})$  of finite dimensional vector spaces over the field  $\mathbb{C}$  of complex numbers. Here, the category  $[\underline{G/H}, \underline{M}(\mathbb{C})]$  can be identified with the category of G-vector bundles on the compact G-space G/H where for any  $\zeta \in [G/H, \underline{M}(\mathbb{C})]$ .  $x \in G/H$ ,  $\zeta(x) \in \underline{M}(\mathbb{C})$  is the fibre  $\hat{\zeta}_x$  of the vector bundle  $\hat{\zeta}$  associated with  $\zeta$ . Indeed,  $\hat{\zeta}$  is completely determined by  $\zeta_{\bar{e}}$  where  $\bar{e} = eH$  (see [10]).
- **2.4. Definition.** For X = G/H and all  $n \ge 0$ , define  $K_n^G(X, \mathcal{C})$  as the  $n^{th}$  algebraic K-group of the exact category  $[\underline{X}, \mathcal{C}]$  with respect to fibre-wise exact sequence introduced in 2.2.
- **2.5. Theorem.** (i) For all  $n \geq 0$ ,  $K_n^G(-, \mathcal{C}) : \mathcal{A}(G) \to \mathbb{Z}$ -mod is a Mackey functor, (ii)  $K_0^{\mathcal{C}}(-, \mathcal{C}) : \mathcal{A}(G) \to \mathbb{Z}$ -mod is a Green functor and  $K_n^G(-, \mathcal{C})$  is a  $K_0^G(-, \mathcal{C})$ -module for all  $n \geq 0$ .

Before proving 2.5, we first briefly discuss pairings and module structures on higher K-theory of exact categories.

**2.6.** Let  $\mathcal{E}, \mathcal{E}_1, \mathcal{E}_2$  be three exact categories and  $\mathcal{E}_1 \times \mathcal{E}_2$  the product category. An exact pairing  $\mathcal{E}_1 \times \mathcal{E}_2 \to \mathcal{E}$ :  $(M_1, M_2) \to M_1 \circ M_2$  is a covariant functor from  $\mathcal{E}_1 \times \mathcal{E}_2 \to \mathcal{E}$  such that  $\mathcal{E}_1 \times \mathcal{E}_2((M_1, M_2), (M'_1, M'_2)) = \mathcal{E}_1(M_1, M'_1) \times \mathcal{E}_2(M_2, M'_2) \to \mathcal{E}(M_1 \circ M_2, M'_1 \circ M'_2)$  is bi-additive and bi-exact, that is, for a fixed  $M_2$ , the functor  $\mathcal{E}_1 \to \mathcal{E}$  given by  $M_1 \to M_1 \circ M_2$  is additive and exact and for fixed  $M_1$ , the functor  $\mathcal{E}_2 \to \mathcal{E} : M_2 \to M_1 \circ M_2$  is additive and exact. It follows from [12] that such a pairing gives rise to a K-theoretic product  $K_i(\mathcal{E}_1) \times K_j(\mathcal{E}_2) \to K_{i+j}(\mathcal{E})$  and in particular to natural pairing  $K_0(\mathcal{E}_1) \circ K_n(\mathcal{E}_2) \to K_n(\mathcal{E})$  which could be defined as follows.

Any object  $M_1 \in \mathcal{E}$  induces an exact functor  $M_1 : \mathcal{E}_2 \to \mathcal{E} : M_2 \to M_1 \circ M_2$  and hence a map  $K_n(M_1) : K_n(\mathcal{E}_2) \to K_n(\mathcal{E})$ . If  $M_1' \to M_1 \to M_1''$  is an exact sequence in  $\mathcal{E}$ , then we have an exact sequence of exact functors  $M_1^{'*} \to M_1^* \to M_1^{'*}$  from  $\mathcal{E}_2$  to  $\mathcal{E}$  such that for each object  $M_2 \in \mathcal{E}_2$  the sequence  $M_1'(M_2) \to M_1^*(M_2) \to M_1^{'*}(M_2)$  is exact in  $\mathcal{E}$  and hence by a result of Quillen [9] induces a relation  $K_n(M_1'^*) + K_n(M_1'^*) = K_n(M_1^*)$ . So the map  $M_1 \to K_n(M_1) \in \text{Hom}(K_n(\mathcal{E}_2), K_n(\mathcal{E}))$  induces a homomorphism  $K_0(\mathcal{E}_1) \to \text{Hom}(K_n(\mathcal{E}), K_n(\mathcal{E}))$  and hence a pairing  $K_0(\mathcal{E}_1) \times K_n(\mathcal{E}) \to K_n(\mathcal{E})$ .

If  $\mathcal{E}_1 = \mathcal{E}_2 = \mathcal{E}$  and the pairing  $\mathcal{E} \times \mathcal{E}$  is naturally associative (and commutative), then the associated pairing  $K_0(\mathcal{E}) \times K_0(\mathcal{E}) \to K_0(\mathcal{E})$  turns  $K_0(\mathcal{E})$  into an associative (and commutative) ring which may not contain the identity.

Now, suppose that there is a pairing  $\mathcal{E} \circ \mathcal{E}_1 \to \mathcal{E}_1$  which is naturally associative with respect to the pairing  $\mathcal{E} \circ \mathcal{E} \to \mathcal{E}$ , then the pairing  $K_0(\mathcal{E}) \times K_n(\mathcal{E}_1) \to K_n(\mathcal{E}_1)$  turns  $K_n(\mathcal{E}_1)$  into a  $K_0(\mathcal{E})$ -module which may or may not be unitary. However, if  $\mathcal{E}$  contains a unit i.e. an object E such that  $E \circ M = M \circ \mathcal{E}$  are naturally isomorphic to M for each  $\mathcal{E}$ -object M, then the pairing  $K_0(\mathcal{E}) \times K_n(\mathcal{E}_1) \to K_n(\mathcal{E}_1)$  turns  $K_n(\mathcal{E}_1)$  into a unitary  $K_0(\mathcal{E})$ -module.

Proof of 2.5. (i) It is clear from the definition of  $K_n^G(G/H,\mathcal{C})$  that for any  $G/H \in \mathcal{A}(G)$ ,  $K_n^G(G/H,\mathcal{C}) \in \underline{\mathbb{Z}\text{-mod}}$ . Now suppose that  $(G/H \xleftarrow{\alpha} G/L \xrightarrow{\beta} G/K) \in \mathcal{A}(G)(G/H,G/K)$ , then  $G/H \xleftarrow{\alpha} G/L \xrightarrow{\beta} G/K$  goes to

$$K_n^G(G/H, \mathcal{C}) \longleftarrow K_n^G(G/L, \mathcal{C}) \longleftarrow K_n^G(G/K, \mathcal{C})$$

in  $\underline{R}$ -mod $(K_n^G(G/K,\mathcal{C}))$ . If we write  $K_n^G$  for  $K_n^G(-,\mathcal{C})$ , then

$$K_n^G(G/H \leftarrow (G/L)\dot{\cup}(G/L') \rightarrow G/K)$$

$$=K_n^G(G/H \leftarrow G/L \rightarrow G/K) + K_n^G(G/H \leftarrow G/L' \rightarrow G/K)$$
.

Hence  $K_n^G(-,\mathcal{C})$  is a Mackey functor.

(ii) From the discussion in 2.6, it is clear that if we put  $\mathcal{E}_1 = \mathcal{E}_2 = \mathcal{E} = [G/H, \mathcal{C}]$ , then

$$K_0^G(G/H,\mathcal{C}) \times K_0^G(G/H,\mathcal{C}) \to K_0^G(G/H,\mathcal{C})$$

turns  $K_0^G(G/H,\mathcal{C})$  into a commutative ring with identity. Also,

$$K_0^G(G/H,\mathcal{C}) \times K_n^G(G/H,\mathcal{C}) \to K_n^G(G/H,\mathcal{C})$$

turns

$$K_n^G(G/H, \mathcal{C})$$
 into  $K_0^G(G/H, \mathcal{C})$ -modules

Hence the result.

- **2.7.** Examples. (i) In general  $[G/H, \mathcal{C}] = \text{category of } H$ -representations in  $\mathcal{C}$ . Hence  $[G/G, \mathcal{C}] = \text{category of } G$  representations in  $\mathcal{C}$ . If  $\mathcal{C} = \underline{M}(\mathbb{C})$ , the category of finite dimensional vector spaces over the complex numbers  $\mathbb{C}$ ,  $K_0^G(G/G, \underline{M}(\mathbb{C}))$  is the complex representation ring denoted by  $R_{\mathbb{C}}(G)$  or simply R(G) in the literature.
- (ii) If  $C = \underline{M}(R)$  := category of finitely generated R-modules, where R is a Noetherian ring compatible with the topological structure of G, then  $K_n^G(G/H, \underline{M}(R)) \simeq G_n(RH)$ .
- (iii) If  $C = \underline{P}(R) = \text{category of finitely generated projective } R\text{-modules}$ , we have

$$K_n^G(G/H, \underline{P}(R)) = G_n(H, R)$$
 and

when R is regular,  $G_n(R, H) \simeq G_n(RH)$ .

## 3. Induction theory for equivariant higher K-functors

In this section, we discuss the induction properties of the equivariant K-functors constructed in Section 2 leading to the proof of Theorem 3.10 below.

**3.1. Definition**. Let G be a compact Lie group. A finite family  $\Sigma = (G/H)_{j \in J}$  is called an inductive system. Such a system yields two homomorphisms  $p(\Sigma)$  (induction map) and  $i(\Sigma)$  (restriction maps) defined by

$$p(\Sigma): \bigoplus_{j \in J} M(G/H_j) \to M(G/G)$$

$$(x_j|_{j \in J}) \mapsto \sum_{j \in J} p(H_j)_*(x_j)$$

$$i(\Sigma): M(G/G) \to \bigoplus_{j \in J} M(G/H_j)$$

$$x \mapsto (p(H_j)^*x|j \in J)$$

Note that p(H) denotes the unique morphism  $G/H \to G/G$ .  $\Sigma$  is said to be projective if  $p(\Sigma)$  is surjective and  $\Sigma$  is said to be injective if  $i(\Sigma)$  is injective. Note that the identity [id] of  $\mathcal{U}(G, G/K \times G/H)$  has the form (see 1.3)

$$[id] = \sum_{\alpha} n_{\alpha} [\alpha : G/L_{\alpha} \to G/K \times G/H]. \tag{I}$$

**3.2.** Let S(K, H) be the set of  $\alpha$  over which the summation (I) is taken and let  $\alpha = (\alpha(1), \alpha(2))$  be the component of  $\alpha$ , where  $\alpha(1) : G/L_{\alpha} \to G/K$ ;  $\alpha(2) : G/L_{\alpha} \to G/H$ . Define induction map

$$p(\Sigma, G/H): \bigoplus_{j\in J} (\bigoplus_{\alpha\in S(H_j,H)} M(G/L_\alpha)) \to M(G/H)$$

by

$$(x(j,\alpha)) \mapsto (\sum_{j \in J} (\sum_{\alpha \in S(H_j,H)} n_{\alpha} \alpha(2)_* x(j,\alpha))$$

and restriction maps

$$i(\Sigma, G/H): M(G/H) \to \bigoplus_{i \in J} (\bigoplus_{\alpha \in S(H_i, H)} M(G/L_\alpha)$$

by

$$x \to (\alpha(2)^* x | (\alpha \in (S(H_j, H) \ j \in J)).$$

**3.3. Theorem.** Let  $M = K_n^G(-, \mathcal{C})$ ,  $V = K_0^G(-, \mathcal{C})$  be respectively Mackey and Green functors  $\mathcal{A}(G) \to \mathbb{Z}$ -mod defined in 2.5. If  $\Sigma$  is projective for V, then for each homogeneous space G/H, the induction map  $p(\Sigma, G/H)$  is split surjective and the restriction map  $i(\Sigma, G/H)$  is split injective.

*Proof.* Since  $p(\Sigma)$  is surjective for V, there exist elements  $x_j \in V(G/H_j)$  such that  $\Sigma(H_j)_*x_j = 1$ . Define a homomorphism

$$q(\Sigma, G/H): M(G/H) \to \bigoplus_j (\bigoplus_{\alpha} M(G/L_{\alpha}))$$

by

$$q(\Sigma, G/H)x = \alpha(1)^*x_j \cdot \alpha(2)^*x$$
 such that  $\alpha \in S(H_j, h)$ ,

 $j \in J$ . Then  $p(\Sigma, G/H)q(\Sigma, G/H)$ 

$$= \Sigma_{j}(\Sigma_{\alpha}n_{\alpha}\alpha(2)_{*}(\alpha(1)^{*}x_{j} \cdot \alpha(2)^{*}x))$$

$$= \Sigma_{j}(\Sigma_{\alpha}n_{\alpha}\alpha(2)_{*}\alpha(1)^{*}x_{j})x$$

$$= \Sigma_{j}(H)^{*}p(H_{j})_{*}x_{j} \cdot x$$

$$= \Sigma_{j}(H)^{*}(\Sigma_{j}(H_{j})_{*}x_{j})x$$

$$= p(H)^{*}(1)x = 1 \cdot x = x .$$

So,  $p(\Sigma, G/H)q(\Sigma, G/H)$  is the identity. Hence  $q(\Sigma, G/H)$  is a splitting for  $p(\Sigma, G/H)$ . We can also define a splitting  $j(\Sigma, G/H)$  for  $i(\Sigma, G/H)$  by  $j(\Sigma, G/H): \bigoplus_{j} (\bigoplus_{\alpha} (MG/L_{\alpha})) \to M(G|H)$  where

$$x(j,\alpha) \mapsto \Sigma_j(\Sigma_\alpha n_\alpha \alpha(2)_* \alpha(1)^* x_j \circ x(j,\alpha))$$
.

- **3.4. Remarks**. As will be seen below (3.6),  $V = K_0^G(-, \mathcal{C})$  has "defect sets" D(V) and so  $\Sigma = \{G/H | H \in D(V)\}$  is projective for V. It would then mean that an induction theorem for  $K_0^G(-, \mathcal{C})$  implies a similar theorem for  $K_n^G(-, \mathcal{C})$ .
- **3.5. Definition**. A finite set E of conjugacy classes (H) is an induction set for a Green functor V if  $\oplus V(G/H) \rightarrow V(G/G)$  given by

$$(x(H)) \to \Sigma p(H)_* x(H)$$
 is surjective.

Define  $E \leq F$  iff for each  $(H) \in E$ , there exists  $(K) \in F$  such that  $(H) \leq (K)$  i.e. H is subconjugate to K. Then < is a partial ordering on induction sets.

**3.6.** Lemma. Every Green functor V possesses a minimal induction set D(V), called the defect set of V.

For proof see [4]. Hence  $K_0^G(-,\mathcal{C})$  has defect sets.

**3.7.** Let V be a Green functor and M be a V-module. Define homomorphisms

$$p_1, p_2: \bigoplus_{i,j \in J} \bigoplus_{\alpha \in S(i,j)} M(G/L_\alpha) \to \bigoplus_{k \in J} M(G/H_i)$$

by

$$p_2(x(i,j,\alpha)) = \sum_{i \in J} \sum_{\alpha \in S(i,j)} \eta_\alpha \alpha(2)_* x(i,j,\alpha)$$

and

$$p_1(x(i,j,\alpha)) = \sum_{i \in J} \sum_{\alpha \in S(i,j)} \eta_{\alpha} \alpha(1)_* x(i,j,\alpha)$$

where  $S(i,j) = S(H_i, H_j)$  and  $\alpha \in S(i,j)$  is in the decomposition of  $[id] \in \mathcal{U}(G, G/H_i \times G/H_j)$ .

**3.8. Theorem.** Let  $M = K_n^G(-, \mathcal{C})$ . Then there exists an exact sequence

$$\bigoplus_{i,j\in J} (\bigoplus_{\alpha\in S(i,j)} M(G/L_{\alpha}) \xrightarrow{p_2-p_1} \bigoplus_{k\in J} M(G/H_k) \xrightarrow{p} M(G/G) \to 0.$$

*Proof.* We have seen in 3.3 that p is surjective through the construction of a splitting homomorphism q such that pq=identity. We now construct a homomorphism  $q_1$  such that  $(p_2 - p_1)q_1 + qp$  = id from which exactness follows.

Since  $p_2$  is defined as  $\bigoplus_{k\in J} p(\Sigma G|H_k)$  we define  $q_1 = \bigoplus_{k\in J} q(G/H_k)$  and obtain as in the proof of 3.3 that  $p_2q_1$  = identity. One can also show that  $p_1q_1 = qp$ . Hence the result.

**3.9. Definition**. A subgroup C of G is said to be cyclic if powers of a generator of C are dense in G. If p is a rational prime, then a subgroup K of G is called p-hyperelementary if there exists an exact sequence  $1 \mapsto C \to K \to P \to 1$  where P is a finite p-group and C a cyclic group such that the order of  $C/C_0$  is prime to p. Here  $C_0$  is the component of the identity in C. It is called hyperelementary if it is p-hyperelementary for some p.

Let  $\mathcal{H}$  be the set of hyperelementary subgroups of G. We now have the following result which is the goal of this section and typifies results that can be obtained.

**3.10.** Theorem. Let  $M = K_n^G(-, \underline{M}(\mathbb{C}))$ . Then  $\bigoplus_{\mathcal{H}} M(G/H) \to M(G/G)$  is surjective (i.e. M satisfies hyper-elementary induction) i.e. M(G/G) can be computed in terms of p-hyperelementary subgroups of G).

*Proof.* It suffices to show that if  $V = K_0^G(-, \underline{M}(\mathbb{C}))$  then  $\bigoplus_{H \in \mathcal{H}} V(G/H) \to V(G/G)(I)$  is surjective since  $K_n^G(-, \underline{M}(\mathbb{C}))$  is a V-module.

Now it is clear from example 2.7 that  $K_0^G(G/G, \underline{M}(\mathbb{C}))$  is the complex representation ring R(G). Moreover, it is known (see [11]) that R(G) is generated as an Abelian group by modules induced from hyperelementary subgroups of G. This is equivalent to the surjectivity of  $\bigoplus_{H \in \mathcal{H}} V(G/H) \to V(G/G)$ .

## 4. Remarks on possible generalizations

**4.1.** Let  $\mathcal{B}$  be a category with finite sums, a final object and finite pull-backs (and hence finite products).

A Mackey functor  $M: \mathcal{B} \to \mathbb{Z}$ -mod is a bifunctor  $M = (M_*, M^*), M_*$  covariant,  $M^*$  contravariant such that  $M(X) = M_*(X) = M^*(X)$  for all  $X \in \mathcal{B}$  and

(i) For any pullback diagram

$$\begin{array}{ccc} A' & \xrightarrow{p_2} & A_2 \\ \downarrow_{p_1} & & \downarrow_{f_2} & \text{in } \mathcal{B} \\ A_1 & \xrightarrow{f_1} & A \end{array}$$

the diagram

$$\begin{array}{ccc} M(A') & \xrightarrow{p_2*} & M(A_i) \\ \uparrow p_1^* & & \uparrow f_2^* \\ M(A_i) & \xrightarrow{f_2*} & M(A) \end{array}$$

(ii)  $M^*$  transforms finite coproducts in  $\mathcal{B}$  over finite products in  $\mathbb{Z}$ -mod.

**4.2. Example**. Now suppose that G is a compact Lie group. Let  $\mathcal{B}$  be the category of G-spaces of the G-homotopy type of G-CW-complexes (e.g. G-ENR spaces, see [4] or [8]). Then  $\mathcal{B}$  is a category with finite coproducts (topological sums), final object and finite pullbacks (fibred products) (see [1]). Hence a Mackey functor is defined on  $\mathcal{B}$  along the lines of 4.1.

Hence, in a way analogous to what was done in [2] or [3] we could define for  $X, Y \in \mathcal{B}$ , the notion of Y-exact sequences in the exact category  $[\underline{X}, \mathcal{C}]$  (where  $\mathcal{C}$  is an exact category) and obtain  $K_n^G(X, \mathcal{C}, Y)$  as the  $n^{th}$  algebraic K-group of  $[\underline{X}, \mathcal{C}]$  with respect to Y-exact sequences.

We could also have the notion of an element  $\zeta \in [\underline{X},\mathcal{C}]$  being Y projective and obtain a full subcategory  $[\underline{X},\mathcal{C}]_Y$  of Y-projective functors in  $[\underline{X},\mathcal{C}]$  so that we could obtain  $P_n^G(X,\mathcal{C},Y)$  as the  $n^{th}$  algebraic K-group of  $[X,\mathcal{C}]_Y$  with respect to split exact sequences and then show that  $K_n^G(-,\mathcal{C},Y), P_n^G(-,\mathcal{C},Y) \colon \mathcal{B} \to \mathbb{Z}$ -mod are Mackey functors and that  $K_0^G(-,\mathcal{C},Y) \colon \mathcal{B} \to \mathbb{Z}$ -mod is a Green functor and  $K_n^G(-,\mathcal{C},Y), P_n^G(-,\mathcal{C},Y)$  are  $K_0^G(-,\mathcal{C},T)$ -modules in a way analogous to what was done in [2], [3].

It is hoped to explore these possibilities for further results in a future paper.

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