

# Eigenvalues of a Natural Operator of Centro-affine and Graph Hypersurfaces

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**Abstract.** In this article we obtain optimal estimates for the eigenvalues of a natural operator  $K_{T\#}$  for locally strongly convex centro-affine and graph hypersurfaces. Several immediate applications of our eigenvalue estimates are presented. We also provide examples to illustrate that our eigenvalue estimates are optimal.

MSC 2000: 53A15, 53B20, 53B25 (primary); 53C40 (secondary)

Keywords: Centroaffine hypersurface, graph hypersurface, the operator, eigenvalue estimate.

## 1. Introduction

Throughout this article we assume  $n \geq 2$ . An immersed hypersurface  $f : M \rightarrow \mathbf{R}^{n+1}$  in an affine  $(n+1)$ -space  $\mathbf{R}^{n+1}$  is called an *affine hypersurface with relative normalization* if there is a transversal vector field  $\xi$  such that  $D\xi$  has its image in  $f_*(T_pM)$ , where  $D$  is the canonical flat connection on  $\mathbf{R}^{n+1}$ .

A hypersurface  $f : M \rightarrow \mathbf{R}^{n+1}$  is called *centro-affine* if its position vector field is always transversal to  $f_*(TM)$  in  $\mathbf{R}^{n+1}$ . In this case, for any vector fields  $X, Y$  tangent to  $M$ , one can decompose  $D_X f_*(Y)$  into its tangential and transverse components. This is written as

$$D_X f_*(Y) = f_*(\nabla_X Y) + h^f(X, Y)f, \quad (1.1)$$

where  $h^f$  is a symmetric tensor of type  $(0, 2)$  and  $\xi = f$ .

Throughout this article, we assume that  $h^f$  is definite, so  $h^f$  defines a semi-Riemannian metric on  $M$ . In order to consider only a positive definite metric we now make the following changes: if  $h^f$  is negative definite, we introduce a transversal vector field  $\xi = -f$  and a  $(0, 2)$ -tensor given by  $h = -h^f$ .

It is well-known that the centro-affine metric  $h$  is definite if and only if the hypersurface is locally strongly convex. For this the following terminology is used:

- (i) The centro-affine hypersurface  $M$  is said to be of *elliptic type* if, for any point  $f(p) \in \mathbf{R}^{n+1}$  with  $p \in M$ , the origin of  $\mathbf{R}^{n+1}$  and the hypersurface are on the same side of the tangent hyperplane  $f_*(T_p M)$ ; in this case the centro-affine normal vector field is given by  $\xi = -f$ .
- (ii) The centro-affine hypersurface  $M$  is said to be of *hyperbolic type* if, for any point  $f(p) \in \mathbf{R}^{n+1}$ , the origin of  $\mathbf{R}^{n+1}$  and the hypersurface are on the different side of the tangent hyperplane  $f_*(T_p M)$ ; in this case the centro-affine normal vector field is given by  $\xi = f$ .

An affine hypersurface  $f : M \rightarrow \mathbf{R}^{n+1}$  is called a *graph hypersurface* if we choose as affine transversal field a constant vector field. For a graph hypersurface we also have the decomposition (1.1) as well. Again in case that  $h$  is non-degenerate, it defines a semi-Riemannian metric, called the Calabi metric of the graph hypersurface.

Let  $\hat{\nabla}$  denote the Levi-Civita connection of  $h$  and let  $K$  be the difference tensor  $\nabla - \hat{\nabla}$  on  $M$ . Then, for each  $X \in T_p M$ ,  $K_X : Y \mapsto K(X, Y)$  is an endomorphism of  $T_p M$ . By taking the trace of  $K$ , one obtains a so-called Tchebychev form

$$T(X) := \frac{1}{n} \text{trace} \{ Y \rightarrow K(X, Y) \}. \quad (1.2)$$

The Tchebychev vector field  $T^\#$  can then be defined by

$$h(T^\#, X) = T(X). \quad (1.3)$$

The Tchebychev form and Tchebychev vector field play an important role in centro-affine differential geometry.

For each integer  $k \in [2, n]$ , we define an invariant  $\hat{\theta}_k$  on the affine hypersurface  $M$  in the same way as in [1] (see Section 3 for details).

The main results of this article are the following optimal estimates for the eigenvalues of the operator  $K_{T^\#}$ :

- (I) For a locally strongly convex centro-affine hypersurface  $M$  in  $\mathbf{R}^{n+1}$  we have:
  - (I-a) If  $\hat{\theta}_k \neq \varepsilon$  at a point  $p \in M$ , then every eigenvalue of the operator  $K_{T^\#}$  at  $p$  is greater than  $(\frac{n-1}{n})(\varepsilon - \hat{\theta}_k(p))$ .
  - (I-b) If  $\hat{\theta}_k = \varepsilon$  at a point  $p$ , every eigenvalue of  $K_{T^\#}$  at  $p$  is  $\geq 0$ , where  $\varepsilon = 1$  or  $-1$  according to  $M$  is of elliptic or hyperbolic type.
- (II) For a graph hypersurface  $M$  in  $\mathbf{R}^{n+1}$  we have:
  - (II-a) If  $\hat{\theta}_k \neq 0$  at a point  $p \in M$ , every eigenvalue of the operator  $K_{T^\#}$  at  $p$  is greater than  $(\frac{1-n}{n})\hat{\theta}_k(p)$ .
  - (II-b) If  $\hat{\theta}_k = 0$  at a point  $p \in M$ , every eigenvalue of  $K_{T^\#}$  at  $p$  is  $\geq 0$ .

The proofs of the main results base on the equation of Gauss using the same idea introduced in earlier author's articles [1, 2]. This is done in Section 4. Several immediate applications of our eigenvalue estimates of the operator  $K_{T\#}$  are given in Section 5. In the last two sections, we provide some non-trivial examples to illustrate that our eigenvalue estimates are optimal for both centro-affine and graph hypersurfaces.

## 2. Preliminaries

We recall some basic facts about centro-affine and graph hypersurfaces. For the details, see [3, 4, 5, 6].

Let  $f : M \rightarrow \mathbf{R}^{n+1}$  be a centro-affine hypersurface with centro-affine normal  $\xi$ . We assume that the centro-affine hypersurface is definite. As we already mentioned earlier, the centro-affine normal on the hypersurface is chosen in such way that the metric  $h$  is positive definite.

The centro-affine structure equations are given by

$$D_X f_*(Y) = f_*(\nabla_X Y) + h(X, Y)\xi, \quad (2.1)$$

$$D_X \xi = \mp f_*(X), \quad (2.2)$$

where  $D_X \xi = -f_*(X)$  or  $D_X \xi = f_*(X)$  according to  $\xi = -f$  or  $\xi = f$  respectively.

The corresponding equations of Gauss and Codazzi are given respectively by

$$R(X, Y)Z = h(Y, Z)X - h(X, Z)Y, \quad (2.3)$$

$$(\nabla_X h)(Y, Z) = (\nabla_Y h)(X, Z). \quad (2.4)$$

The cubic form is the totally symmetric  $(0, 3)$ -tensor field  $C(X, Y, Z) = (\nabla_X h)(Y, Z)$ .

Let  $\hat{\nabla}$ ,  $\hat{K}$  and  $\hat{R}$  denote the Levi-Civita connection, the sectional curvature and the curvature tensor of  $h$ , respectively. The difference tensor  $K$  is then given by

$$K_X Y = K(X, Y) = \nabla_X Y - \hat{\nabla}_X Y, \quad (2.5)$$

which is a symmetric  $(1, 2)$ -tensor field. The difference tensor  $K$  and the cubic form  $C$  are related by

$$C(X, Y, Z) = -2h(K_X Y, Z). \quad (2.6)$$

It is well-known that for centro-affine hypersurfaces we have

$$h(K_X Y, Z) = h(Y, K_X Z), \quad (2.7)$$

$$\hat{R}(X, Y)Z = K_Y K_X Z - K_X K_Y Z + \varepsilon(h(Y, Z)X - h(X, Z)Y), \quad (2.8)$$

$$(\hat{\nabla} K)(X, Y, Z) = (\hat{\nabla} K)(Y, Z, X) = (\hat{\nabla} K)(Z, X, Y), \quad (2.9)$$

where  $\varepsilon = 1$  if  $M$  is of elliptic type and  $\varepsilon = -1$  if  $M$  is of hyperbolic type. It follows from (2.7) that the endomorphism  $K_X$  is self-adjoint with respect to  $h$ .

When  $f : M \rightarrow \mathbf{R}^{n+1}$  is a graph hypersurface, we have (1.1), (2.1), (2.4), (2.5), (2.6), (2.7) and (2.9) as well. However, (2.2), (2.3) and (2.8) shall be replaced by

$$D_X \xi = R(X, Y)Z = 0, \quad (2.10)$$

$$\hat{R}(X, Y)Z = K_Y K_X Z - K_X K_Y Z. \quad (2.11)$$

### 3. Invariant $\hat{\theta}_k$ and relative $K$ -null space

Let  $M$  be a centro-affine or graph hypersurface with positive definite metric  $h$ . Denote by  $\hat{K}(\pi)$  the sectional curvature of a 2-plane section  $\pi \subset T_p M$  relative to  $h$ . The scalar curvature  $\hat{\tau}$  at  $p$  is then defined by

$$\hat{\tau}(p) = \sum_{1 \leq i < j \leq n} \hat{K}_{ij}, \quad (3.1)$$

where  $\hat{K}_{ij} = \hat{K}(e_i \wedge e_j)$  and  $e_1, \dots, e_n$  is an  $h$ -orthonormal basis of  $T_p M$ .

Assume that  $L^k$  is a  $k$ -plane section of  $T_p M$  and  $X$  a unit vector in  $L^k$  with respect to  $h$ . We choose an  $h$ -orthonormal basis  $\{e_1, \dots, e_k\}$  of  $L^k$  with  $e_1 = X$ . Then the  $k$ -Ricci curvature  $\hat{S}_{L^k}(X)$  and the scalar curvature  $\hat{\tau}(L^k)$  are defined respectively by

$$\hat{S}_{L^k}(X) = \hat{K}_{12} + \dots + \hat{K}_{1k}, \quad (3.2)$$

$$\hat{\tau}(L^k) = \sum_{1 \leq i < j \leq k} K_{ij}. \quad (3.3)$$

Obviously,  $\hat{S}_{L^2}$  and  $\hat{\tau}(L^2)$  are nothing but the sectional curvature  $\hat{K}(L^2)$ . And  $\hat{S}_{L^n}$  and  $\hat{\tau}(L^n)$  are the Ricci and scalar curvatures relative to  $h$ .

For each integer  $k \in [2, n]$ , we define the invariant  $\hat{\theta}_k$  on  $M$  by (cf. [1, 2])

$$\hat{\theta}_k(p) = \left( \frac{1}{k-1} \right) \sup_{L^k, X} \hat{S}_{L^k}(X), \quad p \in T_p M, \quad (3.4)$$

where  $L^k$  runs over all linear  $k$ -subspaces in the tangent space  $T_p M$  at  $p$  and  $X$  runs over all  $h$ -unit vectors in  $L^k$ .

The relative  $K$ -null space  $\mathcal{N}_p^K$  of  $M$  in  $\mathbf{R}^{n+1}$  is defined by

$$\mathcal{N}_p^K = \{X \in T_p M : K(X, Y) = 0 \text{ for all } Y \in T_p M\}. \quad (3.5)$$

When  $\dim \mathcal{N}_p^K$  is constant,  $\mathcal{N}^K = \bigcup_{p \in M} \mathcal{N}_p^K$  defines a subbundle of the tangent bundle, called the relative  $K$ -null subbundle.

### 4. Optimal estimates for eigenvalues of the operator

For centro-affine hypersurface in  $\mathbf{R}^{n+1}$  we have the following result.

**Theorem 4.1.** *Let  $f : M \rightarrow \mathbf{R}^{n+1}$  be a locally strongly convex centro-affine hypersurface in  $\mathbf{R}^{n+1}$ . Then, for any integer  $k \in [2, n]$ , we have:*

- (1) If  $\hat{\theta}_k \neq \varepsilon$  at a point  $p \in M$ , then every eigenvalue of  $K_{T^\#}$  at  $p$  is greater than  $\binom{n-1}{n}(\varepsilon - \hat{\theta}_k(p))$ .
- (2) If  $\hat{\theta}_k(p) = \varepsilon$ , every eigenvalue of  $K_{T^\#}$  at  $p$  is  $\geq 0$ .
- (3) A nonzero vector  $X \in T_p M$  is an eigenvector of the operator  $K_{T^\#}$  with eigenvalue  $\binom{n-1}{n}(\varepsilon - \hat{\theta}_k(p))$  if and only if  $\hat{\theta}_k(p) = \varepsilon$  and  $X$  lies in the relative  $K$ -null space  $\mathcal{N}_p^K$  at  $p$ ,

where  $\varepsilon = 1$  or  $-1$  according to  $M$  is of elliptic or hyperbolic type.

*Proof.* Assume that  $f : M \rightarrow \mathbf{R}^{n+1}$  is a locally strongly convex centro-affine hypersurface in  $\mathbf{R}^{n+1}$ . Let  $\{e_1, \dots, e_n\}$  be an arbitrary  $h$ -orthonormal basis of  $T_p M$ . From the definition of Tchebychev vector field, (2.8) and (3.1) we have

$$2\hat{\tau} = n(n-1)\varepsilon + h(K, K) - n^2 h(T^\#, T^\#). \quad (4.1)$$

It is well-known that every endomorphism  $A$  of  $T_p M$  satisfies

$$n h(A, A) \geq (\text{trace } A)^2, \quad (4.2)$$

with equality holding if and only if  $A$  is proportional to the identity map  $I$ . By applying (4.1) and (4.2), we obtain

$$2\hat{\tau} \geq n(n-1)\varepsilon - n(n-1)h(T^\#, T^\#) \quad (4.3)$$

with the equality holding at  $p$  if and only if we have

- (a)  $K_{T^\#}$  is proportional to the identity map and
- (b)  $K_Z = 0$  for  $Z$  perpendicular to  $T^\#$  at  $p$ .

Let  $L_{i_1 \dots i_k}$  be the  $k$ -plane section spanned by the orthonormal vectors  $e_{i_1}, \dots, e_{i_k}$ . It follows from (3.2) and (3.3) that

$$\hat{\tau}(L_{i_1 \dots i_k}) = \frac{1}{2} \sum_{i \in \{i_1, \dots, i_k\}} \hat{S}_{L_{i_1 \dots i_k}}(e_i), \quad (4.4)$$

$$\hat{\tau}(p) = \frac{(k-2)!(n-k)!}{(n-2)!} \sum_{1 \leq i_1 < \dots < i_k \leq n} \hat{\tau}(L_{i_1 \dots i_k}). \quad (4.5)$$

By combining (3.4), (4.4) and (4.5) we find

$$\hat{\tau} \leq \frac{n(n-1)}{2} \hat{\theta}_k. \quad (4.6)$$

Thus (4.3) and (4.6) ensure that

$$h(T^\#, T^\#) \geq \varepsilon - \hat{\theta}_k. \quad (4.7)$$

Hence the Tchebychev vector field  $T^\#$  vanishes at a point  $p$  only when  $\hat{\theta}_k(p) \geq \varepsilon$ . Therefore, if  $T^\#(p) = 0$ , statements (1) and (2) of Theorem 4.1 hold automatically.

Next, let us assume that  $T^\#(p) \neq 0$ . Since  $K_{T^\#}$  is self-adjoint with respect to  $h$ , we may choose an  $h$ -orthonormal basis  $e_1, \dots, e_n$  of  $T_p M$  which diagonalizes the operator  $K_{T^\#}$ . Let  $e_1^*$  be the  $h$ -unit vector at  $p$  in the direction of  $T^\#$  and let us choose  $h$ -orthonormal vectors  $e_2^*, \dots, e_n^*$  at  $p$  perpendicular to  $T^\#$ . Then we have

$$K_{e_1^*} = \begin{pmatrix} a_1 & 0 & \dots & 0 \\ 0 & a_2 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & a_n \end{pmatrix} \quad (4.8)$$

and  $\text{trace}(K_{e_r^*}) = 0$  for  $r = 2, \dots, n$ .

Let us put  $K_{ij}^{r*} = h(K(e_i, e_j), e_r^*)$ . Then (2.8) implies that

$$K_{ij} = \varepsilon - a_i a_j + \sum_{r=2}^n (K_{ij}^{r*})^2 - \sum_{r=2}^n K_{ii}^{r*} K_{jj}^{r*}, \quad 1 \leq i \neq j \leq n. \quad (4.9)$$

Now, by applying the same argument as the proof of Theorem 1 of [1], we obtain

$$a_1(a_1 + \dots + a_n) \geq (n-1)(\varepsilon - \hat{\theta}_k(p)) + a_1^2 \geq (n-1)(\varepsilon - \hat{\theta}_k(p)), \quad (4.10)$$

with both equality holding if and only if we have  $\hat{S}_L(e_1) = \hat{\theta}_k(p)$  and  $a_1 = K_{1j}^{r*} = 0$  for  $r = 2, \dots, n; j = 2, \dots, n$ . The same inequality holds if the lower index 1 in (4.10) were replaced by any  $j \in \{2, \dots, n\}$ . Hence, we have

$$K_{T^\#} \geq \frac{n-1}{n}(\varepsilon - \hat{\theta}_k(p))I. \quad (4.11)$$

If  $K_{T^\#}X = \frac{n-1}{n}(\varepsilon - \hat{\theta}_k(p))X$  holds for some nonzero vector  $X \in T_p M$ , then  $X$  is an eigenvector of  $K_{T^\#}$  with eigenvalue  $(n-1)(\varepsilon - \hat{\theta}_k(p))/n$ . Without loss of generality, we may choose  $e_1 = X/\sqrt{h(X, X)}$ . In this case we get

$$a_1(a_1 + \dots + a_n) = (n-1)(\varepsilon - \hat{\theta}_k(p)). \quad (4.12)$$

On the other hand, from (4.10) and (4.12), we find  $a_1 = 0$  and  $\hat{\theta}_k(p) = \varepsilon$ . Moreover, we know from (4.10) that  $e_1$  lies in the relative  $K$ -null space  $\mathcal{N}_p^K$ . Consequently, we obtain statements (1) and (2) of Theorem 4.1 and also one part of statement (3). The remaining part of statement (3) is obvious.  $\square$

For graph hypersurfaces we have the following.

**Theorem 4.2.** *Let  $f : M \rightarrow \mathbf{R}^{n+1}$  be a graph hypersurface in  $\mathbf{R}^{n+1}$  with positive definite Calabi metric. Then, for any integer  $k \in [2, n]$ , we have:*

- (1) *If  $\hat{\theta}_k \neq 0$  at a point  $p \in M$ , then every eigenvalue of  $K_{T^\#}$  at  $p$  is greater than  $(\frac{1-n}{n})\hat{\theta}_k(p)$ .*
- (2) *If  $\hat{\theta}_k = 0$  at  $p$ , then every eigenvalue of  $K_{T^\#}$  at  $p$  is  $\geq 0$ .*

- (3) A nonzero vector  $X \in T_pM$  is an eigenvector of the operator  $K_{T^\#}$  with eigenvalue  $\left(\frac{1-n}{n}\right)\hat{\theta}_k(p)$  if and only if we have  $\hat{\theta}_k(p) = 0$  and  $X \in \mathcal{N}_p^K$ .

*Proof.* For graph hypersurfaces in  $\mathbf{R}^{n+1}$  we have

$$\hat{R}(X, Y)Z = K_Y K_X Z - K_X K_Y Z. \quad (4.13)$$

Thus, by applying the same argument given in Theorem 4.1, we obtain Theorem 4.2.  $\square$

## 5. Some applications

When  $k = 2$ , statement (1) of Theorem 4.1 implies immediately the following.

**Corollary 5.1.** *Let  $f : M \rightarrow \mathbf{R}^{n+1}$  be a locally strongly convex centro-affine hypersurface in  $\mathbf{R}^{n+1}$ . If  $\sup \hat{K} \neq \varepsilon$  at a point  $p \in M$ , then every eigenvalue of the operator  $K_{T^\#}$  at  $p$  is greater than  $\left(\frac{n-1}{n}\right)(\varepsilon - \sup \hat{K}(p))$ .*

Similarly, if we denote by  $\sup \hat{S}(p)$  the supremum of the Ricci curvature of  $(M, h)$  at a point  $p \in M$ , then statement (1) of Theorem 4.1 with  $k = n$  implies immediately the following.

**Corollary 5.2.** *Let  $f : M \rightarrow \mathbf{R}^{n+1}$  be a locally strongly convex centro-affine hypersurface in  $\mathbf{R}^{n+1}$ . If  $\sup \hat{S} \neq \varepsilon$  at a point  $p \in M$ , then every eigenvalue of the operator  $K_{T^\#}$  at  $p$  is greater than  $\left(\frac{n-1}{n}\right)(\varepsilon - \sup \hat{S}(p))$ .*

From Theorem 4.1 we also obtain the following.

**Corollary 5.3.** *Let  $f : M \rightarrow \mathbf{R}^{n+1}$  be a locally strongly convex centro-affine hypersurface in  $\mathbf{R}^{n+1}$ . If we have  $\hat{\theta}_k < \varepsilon$  on  $M$  for some integer  $k \in [2, n]$ , then every eigenvalue of  $K_{T^\#}$  is positive.*

Theorem 4.1 also gives rise to the following simple geometric characterization of hyper-ellipsoids and two-sheeted hyperboloids.

**Corollary 5.4.** *An elliptic centro-affine hypersurface  $M$  in  $\mathbf{R}^{n+1}$  is centroaffinely equivalent to an open portion of a hyperellipsoid if and only if we have  $nK_{T^\#} = (n-1)(1 - \hat{\theta}_k)I$  on  $M$  for some integer  $k \in [2, n]$ .*

*Proof.* Let  $f : M \rightarrow \mathbf{R}^{n+1}$  be an elliptic centro-affine hypersurface in  $\mathbf{R}^{n+1}$ . If  $M$  is an open portion of a hyperellipsoid, then  $K$  vanishes identically which implies that  $K_{T^\#} = 0$ . Hence, according to (2.10),  $(M, h)$  is of constant curvature one. Therefore we obtain  $\hat{\theta}_2 = \dots = \hat{\theta}_n = 1$ . Consequently, we have  $nK_{T^\#} = (n-1)(1 - \hat{\theta}_k)I$  identically.

Conversely, let us assume that  $nK_{T^\#} = (n-1)(1 - \hat{\theta}_k)I$  holds identically for some integer  $k \in [2, n]$ , then statement (3) of Theorem 4.1 implies that every tangent vector of  $M$  lies in the relative  $K$ -null subbundle. In this case  $K$  vanishes identically on  $M$ . Consequently, by applying a theorem of Berwald [6, Section 7.1.1], we conclude that  $M$  is centroaffinely equivalent to an open portion of a hyper-ellipsoid centered at the origin.  $\square$

**Corollary 5.5.** *A hyperbolic centro-affine hypersurface  $M$  in  $\mathbf{R}^{n+1}$  is centroaffinely equivalent to an open portion of a two-sheeted hyperboloid if and only if, for some integer  $k \in [2, n]$ , we have  $nK_{T\#} = (1 - n)(1 + \hat{\theta}_k)I$  identically on  $M$ .*

*Proof.* This can be done in the same way as Corollary 5.4.  $\square$

Similarly Theorem 4.2 implies the following.

**Corollary 5.6.** *Let  $f : M \rightarrow \mathbf{R}^{n+1}$  be a graph hypersurface with positive definite Calabi metric. If we have either  $\sup \hat{K} \neq 0$  or  $\sup \hat{S} \neq 0$  at a point  $p \in M$ , then every eigenvalue of the operator  $K_{T\#}$  is greater than  $(\frac{1-n}{n}) \sup \hat{K}$  at  $p$ .*

**Corollary 5.7.** *Let  $f : M \rightarrow \mathbf{R}^{n+1}$  be a graph hypersurface with positive definite Calabi metric. If there exists an integer  $k \in [2, n]$  such that  $\hat{\theta}_k < 0$  holds on  $M$ , then every eigenvalue of  $K_{T\#}$  is positive.*

From Corollaries 5.3 and 5.7 we obtain the following.

**Corollary 5.8.** *Let  $M$  be a Riemannian  $n$ -manifold. If there exists an integer  $k \in [2, n]$  such that  $\hat{\theta}_k(p) < 1$  at some point  $p \in M$ , then  $M$  cannot be realized as an elliptic proper affine hypersphere in  $\mathbf{R}^{n+1}$ .*

**Corollary 5.9.** *Let  $M$  be a Riemannian  $n$ -manifold. If there exists an integer  $k \in [2, n]$  such that  $\hat{\theta}_k(p) < -1$  at some point  $p \in M$ , then  $M$  cannot be realized as a hyperbolic proper affine hypersphere in  $\mathbf{R}^{n+1}$ .*

**Corollary 5.10.** *Let  $M$  be a Riemannian  $n$ -manifold. If there exists an integer  $k \in [2, n]$  such that  $\hat{\theta}_k(p) < 0$  at some point  $p \in M$ , then  $M$  cannot be realized as an improper affine hypersphere in  $\mathbf{R}^{n+1}$ .*

## 6. Some examples of centro-affine hypersurfaces

In this section we provide some examples of locally strongly convex centro-affine hypersurfaces. From these examples we know that the eigenvalue estimates given in Theorem 4.1 are best possible.

**Example 6.1.** Let  $M$  be the elliptic locally strongly convex centro-affine hypersurface defined by:

$$e^{bs} \left( e^{(b^{-1}-b)s}, \sin(ax_2), \dots, \sin(ax_n) \prod_{j=2}^{n-1} \cos(ax_j), \prod_{j=2}^n \cos(ax_j) \right), \quad (6.1)$$

with  $a = \sqrt{1 - b^2}$ ,  $b \in (0, 1)$ . Then the affine metric  $h$  on  $M$  is

$$h = ds^2 + dx_2^2 + \cos^2(ax_2)dx_3^2 + \dots + \prod_{j=2}^{n-1} \cos^2(ax_j)dx_n^2. \quad (6.2)$$



The Levi-Civita connection of  $h$  satisfies

$$\begin{aligned}\hat{\nabla}_{\partial/\partial s} \frac{\partial}{\partial s} &= \hat{\nabla}_{\partial/\partial s} \frac{\partial}{\partial x_k} = \hat{\nabla}_{\partial/\partial x_2} \frac{\partial}{\partial x_2} = 0, \\ \hat{\nabla}_{\partial/\partial x_i} \frac{\partial}{\partial x_j} &= -a \tan(ax_i) \frac{\partial}{\partial x_j}, \quad 2 \leq i < j, \\ \hat{\nabla}_{\partial/\partial x_j} \frac{\partial}{\partial x_j} &= a \sum_{k=2}^{j-1} \left( \frac{\sin(2ax_k)}{2} \prod_{l=k+1}^{j-1} \cos^2(ax_l) \right) \frac{\partial}{\partial x_k}, \quad j = 3, \dots, n.\end{aligned}\tag{6.3}$$

It follows from (6.1) and (6.2) that  $\hat{K}_{1j} = 0$  and  $\hat{K}_{jk} = a^2$  for  $2 \leq j \neq k \leq n$ . Hence we have

$$\hat{\theta}_n = \left( \frac{n-2}{n-1} \right) (1 - b^2).\tag{6.4}$$

On the other hand, from (6.1) and a straight-forward computation, we find

$$\begin{aligned}\nabla_{\partial/\partial s} \frac{\partial}{\partial s} &= \left( b + \frac{1}{b} \right) \frac{\partial}{\partial s}, \quad \nabla_{\partial/\partial s} \frac{\partial}{\partial x_j} = b \frac{\partial}{\partial x_j}, \\ \nabla_{\partial/\partial x_i} \frac{\partial}{\partial x_j} &= -a \tan(ax_i) \frac{\partial}{\partial x_j}, \quad 2 \leq i < j \leq n, \\ \nabla_{\partial/\partial x_j} \frac{\partial}{\partial x_j} &= b \prod_{i=2}^{j-1} \cos^2(ax_i) \frac{\partial}{\partial s} + a \sum_{k=2}^{j-1} \left( \frac{\sin(2ax_k)}{2} \prod_{l=k+1}^{j-1} \cos^2(ax_l) \right) \frac{\partial}{\partial x_k}\end{aligned}\tag{6.5}$$

for  $j = 2, \dots, n$ . By applying (2.5), (6.3) and (6.5) we find

$$\begin{aligned}K \left( \frac{\partial}{\partial s}, \frac{\partial}{\partial s} \right) &= \left( b + \frac{1}{b} \right) \frac{\partial}{\partial s}, \quad K \left( \frac{\partial}{\partial s}, \frac{\partial}{\partial x_j} \right) = b \frac{\partial}{\partial x_j}, \\ K \left( \frac{\partial}{\partial x_j}, \frac{\partial}{\partial x_j} \right) &= b \prod_{i=2}^{j-1} \cos^2(ax_i) \frac{\partial}{\partial s}, \quad K \left( \frac{\partial}{\partial x_i}, \frac{\partial}{\partial x_j} \right) = 0\end{aligned}\tag{6.6}$$

for  $2 \leq i \neq j \leq n$ . Therefore we obtain from (1.3), (6.2) and (6.6) that

$$T^\# = \left( b + \frac{1}{nb} \right) \frac{\partial}{\partial s}, \quad K_{T^\#} \left( \frac{\partial}{\partial x_j} \right) = \lambda_j \frac{\partial}{\partial x_j}, \quad \lambda_j = b^2 + \frac{1}{n}\tag{6.7}$$

for  $j = 2, \dots, n$ . Consequently, we conclude that the eigenvalue  $\lambda_j$  of the operator  $K_{T^\#}$  associated with eigenvector  $\partial/\partial x_j$  satisfies

$$\lambda_j - \frac{n-1}{n} (1 - \hat{\theta}_n) = \frac{2b^2}{n} \longrightarrow 0 \quad \text{as } b \rightarrow 0.$$

**Example 6.2.** Consider the hyperbolic locally strongly convex centro-affine hypersurface defined by:

$$e^{bs} \left( e^{-(b^{-1}+b)s}, \sinh(ax_2), \dots, \sinh(ax_n) \prod_{j=2}^{n-1} \cosh(ax_j), \prod_{j=2}^n \cosh(ax_j) \right)\tag{6.8}$$

with  $a = \sqrt{1+b^2}$ ,  $b \in (0, \infty)$ . The induced affine metric  $h$  of this hypersurface is given by

$$h = ds^2 + dx_2^2 + \cosh^2(ax_2)dx_3^2 + \cdots + \prod_{j=2}^{n-1} \cosh^2(ax_j)dx_n^2, \quad (6.9)$$

which implies that  $\hat{K}_{1j} = 0$ ,  $\hat{K}_{jk} = -a^2$  for  $2 \leq j \neq k \leq n$ . Hence we have

$$\hat{\theta}_n = \left( \frac{2-n}{n-1} \right) (1+b^2). \quad (6.10)$$

From (2.1), (2.5), (6.8) and a straight-forward computation we find

$$\begin{aligned} K \left( \frac{\partial}{\partial s}, \frac{\partial}{\partial s} \right) &= \left( b - \frac{1}{b} \right) \frac{\partial}{\partial s}, \quad K \left( \frac{\partial}{\partial s}, \frac{\partial}{\partial x_j} \right) = b \frac{\partial}{\partial x_j}, \\ K \left( \frac{\partial}{\partial x_j}, \frac{\partial}{\partial x_j} \right) &= b \prod_{i=2}^{j-1} \cosh^2(ax_i) \frac{\partial}{\partial s}, \quad K \left( \frac{\partial}{\partial x_i}, \frac{\partial}{\partial x_j} \right) = 0 \end{aligned} \quad (6.11)$$

for  $2 \leq i \neq j \leq n$ . Therefore we have

$$T^\# = \left( b - \frac{1}{nb} \right) \frac{\partial}{\partial s}, \quad K_{T^\#} \left( \frac{\partial}{\partial x_j} \right) = \left( b^2 - \frac{1}{n} \right) \frac{\partial}{\partial x_j}, \quad j = 2, \dots, n. \quad (6.12)$$

Consequently, the eigenvalue  $\lambda_j$  of the operator  $K_{T^\#}$  associated with eigenvector  $\partial/\partial x_j$  satisfies

$$\lambda_j + \frac{n-1}{n} (1 + \hat{\theta}_n) = \frac{2b^2}{n} \longrightarrow 0 \quad \text{as } b \rightarrow 0.$$

Examples 6.1 and 6.2 show that the eigenvalue estimate given in statement (1) of Theorem 4.1 is optimal for locally strongly convex centro-affine hypersurfaces of both elliptic and hyperbolic types.

**Example 6.3.** Consider the following elliptic centro-affine locally strongly convex hypersurface:

$$\begin{aligned} 5 \left( \sin x_1, \sin x_2 \cos x_1, \dots, \sin x_{n-1} \prod_{j=1}^{n-2} \cos x_j, \right. \\ \left. e^{\frac{1}{2}(b+\sqrt{b^2-4})x_n} \prod_{j=1}^{n-1} \cos x_j, e^{\frac{1}{2}(b+\sqrt{b^2-4})x_n} \prod_{j=1}^{n-1} \cos x_j \right) \end{aligned} \quad (6.13)$$

with  $b > 2$ . The affine metric of this hypersurface is given by

$$h = dx_1^2 + \cos^2 x_1 dx_2^2 + \cdots + \prod_{j=1}^{n-1} \cos^2 x_j dx_n^2. \quad (6.14)$$

It follows from (6.14) that  $\hat{\theta}_k = 1$  for  $k = 2, \dots, n$ .

On the other hand, from (6.13) and a direct computation, we have

$$K\left(\frac{\partial}{\partial x_i}, \frac{\partial}{\partial x_j}\right) = K\left(\frac{\partial}{\partial x_j}, \frac{\partial}{\partial x_n}\right) = 0, \quad K\left(\frac{\partial}{\partial x_n}, \frac{\partial}{\partial x_n}\right) = b \frac{\partial}{\partial x_n} \quad (6.15)$$

for  $1 \leq i, j \leq n-1$ , which ensures that

$$T^\# = \left( \frac{b}{n} \prod_{j=1}^{n-1} \sec^2 x_j \right) \frac{\partial}{\partial x_n}, \quad K_{T^\#} \left( \frac{\partial}{\partial x_j} \right) = 0, \quad j = 1, \dots, n-1. \quad (6.16)$$

**Example 6.4.** Let  $M$  be the hyperbolic locally strongly convex centro-affine hypersurface defined by

$$\left( \sinh x_1, \sinh x_2 \cosh x_1, \dots, \sinh x_{n-1} \prod_{j=1}^{n-2} \cos x_j, \right. \\ \left. e^{\frac{1}{2}(b+\sqrt{b^2+4})x_n} \prod_{j=1}^{n-1} \cosh x_j, e^{\frac{1}{2}(b-\sqrt{b^2+4})x_n} \prod_{j=1}^{n-1} \cosh x_j \right), \quad (6.17)$$

with nonzero  $b$ . Since the induced affine metric is given by

$$h = dx_1^2 + \cosh^2 x_1 dx_2^2 + \dots + \prod_{j=1}^{n-1} \cosh^2 x_j dx_n^2, \quad (6.18)$$

thus we have  $\hat{\theta}_2 = \dots = \hat{\theta}_n = -1$ .

On the other hand, by (6.17) and a straight-forward computation, we find

$$K\left(\frac{\partial}{\partial x_i}, \frac{\partial}{\partial x_j}\right) = K\left(\frac{\partial}{\partial x_j}, \frac{\partial}{\partial x_n}\right) = 0, \quad K\left(\frac{\partial}{\partial x_n}, \frac{\partial}{\partial x_n}\right) = b \frac{\partial}{\partial x_n} \quad (6.19)$$

for  $1 \leq i, j \leq n-1$ . Hence we obtain

$$T^\# = \frac{b}{n} \prod_{j=1}^{n-1} \operatorname{sech}^2 x_j \frac{\partial}{\partial x_n}, \quad K_{T^\#} \left( \frac{\partial}{\partial x_j} \right) = 0, \quad j = 1, \dots, n-1. \quad (6.20)$$

Clearly, Examples 6.3 and 6.4 illustrate that the estimate given in statement (2) of Theorem 4.1 is optimal for locally strongly convex centro-affine hypersurfaces of both elliptic and hyperbolic types.

## 7. Examples of graph hypersurfaces

**Example 7.1.** Consider the graph hypersurface  $M$  in  $\mathbf{R}^{n+1}$ :

$$\left( u_2, \dots, u_n, \frac{s^4}{4} + \sum_{j=2}^n u_j^2, \frac{s^2}{4} \right) \quad (7.1)$$

with constant affine normal  $\xi$  given by  $(0, \dots, 0, -1)$  and Calabi metric given by  $h = ds^2 + s^{-2}(du_2^2 + \dots + du_n^2)$ .

A direct computation shows that  $\hat{K}_{1j} = -s^{-2}$  and  $\hat{K}_{ij} = -1$  for  $2 \leq i \neq j \leq n$ . Thus we get

$$\hat{\theta}_2 = \dots = \hat{\theta}_n = \begin{cases} -\frac{1}{s^2} & \text{if } s^2 \geq 1; \\ -1 & \text{if } s^2 < 1. \end{cases} \quad (7.2)$$

From (7.1) and a straight-forward computation, we find

$$\begin{aligned} K\left(\frac{\partial}{\partial s}, \frac{\partial}{\partial s}\right) &= \frac{3}{s} \frac{\partial}{\partial s}, & K\left(\frac{\partial}{\partial s}, \frac{\partial}{\partial u_j}\right) &= \frac{1}{s} \frac{\partial}{\partial u_j}, \\ K\left(\frac{\partial}{\partial u_i}, \frac{\partial}{\partial u_j}\right) &= 0, & K\left(\frac{\partial}{\partial u_j}, \frac{\partial}{\partial u_j}\right) &= \frac{1}{s^3} \frac{\partial}{\partial s}, \quad 2 \leq i \neq j \leq n, \end{aligned} \quad (7.3)$$

which yields

$$T^\# = \frac{(n+2)}{ns} \frac{\partial}{\partial s}, \quad K_{T^\#}\left(\frac{\partial}{\partial u_j}\right) = \lambda_j \frac{\partial}{\partial u_j}, \quad \lambda_j = \frac{(n+2)}{ns^2} \quad (7.4)$$

for  $j = 2, \dots, n$ . Hence we obtain

$$\lambda_j - \left(\frac{1-n}{n}\right) \hat{\theta}_k = \frac{3}{ns^2} \longrightarrow 0 \quad \text{as } s \rightarrow \infty. \quad (7.5)$$

This example shows that the estimate given in statement (1) of Theorem 4.2 is optimal.

**Example 7.2.** Consider the graph hypersurface  $M$  in  $\mathbf{R}^{n+1}$ :

$$\left(u_2, \dots, u_n, e^{u_1}, u_1 - \frac{1}{2} \sum_{j=2}^n u_j^2\right) \quad (7.6)$$

with affine normal  $\xi = (0, \dots, 0, -1)$  and Calabi metric  $h = du_1^2 + \dots + du_n^2$ . Obviously, we have  $\hat{\theta}_2 = \dots = \hat{\theta}_n = 0$ . It follows from (7.6) that

$$K\left(\frac{\partial}{\partial u_1}, \frac{\partial}{\partial u_1}\right) = \frac{\partial}{\partial u_1}, \quad K\left(\frac{\partial}{\partial u_1}, \frac{\partial}{\partial u_j}\right) = K\left(\frac{\partial}{\partial u_i}, \frac{\partial}{\partial u_j}\right) = 0 \quad (7.7)$$

for  $i, j = 2, \dots, n$ . Thus we have

$$T^\# = \frac{1}{n} \frac{\partial}{\partial u_1}, \quad K_{T^\#}\left(\frac{\partial}{\partial u_j}\right) = 0 \quad (7.8)$$

for  $j = 2, \dots, n$ .

The last example illustrates that the estimate given in statement (2) of Theorem 4.2 is optimal as well.

**Acknowledgement.** The author is very grateful to the referee for several valuable suggestions.

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Received April 28, 2004; revised version Mai 12, 2005