

## CONVERGENCE OF VOEVODSKY'S SLICE TOWER

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ABSTRACT. We consider Voevodsky's slice tower for a finite spectrum  $\mathcal{E}$  in the motivic stable homotopy category over a perfect field  $k$ . In case  $k$  has finite cohomological dimension, we show that the slice tower converges, in that the induced filtration on the bi-graded homotopy sheaves  $\Pi_{a,b}f_n\mathcal{E}$  is finite, exhaustive and separated at each stalk (after inverting the exponential characteristic of  $k$ ). This partially verifies a conjecture of Voevodsky.

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INTRODUCTION

We continue our investigation, begun in [12], of the slice filtration on the bi-graded homotopy sheaves  $\Pi_{*,*}(\mathcal{E})$  for objects  $\mathcal{E}$  in the motivic stable homotopy category  $\mathcal{SH}(k)$ . We refer the reader to §1 for the notation to be used in this introduction.

Let  $k$  be a perfect field, let  $\mathcal{SH}(k)$  denote Voevodsky’s motivic stable homotopy category of  $T$ -spectra over  $k$ ,  $\mathcal{SH}$  the classical stable homotopy category of spectra. For a spectrum  $E \in \mathcal{SH}$ , the Postnikov tower of  $E$ ,

$$\dots \rightarrow E^{(n+1)} \rightarrow E^{(n)} \rightarrow \dots \rightarrow E$$

consists of the  $n - 1$ -connected covers  $E^{(n)} \rightarrow E$  of  $E$ , that is,  $\pi_m E^{(n)} \rightarrow \pi_m E$  is an isomorphism for  $m \geq n$  and  $\pi_m E^{(n)} = 0$  for  $m < n$ . Sending  $E$  to  $E^{(n)}$  defines a functor from  $\mathcal{SH}$  to the full subcategory  $\Sigma^n \mathcal{SH}^{eff}$  of  $n - 1$ -connected spectra that is right adjoint to the inclusion  $\Sigma^n \mathcal{SH}^{eff} \rightarrow \mathcal{SH}$ .

Replacing  $\Sigma^n \mathcal{SH}^{eff}$  with a certain triangulated subcategory  $\Sigma_T^n \mathcal{SH}^{eff}(k)$  of  $\mathcal{SH}(k)$  that measures a kind of “ $\mathbb{P}^1$ -connectedness” (in a suitable sense, see [30, 31], [23, 24]) or §2 of this paper), Voevodsky has defined a motivic analog of the Postnikov tower; for an object  $\mathcal{E}$  of  $\mathcal{SH}(k)$  this yields the *Tate-Postnikov tower* (or slice tower)

$$\dots \rightarrow f_{n+1} \mathcal{E} \rightarrow f_n \mathcal{E} \rightarrow \dots \rightarrow \mathcal{E}$$

for  $\mathcal{E}$ . For integers  $a, b$ , we have the stable homotopy sheaf  $\Pi_{a,b}(\mathcal{E})$ , defined as the Nisnevich sheaf associated to the presheaf

$$U \in \mathbf{Sm}/k \mapsto [\Sigma_{\mathbb{S}^1}^a \Sigma_{\mathbb{G}_m}^b \Sigma_T^\infty U_+, \mathcal{E}]_{\mathcal{SH}(k)}$$

and the Tate-Postnikov tower for  $\mathcal{E}$  gives rise to the filtration

$$\text{Fil}_{\text{Tate}}^n \Pi_{a,b}(\mathcal{E}) := \text{im}(\Pi_{a,b} f_n \mathcal{E} \rightarrow \Pi_{a,b} \mathcal{E}).$$

Let  $\mathcal{SH}_{\text{fin}}(k) \subset \mathcal{SH}(k)$  be the thick subcategory of  $\mathcal{SH}(k)$  generated by the objects  $\Sigma_T^n \Sigma_T^\infty X_+$ , with  $X$  smooth and projective over  $k$ ,  $n \in \mathbb{Z}$ . For example, the motivic sphere spectrum  $\mathbb{S}_k := \Sigma_T^\infty \text{Spec } k_+$  is in  $\mathcal{SH}_{\text{fin}}(k)$ .

Voevodsky has stated the following conjecture:

CONJECTURE 1 ([30, conjecture 13]). *Let  $k$  be a perfect field. Then for  $\mathcal{E} \in \mathcal{SH}_{\text{fin}}(k)$ , the Tate-Postnikov tower of  $\mathcal{E}$  is convergent in the following sense: for all  $a, b, m \in \mathbb{Z}$ , one has*

$$\bigcap_n F_{\text{Tate}}^n \Pi_{a,b} f_m \mathcal{E} = 0.$$

The cases  $\mathcal{E} = \Sigma_{\mathbb{G}_m}^a \mathbb{S}_k$ ,  $a = m = 0$  gives some evidence for this conjecture, as we shall now explain.

For  $k$  a perfect field, Morel has given a natural isomorphism of  $\Pi_{0,-p}(\mathbb{S}_k)$  with the *Milnor-Witt sheaf*  $\mathcal{K}_p^{MW}$ ; this is a certain sheaf on  $\mathbf{Sm}/k$  with value on each field  $F$  over  $k$  given by the Milnor-Witt group  $K_p^{MW}(F)$ .<sup>2</sup> For  $F$  a field,  $K_0^{MW}(F)$  is canonically isomorphic to the Grothendieck-Witt group  $\text{GW}(F)$

<sup>2</sup>A presentation of the graded ring  $K_*^{MW}(F)$  may be found in [14, definition 3.1].

of non-degenerate symmetric bilinear forms over  $F$  [14, lemma 3.10]. More generally, Morel has constructed a natural isomorphism<sup>3</sup> for  $p, q \in \mathbb{Z}$

$$\Pi_{0,p}(\Sigma_{\mathbb{G}_m}^q \mathbb{S}_k) \cong \mathcal{K}_{q-p}^{MW}.$$

The isomorphism  $K_0^{MW}(F) \cong \text{GW}(F)$  makes  $K_*^{MW}(F)$  a  $\text{GW}(F)$ -module; let  $I(F) \subset \text{GW}(F)$  denote the augmentation ideal. Our main result of *loc. cit.* is

**THEOREM 2** ([12, theorem 1]). *Let  $F$  be a perfect field extension of  $k$  of characteristic  $\neq 2$ . Then*

$$\text{Fil}_{\text{Tate}}^n \Pi_{0,p}(\Sigma_{\mathbb{G}_m}^q \mathbb{S}_k)(F) = I(F)^M K_{q-p}^{MW}(F) \subset K_{q-p}^{MW}(F) = \Pi_{0,p}(\Sigma_{\mathbb{G}_m}^q \mathbb{S}_k)(F)$$

where  $M = 0$  if  $n \leq p$  or  $n \leq q$ , and  $M = \min(n - p, n - q)$  if  $n \geq p$  and  $n \geq q$ .

The following consequence of theorem 2 gives some evidence for Voevodsky's convergence conjecture:

**PROPOSITION 3.** *Let  $k$  be a perfect field with  $\text{char. } k \neq 2$ . For all  $p, q \geq 0$ , and all perfect field extensions  $F$  of  $k$ , we have*

$$\cap_n \text{Fil}_{\text{Tate}}^n \Pi_{0,p}(\Sigma_{\mathbb{G}_m}^q \mathbb{S}_k)(F) = 0.$$

*Proof.* In light of theorem 2, this is asserting that the  $I(F)$ -adic filtration on  $K_{q-p}^{MW}(F)$  is separated. By [16, théorème 5.3], for  $m \geq 0$ ,  $K_m^{MW}(F)$  fits into a cartesian square of  $\text{GW}(F)$ -modules

$$\begin{array}{ccc} K_m^{MW}(F) & \longrightarrow & K_m^M(F) \\ \downarrow & & \downarrow Pf \\ I(F)^m & \xrightarrow{q} & I(F)^m / I(F)^{m+1}, \end{array}$$

where  $K_m^M(F)$  is the Milnor  $K$ -group,  $q$  is the quotient map and  $Pf$  is the map sending a symbol  $\{u_1, \dots, u_m\}$  to the class of the Pfister form  $\langle\langle u_1, \dots, u_m \rangle\rangle \text{ mod } I(F)^{m+1}$ . For  $m < 0$ ,  $K_m^{MW}(F)$  is isomorphic to the Witt group  $W(F)$  of  $F$ , that is, the quotient of  $\text{GW}(F)$  by the ideal generated by the hyperbolic form  $x^2 - y^2$ . Also, the map  $\text{GW}(F) \rightarrow W(F)$  gives an isomorphism of  $I(F)^r$  with its image in  $W(F)$  for all  $r \geq 1$ . Thus, for  $n \geq 1$ ,

$$I(F)^n K_m^{MW}(F) = \begin{cases} I(F)^n \subset W(F) & \text{for } m < 0 \\ I(F)^{n+m} \subset W(F) & \text{for } m \geq 0. \end{cases}$$

The fact that  $\cap_n I(F)^n = 0$  in  $W(F)$  is a theorem of Arason and Pfister [1, Korollar 1]. □

*Remarks.* 1. The proof in [16] that  $K_m^{MW}(F)$  fits into a cartesian square as above relies on the Milnor conjecture.

2. As pointed out to me by Igor Kriz, Voevodsky's convergence conjecture in the generality as stated above is false. In fact, take  $\mathcal{E}$  to be the Moore spectrum

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<sup>3</sup>This follows from [14, theorem 6.13, theorem 6.40], using the argument of [14, theorem 6.43].

$\mathbb{S}_k/\ell$  for some prime  $\ell \neq 2$ . Since  $\Pi_{a,q}\mathbb{S}_k = 0$  for  $a < 0$ , proposition 6.9 below shows that  $\Pi_{a,q}f_n\mathbb{S}_k = 0$  for  $a < 0$ , and thus we have the right exact sequence for all  $n \geq 0$

$$\Pi_{0,0}f_n\mathbb{S}_k \xrightarrow{\times \ell} \Pi_{0,0}f_n\mathbb{S}_k \rightarrow \Pi_{0,0}f_n\mathcal{E} \rightarrow 0.$$

In particular, we have

$$\begin{aligned} F_{\text{Tate}}^n \Pi_{0,0}\mathcal{E}(k) &= \text{im}(F_{\text{Tate}}^n \Pi_{0,0}\mathbb{S}_k(k) \rightarrow \Pi_{0,0}\mathbb{S}_k(k)/\ell) = \\ &= \text{im}(I(k)^n \rightarrow \text{GW}(k)/\ell). \end{aligned}$$

Take  $k = \mathbb{R}$ . Then  $I(\mathbb{R}) \subset \text{GW}(\mathbb{R})$  is isomorphic to  $\mathbb{Z}$  via the virtual negative index, and  $I(\mathbb{R})^n = (2^{n-1}) \subset \mathbb{Z} = I(\mathbb{R})$ . Thus  $\Pi_{0,0}\mathcal{E} = \mathbb{Z}/\ell \oplus \mathbb{Z}/\ell$  and the filtration  $F_{\text{Tate}}^n \Pi_{0,0}\mathcal{E}$  is constant, equal to  $\mathbb{Z}/\ell = I(\mathbb{R})/\ell$ , and is therefore not separated.

The convergence property is thus not a “triangulated” one in general, and therefore seems to be a subtle one. However, if the  $I$ -adic filtration on  $\text{GW}(F)$  is finite for all finitely generated  $F$  over  $k$  (possibly of varying length depending on  $F$ ), then the augmentation ideal in  $\text{GW}(F)$  is two-primary torsion. Our computations (at least in characteristic  $\neq 2$ ) show that the filtration  $F_{\text{Tate}}^* \Pi_{0,p} \Sigma_T^\infty \mathbb{G}_m^{\wedge q}$  is in this case at least locally finite, and thus has better triangulated properties. In particular, for  $\ell \neq 2$ ,

$$\Pi_{0,0}(\mathbb{S}_k/\ell) = \mathbb{Z}/\ell, \quad F_{\text{Tate}}^n \Pi_{0,0}(\mathbb{S}_k/\ell) = 0 \text{ for } n > 0.$$

One can therefore ask if Voevodsky’s convergence conjecture is true if one assumes the finiteness of the  $I(F)$ -adic filtration on  $\text{GW}(F)$  for all finitely generated fields  $F$  over  $k$ . The main theorem of this paper is a partial answer to the convergence question along these lines.

**THEOREM 4.** *Let  $k$  be a perfect field of finite cohomological dimension and let  $p$  denote the exponential characteristic.<sup>4</sup> Take  $\mathcal{E}$  in  $\mathcal{SH}_{\text{fin}}(k)$  and take  $x \in X \in \mathbf{Sm}/k$  with  $X$  irreducible. Let  $d = \dim_k X$ . Then for every  $r, q, m \in \mathbb{Z}$ , there is an integer  $N = N(\mathcal{E}, r, d, q)$  such that*

$$(\text{Fil}_{\text{Tate}}^n \Pi_{r,q}f_m\mathcal{E})_x[1/p] = 0$$

for all  $n \geq N$ . In particular, if  $F$  is a field extension of  $k$  of finite transcendence dimension  $d$  over  $k$ , then  $\text{Fil}_{\text{Tate}}^n \Pi_{r,q}f_m\mathcal{E}(F)[1/p] = 0$  for all  $n \geq N$ .

For a more detailed and perhaps more general statement, we refer the reader to theorem 7.3.

*Remarks.* 1. The proof of theorem 4 relies on the Bloch-Kato conjecture.  
 2. As we have seen, Voevodsky’s convergence conjecture is not true for all base fields  $k$ . An interesting class of fields strictly larger than the class of fields of finite cohomological dimension is those of finite virtual cohomological dimension (e.g.,  $\mathbb{R}$ ). We suggest the following formulation:

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<sup>4</sup>That is,  $p = \text{char. } k$  if  $\text{char. } k > 0$ ,  $p = 1$  if  $\text{char. } k = 0$ .

*Conjecture 5.* Let  $k$  be a field of finite virtual 2-cohomological dimension. Then the  $I(k)$ -completed slice tower is weakly convergent: after  $I(k)$ -completion, the filtration  $\text{Fil}_{\text{Tate}}^* \Pi_{r,q} f_m \mathcal{E}$  is stalkwise separated for each  $\mathcal{E}$  in  $\mathcal{SH}_{\text{fin}}(k)$  and each  $r, q, m$ .

This modified conjecture is equivalent to Voevodsky's convergence conjecture in case  $k$  has finite 2-cohomological dimension, as in this case  $I(k)$  is nilpotent. One could also ask for a weaker version, in which one assumes that  $k$  has finite  $p$ -cohomological dimension for all odd primes  $p$ .

3. It would be interesting to be able to say something about the  $p$ -torsion in  $(\text{Fil}_{\text{Tate}}^* \Pi_{r,q} \mathcal{E})_x$ .

The paper is organized as follows: We set the notation in §1. In §2 we recall some basic facts about the slice tower, the truncation functors  $f_n$  in  $\mathcal{SH}(k)$  and  $\mathcal{SH}_{S^1}(k)$ , and the associated filtration  $\text{Fil}_{\text{Tate}}^* \Pi_{a,b}$ . We recall the construction and basic properties of the homotopy coniveau tower, a simplicial model for the slice tower in  $\mathcal{SH}_{S^1}(k)$ , in §3. In §4 we use the simplicial nature of the homotopy coniveau tower to analyze the terms in the slice tower. This leads to the main inductive step in our argument (lemma 4.5), and isolates the particular piece that we need to study. This is analyzed further in §5, where we more precisely identify this piece in terms of a  $\mathcal{K}_*^{MW}$ -module structure on the bi-graded homotopy sheaves (see theorem 5.3). In §6 we use a decomposition theorem of Morel and results of Cisinski-Dégliše to prove some boundedness properties of the homotopy sheaves  $\Pi_{p,q} \mathcal{E}$  and their  $\mathbb{Q}$ -localizations  $\Pi_{p,q} \mathcal{E}_{\mathbb{Q}}$  for  $\mathcal{E}$  in  $\mathcal{SH}_{\text{fin}}(k)$ , under the assumption that the base-field  $k$  has finite 2-cohomological dimension. In the final section 7, we assemble all the pieces and prove our main result. We conclude with two appendices; the first collects some results on norm maps for finite field extensions that are used throughout the paper and the second assembles some basic facts on the localization of compactly generated triangulated categories with respect to a collection of non-zero integers.

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1. BACKGROUND AND NOTATION

Unless we specify otherwise,  $k$  will be a fixed perfect base field, without restriction on the characteristic. For details on the following constructions, we refer the reader to [5, 7, 8, 14, 15, 17, 18].

We write  $[n]$  for the set  $\{0, \dots, n\}$  with the standard order (including  $[-1] = \emptyset$ ) and let  $\Delta$  be the category with objects  $[n]$ ,  $n = 0, 1, \dots$ , and morphisms  $[n] \rightarrow [m]$  the order-preserving maps of sets. Given a category  $\mathcal{C}$ , the category of simplicial objects in  $\mathcal{C}$  is as usual the category of functors  $\Delta^{\text{op}} \rightarrow \mathcal{C}$ , and the category of cosimplicial objects the functor category  $\mathcal{C}^{\Delta}$ .

**Spc** will denote the category of simplicial sets, **Spc** $\bullet$  the category of pointed simplicial sets,  $\mathcal{H} := \mathbf{Spc}[WE^{-1}]$  the classical unstable homotopy category and

$\mathcal{H}_\bullet := \mathbf{Spc}_\bullet[WE^{-1}]$  the pointed version; here  $WE$  is the usual class of weak equivalences, that is, morphisms  $A \rightarrow B$  that induce an isomorphism on all  $\pi_n$  for all choice of base-point.  $\mathbf{Spt}$  is the category of spectra, that is, spectrum objects in  $\mathbf{Spc}_\bullet$  with respect to the left suspension functor  $\Sigma_{S^1}^\ell := S^1 \wedge (-)$ . With  $sWE$  denoting the class of stable weak equivalences, that is, morphisms  $f : E \rightarrow F$  in  $\mathbf{Spt}$  that induce an isomorphism on all stable homotopy groups,  $\mathcal{SH} := \mathbf{Spt}[sWE^{-1}]$  is the classical stable homotopy category.

For a simplicial object in  $\mathbf{Spc}$ , resp.  $\mathbf{Spc}_\bullet$ , resp.  $\mathbf{Spt}$ ,  $S : \Delta^{\text{op}} \rightarrow \mathbf{Spc}, \mathbf{Spc}_\bullet, \mathbf{Spt}$ , we let  $|S| \in \mathbf{Spc}, \mathbf{Spc}_\bullet, \mathbf{Spt}$  denote respective homotopy colimit  $\text{hocolim}_{\Delta^{\text{op}}} S$ .

The motivic versions are as follows:  $\mathbf{Sm}/k$  is the category of smooth finite type  $k$ -schemes.  $\mathbf{Spc}(k)$  is the category of  $\mathbf{Spc}$ -valued presheaves on  $\mathbf{Sm}/k$ ,  $\mathbf{Spc}_\bullet(k)$  the  $\mathbf{Spc}_\bullet$ -valued presheaves, and  $\mathbf{Spt}_{S^1}(k)$  the  $\mathbf{Spt}$ -valued presheaves. These all come with “motivic” model structures as simplicial model categories (see for example [8]); we denote the corresponding homotopy categories by  $\mathcal{H}(k)$ ,  $\mathcal{H}_\bullet(k)$  and  $\mathcal{SH}_{S^1}(k)$ , respectively. Sending  $X \in \mathbf{Sm}/k$  to the sheaf of sets on  $\mathbf{Sm}/k$  represented by  $X$  (which we also denote by  $X$ ) gives an embedding of  $\mathbf{Sm}/k$  to  $\mathbf{Spc}(k)$ ; we have the similarly defined embedding of the category of smooth pointed schemes over  $k$  into  $\mathbf{Spc}_\bullet(k)$ . Sending a (pointed) simplicial set  $A$  to the constant presheaf with value  $A$  (also denoted by  $A$ ) defines an embedding of  $\mathbf{Spc}$  in  $\mathbf{Spc}(k)$  and of  $\mathbf{Spc}_\bullet$  in  $\mathbf{Spc}_\bullet(k)$ .

Let  $\mathbb{G}_m$  be the pointed  $k$ -scheme  $(\mathbb{A}^1 \setminus \{0, 1\})$ . We let  $T := \mathbb{A}^1/(\mathbb{A}^1 \setminus \{0\})$  and let  $\mathbf{Spt}_T(k)$  denote the category of  $T$ -spectra, i.e., spectra in  $\mathbf{Spc}_\bullet(k)$  with respect to the left  $T$ -suspension functor  $\Sigma_T^\ell := T \wedge (-)$ .  $\mathbf{Spt}_T(k)$  has a motivic model structure (see [8]) and  $\mathcal{SH}(k)$  is the homotopy category. We can also form the category of spectra in  $\mathbf{Spt}_{S^1}(k)$  with respect to  $\Sigma_T^\ell$ ; with an appropriate model structure the resulting homotopy category is equivalent to  $\mathcal{SH}(k)$ . We will identify these two homotopy categories without further mention.

For each  $\mathcal{A} \in \mathbf{Spc}_\bullet(k)$ , the suspension functor  $\Sigma_{\mathcal{A}} : \mathbf{Spc}_\bullet(k) \rightarrow \mathbf{Spc}_\bullet(k)$ ,  $\Sigma_{\mathcal{A}}(\mathcal{B}) := \mathcal{B} \wedge \mathcal{A}$ , extends to the suspension functor  $\Sigma_{\mathcal{A}} : \mathbf{Spt}_{S^1}(k) \rightarrow \mathbf{Spt}_{S^1}(k)$  or  $\Sigma_{\mathcal{A}} : \mathbf{Spt}_T(k) \rightarrow \mathbf{Spt}_T(k)$ . For  $\mathcal{A}$  cofibrant, this gives the suspension functors  $\Sigma_{\mathcal{A}} : \mathcal{H}_\bullet(k) \rightarrow \mathcal{H}_\bullet(k)$ ,  $\Sigma_{\mathcal{A}} : \mathcal{SH}_{S^1}(k) \rightarrow \mathcal{SH}_{S^1}(k)$  and  $\Sigma_{\mathcal{A}} : \mathcal{SH}(k) \rightarrow \mathcal{SH}(k)$  by applying  $\Sigma_{\mathcal{A}}$  to a cofibrant replacement.

Both  $\mathcal{SH}_{S^1}(k)$  and  $\mathcal{SH}(k)$  are triangulated categories with translation functor  $\Sigma_{S^1}$ . On  $\mathcal{H}_\bullet(k)$ ,  $\mathcal{SH}_{S^1}(k)$  and  $\mathcal{SH}(k)$ , we have  $\Sigma_T \cong \Sigma_{S^1} \circ \Sigma_{\mathbb{G}_m}$ ; the suspension functors  $\Sigma_T$  and  $\Sigma_{\mathbb{G}_m}$  on  $\mathcal{SH}(k)$  are invertible. For  $\mathcal{A} \in \mathbf{Spc}_\bullet(k)$ , we have an enriched Hom on  $\mathbf{Spt}_{S^1}(k)$  and  $\mathbf{Spt}_T(k)$  with values in spectra; we denote the enriched Hom functor by  $\text{Hom}(\mathcal{A}, -)$ . This passes to the homotopy categories  $\mathcal{H}_\bullet(k)$ ,  $\mathcal{SH}_{S^1}(k)$  and  $\mathcal{SH}(k)$  to give for  $\mathcal{A} \in \mathcal{H}_\bullet(k)$  an enriched Hom  $\text{Hom}(\mathcal{A}, -)$  with values in  $\mathcal{SH}$ . For  $X \in \mathbf{Sm}/k$ ,  $E \in \mathbf{Spt}_{S^1}(k)$ ,  $\text{Hom}(X_+, E) = E(X)$ .

We have the triangle of infinite suspension functors  $\Sigma^\infty$  and their right adjoints  $\Omega^\infty$

$$\begin{array}{ccc}
 \mathcal{H}_\bullet(k) & \xrightarrow{\Sigma_{S^1}^\infty} & \mathcal{SH}_{S^1}(k) & & \mathcal{H}_\bullet(k) & \xleftarrow{\Omega_{S^1}^\infty} & \mathcal{SH}_{S^1}(k) \\
 & \searrow \Sigma_T^\infty & \downarrow \Sigma_T^\infty & & & \swarrow \Omega_T^\infty & \uparrow \Omega_T^\infty \\
 & & \mathcal{SH}(k) & & & & \mathcal{SH}(k)
 \end{array}$$

both commutative up to natural isomorphism. These are all left, resp. right derived versions of Quillen adjoint pairs of functors on the underlying model categories.

For  $\mathcal{X} \in \mathcal{H}_\bullet(k)$ , we have the bi-graded homotopy sheaf  $\Pi_{a,b}\mathcal{X}$ , defined for  $a, b \geq 0$ , as the Nisnevich sheaf associated to the presheaf on  $\mathbf{Sm}/k$

$$U \mapsto \text{Hom}_{\mathcal{H}_\bullet(k)}(\Sigma_{S^1}^a \Sigma_{\mathbb{G}_m}^b U_+, \mathcal{X});$$

note the perhaps non-standard indexing. We have the bi-graded homotopy sheaves  $\Pi_{a,b}E$  for  $E \in \mathcal{SH}_{S^1}(k)$ ,  $b \geq 0$ ,  $a \in \mathbb{Z}$ , and  $\Pi_{a,b}\mathcal{E}$  for  $\mathcal{E} \in \mathcal{SH}(k)$ ,  $a, b \in \mathbb{Z}$ , by taking the Nisnevich sheaf associated to

$$U \mapsto \text{Hom}_{\mathcal{SH}_{S^1}(k)}(\Sigma_{S^1}^a \Sigma_{\mathbb{G}_m}^b \Sigma_{S^1}^\infty U_+, E) \text{ or } U \mapsto \text{Hom}_{\mathcal{SH}(k)}(\Sigma_{S^1}^a \Sigma_{\mathbb{G}_m}^b \Sigma_T^\infty U_+, \mathcal{E}),$$

as the case may be. We write  $\pi_n$  for  $\Pi_{n,0}$ ; for  $E \in \mathbf{Spt}_{S^1}(k)$  fibrant,  $\pi_n E$  is the Nisnevich sheaf associated to the presheaf  $U \mapsto \pi_n(E(U))$ .

$\mathcal{SH}(k)$  has the set of compact generators

$$\{\Sigma_{S^1}^n \Sigma_T^m \Sigma_{S^1}^\infty X_+, n, m \in \mathbb{Z}, X \in \mathbf{Sm}/k\}$$

and  $\mathcal{SH}_{S^1}(k)$  has the set of compact generators

$$\{\Sigma_{S^1}^n \Sigma_T^m \Sigma_{S^1}^\infty X_+, n \in \mathbb{Z}, m \geq 0, X \in \mathbf{Sm}/k\}.$$

For  $\mathcal{SH}(k)$ , this is [4, theorem 9.2]; the proof of this result goes through without change to yield the statement for  $\mathcal{SH}_{S^1}(k)$ . As these triangulated categories are both homotopy categories of stable model categories, both admit arbitrary small coproducts.

For  $F$  a finitely generated field extension of  $k$ , we may view  $\text{Spec } F$  as the generic point of some  $X \in \mathbf{Sm}/k$  (since  $k$  is perfect). Thus, for a Nisnevich sheaf  $\mathcal{S}$  on  $\mathbf{Sm}/k$ , we may define  $\mathcal{S}(F)$  as the stalk of  $\mathcal{S}$  at  $\text{Spec } F \in X$ . For an arbitrary field extension  $F$  of  $k$  (not necessarily finitely generated over  $k$ ), we define  $\mathcal{S}(F)$  as the colimit over  $\mathcal{S}(F_\alpha)$ , as  $F_\alpha$  runs over subfields of  $F$  containing  $k$  and finitely generated over  $k$ . For a finitely generated field  $F$  over  $k$ , we consider objects such as  $\text{Spec } F$ , or  $\mathbb{A}_F^n$  as pro-objects in  $\mathbf{Spc}(k)$  by the usual system of finite-type models; the same holds for related objects such as  $\text{Spec } F_+$  in  $\mathcal{H}_\bullet(k)$  or  $\Sigma_{S^1}^\infty \text{Spec } F_+$  in  $\mathcal{SH}_{S^1}(k)$ , etc. We extend this to arbitrary field extensions of  $k$  by taking the system of finitely generated subfields. We will usually not explicitly insert the ‘‘pro-’’ in the text, but all such objects, as well as morphisms and isomorphisms between them, should be so understood.

2. VOEVODSKY'S SLICE TOWER

We begin by recalling definition and basic properties of the Tate-Postnikov tower in  $\mathcal{SH}_{S^1}(k)$  and in  $\mathcal{SH}(k)$ . We then define the main object of our study: the filtration on the bi-graded homotopy sheaves of a  $T$ -spectrum or an  $S^1$ -spectrum induced by the respective Tate-Postnikov towers.

For  $n \geq 0$ , we let  $\Sigma_T^n \mathcal{SH}_{S^1}(k)$  be the localizing subcategory of  $\mathcal{SH}_{S^1}(k)$  generated by the (compact) objects  $\Sigma_T^m \Sigma_{S^1}^\infty X_+$ , with  $X \in \mathbf{Sm}/k$  and  $m \geq n$ . We note that  $\Sigma_T^0 \mathcal{SH}_{S^1}(k) = \mathcal{SH}_{S^1}(k)$ . The inclusion functor  $i_n : \Sigma_T^n \mathcal{SH}_{S^1}(k) \rightarrow \mathcal{SH}_{S^1}(k)$  admits, by results of Neeman [21, theorem 4.1], a right adjoint  $r_n$ ; define the functor  $f_n : \mathcal{SH}_{S^1}(k) \rightarrow \Sigma_T^n \mathcal{SH}_{S^1}(k)$  by  $f_n := i_n \circ r_n$ . The co-unit for the adjunction gives us the natural morphism

$$\rho_n : f_n E \rightarrow E$$

for  $E \in \mathcal{SH}_{S^1}(k)$ ; similarly, the inclusion  $\Sigma_T^m \mathcal{SH}_{S^1}(k) \subset \Sigma_T^n \mathcal{SH}_{S^1}(k)$  for  $n < m$  gives the natural transformation  $f_m E \rightarrow f_n E$ , forming the *Tate-Postnikov tower*

$$\dots \rightarrow f_{n+1} E \rightarrow f_n E \rightarrow \dots \rightarrow f_0 E = E;$$

we define  $f_n := \text{id}$  for  $n < 0$ . We complete  $f_{n+1} E \rightarrow f_n E$  to a distinguished triangle

$$f_{n+1} E \rightarrow f_n E \rightarrow s_n E \rightarrow f_{n+1} E[1];$$

this distinguished triangle actually characterizes  $s_n E$  up to *unique* isomorphism, hence this defines a distinguished triangle that is functorial in  $E$ . The object  $s_n E$  is the *n*th slice of  $E$ .

There is an analogous construction in  $\mathcal{SH}(k)$ : For  $n \in \mathbb{Z}$ , let

$$\Sigma_T^n \mathcal{SH}^{eff}(k) \subset \mathcal{SH}(k)$$

be the localizing category generated by the  $T$ -suspension spectra  $\Sigma_T^m \Sigma_T^\infty X_+$ , for  $X \in \mathbf{Sm}/k$  and  $m \geq n$ ; write  $\mathcal{SH}^{eff}(k)$  for  $\Sigma_T^0 \mathcal{SH}^{eff}(k)$ . As above, the inclusion  $i_n : \Sigma_T^n \mathcal{SH}^{eff}(k) \rightarrow \mathcal{SH}(k)$  admits a right adjoint  $r_n$ , giving us the truncation functor  $f_n$ ,  $n \in \mathbb{Z}$ , and the Tate-Postnikov tower

$$\dots \rightarrow f_{n+1} \mathcal{E} \rightarrow f_n \mathcal{E} \rightarrow \dots \rightarrow \mathcal{E}.$$

We define the layer  $s_n \mathcal{E}$  by a distinguished triangle as above. For integers  $N \geq n$ , we let  $\rho_{n,N} : f_N \rightarrow f_n$  and  $\rho_n : f_n \rightarrow \text{id}$  denote the canonical natural transformations. We mention the following elementary but useful result.

LEMMA 2.1. *For integers  $N, n$ , the diagram of natural endomorphisms of  $\mathcal{SH}(k)$*

$$\begin{array}{ccc} f_n \circ f_N & \xrightarrow{\rho_n(f_N)} & f_N \\ f_n(\rho_N) \downarrow & & \downarrow \rho_N \\ f_n & \xrightarrow{\rho_n} & \text{id} \end{array}$$



commutes. Moreover, for  $N \geq n$ , the map  $\rho_n(f_N)$  is a natural isomorphism, and for  $N \leq n$ , the map  $f_n(\rho_N)$  is a natural isomorphism. The same holds with  $\mathcal{SH}_{S^1}(k)$  replacing  $\mathcal{SH}(k)$ .

*Proof.* The first assertion is just the naturality of  $\rho_n$  with respect to the morphism  $\rho_N : f_N \rightarrow \text{id}$ .

Suppose  $N \geq n$ . Then  $\Sigma_T^N \mathcal{SH}^{eff}(k) \subset \Sigma_T^n \mathcal{SH}^{eff}(k)$  and thus for all  $\mathcal{E} \in \mathcal{SH}(k)$ ,  $\text{id} : f_N \mathcal{E} \rightarrow f_N \mathcal{E}$  satisfies the universal property of  $\rho_n(f_N \mathcal{E}) : f_n(f_N \mathcal{E}) \rightarrow f_N \mathcal{E}$ , namely,  $f_N \mathcal{E}$  is in  $\Sigma_T^n \mathcal{SH}^{eff}(k)$  and  $\text{id} : f_N \mathcal{E} \rightarrow f_N \mathcal{E}$  is universal for maps  $T \rightarrow f_N \mathcal{E}$  with  $T \in \Sigma_T^n \mathcal{SH}^{eff}(k)$ . Thus,  $\rho_n(f_N \mathcal{E})$  is an isomorphism.

If  $N \leq n$ , then for  $\mathcal{E} \in \mathcal{SH}(k)$ ,  $f_n(f_N \mathcal{E})$  is in  $\Sigma_T^n \mathcal{SH}^{eff}(k)$  and  $\rho_n(f_N \mathcal{E}) : f_n(f_N \mathcal{E}) \rightarrow f_N \mathcal{E}$  is universal for maps  $T \rightarrow f_N \mathcal{E}$  with  $T \in \Sigma_T^n \mathcal{SH}^{eff}(k)$ . Since  $\Sigma_T^n \mathcal{SH}^{eff}(k) \subset \Sigma_T^N \mathcal{SH}^{eff}(k)$ , the universal property of  $\rho_N(\mathcal{E}) : f_N \mathcal{E} \rightarrow \mathcal{E}$  shows that  $\rho_N(\mathcal{E}) \circ \rho_n(f_N \mathcal{E}) : f_n(f_N \mathcal{E}) \rightarrow \mathcal{E}$  is universal for maps  $T \rightarrow \mathcal{E}$  with  $T \in \Sigma_T^n \mathcal{SH}^{eff}(k)$ , and thus  $f_n(\rho_N(\mathcal{E}))$  is an isomorphism. The proof for  $\mathcal{SH}_{S^1}(k)$  is the same.  $\square$

LEMMA 2.2. For  $n \in \mathbb{Z}$ , there is a natural isomorphism

$$(2.1) \quad f_n \Omega_T^\infty \mathcal{E} \cong \Omega_T^\infty f_n \mathcal{E}.$$

*Proof.* First suppose that  $n \geq 0$ . It follows from [10, theorem 7.4.1] that  $\Omega_T^\infty f_n \mathcal{E}$  is in  $\Sigma_T^n \mathcal{SH}_{S^1}(k)$  and thus we need only show that  $\Omega^\infty \rho_n : \Omega_T^\infty f_n \mathcal{E} \rightarrow \Omega_T^\infty \mathcal{E}$  satisfies the universal property of  $f_n \Omega_T^\infty \mathcal{E} \rightarrow \Omega_T^\infty \mathcal{E}$ .  $\Sigma_T^n \mathcal{SH}_{S^1}(k)$  is generated as a localizing subcategory of  $\mathcal{SH}_{S^1}(k)$  by objects  $\Sigma_T^n G$ ,  $G \in \mathcal{SH}_{S^1}(k)$ , so it suffices to check for objects of this form. We have

$$\begin{aligned} \text{Hom}_{\mathcal{SH}_{S^1}(k)}(\Sigma_T^n G, \Omega_T^\infty f_n \mathcal{E}) &\cong \text{Hom}_{\mathcal{SH}(k)}(\Sigma_T^\infty \Sigma_T^n G, f_n \mathcal{E}) \\ &\cong \text{Hom}_{\mathcal{SH}(k)}(\Sigma_T^n \Sigma_T^\infty G, f_n \mathcal{E}) \xrightarrow{\sim \rho_{n*}} \text{Hom}_{\mathcal{SH}(k)}(\Sigma_T^n \Sigma_T^\infty G, \mathcal{E}) \\ &\cong \text{Hom}_{\mathcal{SH}(k)}(\Sigma_T^\infty \Sigma_T^n G, \mathcal{E}) \cong \text{Hom}_{\mathcal{SH}_{S^1}(k)}(\Sigma_T^n G, \Omega_T^\infty \mathcal{E}). \end{aligned}$$

It is easy to check that this sequence of isomorphisms is induced by  $(\Omega_T^\infty \rho_n)_*$ . Now suppose that  $n < 0$ . Then  $f_n \Omega_T^\infty \mathcal{E} \cong f_0 \Omega_T^\infty \mathcal{E} \cong \Omega_T^\infty f_0 \mathcal{E}$ , so it suffices to show that the map  $f_0 \mathcal{E} \rightarrow f_n \mathcal{E}$  induces an isomorphism  $\Omega_T^\infty f_0 \mathcal{E} \rightarrow \Omega_T^\infty f_n \mathcal{E}$ . But for  $F \in \mathcal{SH}_{S^1}(k)$ ,  $\Sigma_T^\infty F$  is in  $\mathcal{SH}^{eff}(k)$  and

$$\begin{aligned} \text{Hom}_{\mathcal{SH}_{S^1}(k)}(F, \Omega_T^\infty f_0 \mathcal{E}) &\cong \text{Hom}_{\mathcal{SH}(k)}(\Sigma_T^\infty F, f_0 \mathcal{E}) \\ &\xrightarrow{\sim \rho_{n,0}} \text{Hom}_{\mathcal{SH}(k)}(\Sigma_T^\infty F, f_n \mathcal{E}) \cong \text{Hom}_{\mathcal{SH}_{S^1}(k)}(F, \Omega_T^\infty f_n \mathcal{E}). \end{aligned}$$

$\square$

For  $E \in \mathcal{SH}_{S^1}(k)$ , we have (by [10, theorem 7.4.2]) the canonical isomorphism

$$(2.2) \quad \Omega_{G_m}^r f_n E \cong f_{n-r} \Omega_{G_m}^r E$$

for  $r \geq 0$ . As  $\Omega_{\mathbb{G}_m} : \mathcal{SH}(k) \rightarrow \mathcal{SH}(k)$  is an auto-equivalence, and restricts to an equivalence

$$\Omega_{\mathbb{G}_m} : \Sigma_T^n \mathcal{SH}^{eff}(k) \rightarrow \Sigma_T^{n-1} \mathcal{SH}^{eff}(k),$$

the analogous identity in  $\mathcal{SH}(k)$  holds as well, for all  $r \in \mathbb{Z}$ .

DEFINITION 2.3. For  $a \in \mathbb{Z}$ ,  $b \geq 0$ ,  $E \in \mathcal{SH}_{S^1}(k)$ , define the filtration  $F_{Tate}^* \Pi_{a,b} E$  of  $\Pi_{a,b} E$  by

$$F_{Tate}^n \Pi_{a,b} E := \text{im}(\Pi_{a,b} f_n E \rightarrow \Pi_{a,b} E); \quad n \in \mathbb{Z}.$$

Similarly, for  $\mathcal{E} \in \mathcal{SH}(k)$ ,  $a, b, n \in \mathbb{Z}$ , define

$$F_{Tate}^n \Pi_{a,b} \mathcal{E} := \text{im}(\Pi_{a,b} f_n \mathcal{E} \rightarrow \Pi_{a,b} \mathcal{E}).$$

The main object of this paper is to understand  $F_{Tate}^n \Pi_{a,b} E$  for suitable  $E$ . For later use, we note the following:

LEMMA 2.4. 1. For  $E \in \mathcal{SH}_{S^1}(k)$ ,  $n, p, a, b \in \mathbb{Z}$  with  $p, b, b - p \geq 0$ , the adjunction isomorphism  $\Pi_{a,b} E \cong \Pi_{a,b-p} \Omega_{\mathbb{G}_m}^p E$  induces an isomorphism

$$F_{Tate}^n \Pi_{a,b} E \cong F_{Tate}^{n-p} \Pi_{a,b-p} \Omega_{\mathbb{G}_m}^p E.$$

Similarly, for  $\mathcal{E} \in \mathcal{SH}(k)$ ,  $n, p, a, b \in \mathbb{Z}$ , the adjunction isomorphism  $\Pi_{a,b} \mathcal{E} \cong \Pi_{a,b-p} \Omega_{\mathbb{G}_m}^p \mathcal{E}$  induces an isomorphism

$$F_{Tate}^n \Pi_{a,b} \mathcal{E} \cong F_{Tate}^{n-p} \Pi_{a,b-p} \Omega_{\mathbb{G}_m}^p \mathcal{E}.$$

2. For  $\mathcal{E} \in \mathcal{SH}(k)$ ,  $a, b, n \in \mathbb{Z}$ , with  $b \geq 0$ , we have a canonical isomorphism

$$\varphi_{\mathcal{E},a,b,n} : \Pi_{a,b} f_n \mathcal{E} \rightarrow \Pi_{a,b} f_n \Omega_T^\infty \mathcal{E},$$

inducing an isomorphism  $F_{Tate}^n \Pi_{a,b} \mathcal{E} \cong F_{Tate}^n \Pi_{a,b} \Omega_T^\infty \mathcal{E}$ .

Proof. (1) By (2.2), adjunction induces isomorphisms

$$\begin{aligned} F_{Tate}^n \Pi_{a,b} E &:= \text{im}(\Pi_{a,b} f_n E \rightarrow \Pi_{a,b} E) \\ &\cong \text{im}(\Pi_{a,b-p} \Omega_{\mathbb{G}_m}^p f_n E \rightarrow \Pi_{a,b-p} \Omega_{\mathbb{G}_m}^p E) \\ &= \text{im}(\Pi_{a,b-p} f_{n-p} \Omega_{\mathbb{G}_m}^p E \rightarrow \Pi_{a,b-p} \Omega_{\mathbb{G}_m}^p E) = F_{Tate}^{n-p} \Pi_{a,b-p} \Omega_{\mathbb{G}_m}^p E. \end{aligned}$$

The proof for  $\mathcal{E} \in \mathcal{SH}(k)$  is the same.

For (2), the isomorphism  $\varphi_{\mathcal{E},a,b,n}$  arises from (2.1) and the adjunction isomorphism

$$\begin{aligned} \text{Hom}_{\mathcal{SH}_{S^1}(k)}(\Sigma_{S^1}^a \Sigma_{\mathbb{G}_m}^b \Sigma_{S^1}^\infty U_+, f_n \Omega_T^\infty \mathcal{E}) \\ \cong \text{Hom}_{\mathcal{SH}_{S^1}(k)}(\Sigma_{S^1}^a \Sigma_{\mathbb{G}_m}^b \Sigma_{S^1}^\infty U_+, \Omega_T^\infty f_n \mathcal{E}) \\ \cong \text{Hom}_{\mathcal{SH}(k)}(\Sigma_{S^1}^a \Sigma_{\mathbb{G}_m}^b \Sigma_T^\infty U_+, f_n \mathcal{E}). \end{aligned}$$

□

3. THE HOMOTOPY CONIVEAU TOWER

Our computations rely heavily on our model for the Tate-Postnikov tower in  $\mathcal{SH}_{S^1}(k)$ , which we briefly recall (for details, we refer the reader to [10]).

We start with the cosimplicial scheme  $n \mapsto \Delta^n$ , with  $\Delta^n$  the algebraic  $n$ -simplex  $\text{Spec } k[t_0, \dots, t_n] / \sum_i t_i - 1$ . The cosimplicial structure is given by sending a map  $g : [n] \rightarrow [m]$  to the map  $\Delta(g) : \Delta^n \rightarrow \Delta^m$  determined by

$$\Delta(g)^*(t_i) = \begin{cases} \sum_{j: g(j)=i} t_j & \text{if } g^{-1}(i) \neq \emptyset \\ 0 & \text{else.} \end{cases}$$

A face of  $\Delta^m$  is a closed subscheme  $F$  defined by equations  $t_{i_1} = \dots = t_{i_r} = 0$ ; we let  $\partial\Delta^n \subset \Delta^n$  be the closed subscheme defined by  $\prod_{i=0}^n t_i = 0$ , i.e.,  $\partial\Delta^n$  is the union of all the proper faces.

Take  $X \in \mathbf{Sm}/k$ . We let  $\mathcal{S}_X^{(q)}(m)$  denote the set of closed subsets  $W \subset X \times \Delta^m$  such that

$$\text{codim}_{X \times F} W \cap X \times F \geq q$$

for all faces  $F \subset \Delta^m$  (including  $F = \Delta^m$ ). We make  $\mathcal{S}_X^{(q)}(m)$  into a partially ordered set via inclusions of closed subsets. Sending  $m$  to  $\mathcal{S}_X^{(q)}(m)$  and  $g : [n] \rightarrow [m]$  to  $\Delta(g)^{-1} : \mathcal{S}_X^{(q)}(m) \rightarrow \mathcal{S}_X^{(q)}(n)$  gives us the simplicial poset  $\mathcal{S}_X^{(q)}$ .

Now take  $E \in \mathbf{Spt}_{S^1}(k)$ . For  $X \in \mathbf{Sm}/k$  and closed subset  $W \subset X$ , we have the spectrum with supports  $E^W(X)$  defined as the homotopy fiber of the restriction map  $E(X) \rightarrow E(X \setminus W)$ . This construction is functorial in the pair  $(X, W)$ , where we define a map  $f : (Y, T) \rightarrow (X, W)$  as a morphism  $f : Y \rightarrow X$  in  $\mathbf{Sm}/k$  with  $f^{-1}(W) \subset T$ . We usually denote the map induced by  $f : (Y, T) \rightarrow (X, W)$  by  $f^* : E^W(X) \rightarrow E^T(Y)$ , but for  $f = \text{id}_X : (X, T) \rightarrow (X, W)$ ,  $i : W \rightarrow T$  the resulting inclusion, we write  $i_* : E^W(X) \rightarrow E^T(X)$  for  $\text{id}_X^*$ .

Define

$$E^{(q)}(X, m) := \text{hocolim}_{W \in \mathcal{S}_X^{(q)}(m)} E^W(X \times \Delta^m).$$

The fact that  $m \mapsto \mathcal{S}_X^{(q)}(m)$  is a simplicial poset, and  $(Y, T) \mapsto E^T(Y)$  is a functor from the category of pairs to spectra shows that  $m \mapsto E^{(q)}(X, m)$  defines a simplicial spectrum. We define the spectrum  $E^{(q)}(X)$  by

$$E^{(q)}(X) := |m \mapsto E^{(q)}(X, m)| := \text{hocolim}_{\Delta^{\text{op}}} E^{(q)}(X, -).$$

For  $q \geq q'$ , the inclusions  $\mathcal{S}_X^{(q)}(m) \subset \mathcal{S}_X^{(q')}(m)$  induce a map of simplicial posets  $\mathcal{S}_X^{(q)} \subset \mathcal{S}_X^{(q')}$  and thus a morphism of spectra  $i_{q',q} : E^{(q)}(X) \rightarrow E^{(q')}(X)$ . Since  $E^{(0)}(X, 0) = E(X)$ , we have the canonical map

$$\epsilon_X : E(X) \rightarrow E^{(0)}(X),$$

which is a weak equivalence if  $E$  is homotopy invariant. Together, this forms the *augmented homotopy coniveau tower*

$$E^{(*)}(X) := \dots \rightarrow E^{(q+1)}(X) \xrightarrow{i_q} E^{(q)}(X) \xrightarrow{i_{q-1}} \dots E^{(1)}(X) \xrightarrow{i_0} E^{(0)}(X) \xleftarrow{\epsilon_X} E(X)$$

with  $i_q := i_{q,q+1}$ . For homotopy invariant  $E$ , this gives us the homotopy coniveau tower in  $\mathcal{SH}$

$$E^{(*)}(X) := \dots \rightarrow E^{(q+1)}(X) \xrightarrow{i_q} E^{(q)}(X) \xrightarrow{i_{q-1}} \dots E^{(1)}(X) \xrightarrow{i_0} E^{(0)}(X) \cong E(X).$$

Letting  $\mathbf{Sm}/k$  denote the subcategory of  $\mathbf{Sm}/k$  with the same objects and with morphisms the smooth morphisms, it is not hard to see that sending  $X$  to  $E^{(*)}(X)$  defines a functor from  $\mathbf{Sm}/k^{\text{op}}$  to augmented towers of spectra. On the other hand, for  $E \in \mathbf{Spt}_{S^1}(k)$ , we have the (augmented) Tate-Postnikov tower

$$f_*E := \dots \rightarrow f_{q+1}E \rightarrow f_qE \rightarrow \dots \rightarrow f_0E \cong E$$

in  $\mathcal{SH}_{S^1}(k)$ , which we may evaluate at  $X \in \mathbf{Sm}/k$ , giving the tower  $f_*E(X)$  in  $\mathcal{SH}$ , augmented over  $E(X)$ .

Call  $E \in \mathbf{Spt}_{S^1}(k)$  *quasi-fibrant* if, for  $E \rightarrow E^{fib}$  a fibrant replacement in the motivic model structure, the map  $E(X) \rightarrow E^{fib}(X)$  is a stable weak equivalence in  $\mathbf{Spt}$  for all  $X \in \mathbf{Sm}/k$ . As a general rule, we will represent an  $E \in \mathcal{SH}_{S^1}(k)$  by a fibrant object in  $\mathbf{Spt}_{S^1}(k)$ , also denoted  $E$ , without making explicit mention of this choice.

As a direct consequence of [10, theorem 7.1.1] we have

**THEOREM 3.1.** *Let  $E$  be a quasi-fibrant object in  $\mathbf{Spt}_{S^1}(k)$ , and take  $X \in \mathbf{Sm}/k$ . Then there is an isomorphism of augmented towers in  $\mathcal{SH}$*

$$(f_*E)(X) \cong E^{(*)}(X)$$

over the identity on  $E(X)$ , which is natural with respect to smooth morphisms in  $\mathbf{Sm}/k$ .

In particular, we may use the model  $E^{(q)}(X)$  to understand  $(f_qE)(X)$ .

*Remark 3.2.* For  $X, Y \in \mathbf{Sm}/k$  with given  $k$ -points  $x \in X(k)$ ,  $y \in Y(k)$ , we have a natural isomorphism in  $\mathcal{SH}_{S^1}(k)$

$$\Sigma_{S^1}^\infty(X \wedge Y) \oplus \Sigma_{S^1}^\infty(X \vee Y) \cong \Sigma_{S^1}^\infty(X \times Y),$$

using the additivity of the category  $\mathcal{SH}_{S^1}(k)$ . Thus,  $\Sigma_{S^1}^\infty(X \wedge Y)$  is a canonically defined summand of  $\Sigma_{S^1}^\infty(X \times Y)$ . In particular for  $E$  a quasi-fibrant object of  $\mathbf{Spt}_{S^1}(k)$ , we have a natural isomorphism in  $\mathcal{SH}$

$$\text{Hom}(X \wedge Y, E) \cong \text{hofib}(E(X \times Y) \rightarrow \text{hofib}(E(X) \oplus E(Y) \rightarrow E(k)))$$

where the maps are induced by the evident restriction maps. In particular, we may define  $E(X \wedge Y)$  via the above isomorphism, and our comparison results

for Tate-Postnikov tower and homotopy coniveau tower extend to values at smash products of smooth pointed schemes over  $k$ .

4. THE SIMPLICIAL FILTRATION

In this section, we study the filtration on  $\pi_r f_n E(X)$  induced by the simplicial structure of the model  $E^{(n)}(X)$ .

LEMMA 4.1. *Let  $S$  be a smooth  $k$ -scheme,  $W \subset S \times \mathbb{A}^1$  a closed subset such that  $p : W \rightarrow S$  is finite. Let  $E \in \mathbf{Spt}_{S^1}(k)$  be quasi-fibrant. Then the map induced by the inclusion  $i : W \rightarrow p^{-1}(p(W))$  induces the zero map*

$$i_* : \pi_*(E^W(S \times \mathbb{A}^1)) \rightarrow \pi_*(E^{p^{-1}(p(W))}(S \times \mathbb{A}^1)).$$

*Proof.* We steal a proof of Morel's: Let  $Z = p(W)$ , and let  $j_0 : S \times \mathbb{A}^1 \rightarrow S \times \mathbb{P}^1$  be the standard open neighborhood of  $S \times 0$  in  $S \times \mathbb{P}^1$ . Since  $W$  is finite over  $S$ ,  $W$  is closed in  $S \times \mathbb{P}^1$ , so we have the following commutative diagram

$$(4.1) \quad \begin{array}{ccc} \pi_r(E^W(S \times \mathbb{P}^1)) & \xrightarrow{\bar{i}_*} & \pi_r(E^{Z \times \mathbb{P}^1}(S \times \mathbb{P}^1)) \\ j_0^* \downarrow & & \downarrow j_0^* \\ \pi_r(E^W(S \times \mathbb{A}^1)) & \xrightarrow{i_*} & \pi_r(E^{Z \times \mathbb{A}^1}(S \times \mathbb{A}^1)), \end{array}$$

where  $\bar{i} : W \rightarrow Z \times \mathbb{P}^1$  is the inclusion. Let  $i_\infty : S \rightarrow S \times \mathbb{P}^1$  be the infinity section. Since  $W \cap S \times \infty = \emptyset$ , the composition

$$\pi_r(E^W(S \times \mathbb{P}^1)) \xrightarrow{\bar{i}_*} \pi_r(E^{Z \times \mathbb{P}^1}(S \times \mathbb{P}^1)) \xrightarrow{i_\infty^*} \pi_r(E^{Z \times \infty}(S \times \infty))$$

is the zero map. Letting  $j_\infty : S \times \mathbb{A}^1 \rightarrow S \times \mathbb{P}^1$  be the standard open neighborhood of  $S \times \infty$  in  $S \times \mathbb{P}^1$ , the restriction map

$$i_\infty^* : \pi_r(E^{Z \times \mathbb{A}^1}(S \times \mathbb{A}^1)) \rightarrow \pi_r(E^{Z \times \infty}(S \times \infty))$$

is an isomorphism, hence

$$j_\infty^* \circ \bar{i}_* : \pi_r(E^W(S \times \mathbb{P}^1)) \rightarrow \pi_r(E^{Z \times \mathbb{A}^1}(S \times \mathbb{A}^1))$$

is the zero map. Write  $\tilde{j}_\infty$  for the inclusions of  $S \times \mathbb{P}^1 \setminus \{0, \infty\}$  into  $j_0(S \times \mathbb{A}^1)$  and  $\tilde{j}_0$  for the inclusions of  $S \times \mathbb{P}^1 \setminus \{0, \infty\}$  into  $j_\infty(S \times \mathbb{A}^1)$ . Combining (4.1) with the commutativity of the diagram

$$\begin{array}{ccc} \pi_r(E^W(S \times \mathbb{P}^1)) & \xrightarrow{j_\infty^* \circ \bar{i}_*} & \pi_r(E^{Z \times \mathbb{A}^1}(S \times \mathbb{A}^1)) \\ j_0^* \downarrow & & \downarrow \tilde{j}_0^* \\ \pi_r(E^W(S \times \mathbb{A}^1)) & \xrightarrow{\tilde{j}_\infty^* \circ i_*} & \pi_r(E^{Z \times \mathbb{P}^1 \setminus \{0, \infty\}}(S \times \mathbb{P}^1 \setminus \{0, \infty\})) \end{array}$$

we see that  $\tilde{j}_\infty^* \circ i_* = 0$ . From the long exact localization sequence

$$\begin{aligned} \dots \rightarrow \pi_r(E^{Z \times 0}(S \times \mathbb{A}^1)) \xrightarrow{i_{0*}} \pi_r(E^{Z \times \mathbb{A}^1}(S \times \mathbb{A}^1)) \\ \xrightarrow{\tilde{j}_\infty^*} \pi_r(E^{Z \times \mathbb{A}^1 \setminus \{0\}}(S \times \mathbb{A}^1 \setminus \{0\})) \rightarrow \dots \end{aligned}$$

we see that

$$i_*(\pi_r(E^W(S \times \mathbb{A}^1))) \subset i_{0*}(\pi_r(E^{Z \times 0}(S \times \mathbb{A}^1))) \subset \pi_r(E^{Z \times \mathbb{A}^1}(S \times \mathbb{A}^1)).$$

But  $i_{0*} : \pi_r(E^{Z \times 0}(S \times \mathbb{A}^1)) \rightarrow \pi_r(E^{Z \times \mathbb{A}^1}(S \times \mathbb{A}^1))$  is the zero map, since the map  $i_1^* : \pi_r(E^{Z \times \mathbb{A}^1}(S \times \mathbb{A}^1)) \rightarrow \pi_r(E^{Z \times 1}(S \times 1))$  is an isomorphism and  $i_1^* \circ i_{0*} = 0$ .  $\square$

LEMMA 4.2. *Suppose  $F$  is infinite. Take  $W \in \mathcal{S}_F^{(n)}(p)$  and suppose  $\text{codim}_{\Delta_F^p}(W) > n$ . Then the canonical map  $E^W(\Delta_F^p) \rightarrow E^{(n)}(\text{Spec } F, p)$  induces the zero map on  $\pi_*$ .*

*Proof.* We identify  $\Delta^p$  with  $\mathbb{A}^p$  via the barycentric coordinates  $t_1, \dots, t_p$ . Suppose  $W$  has dimension  $d < p - n$ . Then  $d \leq p - 1$  and, as  $F$  is infinite, a general linear projection  $L : \mathbb{A}^p \rightarrow \mathbb{A}^{p-1}$  restricts to  $W$  to a finite morphism  $W \rightarrow \mathbb{A}^{p-1}$ . In addition,  $W' := L^{-1}(L(W))$  is in  $\mathcal{S}_F^{(n)}(p)$  for  $L$  suitably general. Letting  $i : W \rightarrow W'$  be the inclusion, it suffices to show that the map

$$i_* : \pi_* E^W(\Delta_F^p) \rightarrow \pi_* E^{W'}(\Delta_F^p)$$

is the zero map. Via an affine linear change of coordinates on  $\Delta^p$ , we may identify  $\Delta^p$  with  $\mathbb{A}^{p-1} \times \mathbb{A}^1$  and  $L : \mathbb{A}^p \rightarrow \mathbb{A}^{p-1}$  with the projection  $\mathbb{A}^{p-1} \times \mathbb{A}^1 \rightarrow \mathbb{A}^{p-1}$ . The result thus follows from lemma 4.1.  $\square$

Let  $(\Delta_F^p, \partial \Delta^p)^{(n)}$  be the set of codimension  $n$  points  $w$  of  $\Delta_F^p$  such that  $\overline{\{w\}}$  is in  $\mathcal{S}_F^{(n)}(p)$ .

LEMMA 4.3. *Let  $F$  be an infinite field. Then the restriction maps*

$$E^W(\Delta_F^p) \rightarrow \bigoplus_{w \in (\Delta_F^p, \partial \Delta^p)^{(n)} \cap W} E^w(\text{Spec } \mathcal{O}_{\Delta_F^p, w})$$

*for  $W \in \mathcal{S}_F^{(n)}(p)$  defines an injection*

$$\pi_r(E^{(n)}(F, p)) \rightarrow \bigoplus_{w \in (\Delta_F^p, \partial \Delta^p)^{(n)}} \pi_r E^w(\text{Spec } \mathcal{O}_{\Delta_F^p, w})$$

*for each  $r \in \mathbb{Z}$ .*

*Proof.* Take  $W \in \mathcal{S}_F^{(n)}(p)$ . Since  $\Delta_F^p$  is affine, we can find a  $W' \in \mathcal{S}_F^{(n)}(p)$  of pure codimension  $n$  with  $W' \supset W$ : just take a sufficiently general collection of  $n$  functions  $f_1, \dots, f_n$  vanishing on  $W$  and let  $W'$  be the common zero locus of the  $f_i$ . Thus the set of pure codimension  $n$  subsets  $W'$  of  $\Delta_F^p$  with  $W' \in \mathcal{S}_F^{(n)}(p)$  is cofinal in  $\mathcal{S}_F^{(n)}(p)$ .

Let  $W \in \mathcal{S}_F^{(n)}(p)$  have pure codimension  $n$  on  $\Delta_F^p$  and let  $W_0 \subset W$  be any closed subset. Then  $W_0$  is also in  $\mathcal{S}_F^{(n)}(p)$  and we have the long exact localization sequence

$$\dots \rightarrow \pi_r E^{W_0}(\Delta_F^p) \xrightarrow{i_{W_0*}} \pi_r E^W(\Delta_F^p) \rightarrow \pi_r E^{W \setminus W_0}(\Delta_F^p \setminus W_0) \rightarrow \dots$$

Let  $\mathcal{S}_F^{(n)}(p)_0 \subset \mathcal{S}_F^{(n)}(p)$  be the set of all  $W_0 \in \mathcal{S}_F^{(n)}(p)$  with  $\text{codim}_{\Delta_F^p} W_0 > n$ . Let

$$E^{(n)}(F, p)_0 = \text{hocolim}_{W_0 \in \mathcal{S}_F^{(n)}(p)_0} E^{W_0}(\Delta_F^p).$$

Passing to the limit over the above localization sequences gives us the long exact sequence

$$\begin{aligned} \dots \rightarrow \pi_r E^{(n)}(F, p)_0 \xrightarrow{i_{0*}} \pi_r E^{(n)}(F, p) \\ \rightarrow \bigoplus_{w \in (\Delta_F^p, \partial \Delta^p)^{(n)}} \pi_r E^w(\text{Spec } \mathcal{O}_{\Delta_F^p, w}) \rightarrow \dots \end{aligned}$$

By lemma 4.2, the map  $i_{0*}$  is the zero map, which proves the lemma. □

Let  $S : \Delta^{\text{op}} \rightarrow \mathbf{Spt}$  be a simplicial spectrum,  $|S| = \text{hocolim}_{\Delta^{\text{op}}} S \in \mathbf{Spt}$  the associated spectrum, giving us the spectral sequence

$$E_{p,q}^1 = \pi_q S(p) \implies \pi_{p+q} |S|.$$

This spectral sequence induces an increasing filtration  $\text{Fil}_*^{\text{simp}} \pi_r |S|$  on  $\pi_r |S|$ . We have the  $q$ -truncated simplicial spectrum  $S_{\leq q}$  and  $\text{Fil}_q^{\text{simp}} \pi_r |S|$  is just the image of  $\pi_r |S_{\leq q}|$  in  $\pi_r |S|$ . In particular  $\text{Fil}_{-1}^{\text{simp}} \pi_r |S| = 0$  and  $\bigcup_{q=0}^{\infty} \text{Fil}_q^{\text{simp}} \pi_r |S| = \pi_r |S|$ , so the spectral sequence is weakly convergent, and is strongly convergent if for instance there is an integer  $q_0$  such that  $S(p)$  is  $q_0$ -connected for all  $p$ .

The isomorphism of theorem 3.1 thus gives us the weakly convergent spectral sequence

$$(4.2) \quad E_{p,q}^1(X, E, n) = \pi_q E^{(n)}(X, p) \implies \pi_{p+q} f_n E(X)$$

which is strongly convergent if  $\pi_q E^{(n)}(X, p) = 0$  for  $q \leq q_0$ , independent of  $p$ . This defines the increasing filtration  $\text{Fil}_*^{\text{simp}}(E) \pi_r f_n E(X)$  of  $\pi_r f_n E(X)$  with associated graded  $\text{gr}_p^{\text{simp}}(E) \pi_r f_n E(X) = E_{p,r-p}^{\infty}$ .

LEMMA 4.4. *Suppose that  $k$  is infinite and that  $\Pi_{a,*} E(K) = 0$  for  $a < 0$  and all fields  $K$  over  $k$ . Let  $F \supset k$  be a field extension of  $k$ . Then*

- (1)  $E_{p,r-p}^1(F, E, n) = 0$  for  $p > r + n$  and  $\text{Fil}_{r+n}^{\text{simp}}(E) \pi_r f_n E(F) = \pi_r f_n E(F)$ .
- (2)  $E_{p,q}^1(F, E, n)$  is isomorphic to a subgroup of  $\bigoplus_{w \in (\Delta_F^p, \partial \Delta^p)^{(n)}} \Pi_{q+n,n} E(F(w))$ .
- (3) The spectral sequence (4.2) is strongly convergent.

*Proof.* Since the spectral sequence is weakly convergent, to prove (3) it suffices to show that  $E_{p,q}^1(F, E, n) = 0$  for  $q < -n$  and for (1) it suffices to show that

$E_{p,r-p}^1 = 0$  for  $p > r + n$ . These both follows from (2) as our hypothesis implies that  $\Pi_{a,*}E(F(w)) = 0$  for  $a < 0, w \in \Delta_F^p$ .

For (2), lemma 4.3 gives us an inclusion

$$E_{p,q}^1 = \pi_q E^{(n)}(F, p) \subset \bigoplus_{w \in (\Delta_F^p, \partial \Delta^p)^{(n)}} \pi_q E^w(\text{Spec } \mathcal{O}_{\Delta_F^p, w}).$$

Take  $w \in (\Delta_F^p, \partial \Delta^p)^{(n)}$ . By the Morel-Voevodsky purity isomorphism [18, *loc.cit.*], we have  $E^w(\text{Spec } \mathcal{O}_{\Delta_F^p, w}) \cong \mathcal{H}om(\Sigma_{S^1}^n \Sigma_{\mathbb{G}_m}^n w_+, E)$ , hence

$$\pi_q E^w(\text{Spec } \mathcal{O}_{\Delta_F^p, w}) \cong \Pi_{q+n, n} E(F(w)),$$

which proves (2). □

For a field extension  $K$  of  $k$ , we write  $\text{tr. dim}_k K$  for the transcendence dimension of  $K$  over  $k$ .

LEMMA 4.5. *Let  $E$  be in  $\mathcal{SH}_{S^1}(k)$  and suppose  $\Pi_{a,*}E(K) = 0$  for  $a < 0$  and all fields  $K$  over  $k$ . Let  $p$  be the exponential characteristic of  $k$ . Let  $r$  be an integer. Suppose we have functions*

$$(d, q) \mapsto N_j(d, q; E) \geq 0; \quad d, q \geq 0, j = 0, \dots, r - 1,$$

such that, for each field extension  $K$  of  $k$  with  $\text{tr. dim}_k K \leq d$ , each  $j = 0, \dots, r - 1$ , and all integers  $q, M \geq 0, m \geq N_j(d, q; E)$ , we have

$$(4.3) \quad F_{Tate}^m \Pi_{j,q} f_M E(K)[1/p] = 0.$$

Let  $F$  be a field extension of  $k$  with  $\text{tr. dim}_k F \leq d$  and fix an integer  $n \geq 0$ . For  $r > 0$ , let  $N = \max_{j=0}^{r-1} N_j(r - j + d, n; E)$ ; for  $r \leq 0$ , set  $N = 0$ . Then for all integers  $m \geq N, n \geq 0, ,$  we have

$$\pi_r(f_n(f_m E))(F)[1/p] = \text{Fil}_n^{simp}(f_m E) \pi_r(f_n(f_m E))(F)[1/p].$$

*Proof.* We delete the “[1/p]” from the notation in the proof, using the convention that we have inverted the exponential characteristic  $p$  throughout.

If  $k$  is a finite field, fix a prime  $\ell$  and let  $k_\ell$  be the union of all  $\ell$ -power extensions of  $k$ . If we know the result for  $k_\ell$ , then using proposition A.1(2) for each  $k \subset k' \subset k_\ell$  with  $k'$  finite over  $k$  proves the result for  $k$ , after inverting  $\ell$ . Doing the same for some  $\ell' \neq \ell$ , we reduce to the case of an infinite field  $k$ .

By [12, proposition 3.2], the hypothesis  $\Pi_{a,*}E(K) = 0$  for  $a < 0$  and all  $K$  implies  $\Pi_{a,*}f_m E(K) = 0$  for  $a < 0$ , all  $K$ , and all  $m \geq 0$ . In particular,  $\pi_r(f_n(f_m E))(F) = 0$  for  $r < 0$  and all  $n, m \geq 0$ , so for  $r < 0$ , the lemma is trivially true. We therefore assume  $r \geq 0$ . In addition, it follows from lemma 4.4(1) that the spectral sequence (4.2),  $E_{*,*}^*(F, f_m E, n)$ , is strongly convergent for all  $m, n \geq 0$  and  $E_{p,r-p}^1(F, f_m E, n) = 0$  for  $p > r + n$ . Since  $\text{Fil}_*^{simp}(f_m E) \pi_r(f_n(f_m E))(F)$  is by definition the filtration on  $\pi_r(f_n(f_m E))(F)$  induced by this spectral sequence, we need only show that

$$E_{p,r-p}^1(F, f_m E, n) = 0 \text{ for } n < p \leq r + n \text{ and } m \geq N.$$

In particular, the result is proved for  $r = 0$ ; we now assume  $r > 0$ .



Let  $p$  be an integer,  $n < p \leq r + n$ . By lemma 4.4(2),

$$E_{p,r-p}^1(F, f_m E, n) \subset \bigoplus_{w \in (\Delta_F^p, \partial \Delta^p)^{(n)}} \Pi_{r-p+n,n} f_m E(F(w)).$$

For  $w \in (\Delta_F^p, \partial \Delta^p)^{(n)}$ ,  $w$  has codimension  $n$  on  $\Delta_F^p$ , hence  $\text{tr. dim}_F F(w) = p - n$  and thus  $\text{tr. dim}_k F(w) \leq p - n + d$ . We have  $m \geq N_{r-p+n}(p - n + d, n; E)$  since  $0 \leq r - p + n < r$ , and so our hypothesis (4.3) implies

$$F_{\text{Tate}}^m \Pi_{r-p+n,n} f_m E(F(w)) = 0.$$

But  $F_{\text{Tate}}^m \Pi_{a,b} f_m E(F(w)) = \text{im}(\Pi_{a,b} f_m f_m E(F(w)) \xrightarrow{\rho_m(f_m E)_*} \Pi_{a,b} f_m E(F(w)))$  by definition, and  $\rho_m(f_m E)$  is an isomorphism (lemma 2.1), hence

$$F_{\text{Tate}}^m \Pi_{a,b} f_m E(F(w)) = \Pi_{a,b} f_m E(F(w)).$$

Thus  $\Pi_{r-p+n,n} f_m E(F(w)) = 0$  and hence  $E_{p,r-p}^1 = 0$  for  $n < p \leq r + n$ , as desired.  $\square$

5. THE BOTTOM OF THE FILTRATION

In this section,  $k$  will be a fixed perfect base field. We study the subgroup  $\text{Fil}_n^{\text{simp}}(E) \pi_r f_n E(F)$  isolated in lemma 4.5.

LEMMA 5.1. *Let  $E$  be in  $\mathcal{SH}_{S^1}(k)$ . Then  $\text{Fil}_{n-1}^{\text{simp}}(E) \pi_r f_n E(F) = 0$  for all fields  $F$  over  $k$ .*

*Proof.* For any  $X \in \mathbf{Sm}/k$ ,  $\text{Fil}_q^{\text{simp}} \pi_r E^{(n)}(X)$  is by definition the image of

$$\pi_r |E^{(n)}(X, - \leq q)| \rightarrow \pi_r E^{(n)}(X),$$

where  $E^{(n)}(X, - \leq q)$  is the  $q$ -truncation (or  $q$ -skeleton) of  $E^{(n)}(X, -)$ . For  $X = \text{Spec } F$ , we clearly have  $\mathcal{S}_F^{(n)}(p) = \emptyset$  for  $p < n$ , as  $\Delta_F^p$  has no closed subsets of codimension  $> p$ . Thus  $|E^{(n)}(X, - \leq q)|$  is the 0-spectrum for  $q < n$  and hence  $\text{Fil}_{n-1}^{\text{simp}} \pi_r E^{(n)}(F) = 0$ .  $\square$

To study the first non-zero layer  $\text{Fil}_n^{\text{simp}}(E) \pi_r f_n E(F)$  in  $\text{Fil}_*^{\text{simp}}(E) \pi_r f_n E(F)$ , we apply the results of [12]. For this, we recall some of these results and constructions.

We let  $V_n = (\Delta_F^1 \setminus \partial \Delta^1)^n$ . The function  $-t_1/t_0$  on  $\Delta^1$  gives an open immersion  $\rho_n : V_n \rightarrow \mathbb{A}_F^n$ , identifying  $V_n$  with  $(\mathbb{A}_F^1 \setminus \{0, 1\})^n$ .

Suppose that  $E$  is an  $n$ -fold  $T$ -loop spectrum, that is, there is an object  $\omega_T^{-n} E \in \mathbf{Spt}(k)$  and an isomorphism  $E \cong \Omega_T^n \omega_T^{-n} E$  in  $\mathcal{SH}_{S^1}(k)$ . Given an  $n$ -fold delooping  $\omega_T^{-n} E$  of  $E$ , we have explained in [12, §5] how to construct a “transfer map”

$$\text{Tr}_{F(w)/F}^* : \pi_* E(w) \rightarrow \pi_* E(F),$$

for each closed point  $w \in \mathbb{A}_F^n$ , separable over  $F$ .

If now  $E = \Omega_T^\infty \mathcal{E}$  for some  $T$ -spectrum  $\mathcal{E} \in \mathcal{SH}(k)$ , then the bi-graded homotopy sheaves  $\Pi_{*,*} E$  admit a canonical right action by the bi-graded homotopy sheaves of the sphere spectrum  $\mathbb{S}_k \in \mathcal{SH}(k)$ :

$$\Pi_{a,b} E \otimes \Pi_{p,q}(\mathbb{S}_k) \rightarrow \Pi_{a+p,b+q} E$$

Hopkins and Morel [17, theorem 6.3.3] have defined a graded ring homomorphism

$$(5.1) \quad \theta_*(F) : \bigoplus_{n \in \mathbb{Z}} K_n^{MW}(F) \rightarrow \bigoplus_{n \in \mathbb{Z}} \Pi_{0,-n} \mathbb{S}_k(F),$$

natural in the field extension  $F$  of  $k$ .<sup>5</sup> Via  $\theta_*(F)$ , the right  $\Pi_{p,q}(\mathbb{S}_k)$ -action gives a right action, natural in  $F$ ,

$$\Pi_{a,b} E(F) \otimes K_*^{MW}(F) \rightarrow \Pi_{a,b-*} E(F).$$

This gives us the filtration  $F_{MW}^n \Pi_{a,b} E(F)$  of  $\Pi_{a,b} E$ , defined by

$$F_{MW}^n \Pi_{a,b} E(F) := \text{im}[\Pi_{a,b+n} E(F) \otimes K_n^{MW}(F) \rightarrow \Pi_{a,b} E(F)]; \quad n \geq 0.$$

Completing with respect to the transfer maps yields the filtration  $F_{MWTr}^n \Pi_{a,b} E(F)$ :

DEFINITION 5.2 (see [12, definition 7.9]). Let  $E = \Omega_T^\infty \mathcal{E}$  for some  $\mathcal{E} \in \mathcal{SH}(k)$ ,  $F$  a field extension of  $k$ . Take integers  $a, b, n$  with  $n, b \geq 0$ . Let  $F_{MWTr}^n \Pi_{a,b} E(F)$  denote the subgroup of  $\Pi_{a,b} E(F)$  generated by elements of the form

$$Tr_{F(w)}^*(x); \quad x \in F_{MW}^n \Pi_{a,b} E(F(w))$$

as  $w$  runs over closed points of  $\mathbb{A}_F^n$ , separable over  $F$ . We write  $F_{MWTr}^* \pi_r E(F)$  for the filtration  $F_{MWTr}^* \Pi_{r,0} E(F)$  on  $\pi_r E(F) = \Pi_{r,0} E(F)$

Theorem 7.11 of [12] expresses the ‘‘Tate-Postnikov’’ filtration  $F_{\text{Tate}}^* \pi_0 E(F)$  on  $\pi_0 E(F)$  in terms of the ‘‘Milnor-Witt’’ filtration  $F_{MW}^* \pi_0 E(F)$ , under the connectivity assumption  $\Pi_{a,*} E = 0$  for  $a < 0$ . With some minor changes, the proof of this result goes through to show:

THEOREM 5.3. *Let  $\mathcal{E}$  be in  $\mathcal{SH}(k)$  and let  $E = \Omega_T^\infty \mathcal{E}$ . Suppose that  $\Pi_{a,*} E = 0$  for  $a < 0$ . Let  $F$  be a perfect field extension of  $k$ . Then for all  $r \geq 0, n \geq 0$ , we have an equality of subgroups of  $\Pi_{r,q} E(F)$ :*

$$\rho_n(E)(\text{Fil}_n^{\text{simp}}(E) \pi_r f_n E(F)) = F_{MWTr}^n \pi_r E(F)$$

*Sketch of proof.* We briefly indicate the changes that need to be made in the arguments for theorem 7.11 *loc. cit.*: In [12, prop. 4.3 and thm. 7.6], replace  $\pi_0$  with  $\pi_r$  and  $F_{\text{Tate}}^* \pi_0(E)(F)$  with  $\rho_n(E)(\text{Fil}_n^{\text{simp}}(E) \pi_r f_n E(F))$ . Also, instead of using the fact that  $\theta_*(F)$  is an isomorphism, we need only use that  $\theta_*(F)$  is a ring homomorphism, and that for  $u \in F^\times$ ,  $\theta_1([u])$  is the element of  $\Pi_{0,-1} \mathbb{S}_k(F)$  coming from the map  $u : \text{Spec } F \rightarrow \mathbb{G}_m$  corresponding to  $u$  (see [17, theorem 6.3.3]).  $\square$

<sup>5</sup>A result of Morel [14, corollary 6.41] implies that  $\theta_*(F)$  is an isomorphism for all fields  $F$ , but we will not need this.

6. FINITE SPECTRA AND COHOMOLOGICALLY FINITE SPECTRA

In this section we will have occasion to use some localizations of  $\mathcal{SH}(k)$  with respect to multiplicatively closed subsets of  $\mathbb{Z} \setminus \{0\}$ . To make our discussion precise, we have collected notations and some elementary facts concerning such localizations in Appendix B; we will use these without further mention in this section.

For a field  $F$ , let  $F^{sep}$  denote the separable closure of  $F$  and  $G_F$  the absolute Galois group  $\text{Gal}(F^{sep}/F)$ . For  $p$  a prime, let  $cd_p(G_F)$  denote the  $p$ -cohomological dimension of the profinite group  $G_F$ , as defined in [26, I, §3.1]. We write  $cd_p(F)$  for  $cd_p(G_F)$ , and call  $cd_p(F)$  the  $p$ -cohomological dimension of  $F$ . The cohomological dimension of  $F$ ,  $cd(F)$ , is the supremum of the  $cd_p(F)$  over all primes  $p$ .

We turn to our main topic in this section: the study of finite and cohomologically finite objects in  $\mathcal{SH}(k)$ .

DEFINITION 6.1. 1. Let  $\mathcal{SH}(k)_{\text{fin}}(k)$  be the thick subcategory of  $\mathcal{SH}(k)$  generated by objects  $\Sigma_T^n \Sigma_T^\infty X_+$  for  $X$  a smooth projective  $k$ -scheme and  $n \in \mathbb{Z}$ .

2. Let  $\mathcal{SH}(k)_{\text{coh.finn}}(k)$  be the full subcategory of  $\mathcal{SH}(k)$  with objects those  $\mathcal{E}$  such that

- (i) there is an integer  $d$  such that, for  $n > d$ ,  $\Pi_{r,n}(\mathcal{E})_{\mathbb{Q}} = 0$  for all  $r$ ,
- (ii) there is an integer  $c$  such that  $\Pi_{r,q}\mathcal{E} = 0$  for  $r \leq c, q \in \mathbb{Z}$ .

3. For  $\mathcal{E} \in \mathcal{SH}(k)_{\text{coh.finn}}(k)$ , define  $d(\mathcal{E})$  to be the infimum among integers  $d$  such that  $\Pi_{r,n}(\mathcal{E})_{\mathbb{Q}} = 0$  for all  $r$  and for all  $n > d$ .

4. We say that  $\mathcal{E} \in \mathcal{SH}(k)$  is topologically  $c$ -connected if  $\Pi_{r,*}\mathcal{E} = 0$  for  $r \leq c$ . We let  $c(\mathcal{E})$  be the supremum among integers  $c$  such that  $\mathcal{E}$  is topologically  $c$ -connected.

5. For  $E \in \mathcal{SH}_{S^1}(k)$ , we say that  $E$  is topologically  $c$ -connected if  $\Pi_{r,n}E = 0$  for  $r \leq c$  and  $n \geq 0$ ; we say that  $E$  is  $c$ -connected if  $\pi_r E = 0$  for  $r \leq c$ .

Remarks 6.2. 1.  $\mathcal{SH}(k)_{\text{coh.finn}}(k)$  is a thick subcategory of  $\mathcal{SH}(k)$ .

2. As  $\Sigma_T^n \Sigma_T^\infty X_+$  is compact for all  $n \in \mathbb{Z}$ ,  $X \in \mathbf{Sm}/k$ ,  $\mathcal{SH}(k)_{\text{fin}}(k)$  is contained in the category  $\mathcal{SH}(k)^c$  of compact objects in  $\mathcal{SH}(k)$ . If  $k$  admits resolution of singularities, it is not hard to show that  $\Sigma_T^n \Sigma_T^\infty X_+$  is in  $\mathcal{SH}(k)_{\text{fin}}(k)$  for all  $X \in \mathbf{Sm}/k$ ,  $n \in \mathbb{Z}$ ; as these objects (and their translates) form a set of compact generators for  $\mathcal{SH}(k)$ , it follows that  $\mathcal{SH}(k)_{\text{fin}}(k) = \mathcal{SH}(k)^c$  if  $k$  admits resolution of singularities, that is, if  $k$  has characteristic zero.

Remark 6.3. For a presheaf  $A$  of abelian groups on  $\mathbf{Sm}/k$ , we have the presheaf  $A_{-1}$  defined by

$$A_{-1}(U) := \ker(i_1^* : A(U \times \mathbb{A}^1 \setminus \{0\}) \rightarrow A(U)).$$

Let  $E$  be in  $\mathcal{SH}_{S^1}(k)$ . By [17, lemma 4.3.11], there is a natural isomorphism  $\pi_n(\Omega_{\mathbb{G}_m} E) \cong \pi_n(E)_{-1}$ . In particular, if  $E$  is  $c$ -connected, then so is  $\Omega_{\mathbb{G}_m} E$ . As  $\Pi_{a,b}E = \Pi_{a,b-1}\Omega_{\mathbb{G}_m} E$  and  $\Pi_{r,0} = \pi_r$ , we see that  $E$  is  $c$ -connected if and only if  $E$  is topologically  $c$ -connected.

LEMMA 6.4. *Take  $\mathcal{E} \in \mathcal{SH}_{\text{fin}}(k)$ . Then there is an integer  $n(\mathcal{E})$  such that  $\rho_n(\mathcal{E}) : f_n(\mathcal{E}) \rightarrow \mathcal{E}$  is an isomorphism for all  $n \leq n(\mathcal{E})$ .*

*Proof.* As  $f_n$  is exact, it suffices to prove the result for  $\mathcal{E} = \Sigma_T^m \Sigma_T^\infty X_+$  with  $X$  smooth and projective over  $k$  and  $m \in \mathbb{Z}$ . As  $f_n \circ \Sigma_T^m = \Sigma_T^m \circ f_{n-m}$ , we need only prove the result for  $\mathcal{E} = \Sigma_T^\infty X_+$ . But  $\Sigma_T^\infty X_+$  is in  $\mathcal{SH}^{eff}(k)$ , so  $\rho_n(\Sigma_T^\infty X_+)$  is an isomorphism for all  $n \leq 0$ .  $\square$

LEMMA 6.5. *Let  $\mathcal{E}$  be in  $\mathcal{SH}(k)_{\text{coh.fin}}(k)$ .*

1. *For  $U \in \mathbf{Sm}/k$ , we have  $\text{Hom}_{\mathcal{SH}(k)_\mathbb{Q}}((\Sigma_{S^1}^p \Sigma_{\mathbb{G}_m}^q \Sigma_T^\infty U_+)_\mathbb{Q}, \mathcal{E}_\mathbb{Q}) = 0$  for all  $p \in \mathbb{Z}, q > d(\mathcal{E})$ .*

2. *For all  $n > d(\mathcal{E})$ ,  $(f_n \mathcal{E})_\mathbb{Q} \cong 0$  in  $\mathcal{SH}(k)_\mathbb{Q}$ .*

*Proof.* Let  $i_n : \Sigma_T^n \mathcal{SH}^{eff}(k) \rightarrow \mathcal{SH}(k)$  be the inclusion,  $r_n$  the right adjoint to  $i_n$ ; recall that  $f_n := i_n \circ r_n$ . By example B.5, the  $\mathbb{Q}$ -localization  $\Sigma_T^n \mathcal{SH}^{eff}(k)_\mathbb{Q}$  of  $\Sigma_T^n \mathcal{SH}^{eff}(k)$  is the localizing subcategory of  $\mathcal{SH}(k)_\mathbb{Q}$  generated by the objects  $(\Sigma_{\mathbb{G}_m}^q \Sigma_T^\infty U_+)_\mathbb{Q}, q \geq n, U \in \mathbf{Sm}/k$ , the inclusion  $\Sigma^n \mathcal{SH}^{eff}(k)_\mathbb{Q} \rightarrow \mathcal{SH}(k)_\mathbb{Q}$  is given by  $i_{n\mathbb{Q}}$ , the right adjoint to  $i_{n\mathbb{Q}}$  is  $r_{n\mathbb{Q}}$  and  $f_{n\mathbb{Q}}(\mathcal{E}_\mathbb{Q}) = (f_n \mathcal{E})_\mathbb{Q}$  for all  $\mathcal{E} \in \mathcal{SH}(k)$ .

Using this, we see that (1) implies (2), as (1) implies that for  $\mathcal{F} \in \Sigma_T^n \mathcal{SH}^{eff}(k)_\mathbb{Q}, n > d(\mathcal{E})$ ,

$$\text{Hom}_{\mathcal{SH}(k)_\mathbb{Q}}(\mathcal{F}, \mathcal{E}_\mathbb{Q}) = 0.$$

Since  $(f_n \mathcal{E})_\mathbb{Q} \rightarrow \mathcal{E}_\mathbb{Q}$  is universal for maps  $\mathcal{F} \rightarrow \mathcal{E}_\mathbb{Q}$  with  $\mathcal{F} \in \Sigma_T^n \mathcal{SH}^{eff}(k)_\mathbb{Q}$ , it follows that  $(f_n \mathcal{E})_\mathbb{Q} = 0$  for  $n > d(\mathcal{E})$ .

For (1), by lemma B.2(2), we have

$$\text{Hom}_{\mathcal{SH}(k)_\mathbb{Q}}((\Sigma_{S^1}^p \Sigma_{\mathbb{G}_m}^q \Sigma_T^\infty U_+)_\mathbb{Q}, \mathcal{E}_\mathbb{Q}) = \text{Hom}_{\mathcal{SH}(k)}(\Sigma_{S^1}^p \Sigma_{\mathbb{G}_m}^q \Sigma_T^\infty U_+, \mathcal{E})_\mathbb{Q}.$$

Thus, tensoring the usual Gersten-Quillen spectral sequence with  $\mathbb{Q}$  gives the strongly convergent spectral sequence

$$E_1^{a,b} = \bigoplus_{u \in U^{(a)}} \Pi_{-b,q+a} \mathcal{E}(k(u))_\mathbb{Q} \implies \text{Hom}_{\mathcal{SH}(k)_\mathbb{Q}}((\Sigma_{S^1}^{-a-b} \Sigma_{\mathbb{G}_m}^q \Sigma_T^\infty U_+)_\mathbb{Q}, \mathcal{E}_\mathbb{Q}),$$

concentrated in the range  $0 \leq a \leq \dim U$ . The assumption  $q > d(\mathcal{E})$  implies that  $E_1^{a,b} = 0$  for all  $a, b$ , proving (1).  $\square$

LEMMA 6.6. *Let  $\mathcal{E}$  be in  $\mathcal{SH}(k)_{\text{coh.fin}}(k)$ . Then  $f_n \mathcal{E}$  is in  $\mathcal{SH}(k)_{\text{coh.fin}}(k)$ ,  $d(f_n \mathcal{E}) \leq d(\mathcal{E})$  and  $c(f_n \mathcal{E}) \geq c(\mathcal{E})$ , for all  $n \in \mathbb{Z}$ .*

*Proof.* We have shown in [12, proposition 3.2] that, for  $E \in \mathcal{SH}_{S^1}(k)$ , if  $E$  is topologically  $-1$ -connected, then  $f_p E$  is also topologically  $-1$ -connected for all  $p$ . For  $E := \Omega_T^\infty \Sigma_{S^1}^{-c-1} \Sigma_{\mathbb{G}_m}^q \mathcal{E}$ , we have

$$\Pi_{r-c-1,m+q} f_{n+q} E \cong \Pi_{r,m+q} f_{n+q} \Sigma_{\mathbb{G}_m}^q \mathcal{E} \cong \Pi_{r,m+q} \Sigma_{\mathbb{G}_m}^q f_n \mathcal{E} \cong \Pi_{r,m} f_n \mathcal{E}$$

for  $m \geq -q$ . Similarly,  $\Pi_{r-c-1,m+q} E \cong \Pi_{r,m} \mathcal{E}$  for  $m \geq -q$ . Thus, if  $\Pi_{r,m} \mathcal{E} = 0$  for  $r \leq c$  and  $m \geq -q$ , the same holds for  $f_n \mathcal{E}$ . As  $q$  was arbitrary, we see that if  $\mathcal{E}$  is topologically  $c$ -connected, so is  $f_n \mathcal{E}$  for all  $n$ , that is,  $c(f_n \mathcal{E}) \geq c(\mathcal{E})$ .

We have already seen in lemma 6.5 that  $f_n \mathcal{E}_\mathbb{Q} = 0$  for  $n > d(\mathcal{E})$ , hence  $f_n \mathcal{E}$  is in  $\mathcal{SH}(k)_{\text{coh.fin}}(k)$  and  $d(f_n \mathcal{E}) = -\infty$  for  $n > d(\mathcal{E})$ . For  $n \leq d(\mathcal{E})$ , take

$m > d(\mathcal{E}) \geq n$ . Then  $\Pi_{r,m}(f_n\mathcal{E}) \cong \Pi_{r,m}(\mathcal{E})$ , hence  $\Pi_{r,m}(f_n\mathcal{E})_{\mathbb{Q}} = 0$ . Thus  $f_n\mathcal{E}$  is in  $\mathcal{SH}(k)_{\text{coh.fln}}(k)$  and  $d(f_n\mathcal{E}) \leq d(\mathcal{E})$ .  $\square$

In order to proceed further with our study of  $\mathcal{SH}_{\text{fln}}(k)$  and  $\mathcal{SH}(k)_{\text{coh.fln}}(k)$ , we need to recall Morel's decomposition of  $\mathcal{SH}(k)[\frac{1}{2}]$ . We recall the ring homomorphism (5.1)

$$\theta_k := \theta_0(k) : K_0^{MW}(k) \rightarrow \text{End}_{\mathcal{SH}(k)}(\mathbb{S}_k).$$

In addition, Morel [14, lemma 3.10] has defined an isomorphism of rings  $K_0^{MW}(k) \cong \text{GW}(k)$ , with  $1 + \eta \cdot [u]$  corresponding to the one-dimensional form  $ux^2$  if  $\text{char. } k \neq 2$ .

Morel [17, section 6.1] has considered the action of  $\mathbb{Z}/2$  on the sphere spectrum  $\mathbb{S}_k$  arising from the exchange of factors

$$\tau : T \wedge T \rightarrow T \wedge T.$$

We also write  $\tau$  for the induced automorphism of the sphere spectrum  $\mathbb{S}_k$ . Morel [17, remark 6.3.5] identifies the corresponding element of  $\text{End}(\mathbb{S}_k)$  (denoted  $-\epsilon$  in *loc. cit.*) as

$$(6.1) \quad \tau := \theta_k(1 + \eta \cdot [-1]).$$

After inverting 2, the action of  $\tau$  decomposes  $\mathbb{S}_k[\frac{1}{2}]$  into its  $+1$  and  $-1$  eigenspaces

$$\mathbb{S}_k[\frac{1}{2}] = \mathbb{S}_k^+[\frac{1}{2}] \oplus \mathbb{S}_k^-[\frac{1}{2}];$$

as  $\mathbb{S}_k[\frac{1}{2}]$  is the unit in the tensor category  $\mathcal{SH}(k)[\frac{1}{2}]$ , this induces a decomposition of  $\mathcal{SH}(k)[\frac{1}{2}]$  as

$$\mathcal{SH}(k)[\frac{1}{2}] = \mathcal{SH}(k)^+ \times \mathcal{SH}(k)^-.$$

This extends to a decomposition of  $\mathcal{SH}(k)_{\mathbb{Q}}$  as  $\mathcal{SH}(k)_{\mathbb{Q}}^+ \times \mathcal{SH}(k)_{\mathbb{Q}}^-$ . For an object  $\mathcal{E}$  of  $\mathcal{SH}(k)$ , we write the corresponding factors of  $\mathcal{E}_{\mathbb{Q}}$  as  $\mathcal{E}_{\mathbb{Q}}^+, \mathcal{E}_{\mathbb{Q}}^-$ .

LEMMA 6.7. *Suppose that either*

- (1)  $\text{char. } k = 0$  and  $\text{cd}_2(k) < \infty$ , or
- (2)  $\text{char. } k > 0$ .

*Then  $2^{N+1} \cdot \eta = 0$ , where in case (1),  $N = \text{cd}_2(k)$ , and in case (2),  $N = 0$  if  $\text{char. } k = 2$  or if  $\text{char. } k = p$  is odd and  $p \equiv 1 \pmod{4}$ ,  $N = 1$  if  $p \equiv 3 \pmod{4}$ . Moreover, letting  $I \subset \text{GW}(k)$  be the augmentation ideal, we have  $I^n = 0$  for  $n > \text{cd}_2(k)$ , assuming  $k$  has characteristic  $\neq 2$ .*

*Proof.* Suppose that  $\text{char. } k \neq 2$ . Sending  $(a_1, \dots, a_n) \in (k^\times)^n$  to the  $n$ -fold Pfister form  $\langle\langle a_1, \dots, a_n \rangle\rangle$  descends to a well-defined surjective homomorphism  $p_n : K_n^M(k)/2 \rightarrow I^n/I^{n+1}$  [13, §4.1]; in particular  $2I^n \subset I^{n+1}$  for all  $n \geq 0$ . By the Milnor conjecture [29, theorem 6.6 and theorem 7.4] and [19, theorem 4.1],  $p_n$  is an isomorphism, and induces an isomorphism

$$I^n/I^{n+1} \cong H_{\text{ét}}^n(k, \mu_2^{\otimes n}).$$

Thus  $I^n = I^{n+1}$  for  $n \geq N + 1$ , where  $N := \text{cd}_2 k$ . By the theorem of Arason-Pfister [1, Korollar 1],  $\cap_n I^n = \{0\}$ , hence  $I^{N+1} = 0$ , and thus  $2^{N+1}$  kills the Witt group  $W(k)$ .

As a  $K_0^{MW}(k)$ -module,  $K_{-1}^{MW}(k)$  is cyclic with generator  $\eta$ . However,

$$K_{-1}^{MW}(k) \cong W(k)$$

by [14, lemma 3.10], and thus  $2^{N+1}\eta = 0$ . This handles the case (1).

In case  $k$  has characteristic  $p > 0$ , then as  $\eta$  comes from base extension from the prime field  $\mathbb{F}_p$ , it suffices to show that  $2^{N+1}\eta = 0$  in  $\mathcal{SH}(\mathbb{F}_p)$ , with  $N$  as in the statement of the lemma.

For  $p$  odd, we have  $\text{GW}(\mathbb{F}_p) \cong K_0^{MW}(\mathbb{F}_p)$ , with  $1 + \eta[-1]$  corresponding to the form  $-x^2$ ; the hyperbolic form  $x^2 - y^2$  corresponds to  $2 + \eta[-1]$ . If  $p \equiv 1 \pmod{4}$ , then  $-1$  is a square, hence  $-x^2$  and  $x^2$  are isometric forms and  $1 + \eta[-1] = 1$  in  $K_0^{MW}(\mathbb{F}_p)$ . The relation  $\eta(2 + \eta[-1]) = 0$  in  $K_{-1}^{MW}(\mathbb{F}_p)$  thus simplifies to  $2\eta = 0$ .

If  $p \equiv 3 \pmod{4}$ , then  $-1$  is a sum of two squares, and hence the quadratic form  $x^2 + y^2 + z^2$  is isotropic. Thus the Pfister form  $x^2 + y^2 + z^2 + w^2$  is also isotropic and hence hyperbolic, and hence the form  $-x^2 - y^2 - z^2 - w^2$  is hyperbolic as well. Translating this back to  $K_0^{MW}(\mathbb{F}_p)$  gives the relation  $4(1 + \eta[-1]) = 2(2 + \eta[-1])$  or  $2\eta[-1] = 0$ . Combining this with relation  $\eta(2 + \eta[-1]) = 0$  yields  $4\eta = 0$ .

In case  $k$  has characteristic 2,  $-1 = +1$ . The relation  $\eta(2 + \eta[-1]) = 0$  simplifies to  $2\eta = 0$  (as  $[1] = 0$  in  $K_1^{MW}(F)$  for all fields  $F$  [14, lemma 3.5]).  $\square$

Lemma 6.7 and (6.1) imply:

LEMMA 6.8. *Suppose that  $k$  has finite 2-cohomological dimension or that  $\text{char. } k > 0$ . Then  $\mathcal{SH}(k)[\frac{1}{2}] = \mathcal{SH}(k)^+$ .*

PROPOSITION 6.9. *Take  $X \in \mathbf{Sm}/k$ . Then*

1.  $\Pi_{r,n}(\Sigma_T^\infty X_+) = 0$  for  $r < 0$  and  $n \in \mathbb{Z}$
2. *Suppose  $X$  is smooth and projective over  $k$  of dimension  $d$  and that  $k$  has finite 2-cohomological dimension or  $\text{char. } k > 0$ . Then  $\Pi_{r,n}(\Sigma_T^\infty X_+)_{\mathbb{Q}} = 0$  for  $n > d$ ,  $r \in \mathbb{Z}$ .*
3. *Suppose  $k$  has finite 2-cohomological dimension or  $\text{char. } k > 0$ . Then each  $\mathcal{E}$  in  $\mathcal{SH}(k)_{\text{fin}}(k)$  is also in  $\mathcal{SH}(k)_{\text{coh. fin}}(k)$ .*

*Proof.* Noting that  $\mathcal{SH}(k)_{\text{coh. fin}}(k)$  is a thick subcategory of  $\mathcal{SH}(k)$ , and that

$$\Pi_{r,n}(\Sigma_T^m \Sigma_T^\infty X_+) = \Pi_{r-m,n-m}(\Sigma_T^\infty X_+),$$

we see that (3) follows from (1) and (2).

We first prove (1). We have the  $S^1$ -spectrum  $\Sigma_{S^1}^\infty X_+ \in \mathcal{SH}_{S^1}(k)$ . By [8, theorem 10] we have

$$\Pi_{r,n}(\Sigma_T^\infty X_+) = \varinjlim_b \Pi_{r,n+b} \Sigma_{S^1}^\infty \Sigma_{\mathbb{G}_m}^b X_+$$

so it suffices to see that  $\Sigma_{S^1}^\infty \Sigma_{\mathbb{G}_m}^b X_+$  is topologically  $-1$  connected for all  $b \geq 0$ . By remark 6.3, we need only see that  $\Sigma_{S^1}^\infty \Sigma_{\mathbb{G}_m}^b X_+$  is  $-1$  connected. This follows from Morel's  $S^1$ -stable  $\mathbb{A}^1$ -connectedness theorem [17, theorem 4.2.10]. For (2), lemma 6.8 and our assumption on  $k$  imply that  $\mathcal{SH}(k)_\mathbb{Q} = \mathcal{SH}(k)_\mathbb{Q}^+$ . By a result of Morel (proved in detail by Cisinski-Dégliše [3, theorem 15.2.13]), there is an equivalence of triangulated categories  $\mathcal{SH}(k)_\mathbb{Q}^+ \cong DM(k)_\mathbb{Q}$ , and hence

$$\begin{aligned} \mathrm{Hom}_{\mathcal{SH}(k)}(\Sigma_{S^1}^a \Sigma_{\mathbb{G}_m}^b \Sigma_T^\infty U_+, \Sigma_T^\infty X_+)_{\mathbb{Q}} & \\ & \cong \mathrm{Hom}_{\mathcal{SH}(k)_\mathbb{Q}^+}((\Sigma_{S^1}^a \Sigma_{\mathbb{G}_m}^b \Sigma_T^\infty U_+)_{\mathbb{Q}}^+, (\Sigma_T^\infty X_+)_{\mathbb{Q}}^+) \\ & \cong \mathrm{Hom}_{DM(k)_\mathbb{Q}}(M(U)(b)_\mathbb{Q}[a+b], M(X)_\mathbb{Q}), \end{aligned}$$

where  $M : \mathbf{Sm}/k \rightarrow DM(k)$  is the canonical functor. Since  $M(U)(b)$  is compact in  $DM(k)$ , we have

$$\mathrm{Hom}_{DM(k)_\mathbb{Q}}(M(U)(b)_\mathbb{Q}[a+b], M(X)_\mathbb{Q}) \cong \mathrm{Hom}_{DM(k)}(M(U)(b)[a+b], M(X))_{\mathbb{Q}}.$$

As  $X$  is smooth and projective, we may use duality (via, e.g., duality in Chow motives over  $k$  and [33, V, prop. 2.1.4])

$$\begin{aligned} \mathrm{Hom}_{DM(k)}(M(U)(b)[c], M(X)) & \cong \mathrm{Hom}_{DM(k)}(M(U \times X), \mathbb{Z}(d-b)[2d-c]) \\ & = H^{2d-c}(U \times X, \mathbb{Z}(d-b)). \end{aligned}$$

But for all  $Y \in \mathbf{Sm}/k$ ,  $H^p(Y, \mathbb{Z}(q)) = 0$  for  $q < 0$ ,  $p \in \mathbb{Z}$ , by [28, corollary 2]. Thus the presheaf

$$U \mapsto \mathrm{Hom}_{\mathcal{SH}(k)}(\Sigma_{S^1}^a \Sigma_{\mathbb{G}_m}^b \Sigma_T^\infty U_+, \Sigma_T^\infty X_+)_{\mathbb{Q}}$$

is zero for  $b > d$ , and hence the associated sheaf  $\Pi_{a,b}(\Sigma_T^\infty X_+)_{\mathbb{Q}}$  is zero as well.  $\square$

*Remark 6.10.* The statement of the result of Cisinski-Dégliše [3, theorem 16.2.13] cited above is not the same as given here; Cisinski-Dégliše show that the  $\mathbb{A}^1$ -derived category over a base-scheme  $S$ , with  $\mathbb{Q}$ -coefficients,  $D_{\mathbb{A}^1, \mathbb{Q}}(S)$ , has plus part  $D_{\mathbb{A}^1, \mathbb{Q}}(S)^+$  equivalent to the category of ‘‘Beilinson motives’’  $DM_{\mathbb{B}}(S)$ . For  $S$  geometrically unibranch, they show [3, theorem 16.1.4] that  $DM_{\mathbb{B}}(S) \cong DM(S)_\mathbb{Q}$ .

Furthermore, the well-known adjunction between simplicial sets and chain complexes extends to give an equivalence of  $\mathcal{SH}(S)_\mathbb{Q}$  with  $D_{\mathbb{A}^1, \mathbb{Q}}(S)$  (see e.g. [3, §5.3.35]). Putting these equivalences all together gives us the equivalence  $\mathcal{SH}(k)_\mathbb{Q}^+ \cong DM(k)_\mathbb{Q}$  we use in the proof of proposition 6.9.

Alternatively, one also can repeat the argument used by Cisinski-Dégliše, replacing  $D_{\mathbb{A}^1, \mathbb{Q}}(S)$  with  $\mathcal{SH}(k)_\mathbb{Q}$ , and  $DM_{\mathbb{B}}(S)$  with  $\mathbf{Ho}MZ\text{-Mod}_\mathbb{Q}$ , where  $MZ$  is the commutative monoid in symmetric motivic spectra over  $k$  defined in [25], and representing motivic cohomology in  $\mathcal{SH}(k)$ . This shows that the forgetful functor  $\mathbf{Ho}MZ\text{-Mod}_\mathbb{Q} \rightarrow \mathcal{SH}(k)_\mathbb{Q}$  induces an equivalence  $\mathbf{Ho}MZ\text{-Mod}_\mathbb{Q} \rightarrow \mathcal{SH}(k)_\mathbb{Q}^+$ . One can then use the theorem of Røndigs-Østvær [25, theorem 1.1, discussion preceding theorem 1.2], which gives an equivalence of  $\mathbf{Ho}MZ\text{-Mod}_\mathbb{Q}$  with  $DM(k)_\mathbb{Q}$  for  $k$  a perfect field.

7. THE PROOF OF THE CONVERGENCE THEOREM

The following result combines with lemma 4.5 to form the heart of the proof of our main theorem.

LEMMA 7.1. *Let  $k$  be a perfect field. Suppose that  $k$  has finite cohomological dimension  $D_k$ . Let  $F$  be a perfect field extension of  $k$  with  $\text{tr. dim}_k F \leq d$ . Let  $E = \Omega_T^\infty \mathcal{E}$  for some  $\mathcal{E} \in \mathcal{SH}_{\text{coh. fin}}(k)$  and suppose that  $\Pi_{a,*} E = 0$  for  $a < 0$ . Consider the map*

$$\rho_n(f_M E) : \pi_r f_n(f_M E)(F) \rightarrow \pi_r f_M E(F).$$

Then, for all  $r, M \geq 0, n > \max(D_k + d, d(\mathcal{E}))$ , we have

$$\rho_n(f_M E)(\text{Fil}_n^{\text{simp}}(f_M E)\pi_r f_n(f_M E)(F)) = 0.$$

*Proof.* Let  $A = \max(D_k + d, d(\mathcal{E})) \geq 0$ . By theorem 5.3, and the definition of the filtration  $F_{MW\tau_r, \pi_r f_M E}^n(F)$ , it suffices to show that, for every finite extension  $F'$  of  $F$ , the product

$$\cup : \Pi_{r,n} f_M E(F') \otimes K_n^{MW}(F') \rightarrow \Pi_{r,0} f_M E(F')$$

is zero if  $n > A$ .

We note that  $f_M E \cong \Omega_T^\infty f_M \mathcal{E}$  for  $M \geq 0$  (lemma 2.2). Thus, by lemma 6.6,  $(\Pi_{r,n} f_M E)_{\mathbb{Q}} = 0$  for  $n > \max(d(\mathcal{E}), -1)$  and the image of  $\cup$  is the same as the subgroup generated by the images of the maps

$$\cup_N : \Pi_{r,n} f_M E(F')_{N\text{-tor}} \otimes K_n^{MW}(F')/N \rightarrow \pi_r f_M E(F'); \quad N \in \mathbb{N}.$$

First suppose  $\text{char. } k \neq 2$ . By Morel’s theorem [16, theorem 5.3], we have a cartesian diagram

$$\begin{array}{ccc} K_n^{MW}(F') & \longrightarrow & K_n^M(F') \\ \downarrow & & \downarrow \\ I(F')^n & \longrightarrow & I(F')^n / I(F')^{n+1} \end{array}$$

But as  $\text{tr. dim}_k F' \leq d$ , we have  $cd_2(F) \leq cd(F) \leq D_k + d$  [26, II, §4.2, proposition 11]. Thus, for  $n > D_k + d$ , lemma 6.7 tells us that  $K_n^{MW}(F') = K_n^M(F')$ . Furthermore, for  $N$  prime to the characteristic, the Bloch-Kato conjecture<sup>6</sup> gives the isomorphism

$$K_n^M(F')/N \cong H_{\text{ét}}^n(F', \mu_N^{\otimes n}),$$

and hence  $K_n^M(F')/N = 0$  for  $n > D_k + d$ . For  $N = p = \text{char. } k$ , it follows from [2, theorem 2.1] that  $K_n^M(F')/p = 0$ , since  $F'$  is perfect. Thus, for  $n > D_k + d$ ,  $K_n^{MW}(F')/N = 0$ , and hence the image of  $\cup$  is zero if  $n > A$ .

If  $\text{char. } k = 2$ , then as  $F'$  is perfect, each  $u \in F'$  is a square. Thus, by [14, proposition 3.13], the quotient map  $K_n^{MW}(F') \rightarrow K_n^M(F')$  is an isomorphism for  $n \geq 0$ . The remainder of the discussion is the same.  $\square$

<sup>6</sup>Proved by Rost and Voevodsky [32]. For a presentation of some of Rost’s results that go into the proof of Bloch-Kato, see, e.g., [6, 27].



Relying on lemma 7.1, here is the main step in the proof of theorem 4:

PROPOSITION 7.2. *Let  $k$  be a perfect field of finite cohomological dimension and let  $p$  be the exponential characteristic of  $k$ . Then there is a function  $N_k : \mathbb{Z}^3 \rightarrow \mathbb{Z}$ , with  $N_k(e, r, d) \geq 0$  for all  $e, d, r$ , such that, given an integer  $e$ , and an  $\mathcal{E}$  in  $\mathcal{SH}_{\text{coh.fin}}(k)$  with  $c(\mathcal{E}) \geq -1$  and  $d(\mathcal{E}) \leq e$ , we have*

$$\text{Fil}_{\text{Tate}}^N \Pi_{r,q} f_M \Omega_T^\infty \mathcal{E}(F)[1/p] = 0$$

for all  $r, M \in \mathbb{Z}$ , all  $N \geq N_k(e - q, r, d) + q$ , all  $q \geq 0$ , and all field extension  $F$  of  $k$  with  $\text{tr. dim}_k F \leq d$ .

*Proof.* For a field  $F$ , let  $F^{per}$  be the perfect closure of  $F$ . By proposition A.1,  $\text{Fil}_{\text{Tate}}^N \Pi_{a,b} \mathcal{G}(F)[1/p] \cong \text{Fil}_{\text{Tate}}^N \Pi_{a,b} \mathcal{G}(F^{per})[1/p]$  for all  $\mathcal{G} \in \mathcal{SH}(k)$ , so we may replace  $F$  with  $F^{per}$  whenever necessary.

From our hypothesis  $c(\mathcal{E}) \geq -1$  and lemma 6.6 follows  $c(f_M \mathcal{E}) \geq -1$ , so  $\Pi_{r,q} \Omega_T^\infty f_M \mathcal{E} = \Pi_{r,q} f_M \Omega_T^\infty \mathcal{E} = 0$  for  $r < 0, q \geq 0$ . Thus, taking  $N_k(e, r, d) = 0$  for  $r < 0$ , and all  $d$  and  $e$  settles the cases  $r < 0$ , so we may proceed by induction on  $r$ , defining  $N_k(e, r, d)$  recursively. We omit the  $[1/p]$  in the notation, using the convention that we invert  $p$  throughout the proof of this proposition.

Assume  $r \geq 0$  and that we have defined  $N_k(e, j, d)$  for  $j \leq r - 1$  (and all  $e, d$ ) so that the proposition holds for  $\text{Fil}_{\text{Tate}}^N \Pi_{j,q} f_M \Omega_T^\infty \mathcal{E}(F)$ ,  $j < r$ ; we assume in addition that  $N_k(e, j, d) = 0$  for  $j < 0$ . Define  $N_j(d, q; E) := N_k(e - q, j, d) + q$  for  $j < r$ . Then (4.3) is satisfied for  $q, M \geq 0, m \geq N_j(d, q; E), j = 0, \dots, r - 1$ . By lemma 4.5, it follows that for all  $n \geq 0$ , all fields  $F$  with  $\text{tr. dim}_k F \leq d$  and all  $m \geq \max_{j=0}^{r-1} N_j(r - j + d, n; E)$  (or  $m \geq 0$  in case  $r = 0$ ), we have

$$\pi_r(f_n f_m E)(F) = \text{Fil}_n^{simp}(f_m E) \pi_r(f_n f_m E)(F).$$

As the filtration  $\text{Fil}_*^{simp}(G) \pi_r(f_n G)(F)$  is functorial in  $G$ , this implies that

$$f_n(\rho_m(E))(\pi_r(f_n f_m E)(F)) \subset \text{Fil}_n^{simp}(E) \pi_r(f_n E)(F)$$

for  $n, m, F$  as above.

If we take  $n \geq \max(D_k + d, d(\mathcal{E})) + 1$ , then by lemma 7.1

$$\text{im}(\text{Fil}_n^{simp}(E) \pi_r(f_n E)(F^{per}) \xrightarrow{\rho_n(E)} \pi_r(E)(F^{per})) = 0.$$

For  $m \geq n$ , we have  $f_m f_n = f_m$  and  $\rho_n(E) \circ f_n(\rho_m(E)) = \rho_m(E)$  (lemma 2.1). Thus, for

$$n = \max(D_k + d, e) + 1, \quad m \geq \max_{j=-1}^{r-1} N_j(r - j + d, n; E),$$

we have

$$\begin{aligned} 0 &= \rho_n(E) \circ f_n(\rho_m(E))(\pi_r(f_m f_n E)(F^{per})) \\ &= \rho_m(\pi_r(f_m E)(F^{per})) \subset \pi_r E(F^{per}). \end{aligned}$$

Let  $n = \max(D_k + d, e) + 1$  and define

$$N_k(e, r, d) := n + \max_{j=-1}^{r-1} N_k(e - n, j, r - j + d).$$

Using the isomorphism  $F_{\text{Tate}}^N \pi_r E(F) \cong F_{\text{Tate}}^N \pi_r E(F^{per})$ , we thus have

$$F_{\text{Tate}}^N \pi_r E(F) = 0$$

for  $N \geq N_k(e, r, d)$  and  $F$  a field extension of  $k$  with  $\text{tr. dim}_k F \leq d$ .

Fix  $q \geq 0$  and let  $\mathcal{E}' = \Omega_T^q \mathcal{E}$ ,  $E' = \Omega_T^\infty \mathcal{E}'$ . Then  $\Pi_{a,b} \mathcal{E}' \cong \Pi_{a,b+q} \mathcal{E}$  for all  $b \in \mathbb{Z}$ , hence  $c(\mathcal{E}') \geq -1$ . Also,  $d(\mathcal{E}') = d(\mathcal{E}) - q \leq e - q$ , and we may apply our result with  $E'$  replacing  $E$ , and  $e - q$  replacing  $e$ . Since  $\pi_r E' = \Pi_{r,q} E$  and  $F_{\text{Tate}}^{N-q} \pi_r E' = F_{\text{Tate}}^N \Pi_{r,q} E$  (lemma 2.4), we thus have

$$F_{\text{Tate}}^N \Pi_{r,q} E(F) = 0$$

for  $N \geq N_k(e - q, r, d) + q$ ,  $q \geq 0$ , and  $F$  as above.

Now take an integer  $M$ . Then  $c(f_M \mathcal{E}) \geq -1$  and  $d(f_M \mathcal{E}) \leq d(\mathcal{E})$  (by lemma 6.6), so the same result holds for  $E_M := \Omega_T^\infty f_M \mathcal{E}$ . By lemma 2.2,  $E_M \cong f_M E$ , so

$$F_{\text{Tate}}^N \Pi_{r,q} f_M E(F)[1/p] = 0$$

for  $q \geq 0$ ,  $N \geq N_k(e - q, r, d) + q$ , and all field extensions  $F$  of  $k$  with  $\text{tr. dim}_k F \leq d$ . As  $M$  was arbitrary, the induction thus goes through, completing the proof.  $\square$

Now for the proof of the main theorem. For  $x \in X \in \mathbf{Sm}/k$ , and  $\mathcal{F}$  a sheaf on  $\mathbf{Sm}/k_{\text{Nis}}$ , we let  $\mathcal{F}_x$  denote the Nisnevich stalk of  $\mathcal{F}$  at  $x$ .

**THEOREM 7.3.** *Let  $k$  be a perfect field of finite cohomological dimension. Let  $p$  be the exponential characteristic of  $k$ , let  $x \in X \in \mathbf{Sm}/k$  and let  $d = \dim_k X$ . Let  $\mathcal{E}$  be in  $\mathcal{SH}_{\text{coh. fin}}(k)$ . Then for all integers  $r, q$ , and  $M$ , we have*

$$(\text{Fil}_{\text{Tate}}^n \Pi_{r,q} f_M \mathcal{E})_x [1/p] = 0$$

for  $n \geq N_k(d(\mathcal{E}) - q, r - c(\mathcal{E}) - 1, d) + q$ , where  $N_k$  is the integer-valued function given by proposition 7.2.

As  $\mathcal{SH}(k)_{\text{fin}}$  is a subcategory of  $\mathcal{SH}(k)_{\text{coh. fin}}$  in case  $k$  has finite cohomological dimension (proposition 6.9(3)), our main theorem 4 follows immediately from theorem 7.3, with  $N(\mathcal{E}, r, d, q) := N_k(d(\mathcal{E}) - q, r - c(\mathcal{E}) - 1, d) + q$ .

*Proof of theorem 7.3.* As before, we invert  $p$  throughout the proof and omit the “[1/p]” from the notation.

The proof of [15, lemma 6.1.4] shows that, for  $x \in X \in \mathbf{Sm}/k$ ,  $X_x := \text{Spec } \mathcal{O}_{X,x}$  and  $U \subset X_x$  open, the map  $X_x \rightarrow X_x/U$  in  $\mathcal{H}(k)$  is equal to the map sending  $X_x$  to the base-point of  $X_x/U$ . This implies that for any  $\mathcal{F} \in \mathcal{SH}(k)$ ,  $a, b \in \mathbb{Z}$ , the restriction map

$$[\Sigma_{S^1}^a \Sigma_{\mathbb{G}_m}^b \Sigma^\infty X_{x+}, \mathcal{F}]_{\mathcal{SH}(k)} \rightarrow [\Sigma_{S^1}^a \Sigma_{\mathbb{G}_m}^b \Sigma^\infty U_+, \mathcal{F}]_{\mathcal{SH}(k)}$$

is injective. Passing to the limit over  $U$ , this shows that the restriction map

$$\Pi_{a,b}(\mathcal{F})_x \rightarrow \Pi_{a,b}(\mathcal{F})(k(X))$$

is injective. From this it easily follows that the restriction map

$$(\text{Fil}_{\text{Tate}}^n \Pi_{r,q} f_M \mathcal{E})_x \rightarrow (\text{Fil}_{\text{Tate}}^n \Pi_{r,q} f_M \mathcal{E})(k(X))$$

is injective.

Thus, it suffices to show that  $\text{Fil}_{\text{Tate}}^n \Pi_{r,q} f_M \mathcal{E}(F) = 0$  for  $M \in \mathbb{Z}$ , for  $n \geq N(d(\mathcal{E}) - q, r - c(\mathcal{E}) - 1, d) + q$ , and for all finitely generated fields  $F$  over  $k$  with  $\text{tr. dim}_k F \leq d$ .

As

$$\text{Fil}_{\text{Tate}}^n \Pi_{r+p,q} \Sigma_{S^1}^p \mathcal{E} = \text{Fil}_{\text{Tate}}^n \Pi_{r,q} \mathcal{E}$$

we may replace  $\mathcal{E}$  with  $\Sigma_{S^1}^{-c(\mathcal{E})-1} \mathcal{E}$  and assume that  $c(\mathcal{E}) \geq -1$ . Similarly, if  $q < 0$  we may replace  $\mathcal{E}$  with  $\Sigma_{\mathbb{G}_m}^{-q} \mathcal{E}$ , since

$$\text{Fil}_{\text{Tate}}^{n-q} \Pi_{r,0} f_{M-q} \Sigma_{\mathbb{G}_m}^{-q} \mathcal{E} = \text{Fil}_{\text{Tate}}^n \Pi_{r,q} f_M \mathcal{E}$$

and  $d(\Sigma_{\mathbb{G}_m}^{-q} \mathcal{E}) = d(\mathcal{E}) - q$ . This reduces us to the case  $q \geq 0$  and  $c(\mathcal{E}) \geq -1$ . Letting  $E = \Omega_T^\infty \mathcal{E}$ , we have

$$\text{Fil}_{\text{Tate}}^n \Pi_{r,q} f_M \mathcal{E} = \text{Fil}_{\text{Tate}}^n \Pi_{r,q} \Omega_T^\infty f_M \mathcal{E} = \text{Fil}_{\text{Tate}}^n \Pi_{r,q} f_M E$$

for all  $M \in \mathbb{Z}$ ,  $q \geq 0$ ,  $n \geq 0$ , so the result follows from proposition 7.2.  $\square$

**COROLLARY 7.4.** *Take  $k$ ,  $x$ ,  $d$  and  $\mathcal{E}$  as in theorem 7.3. Then for all  $q \in \mathbb{Z}$ ,  $(\Pi_{r,q} f_M \mathcal{E})_x[1/p] = 0$  for all  $M \geq N_k(d(\mathcal{E}) - q, r - c(\mathcal{E}) - 1, d) + q$ .*

*Proof.* Indeed, for  $M \geq n$ ,  $f_n f_M \cong f_M$ , hence  $\text{Fil}_{\text{Tate}}^n \Pi_{r,q} f_M \mathcal{E} = \Pi_{r,q} f_M \mathcal{E}$ . The result thus follows from theorem 7.3.  $\square$

*Remark 7.5.* Although  $N_k(e, r, d)$  is defined recursively, it has a simple expression: For  $D_k + d \geq 0$ ,

$$N_k(e, r, d) = \begin{cases} (r+1)(D_k+d) + \frac{1}{2}(r+1)(r+2) & \text{if } e \leq D_k+d, r \geq 0, \\ e+r(D_k+d) + \frac{1}{2}(r+1)(r+2) & \text{if } e > D_k+d, r \geq 0, \\ 0 & \text{if } r < 0. \end{cases}$$

In particular,  $N_k(e, r, d)$  is an increasing function in each variable (assuming  $D_k + d \geq 0$ ). Thus, one can apply theorem 7.3 or corollary 7.4 even if one only has an upper bound for  $d(\mathcal{E})$  and a lower bound for  $c(\mathcal{E})$ .

For instance, for  $Y \in \mathbf{Sm}/k$ , we have  $d(\Sigma_T^\infty Y_+) \leq \dim_k Y$  and  $c(\Sigma_T^\infty Y_+) \geq -1$ . Thus, for  $x \in X \in \mathbf{Sm}/k$ ,  $r \geq 0$ ,  $M \in \mathbb{Z}$ , we have  $(F_{\text{Tate}}^N \Pi_{r,q} f_M \Sigma_T^\infty Y_+)_x = 0$  for

$$N \geq \max(\dim_k Y - q, D_k + \dim_k X) + r(D_k + \dim_k X) + \frac{1}{2}(r+1)(r+2) + q.$$

We do not know if any of these bounds are sharp.

APPENDIX A. NORM MAPS

Suppose our perfect base-field  $k$  has characteristic  $p > 0$ . For an abelian group  $A$ , we write  $A'$  for  $A \otimes_{\mathbb{Z}} \mathbb{Z}[1/p]$ . Our task in this section is to prove

**PROPOSITION A.1.** *1. Suppose that  $k$  is a perfect field of characteristic  $p > 0$ . Take  $\mathcal{E} \in \mathcal{SH}(k)$  and let  $\alpha : F \rightarrow L$  be a purely inseparable extension of finitely generated fields over  $k$ . Then the map*

$$\alpha^* : \Pi_{a,b} \mathcal{E}(F)' \rightarrow \Pi_{a,b} \mathcal{E}(L)'$$

is injective.

2. Suppose that  $k$  is a finite field. Take  $\mathcal{E} \in \mathcal{SH}(k)$  and let  $\alpha : k \rightarrow k'$  be a finite extension of degree  $n$ , let  $F$  be a field extension of  $k$ , and let  $F' = F \otimes_k k'$ . Then the kernel of

$$\alpha^* : \Pi_{a,b}\mathcal{E}(F) \rightarrow \Pi_{a,b}\mathcal{E}(F')$$

is  $n$ -torsion.

As one would expect, we construct a quasi-inverse to  $\alpha^*$  by constructing transfers. We first discuss (1). Take  $a \in F^\times \setminus (F^\times)^p$  and consider the extension  $F_a := F(a^{1/p})$ . We have the corresponding closed point  $p(a)$  of  $\mathbb{A}_F^1$  defined by the homogeneous ideal  $(X^p - a) \subset F[X]$ . This gives us the closed point  $p(a)$  of  $\mathbb{P}_F^1$  via the standard open immersion  $x \mapsto (1 : x)$  of  $\mathbb{A}^1$  into  $\mathbb{P}^1$ .

Suppose  $F = k(U)$  for some finite type  $k$ -scheme  $U$ . Since  $k$  is perfect,  $U$  has a dense open subscheme smooth over  $k$ ; shrinking  $U$  and changing notation, we may assume that  $U$  is affine and smooth over  $k$ , and also that  $a$  is a global unit on  $U$ . Let  $V \subset \mathbb{A}^1 \times U$  be the closed subscheme defined by  $X^p - a$ . Then  $V$  is reduced and irreducible (since  $a \notin (F^\times)^p$ ) and is finite over  $U$ . Shrinking  $U$  again, we may assume that  $V$  is also smooth and affine over  $k$ . Let  $I_V \subset k[U][t]$  be the ideal defining  $V$  in  $\mathbb{A}^1 \times U$ .

Using  $X^p - a$  as generator for  $I_V/I_V^2$ , we have the Morel-Voevodsky purity isomorphism [18, theorem 3.2.23]

$$\mathbb{A}^1 \times U / (\mathbb{A}^1 \times U \setminus V) \cong \mathbb{P}_V^1.$$

Combining with the excision isomorphism  $\mathbb{A}^1 \times U / (\mathbb{A}^1 \times U \setminus V) \cong \mathbb{P}^1 \times U / (\mathbb{P}^1 \times U \setminus V)$  and passing to the limit over open subschemes of  $U$  gives us the sequence of maps of pro-objects in  $\mathcal{H}_\bullet(k)$ :

$$(\mathbb{P}_F^1, \infty) \rightarrow \mathbb{P}_F^1 / \mathbb{P}_F^1 \setminus \{p(a)\} \cong (\mathbb{P}_{F_a}^1, \infty);$$

we denote the composition by  $\mathrm{Tr}_{p(a)/F}$ . Passing to  $\mathcal{SH}(k)$  gives us the morphism

$$\mathrm{Tr}_{p(a)/F} : \mathbb{S}_k \wedge \mathrm{Spec} F_+ \rightarrow \mathbb{S}_k \wedge p(a)_+ = \mathbb{S}_k \wedge \mathrm{Spec} F_{a+},$$

where we consider these objects as pro-objects in  $\mathcal{SH}(k)$ . Composing with the map induced by the structure morphism  $\pi_a : p(a) \rightarrow \mathrm{Spec} F$  gives us the endomorphism  $\pi_a \circ \mathrm{Tr}_{p(a)/F}$  of  $\mathbb{S}_k \wedge \mathrm{Spec} F_+$ .

Proposition A.1(1) is an immediate consequence of

LEMMA A.2. *After inverting  $p$ , the endomorphism*

$$\pi_a \circ \mathrm{Tr}_{p(a)/F} : \mathbb{S}_k \wedge \mathrm{Spec} F_+ \rightarrow \mathbb{S}_k \wedge \mathrm{Spec} F_+$$

*is an isomorphism.*

*Proof.* We consider a deformation of  $\mathrm{Tr}_{F_a/F}$ . Let  $P(a) \subset \mathrm{Spec} F[t][X]$  be the closed subscheme defined by the ideal  $(X^p + t(X + 1) + (t - 1)a)$ . We have

$$d(X^p + t(X + 1) + (t - 1)a) = (X + a + 1)dt + tdX \in \Omega_{F[t][X]/F}.$$

Thus the singular locus of  $P(a)$  (over  $F$ ) is given by

$$(X + a + 1 = t = 0) \cap (X^p + t(X + 1) + (t - 1)a = 0);$$

since  $a \notin (F^\times)^p$ , the singular locus is empty, i.e.,  $P(a)$  is smooth over  $F$ . Using  $X^p + t(X + 1) + (t - 1)a$  as the generator for  $I_{P(a)}/I_{P(a)}^2$ , we have as above the map

$$\mathrm{Tr}_{P(a)/F[t]} : \mathbb{P}_k^1 \wedge \mathrm{Spec} F[t]_+ \rightarrow \mathbb{P}_k^1 \wedge P(a)_+$$

of pro-objects in  $\mathcal{H}_\bullet(k)$ , and the endomorphism

$$\pi_{P(a)/F[t]} \circ \mathrm{Tr}_{P(a)/F[t]} : \mathbb{S}_k \wedge \mathrm{Spec} F[t]_+ \rightarrow \mathbb{S}_k \wedge \mathrm{Spec} F[t]_+$$

of pro-objects in  $\mathcal{SH}(k)$ .

Setting  $t = 1$  gives us the closed subscheme  $p_0$  of  $\mathrm{Spec} F[X]$  defined by the ideal  $(X^p + X + 1)$ . Clearly  $\pi_0 : p_0 \rightarrow \mathrm{Spec} F$  is finite and étale of degree  $p$ . Using  $X^p + X + 1$  as generator of  $I_{p_0}/I_{p_0}^2$  gives us the map

$$\mathrm{Tr}_{p_0/F} : (\mathbb{P}_k^1, \infty) \wedge \mathrm{Spec} F_+ \rightarrow (\mathbb{P}_k^1, \infty) \wedge p_{0+}$$

of pro-objects in  $\mathcal{H}_\bullet(k)$ , and the endomorphism

$$\pi_0 \circ \mathrm{Tr}_{p_0/F} : \mathbb{S}_k \wedge \mathrm{Spec} F_+ \rightarrow \mathbb{S}_k \wedge \mathrm{Spec} F_+$$

of pro-objects in  $\mathcal{SH}(k)$ .

The map  $\pi_{P(a)/F[t]} \circ \mathrm{Tr}_{P(a)/F[t]}$  thus gives us an  $\mathbb{A}^1$ -homotopy between the maps  $\pi_a \circ \mathrm{Tr}_{P(a)/F}$  and  $\pi_0 \circ \mathrm{Tr}_{p_0/F}$ . Thus, these maps are equal in  $\mathcal{SH}(k)$ , and it suffices to show that  $\pi_0 \circ \mathrm{Tr}_{p_0/F}$  is an isomorphism after inverting  $p$ .

As  $X^p + X + 1$  has coefficients in  $\mathbb{F}_p$ ,  $\pi_0 \circ \mathrm{Tr}_{p_0/F}$  arises as base-extension from the similarly defined map

$$\pi_0 \circ \mathrm{Tr}_{p_0/\mathbb{F}_p} : \mathbb{S}_{\mathbb{F}_p} \rightarrow \mathbb{S}_{\mathbb{F}_p}$$

in  $\mathcal{SH}(\mathbb{F}_p)$ , that is, from the corresponding element  $[\pi_0 \circ \mathrm{Tr}_{p_0/\mathbb{F}_p}] \in [\mathbb{S}_{\mathbb{F}_p}, \mathbb{S}_{\mathbb{F}_p}]_{\mathcal{SH}(\mathbb{F}_p)}$ .

By Morel's theorem  $[\mathbb{S}_{\mathbb{F}_p}, \mathbb{S}_{\mathbb{F}_p}]_{\mathcal{SH}(\mathbb{F}_p)} \cong \mathrm{GW}(\mathbb{F}_p)$  (see [14, lemma 3.10, corollary 6.41]). Since  $[\mathbb{F}_p(p_0) : \mathbb{F}_p] = p$ , it follows that the image of  $\pi_0 \circ \mathrm{Tr}_{p_0/\mathbb{F}_p} \in [\mathbb{S}_{\mathbb{F}_p}, \mathbb{S}_{\mathbb{F}_p}]_{\mathcal{SH}(\mathbb{F}_p)}$  under the rank homomorphism  $\mathrm{GW}(\mathbb{F}_p) \rightarrow \mathbb{Z}$  is  $p$ . Since the augmentation ideal  $I \subset \mathrm{GW}(\mathbb{F}_p)$  is nilpotent (in fact  $I^2 = 0$ ), it follows that  $\pi_0 \circ \mathrm{Tr}_{p_0/\mathbb{F}_p}$  is a unit in  $\mathrm{GW}(\mathbb{F}_p)[1/p]$ , completing the proof of (1).

The proof of proposition A.1(2) is similar. Write  $k' = k(a)$ , let  $f(x) \in k[x]$  be the minimal polynomial of  $a$  and let  $p \in \mathbb{A}_k^1$  be the closed point defined by the ideal  $(f(x))$ . Using  $f(x)$  as the generator for  $I_p/I_p^2$ , the Morel-Voevodsky purity isomorphism [18, *loc. cit.*] defines the map

$$\mathrm{Tr}_{p/k} : (\mathbb{P}_k^1, \infty) \rightarrow (\mathbb{P}_k^1, \infty) \wedge p_+$$

in  $\mathcal{H}_\bullet(k)$ , and the endomorphism

$$\pi_p \circ \mathrm{Tr}_{p/k} : \mathbb{S}_k \rightarrow \mathbb{S}_k$$

in  $\mathcal{SH}(k)$ . We can use Morel's theorem again and identify  $\mathrm{End}(\mathbb{S}_k)$  with  $\mathrm{GW}(k)$ . Under this identification,  $\pi_p \circ \mathrm{Tr}_{p/k}$  corresponds to an element  $\gamma \in \mathrm{GW}(k)$ , mapping to the degree  $n$  under the rank homomorphism  $\mathrm{GW}(k) \rightarrow \mathbb{Z}$ . Since the augmentation ideal  $I \subset \mathrm{GW}(k)$  is nilpotent, this implies that there is an element  $\beta \in \mathrm{GW}(k)$  with  $\beta \cdot \gamma = n$ . Viewing  $\beta$  as an endomorphism of  $\mathbb{S}_k$ , this

gives  $\pi_p \circ (\mathrm{Tr}_{p/k} \circ \beta) = n \times \mathrm{id}$ . As  $\alpha^* = \pi_p^* \wedge \mathrm{id}_{\mathrm{Spec} F}$  on  $\Pi_{a,b}\mathcal{E}(F)$ , this implies  $n \cdot \ker \alpha^* = 0$ , completing the proof.  $\square$

#### APPENDIX B. INVERTING INTEGERS IN A TRIANGULATED CATEGORY

We collect some notations and elementary facts concerning the localization of a triangulated category with respect to a multiplicatively closed subset of  $\mathbb{Z} \setminus \{0\}$ . If every object of the triangulated category is compact, there is no issue about what such a localization is and how to define it, however for "large" triangulated categories, there are a number of definitions possible, as well as some questions involving extending adjoints.

Although this material is quite elementary, these facts on localization do not appear to be in the literature in the setting of compactly generated triangulated categories admitting arbitrary coproducts. Some of this material appears in an appendix to S. Kelly's doctoral thesis [9]; I am grateful to him for pointing out these results to me.

In what follows,  $\mathcal{T}$  will be a triangulated category admitting arbitrary small coproducts.

*Remark B.1.* Suppose  $\mathcal{R}$  is a localizing subcategory of  $\mathcal{T}$  with a set of compact generators. Then (see e.g. [22, construction 1.6]), the Verdier localization  $q : \mathcal{T} \rightarrow \mathcal{T}/\mathcal{R}$  exists and admits a right adjoint  $r : \mathcal{T}/\mathcal{R} \rightarrow \mathcal{T}$ .  $r$  induces an equivalence of  $\mathcal{T}/\mathcal{R}$  with  $\mathcal{R}^\perp$ , this being the full subcategory of objects  $X \in \mathcal{T}$  such that  $\mathrm{Hom}_{\mathcal{T}}(A, X) = 0$  for all  $A \in \mathcal{R}$ . If  $R$  is a set of compact generators for  $\mathcal{R}$ , then  $\mathcal{R}^\perp = R^\perp$ .

Let  $R$  be a set of compact objects in  $\mathcal{T}$  and  $\mathcal{R}$  the localizing subcategory of  $\mathcal{T}$  generated by  $R$ , that is, the smallest localizing subcategory of  $\mathcal{T}$  containing  $R$ . We recall from [21, theorem 2.1] that  $R$  is a set of generators for  $\mathcal{R}$ , that is, if  $X$  is an object of  $\mathcal{R}$  such that  $\mathrm{Hom}_{\mathcal{R}}(A, X) = 0$  for all  $A \in R$ , then  $X \cong 0$ . Furthermore, the subcategory  $\mathcal{R}^c$  of compact objects in  $\mathcal{R}$  is the thick subcategory of  $\mathcal{R}$  generated by  $R$ .

Let  $S$  be a multiplicatively closed subset of  $\mathbb{Z} \setminus \{0\}$  containing 1. Call an object  $X$  in  $\mathcal{T}$   $S$ -torsion if  $n \cdot \mathrm{id}_X = 0$  for some  $n \in S$ . We let  $\mathcal{T}_{S\text{-tor}}$  be the localizing subcategory of  $\mathcal{T}$  generated by the compact  $S$ -torsion objects of  $\mathcal{T}$  and let  $\mathcal{T}_{S^{-1}\mathbb{Z}}$  denote the Verdier localization  $\mathcal{T}/\mathcal{T}_{S\text{-tor}}$ . If  $S$  is the set of powers of some integer  $n$ , we write  $\mathbb{Z}[\frac{1}{n}]$  for  $S^{-1}\mathbb{Z}$ ,  $\mathcal{T}_{n^\infty\text{-tor}}$  for  $\mathcal{T}_{S\text{-tor}}$  and  $\mathcal{T}[\frac{1}{n}]$  for  $\mathcal{T}_{S^{-1}\mathbb{Z}}$ . For an object  $A$  of  $\mathcal{T}$  write  $A_{S^{-1}\mathbb{Z}}$  or  $A[\frac{1}{n}]$  for the image of  $A$  in  $\mathcal{T}_{S^{-1}\mathbb{Z}}$  or  $\mathcal{T}[\frac{1}{n}]$ ; for an abelian group or (pre)sheaf of abelian groups  $M$ , we write  $M_{S^{-1}\mathbb{Z}}$  for  $M \otimes_{\mathbb{Z}} S^{-1}\mathbb{Z}$ . For  $S = \mathbb{Z} \setminus \{0\}$ , we will of course write  $\mathbb{Q}$  for  $S^{-1}\mathbb{Z}$ .

If  $\mathcal{T}$  is a compactly generated tensor triangulated category such that the tensor product of compact objects is compact, then  $\mathcal{T}_{S\text{-tor}}$  is a tensor ideal (since  $n \cdot \mathrm{id}_{A \otimes B} = (n \cdot \mathrm{id}_A) \otimes \mathrm{id}_B$ ) so  $\mathcal{T}_{S^{-1}\mathbb{Z}}$  inherits a tensor structure from  $\mathcal{T}$ , and the localization functor  $\mathcal{T} \rightarrow \mathcal{T}_{S^{-1}\mathbb{Z}}$  is an exact tensor functor of tensor triangulated categories.

For  $A$  an object in  $\mathcal{T}$ , let  $A \otimes^L \mathbb{Z}/n$  denote an object fitting into a distinguished triangle

$$A \xrightarrow{n \cdot \text{id}} A \rightarrow A \otimes^L \mathbb{Z}/n \rightarrow A[1];$$

this defines  $A \otimes^L \mathbb{Z}/n$  up to non-unique isomorphism. In addition,  $A \otimes^L \mathbb{Z}/n$  is an  $n^2$ -torsion object.

LEMMA B.2. *Let  $S$  be a subset of  $\mathbb{Z} \setminus \{0\}$  containing 1, and suppose  $\mathcal{T}$  has a set  $C$  of compact generators.*

1. *The set  $C_S := \{A \otimes^L \mathbb{Z}/n \mid A \in C, n \in S\}$  is a set of compact generators for  $\mathcal{T}_{S\text{-tor}}$ .*

2. *For  $A, X$  objects in  $\mathcal{T}$  with  $A$  compact, there is a canonical isomorphism*

$$\text{Hom}_{\mathcal{T}}(A, X)_{S^{-1}\mathbb{Z}} \cong \text{Hom}_{\mathcal{T}_{S^{-1}\mathbb{Z}}}(A_{S^{-1}\mathbb{Z}}, X_{S^{-1}\mathbb{Z}}).$$

*In addition, for  $A$  compact in  $\mathcal{T}$ ,  $A_{S^{-1}\mathbb{Z}}$  is compact in  $\mathcal{T}_{S^{-1}\mathbb{Z}}$ , and  $\mathcal{T}_{S^{-1}\mathbb{Z}}$  is compactly generated, with  $C^S := \{A_{S^{-1}\mathbb{Z}}, A \in C\}$  a set of compact generators.*

3.  *$\mathcal{T}_{S\text{-tor}}$  is equal to the full subcategory  $\mathcal{T}(S)$  of  $\mathcal{T}$  with objects  $X$  such that  $\text{Hom}_{\mathcal{T}}(A, X)_{S^{-1}\mathbb{Z}} = 0$  for all compact  $A$  in  $\mathcal{T}$ .*

4.  *$\mathcal{SH}(k)_{S\text{-tor}}$  is the full subcategory of  $\mathcal{SH}(k)$  with objects those  $\mathcal{K}$  such that  $\Pi_{a,b}(\mathcal{K})_{S^{-1}\mathbb{Z}} = 0$  for all  $a, b \in \mathbb{Z}$ .*

5. *A map  $f : \mathcal{E} \rightarrow \mathcal{F}$  in  $\mathcal{SH}(k)$  becomes an isomorphism in  $\mathcal{SH}(k)_{S^{-1}\mathbb{Z}}$  if and only if the induced map on the localized homotopy sheaves*

$$f_* \otimes \text{id} : \Pi_{a,b}(\mathcal{E})_{S^{-1}\mathbb{Z}} \rightarrow \Pi_{a,b}(\mathcal{F})_{S^{-1}\mathbb{Z}}$$

*is an isomorphism for all  $a, b \in \mathbb{Z}$ .*

6.  *$\mathcal{SH}(k)_{S\text{-tor}}$  is a tensor ideal, hence  $\mathcal{SH}(k)_{S^{-1}\mathbb{Z}}$  is a tensor triangulated category and the localization functor  $\mathcal{SH}(k) \rightarrow \mathcal{SH}(k)_{S^{-1}\mathbb{Z}}$  is an exact tensor functor.*

*Proof.* For (1), clearly  $C_S$  consists of compact objects of  $\mathcal{T}_{S\text{-tor}}$ . If  $\mathcal{X}$  is  $n$ -torsion in  $\mathcal{T}$ , then  $\mathcal{X}$  is a summand of  $\mathcal{X} \otimes^L \mathbb{Z}/n$ , hence the localizing subcategory of  $\mathcal{T}$  generated by  $C_S$  contains all the compact objects in  $\mathcal{T}_{S\text{-tor}}$ , hence is equal to  $\mathcal{T}_{S\text{-tor}}$ , proving (1).

To prove (2), let  $Y \xrightarrow{v} A \xrightarrow{u} Z \rightarrow Y[1]$  be a distinguished triangle in  $\mathcal{T}$  with  $A$  compact and  $Z$  in  $\mathcal{T}_{S\text{-tor}}$ . Then  $n \cdot u = 0$  for some  $n \in S$ , so there is a map  $w : A \rightarrow Y$  with  $v \circ w = n \cdot \text{id}_A$ . Thus the category of maps  $\{n \cdot \text{id} : A \rightarrow A\}$  is cofinal in the category of maps  $Y \rightarrow A$  with cone in  $\mathcal{T}_{S\text{-tor}}$ , from which the isomorphism in (2) follows directly.

As the localization functor  $q : \mathcal{T} \rightarrow \mathcal{T}_{S^{-1}\mathbb{Z}}$  admits a right adjoint,  $q$  preserves all small coproducts; the isomorphism we have just proved shows that  $A_{S^{-1}\mathbb{Z}}$  is compact if  $A$  is compact. If  $\text{Hom}_{\mathcal{T}}(A, X)_{S^{-1}\mathbb{Z}} = 0$  for all  $A \in C$ , then clearly  $X$  is in  $\mathcal{T}_{S\text{-tor}}$  hence  $X_{S^{-1}\mathbb{Z}} = 0$ , completing the proof of (2). (3) follows immediately from (2).

Clearly (5) follows from (4). To prove (4), let  $\mathcal{SH}(k)_{S\text{-tor}}^* \subset \mathcal{SH}(k)$  be the full subcategory of objects  $\mathcal{K}$  as in (4). We recall that  $\mathcal{SH}(k)$  has the set of compact generators  $\{\Sigma_{S_1}^a \Sigma_T^b \Sigma_T^\infty X_+ \mid a, b \in \mathbb{Z}, X \in \mathbf{Sm}/k\}$ .

Take  $\mathcal{K} \in \mathcal{SH}(k)_{S\text{-tor}}^*$ ,  $X \in \mathbf{Sm}/k$ , and let  $f : \Sigma_{S^1}^a \Sigma_T^b \Sigma_T^\infty X_+ \rightarrow \mathcal{K}$  be a morphism. Using the Gersten spectral sequence on  $X$  and the assumption that  $\mathcal{K}$  is in  $\mathcal{SH}(k)_{S\text{-tor}}^*$ , we see there is an  $n \in S$  such that  $n \cdot f = 0$ . Thus  $\mathcal{SH}(k)_{S\text{-tor}}^* \subset \mathcal{SH}(k)(S)$ ; the reverse inclusion follows from the definition of the homotopy sheaf  $\Pi_{a,b}(\mathcal{K})$  as the Nisnevich sheaf associated to the presheaf

$$X \mapsto [\Sigma_{S^1}^{a-b} \Sigma_T^b \Sigma_T^\infty X_+, \mathcal{K}]_{\mathcal{SH}(k)}.$$

By (3), this shows that  $\mathcal{SH}(k)_{S\text{-tor}}^* = \mathcal{SH}_{S\text{-tor}}$ . For (6), we note that  $\Sigma_T^\infty X_+ \wedge \Sigma_T^\infty Y_+ \cong \Sigma_T^\infty X \times Y_+$ . As the subcategory of compact objects of  $\mathcal{SH}(k)$  is the thick subcategory generated by  $C$  (see remark B.1), it follows that the  $\wedge$ -product of compact objects is compact, which suffices to prove (6).  $\square$

*Remark B.3.* Lemma B.2(4)-(6) hold with  $\mathcal{SH}(k)$  replaced by  $\mathcal{SH}_{S^1}(k)$ , with the obvious modification in the statements.

LEMMA B.4. *Let  $L : \mathcal{T}_1 \rightarrow \mathcal{T}_2$  be an exact functor of compactly generated triangulated categories, with right adjoint  $R : \mathcal{T}_2 \rightarrow \mathcal{T}_1$ ; we assume that  $\mathcal{T}_1$  and  $\mathcal{T}_2$  admit arbitrary small coproducts. Suppose that  $L(A)$  is compact for  $A$  in  $\mathcal{T}_1$  compact. Then*

1.  $R$  is compatible with small coproducts.
2. Let  $S \subset \mathbb{Z} \setminus \{0\}$  be a multiplicatively closed subset. Then  $(L, R)$  descends to an adjoint pair  $L_{S^{-1}\mathbb{Z}} : \mathcal{T}_{1S^{-1}\mathbb{Z}} \rightleftarrows \mathcal{T}_{2S^{-1}\mathbb{Z}} : R_{S^{-1}\mathbb{Z}}$ .
3. Suppose that  $L$  is the inclusion functor for a full triangulated subcategory  $\mathcal{T}_1$  of  $\mathcal{T}_2$ . Then  $L_{S^{-1}\mathbb{Z}} : \mathcal{T}_{1S^{-1}\mathbb{Z}} \rightarrow \mathcal{T}_{2S^{-1}\mathbb{Z}}$  is an isomorphism of  $\mathcal{T}_{1S^{-1}\mathbb{Z}}$  with its image in  $\mathcal{T}_{2S^{-1}\mathbb{Z}}$ .

*Proof.* For (1), it is easy to show that  $L$  sends compact objects of  $\mathcal{T}_1$  to compact objects of  $\mathcal{T}_2$  if and only if  $R$  preserves small coproducts; we leave the details of the proof to the reader.

For (2), if  $C_2$  is a set of compact generators for  $\mathcal{T}_2$ , and  $A$  is in  $C_2$ , then for  $n \in S$ ,  $R(A) \otimes^L \mathbb{Z}/n \cong R(A \otimes^L \mathbb{Z}/n)$ , hence  $R$  maps  $C_{2S}$  to  $\mathcal{T}_{1S\text{-tor}}$ . As  $R$  is compatible with small coproducts, it follows that  $R(\mathcal{T}_{2S\text{-tor}}) \subset \mathcal{T}_{1S\text{-tor}}$ . As  $L$  is a left adjoint,  $L$  is compatible with small coproducts, so the same argument shows that  $L(\mathcal{T}_{1S\text{-tor}}) \subset \mathcal{T}_{2S\text{-tor}}$ , giving the induced functors on the localizations

$$L_{S^{-1}\mathbb{Z}} : \mathcal{T}_{1S^{-1}\mathbb{Z}} \rightleftarrows \mathcal{T}_{2S^{-1}\mathbb{Z}} : R_{S^{-1}\mathbb{Z}}.$$

To show that  $(L_{S^{-1}\mathbb{Z}}, R_{S^{-1}\mathbb{Z}})$  is an adjoint pair, we may replace  $\mathcal{T}_{iS^{-1}\mathbb{Z}}$  with the equivalent full subcategory  $C_{iS}^\perp$  of  $\mathcal{T}_i$ ,  $i = 1, 2$ .  $R$  maps  $C_{2S}^\perp$  to  $C_{1S}^\perp$ , and  $R_{S^{-1}\mathbb{Z}}$  is identified with the functor  $R_S : C_{2S}^\perp \rightarrow C_{1S}^\perp$  induced from  $R$ . Letting  $f : \mathcal{T}_2 \rightarrow C_{2S}^\perp$  be the left adjoint to the inclusion  $i_2 : C_{2S}^\perp \rightarrow \mathcal{T}_2$ ,  $L_{S^{-1}\mathbb{Z}}$  is identified with the restriction  $L_S$  of  $f \circ L$  to  $C_{1S}^\perp$ . Letting  $i_1 : C_{1S}^\perp \rightarrow \mathcal{T}_1$  be the inclusion, we have

$$\begin{aligned} \text{Hom}_{C_{2S}^\perp}(L_S(X), Y) &\cong \text{Hom}_{\mathcal{T}_2}(L(i_1 X), i_2 Y) \\ &\cong \text{Hom}_{\mathcal{T}_1}(i_1 X, R(i_2 Y)) = \text{Hom}_{C_{1S}^\perp}(X, R_S(Y)) \end{aligned}$$



for  $X \in C_{1S}^\perp, Y \in C_{2S}^\perp$ .

For (3), as  $L$  and  $L_{S^{-1}\mathbb{Z}}$  are the same on objects, we need only show that  $L_{S^{-1}\mathbb{Z}}$  is fully faithful. Let  $X, Y$  be objects of  $\mathcal{T}_1$ . If  $X$  is compact, then  $L(X)$  is compact by assumption, and thus

$$\begin{aligned} \text{Hom}_{\mathcal{T}_{2S^{-1}\mathbb{Z}}}(L_{S^{-1}\mathbb{Z}}(X_{S^{-1}\mathbb{Z}}), L_{S^{-1}\mathbb{Z}}(Y_{S^{-1}\mathbb{Z}})) &= \text{Hom}_{\mathcal{T}_{2S^{-1}\mathbb{Z}}}(L(X)_{S^{-1}\mathbb{Z}}, L(Y)_{S^{-1}\mathbb{Z}}) \\ &\cong \text{Hom}_{\mathcal{T}_2}(L(X), L(Y))_{S^{-1}\mathbb{Z}} \cong \text{Hom}_{\mathcal{T}_1}(X, Y)_{S^{-1}\mathbb{Z}} \\ &\cong \text{Hom}_{\mathcal{T}_{1S^{-1}\mathbb{Z}}}(X_{S^{-1}\mathbb{Z}}, Y_{S^{-1}\mathbb{Z}}). \end{aligned}$$

Furthermore, as  $L_{S^{-1}\mathbb{Z}}$  is a left adjoint, it preserves small coproducts, hence for fixed  $Y$ , the full subcategory  $\mathcal{T}_{1S^{-1}\mathbb{Z}}^Y$  of  $X_{S^{-1}\mathbb{Z}}$  in  $\mathcal{T}_{1S^{-1}\mathbb{Z}}$  such that

$$\text{Hom}_{\mathcal{T}_{2S^{-1}\mathbb{Z}}}(L_{S^{-1}\mathbb{Z}}(X_{S^{-1}\mathbb{Z}}), L_{S^{-1}\mathbb{Z}}(Y_{S^{-1}\mathbb{Z}})) \cong \text{Hom}_{\mathcal{T}_{1S^{-1}\mathbb{Z}}}(X_{S^{-1}\mathbb{Z}}, Y_{S^{-1}\mathbb{Z}})$$

is a localizing subcategory of  $\mathcal{T}_{1S^{-1}\mathbb{Z}}$  containing the objects  $A_{S^{-1}\mathbb{Z}}$  for  $A$  a compact object of  $\mathcal{T}_1$ . As these form a set of compact generators for  $\mathcal{T}_{1S^{-1}\mathbb{Z}}$ , we see that  $\mathcal{T}_{1S^{-1}\mathbb{Z}}^Y = \mathcal{T}_{1S^{-1}\mathbb{Z}}$ , hence  $L_{S^{-1}\mathbb{Z}}$  is fully faithful.  $\square$

*Example B.5.* We consider the example of  $i_n : \Sigma_T^n \mathcal{SH}^{eff}(k) \xrightarrow{\leftarrow} \mathcal{SH}(k) : r_n$ . Lemma B.4 gives us the full subcategory  $\Sigma_T^n \mathcal{SH}^{eff}(k)_{S^{-1}\mathbb{Z}}$  of  $\mathcal{SH}(k)_{S^{-1}\mathbb{Z}}$  with inclusion functor  $i_{nS^{-1}\mathbb{Z}}$ , the adjoint pair of functors

$$i_{nS^{-1}\mathbb{Z}} : \Sigma_T^n \mathcal{SH}^{eff}(k)_{S^{-1}\mathbb{Z}} \xrightarrow{\leftarrow} \mathcal{SH}(k)_{S^{-1}\mathbb{Z}} : r_{nS^{-1}\mathbb{Z}},$$

the truncation functor  $f_{nS^{-1}\mathbb{Z}} := i_{nS^{-1}\mathbb{Z}} \circ r_{nS^{-1}\mathbb{Z}}$ , and for  $\mathcal{E} \in \mathcal{SH}(k)$ , the canonical isomorphism

$$(f_n \mathcal{E})_{S^{-1}\mathbb{Z}} \cong f_{nS^{-1}\mathbb{Z}}(\mathcal{E}_{S^{-1}\mathbb{Z}}).$$

In addition, by lemma B.2,  $\Sigma_T^n \mathcal{SH}^{eff}(k)_{S^{-1}\mathbb{Z}}$  is equal to the localizing subcategory of  $\mathcal{SH}^{eff}(k)_{S^{-1}\mathbb{Z}}$  generated by the set of compact objects  $(\Sigma_T^q \Sigma_T^\infty X_+)_{S^{-1}\mathbb{Z}}$ , for  $q \geq n$  and  $X \in \mathbf{Sm}/k$ . The analogous results hold for  $\mathcal{SH}_{S^1}(k)$ .

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