

ESTIMATION OF THE BEST CONSTANT INVOLVING THE L^2 NORM OF THE HIGHER-ORDER WENTE PROBLEM

SAMI BARAKET AND MAKKIA DAMMAK

Received 1 June 2004

We study the best constant involving the L^2 norm of the p -derivative solution of Wente's problem in \mathbb{R}^{2p} . We prove that this best constant is achieved by the choice of some function u . We give also explicitly the expression of this constant in the special case $p = 2$.

1. Introduction and statement of the results

The Wente problem arises in the study of constant mean curvature immersions (see [6]). Let Ω be a smooth and bounded domain in \mathbb{R}^2 . Given $u = (a, b)$ be function defined on Ω . Consider the following problem:

$$\begin{aligned} -\Delta\psi &= \det \nabla u = a_{x_1} b_{x_2} - a_{x_2} b_{x_1} \quad \text{in } \Omega, \\ \psi &= 0 \quad \text{on } \partial\Omega, \end{aligned} \tag{1.1}$$

where $x = (x_1, x_2)$ and a_{x_i} denote the partial derivative with respect to the variable x_i , for $i = 1, 2$. If $\Omega = \mathbb{R}^2$, we consider the limit condition $\lim_{|x| \rightarrow +\infty} \psi(x) = 0$, where $|x| = r = (x_1^2 + x_2^2)^{1/2}$. When $u = (a, b) \in H^1(\Omega, \mathbb{R}^2)$, it is proven in [7] and [3] that ψ , the solution of (1.1) is in $L^\infty(\Omega)$. In particular, this provides control of $\nabla\psi$ in $L^2(\Omega)$ and continuity of ψ by simple arguments. We also have

$$\|\psi\|_\infty + \|\nabla\psi\|_2 \leq C_0(\Omega) \|\nabla a\|_2 \|\nabla b\|_2. \tag{1.2}$$

Denote

$$\begin{aligned} C_\infty(\Omega) &= \sup_{\nabla a, \nabla b \neq 0} \frac{\|\psi\|_\infty}{\|\nabla a\|_2 \|\nabla b\|_2}, \\ C_1(\Omega) &= \sup_{\nabla a, \nabla b \neq 0} \frac{\|\nabla\psi\|_2}{\|\nabla a\|_2 \|\nabla b\|_2}. \end{aligned} \tag{1.3}$$

It is proved in [1, 5, 7] that $C_\infty(\Omega) = 1/2\pi$ and in [4] that $C_1(\Omega) = \sqrt{(3/16\pi)}$.

Here, we are interested to study a generalization of problem (1.1) in higher dimensions. More precisely, let $p \in \mathbb{N}^*$ and $u \in W^{1,2p}(\mathbb{R}^{2p}, \mathbb{R}^{2p})$. Consider the following problem:

$$\begin{aligned} (-\Delta)^p \varphi &= \det \nabla u \quad \text{in } \mathbb{R}^{2p}, \\ \lim_{|x| \rightarrow +\infty} \varphi(x) &= 0. \end{aligned} \tag{1.4}$$

It was proved in [2] that the solution φ of (1.4) is in $L^\infty(\mathbb{R}^{2p})$ and $\tilde{\Delta}^{k/2} \varphi$ is in $L^{2p/k}(\mathbb{R}^{2p})$ for $1 \leq k \leq p$, with the following estimates:

$$\|\varphi\|_\infty + \|\tilde{\Delta}^{k/2} \varphi\|_{2p/k} \leq C \|\nabla u\|_{2p}^{2p}, \tag{1.5}$$

where

$$\|\tilde{\Delta}^{k/2} \varphi\|_{2p/k} = \begin{cases} \|\Delta^{k/2} \varphi\|_{2p/k} & \text{if } k \text{ is even,} \\ \|\nabla(\Delta^{(k-1)/2}) \varphi\|_{2p/k} & \text{if } k \text{ is odd.} \end{cases} \tag{1.6}$$

Moreover, the best constant involving the L^∞ norm was determined. Here, we will focus our attention to the quantity $\|\tilde{\Delta}^{p/2} \varphi\|_2$. We will introduce some notations, denote by B^{2p} the unit ball in \mathbb{R}^{2p} , S^{2p} the unit sphere in \mathbb{R}^{2p+1} and $\sigma_{2p+1} = \text{vol}(S^{2p})$. Denote Ψ the function defined on $(0, +\infty)$ by

$$\Psi(s) = \frac{1}{s^p} \left(\int_{\mathbb{R}^{2p}} (s|\nabla \varphi|^2 + |\nabla u|^2)^p \right)^{2p+1} = \frac{1}{s^p} \left(\sum_{k=0}^p C_p^k \|\nabla \varphi\|^k \|\nabla u\|^{p-k} \right)^2 s^k \tag{1.7}$$

Then, there exists a unique $\alpha = \alpha(\nabla \varphi, \nabla u) \in (0, +\infty)$ such that

$$\Psi(\alpha) = \inf_{s \in (0, +\infty)} \Psi(s) \tag{1.8}$$

satisfying

$$\sum_{k=0}^p [(2p+1)k - p] C_p^k \|\nabla \varphi\|^k \|\nabla u\|^{p-k} \alpha^k = 0. \tag{1.9}$$

Finally, let

$$C_p = \sup_{\nabla u \neq 0} \frac{\|\tilde{\Delta}^{p/2} \varphi\|_2^2}{\Psi^{1/(2p)}(\alpha)}. \tag{1.10}$$

Our main result is the following theorem.

THEOREM 1.1. *There exists*

$$C_p = \frac{1}{(2p + 1)(2p)^{(2p+1)/2}\sigma_{2p+1}^{1/(2p)}}. \tag{1.11}$$

Moreover, the best constant C_p is achieved by a family of one parameter of functions $\bar{\varphi}$ and \bar{u} given by

$$\bar{\varphi}(x) = \frac{2}{(2p)!(1 + cr^2)}, \quad \bar{u} = \frac{2\sqrt{cx}}{1 + cr^2}, \tag{1.12}$$

where $c > 0$ is some arbitrary positive constant.

We can give for example more explicit expression of the best constant in the case where $p = 2$. Let $u \in W^{1,4}(\mathbb{R}^4, \mathbb{R}^4)$ and ξ is the solution of

$$\begin{aligned} \Delta^2 \xi &= \det \nabla u \quad \text{in } \mathbb{R}^4, \\ \lim_{|x| \rightarrow +\infty} \xi(x) &= 0. \end{aligned} \tag{1.13}$$

We get that

$$\Psi(\alpha) = \frac{5^5 \|\nabla u\|_4^{12} \left(5 \|\nabla \xi\| \|\nabla u\|_2^2 + \left(9 \|\nabla \xi\| \|\nabla u\|_2^4 + 16 \|\nabla \xi\|_4^4 \|\nabla u\|_4^4 \right)^{1/2} \right)^5}{8^4 \left(3 \|\nabla \xi\| \|\nabla u\|_2^2 + \left(9 \|\nabla \xi\| \|\nabla u\|_2^4 + 16 \|\nabla \xi\|_4^4 \|\nabla u\|_4^4 \right)^{1/2} \right)^3}. \tag{1.14}$$

COROLLARY 1.2. *Let ξ be a solution of (1.13), then*

$$\begin{aligned} &\sup_{\nabla u \neq 0} \frac{\|\Delta \xi\|_2^2 \left(3 \|\nabla \xi\| \|\nabla u\|_2^2 + \left(9 \|\nabla \xi\| \|\nabla u\|_2^4 + 16 \|\nabla \xi\|_4^4 \|\nabla u\|_4^4 \right)^{1/2} \right)^{3/4}}{\|\nabla u\|_4^3 \left(5 \|\nabla \xi\| \|\nabla u\|_2^2 + \left(9 \|\nabla \xi\| \|\nabla u\|_2^4 + 16 \|\nabla \xi\|_4^4 \|\nabla u\|_4^4 \right)^{1/2} \right)^{5/4}} \\ &= \frac{1}{2^8} \left(\frac{15}{8\pi^2} \right)^{1/4}, \end{aligned} \tag{1.15}$$

and the supremum is achieved by $\bar{\xi}$ and \bar{u} given by

$$\bar{\xi}(x) = \frac{1}{12(1 + cr^2)}, \quad \bar{u}(x) = \frac{2\sqrt{cx}}{1 + cr^2}, \tag{1.16}$$

where c is some arbitrary positive constant.

2. Proof of results

First, we introduce some notations which we will use later. Let Ω be a bounded subset of \mathbb{R}^n and let $W : \Omega \rightarrow \mathbb{R}^{n+1}$ be a regular function. Denote $W = (w^1, w^2, \dots, w^n, w^{n+1})$ and $W_i = (w^1, \dots, w^{i-1}, w^{i+1}, \dots, w^n, w^{n+1})$, for $i = 1, \dots, n + 1$. Let V be the algebraic volume of the image of W in \mathbb{R}^{n+1} and denote by A the volume of the boundary of V . Then, we have

$$V = \frac{1}{n + 1} \int_{\Omega} W \cdot W_{x_1} \times W_{x_2} \times \dots \times W_{x_n}, \tag{2.1}$$

$$A = \int_{\Omega} |W_{x_1} \times W_{x_2} \times \dots \times W_{x_n}|, \tag{2.2}$$

where $W_{x_1} \times W_{x_2} \times \dots \times W_{x_n}$ is some vector of \mathbb{R}^{n+1} given by

$$W_{x_1} \times W_{x_2} \times \dots \times W_{x_n} = \begin{vmatrix} e_1 & w_{x_1}^1 & \dots & w_{x_n}^1 \\ e_2 & w_{x_1}^2 & \dots & w_{x_n}^2 \\ \cdot & \cdot & \dots & \cdot \\ \cdot & \cdot & \dots & \cdot \\ \cdot & \cdot & \dots & \cdot \\ e_{n+1} & w_{x_1}^{n+1} & \dots & w_{x_n}^{n+1} \end{vmatrix} = \sum_{i=1}^{n+1} (-1)^{i-1} \det(\nabla W_i) e_i. \tag{2.3}$$

Here $(e_i)_{1 \leq i \leq n+1}$ is the canonic base of \mathbb{R}^{n+1} . We need the following Lemma.

LEMMA 2.1. *Let $W : \Omega \rightarrow \mathbb{R}^{n+1}$ defined as above. Suppose that there exist $1 \leq i_0 \leq n$ such that $w^{i_0} = 0$ on $\partial\Omega$, then*

$$\int_{\Omega} w^i \det(\nabla W_i) = (-1)^n \int_{\Omega} w^j \det(\nabla W_j), \tag{2.4}$$

for $1 \leq i < j \leq n$.

2.1. Proof of Theorem 1.1. We will suppose that $u \in C^\infty(\mathbb{R}^{2p}, \mathbb{R}^{2p}) \cap W^{1,2p}(\mathbb{R}^{2p}, \mathbb{R}^{2p})$. The general case can be obtained by approximating u by regular functions. Then we define W in \mathbb{R}^{2p+1} as follows:

$$W(x) = (u(x), t\varphi(x)), \tag{2.5}$$

where t is a reel parameter which will be chosen later. Using (2.4) the algebraic volume closed by the image of W in \mathbb{R}^{2p+1} is

$$V = \int_{\mathbb{R}^{2p}} w^{2p+1} \det(\nabla W_{2p+1}) dx = t \int_{\mathbb{R}^{2p}} \varphi \det \nabla u dx = t \int_{\mathbb{R}^{2p}} \varphi (-\Delta)^p \varphi dx. \tag{2.6}$$

Then we have

$$V = t \|\tilde{\Delta}^{p/2} \varphi\|_2^2. \tag{2.7}$$

Next, we will estimate A . We have by (2.2)

$$A \leq \int_{\mathbb{R}^{2p}} |W_{x_1}| |W_{x_2}| \cdots |W_{x_{2p}}| dx = \int_{\mathbb{R}^{2p}} \prod_{i=1}^{2p} (|u_{x_i}|^2 + t^2 \varphi_{x_i}^2)^{1/2}. \tag{2.8}$$

As $(\prod_{i=1}^n \alpha_i)^{1/n} \leq 1/n \sum_{i=1}^n \alpha_i$, we have

$$A \leq \frac{1}{(2p)^p} \int_{\mathbb{R}^{2p}} \left(\sum_{i=1}^{2p} (|u_{x_i}|^2 + t^2 \varphi_{x_i}^2) \right)^p = \frac{1}{(2p)^p} \int_{\mathbb{R}^{2p}} (|\nabla u|^2 + t^2 |\nabla \varphi|^2)^p. \tag{2.9}$$

Recall the isoperimetric inequality on a domains Ω of \mathbb{R}^{2p+1} . Denote by $V = \text{Vol}(\Omega)$ and $A = \text{Vol}(\partial\Omega)$, respectively, the volume of Ω and $\partial\Omega$, then

$$(2p + 1)^{2p} \sigma_{2p+1} V^{2p} \leq A^{2p+1}. \tag{2.10}$$

By (2.7) and (2.9), we have

$$(2p + 1)^{2p} \sigma_{2p+1} t^{2p} \|\tilde{\Delta}^{p/2} \varphi\|_2^{4p} \leq \frac{1}{(2p)^{p(2p+1)}} \left(\int_{\mathbb{R}^{2p}} (|\nabla u|^2 + t^2 |\nabla \varphi|^2)^p \right)^{2p+1}. \tag{2.11}$$

We conclude that

$$\|\tilde{\Delta}^{p/2} \varphi\|_2^2 \leq \frac{1}{(2p + 1)(2p)^{(2p+1)/2} \sigma_{2p+1}^{1/2p}} \Psi(t^2)^{1/2p}. \tag{2.12}$$

Then we obtain

$$C_p \leq \frac{1}{(2p + 1)(2p)^{(2p+1)/2} \sigma_{2p+1}^{1/(2p)}}. \tag{2.13}$$

Next, we will show that C_p is achieved. We will consider a special case

$$u(x) = g(|x|x), \tag{2.14}$$

where $g : \mathbb{R}_+ \rightarrow \mathbb{R}$ is a regular function which will be chosen later. Since

$$\det \nabla u = \frac{1}{2pr^{2p-1}} \frac{d}{dr} (r^{2p} g^{2p}(r)), \tag{2.15}$$

then, the solution φ of (1.4) is a radial function. Let χ a general radial function on \mathbb{R}^{2p} and $W(x) = (g(|x|x), t\chi(|x|))$. After a computation, we can show easily that in this case

$$|W_{x_1} \times W_{x_2} \times \cdots \times W_{x_{2p}}|^2 = g^{4p-2}(r)[g^2(r) + 2rg(r)g'(r) + r^2g'^2(r) + t^2\chi'^2(r)] \tag{2.16}$$

and for $1 \leq i \leq 2p$,

$$|W_{x_i}|^2 = g^2(r) + [2rg(r)g'(r) + r^2g'^2(r) + t^2\chi'^2(r)] \frac{x_i^2}{r^2}. \tag{2.17}$$

Next, we will suppose that χ and g satisfy

$$2rg(r)g'(r) + r^2g'^2(r) + t^2\chi'^2(r) = 0. \tag{2.18}$$

If we chose χ as the solution φ of (1.4) when $u = g(|x|x)$, then by (2.16), (2.17) and under the hypothesis (2.18), the inequality (2.9) becomes an equality. Let now

$$\bar{u}(x) = \bar{g}(|x|x) \quad \text{with} \quad \bar{g}(r) = \frac{2\sqrt{c}}{1+cr^2}, \tag{2.19}$$

where $c > 0$ is some positive constant. Then the solution $\bar{\varphi}$ of (1.4) is given by

$$\bar{\varphi}(x) = \frac{1}{(2p)!} \frac{2}{1+cr^2}. \tag{2.20}$$

Indeed, the expression of $\Delta^k \varphi$, for $1 \leq k \leq p$ is

$$\begin{aligned} \Delta^k \bar{\varphi}(r) &= \frac{2^{2k+1}(-1)^k k! c^k}{(2p)!(1+cr^2)^{2k+1}} \\ &\times \left(\prod_{l=0}^{k-1} (p+l) + \prod_{l=0}^{k-1} (p-2-l)c^k r^{2k} + \sum_{j=1}^{k-1} C_k^j \prod_{l=j}^{k-1} (p+l) \prod_{q=k-j}^{k-1} (p-2-q)c^j r^{2j} \right). \end{aligned} \tag{2.21}$$

Remark that all the coefficients of r^{2j} for $2 \leq j \leq k$ in the expression of $\Delta^k \bar{\varphi}$ have the term $(p-k)$. Also, since

$$\det \nabla \bar{u} = \frac{1}{2p r^{2p-1}} \frac{d}{dr} (r^{2p} \bar{g}^{2p}(r)) = 2^{2p} c^p \frac{1-cr^2}{(1+cr^2)^{2p+1}}, \tag{2.22}$$

so, we have

$$(-\Delta)^p \bar{\varphi} = \det \nabla \bar{u} \quad \text{on} \quad \mathbb{R}^{2p}. \tag{2.23}$$

If we choose $\bar{t} = (2p)!$ and $\bar{\chi}(r) = \bar{\varphi}(r) - 1/(2p)!$, we remark that \bar{t} , $\bar{\chi}$ and \bar{g} satisfy (2.18). Since $\bar{W} = (\bar{u}, \bar{t}\bar{\chi}) : \mathbb{R}^{2p} \rightarrow S^{2p}$ and that the isoperimetric inequality (2.10) becomes equality, then we have

$$\frac{\|\bar{\Delta}^{p/2} \bar{\varphi}\|_2^2}{\Psi(\bar{t}^2)^{1/(2p)}} = \frac{1}{(2p+1)(2p)^{(2p+1)/2} \sigma_{2p+1}^{1/(2p)}}. \tag{2.24}$$

We conclude that $\bar{\alpha} = \alpha(\nabla \bar{\varphi}, \nabla \bar{u})$ defined by (1.8) in this case is just $\bar{\alpha} = ((2p)!)^2$.

2.2. Proof of Corollary 1.2. Following step by step the proof of Theorem 1.1, we have

$$A = \int_{\mathbb{R}^4} |W_{x_1} \times W_{x_2} \cdots W_{x_4}| \leq \frac{1}{16} \left(t^4 \|\nabla \xi\|_4^4 + 2t^2 \|\nabla \xi\|_2 \|\nabla u\|_2^2 + \|\nabla u\|_4^4 \right). \tag{2.25}$$

Choosing

$$t^2 = \alpha = \frac{2\|\nabla u\|_4^4}{3\|\nabla \xi\|_2 \|\nabla u\|_2^2 + \left(9\|\nabla \xi\|_2 \|\nabla u\|_2^4 + 16\|\nabla \xi\|_4^4 \|\nabla u\|_4^4 \right)^{1/2}}, \tag{2.26}$$

and using the fact that

$$4\|\nabla \xi\|_4^4 \alpha^2 + 3\|\nabla \xi\|_2 \|\nabla u\|_2^2 \alpha - \|\nabla u\|_4^4 = 0, \tag{2.27}$$

we have

$$\Psi(\alpha) = \frac{5^5 \|\nabla u\|_4^{12} \left(5\|\nabla \xi\|_2 \|\nabla u\|_2^2 + \left(9\|\nabla \xi\|_2 \|\nabla u\|_2^4 + 16\|\nabla \xi\|_4^4 \|\nabla u\|_4^4 \right)^{1/2} \right)^5}{8^4 \left(3\|\nabla \xi\|_2 \|\nabla u\|_2^2 + \left(9\|\nabla \xi\|_2 \|\nabla u\|_2^4 + 16\|\nabla \xi\|_4^4 \|\nabla u\|_4^4 \right)^{1/2} \right)^3}, \tag{2.28}$$

and then

$$\sup_{\nabla u \neq 0} \frac{\|\Delta \xi\|_2^2 \left(3\|\nabla \xi\|_2 \|\nabla u\|_2^2 + \left(9\|\nabla \xi\|_2 \|\nabla u\|_2^4 + 16\|\nabla \xi\|_4^4 \|\nabla u\|_4^4 \right)^{1/2} \right)^{3/4}}{\|\nabla u\|_4^3 \left(5\|\nabla \xi\|_2 \|\nabla u\|_2^2 + \left(9\|\nabla \xi\|_2 \|\nabla u\|_2^4 + 16\|\nabla \xi\|_4^4 \|\nabla u\|_4^4 \right)^{1/2} \right)^{5/4}} \leq \frac{1}{2^8} \left(\frac{15}{8\pi^2} \right)^{1/4}. \tag{2.29}$$

By taking

$$\bar{\xi}(x) = \frac{1}{12(1+cr^2)}, \quad \bar{u}(x) = \frac{2\sqrt{cx}}{1+cr^2}, \tag{2.30}$$

we find

$$\begin{aligned} \|\nabla \bar{u}\|_4^4 &= \frac{2^6 \times 3 \times \pi^2}{7}, \\ \|\Delta \bar{\xi}\|_2^2 &= \frac{\pi^2}{3^2 \times 5}, \quad \|\nabla \bar{\xi}\|_4^4 = \frac{\pi^2}{2^6 \times 3^4 \times 5 \times 7}, \quad \|\nabla \bar{\xi}\|_2 \|\nabla \bar{u}\|_2^2 = \frac{11\pi^2}{3^3 \times 5 \times 7}. \end{aligned} \tag{2.31}$$

Finally (1.15) follows.

References

- [1] S. Baraket, *Estimations of the best constant involving the L^∞ norm in Wentze's inequality*, Ann. Fac. Sci. Toulouse Math. (6) **5** (1996), no. 3, 373–385.
- [2] ———, *The Wentze problem in higher dimensions*, Comm. Partial Differential Equations **26** (2001), no. 9–10, 1497–1508.
- [3] H. Brezis and J.-M. Coron, *Multiple solutions of H -systems and Rellich's conjecture*, Comm. Pure Appl. Math. **37** (1984), no. 2, 149–187.
- [4] Y. Ge, *Estimations of the best constant involving the L^2 norm in Wentze's inequality and compact H -surfaces in Euclidean space*, ESAIM Control Optim. Calc. Var. **3** (1998), 263–300.
- [5] P. Topping, *The optimal constant in Wentze's L^∞ estimate*, Comment. Math. Helv. **72** (1997), no. 2, 316–328.
- [6] H. C. Wentze, *An existence theorem for surfaces of constant mean curvature*, J. Math. Anal. Appl. **26** (1969), 318–344.
- [7] ———, *Large solutions to the volume constrained Plateau problem*, Arch. Ration. Mech. Anal. **75** (1980), no. 1, 59–77.

Sami Baraket: Département de Mathématiques, Faculté des Sciences de Tunis, Campus Universitaire, 2092 Tunis, Tunisie
E-mail address: sami.baraket@fst.rnu.tn

Makkia Dammak: Département de Mathématiques, Faculté des Sciences de Tunis, Campus Universitaire, 2092 Tunis, Tunisie
E-mail address: makkia.dammak@fst.rnu.tn