

EVOLUTIONARY VARIATIONAL INEQUALITIES ARISING IN QUASISTATIC FRICTIONAL CONTACT PROBLEMS FOR ELASTIC MATERIALS

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We consider a class of evolutionary variational inequalities arising in quasistatic frictional contact problems for linear elastic materials. We indicate sufficient conditions in order to have the existence, the uniqueness and the Lipschitz continuous dependence of the solution with respect to the data, respectively. The existence of the solution is obtained using a time-discretization method, compactness and lower semicontinuity arguments. In the study of the discrete problems we use a recent result obtained by the authors (2000). Further, we apply the abstract results in the study of a number of mechanical problems modeling the frictional contact between a deformable body and a foundation. The material is assumed to have linear elastic behavior and the processes are quasistatic. The first problem concerns a model with normal compliance and a version of Coulomb's law of dry friction, for which we prove the existence of a weak solution. We then consider a problem of bilateral contact with Tresca's friction law and a problem involving a simplified version of Coulomb's friction law. For these two problems we prove the existence, the uniqueness and the Lipschitz continuous dependence of the weak solution with respect to the data.

1. Introduction

This work concerns the study of a class of abstract evolutionary variational inequalities modeling the frictional contact between an elastic body and a foundation. Situations which involve dynamic or quasistatic frictional contact abound in industry, especially in engines, motors and transmissions. For this reason there exists a considerable engineering literature dealing with frictional contact problems. An early attempt to study frictional contact problems within the framework of variational inequalities was made in [6]. An excellent reference on analysis and numerical approximations of contact problems involving elastic materials with or without friction is [8]. The mathematical, mechanical and numerical state of the art can be found in [14].

Quasistatic contact problems arise when the forces applied to a system vary slowly in time so that acceleration is negligible. The mathematical treatment of quasistatic problems is recent. The reason lies in the considerable difficulties that the process of frictional contact presents in the modeling and the analysis because of the complicated surface phenomena involved. The variational analysis of some quasistatic contact problems can be found for instance in [2, 3, 5, 9] within linearized elasticity.

In a variational form, a number of quasistatic frictional contact problems for linear elastic materials lead to variational models of the form: find a displacement field $u : [0, T] \rightarrow V$ such that

$$a(u(t), v - \dot{u}(t)) + j(u(t), v) - j(u(t), \dot{u}(t)) \geq (f(t), v - \dot{u}(t))_V \quad \forall v \in V, \text{ a.e. } t \in (0, T), \quad (1.1)$$

$$u(0) = u_0. \quad (1.2)$$

Here, V is a function space of admissible displacements, a is a bilinear form related to the elastic coefficients and the functional j is determined by the type of contact and friction boundary conditions. The data f is related to the given body forces and surface tractions, and u_0 represents the initial displacement. In (1.1) and everywhere in this paper, $T > 0$, $[0, T]$ is the time interval of interest, and the dot above a quantity denotes the derivative of the quantity with respect to the time variable t .

Abstract evolutionary inequalities of the form (1.1) and (1.2) were considered in [4], in the case when j does not depend on the solution, that is, $j(u, v) = j(v)$ for all $v \in V$. There, the existence and uniqueness of the solution was proved using arguments of the theory of nonlinear evolution equations with maximal monotone operators in a real Hilbert space. Considering the case when the nondifferentiable functional j depends on the solution of the problem leads to a new and nonstandard mathematical problem.

The aim of this paper is to provide variational analysis for abstract Cauchy problems of the form (1.1) and (1.2) and to apply these results in the study of some quasistatic elastic frictional contact problems. Thus, we provide sufficiency conditions on the nondifferentiable functional j in order to have the existence, the uniqueness and the Lipschitz continuous dependence of the solution with respect to the data, respectively. Some of these conditions are formulated in terms of the directional derivative of j which consists, to the best of our knowledge, a trait of novelty of this paper. The proof of the existence result for (1.1) and (1.2) is based on a time-discretization method, similar to that used in [1, 5] in the study of quasistatic contact problems for elastic or viscoplastic materials. Given a time step, we construct a sequence of quasivariational inequalities for which we prove the existence of the solution using a result recently obtained in [11]. Then, we interpolate the discrete solution in time and, using compactness and lower semicontinuity arguments, we derive the existence of a solution to (1.1) and (1.2). The uniqueness of the solution as well as its Lipschitz continuous dependence with respect to the data is proved under additional assumptions on the functional j , by using standard Gronwall-type arguments.

Next, we consider a problem of frictional contact between an elastic body and a foundation. We assume that the body forces and surface tractions acting upon the body vary slowly in time so that the acceleration in the system is negligible. Neglecting the acceleration term in the equation of motion leads to a quasistatic approach of the process.

We model the contact with a general normal compliance condition, similar to the one in [7, 15]. In this condition the interpenetration of the body’s surface into the foundation is allowed and may be justified by considering the interpenetration and deformation of surface asperities. The friction is modeled with a quasistatic version of Coulomb’s law. We prove that the mechanical problem leads to a variational formulation of the form (1.1) and (1.2) in which u represents the displacement field. Then, using the abstract results obtained in the study of the Cauchy problem (1.1) and (1.2), we establish the existence of a weak solution of the model, under a smallness assumption concerning the contact and frictional boundary conditions. This result completes the results obtained in [2, 3, 9] where quasistatic contact problems with normal compliance and friction involving linear elastic materials were considered. We also present a quasistatic elastic problem modeling the bilateral contact with Tresca’s friction law as well as a quasistatic contact problem with a simplified version of Coulomb’s law. For both these problems we prove the existence, the uniqueness and the Lipschitz continuous dependence of the solution with respect to the data and therefore we extend some results presented in [6, 13], where the corresponding static problems are considered.

The paper is structured as follows. In Section 2, we introduce the notation, list the assumptions on the data and state our main result, Theorem 2.1. The proof of this result is carried out in several steps in Section 3. In Section 4, we describe the elastic problem with normal compliance and friction, set it into a variational formulation and state an existence result, Theorem 4.1. The proof of Theorem 4.1 is given in Section 5 and it is based on the abstract result provided by Theorem 2.1. Finally, in Section 6 we study the model of bilateral contact with Tresca’s friction law as well as the model involving a simplified version of Coulomb’s friction law.

2. The abstract problem

Let V be a real Hilbert space endowed with the inner product $(\cdot, \cdot)_V$ and the associated norm $|\cdot|_V$. We denote by “ \rightharpoonup ” and “ \rightarrow ” the weak convergence and the strong convergence on V , respectively. In what follows 0_V will represent the zero element of V . For $p \in [1, \infty]$, we use the standard notation for $L^p(0, T; V)$ spaces. We also use the Sobolev space $W^{1,\infty}(0, T; V)$ with the norm

$$|u|_{W^{1,\infty}(0,T;V)} = |u|_{L^\infty(0,T;V)} + |\dot{u}|_{L^\infty(0,T;V)}, \tag{2.1}$$

where a dot now represents the weak derivative with respect to the time variable.

In the study of (1.1) and (1.2) we consider the following assumptions.

- (1) $a : V \times V \rightarrow \mathbb{R}$ is a bilinear symmetric form and
 - (a) there exists $M > 0$ such that $|a(u, v)| \leq M|u|_V|v|_V$ for all $u, v \in V$;
 - (b) there exists $m > 0$ such that $a(v, v) \geq m|v|_V^2$ for all $v \in V$.
- (2) $j : V \times V \rightarrow \mathbb{R}$ and for every $\eta \in V$, $j(\eta, \cdot) : V \rightarrow \mathbb{R}$ is a positively homogeneous subadditive functional, that is,
 - (a) $j(\eta, \lambda u) = \lambda j(\eta, u)$ for all $u \in V$, $\lambda \in \mathbb{R}_+$;
 - (b) $j(\eta, u + v) \leq j(\eta, u) + j(\eta, v)$ for all $u, v \in V$.

$$f \in W^{1,\infty}(0, T; V), \tag{2.2}$$

$$u_0 \in V, \tag{2.3}$$

$$a(u_0, v) + j(u_0, v) \geq (f(0), v)_V \quad \forall v \in V. \tag{2.4}$$

Keeping in mind (2), it results that for all $\eta \in V$, $j(\eta, \cdot) : V \rightarrow \mathbb{R}$ is a convex functional. Therefore, there exists the directional derivative j'_2 given by

$$j'_2(\eta, u; v) = \lim_{\lambda \rightarrow 0^+} \frac{1}{\lambda} [j(\eta, u + \lambda v) - j(\eta, u)] \quad \forall \eta, u, v \in V. \tag{2.5}$$

We consider now the following additional assumptions on the functional j .

(j1) For every sequence $\{u_n\} \subset V$ with $|u_n|_V \rightarrow \infty$, every sequence $\{t_n\} \subset [0, 1]$ and each $\bar{u} \in V$ one has

$$\liminf_{n \rightarrow \infty} \left[\frac{1}{|u_n|_V^2} j'_2(t_n u_n, u_n - \bar{u}; -u_n) \right] < m. \tag{2.6}$$

(j2) For every sequence $\{u_n\} \subset V$ with $|u_n|_V \rightarrow \infty$, every bounded sequence $\{\eta_n\} \subset V$ and each $\bar{u} \in V$, one has

$$\liminf_{n \rightarrow \infty} \left[\frac{1}{|u_n|_V^2} j'_2(\eta_n, u_n - \bar{u}; -u_n) \right] < m. \tag{2.7}$$

(j3) For all sequences $\{u_n\} \subset V$ and $\{\eta_n\} \subset V$ such that $u_n \rightharpoonup u \in V$, $\eta_n \rightharpoonup \eta \in V$ and for every $v \in V$, the inequality below holds

$$\limsup_{n \rightarrow \infty} [j(\eta_n, v) - j(\eta_n, u_n)] \leq j(\eta, v) - j(\eta, u). \tag{2.8}$$

(j4) There exists $c_0 \in (0, m)$ such that

$$j(u, v - u) - j(v, v - u) \leq c_0 |u - v|_V^2 \quad \forall u, v \in V. \tag{2.9}$$

(j5) There exist two functions $a_1 : V \rightarrow \mathbb{R}$ and $a_2 : V \rightarrow \mathbb{R}$ which map bounded sets in V into bounded sets in \mathbb{R} such that $|j(\eta, u)| \leq a_1(\eta) |u|_V^2 + a_2(\eta) \quad \forall \eta, u \in V$, and $a_1(0_V) < m - c_0$.

(j6) For every sequence $\{\eta_n\} \subset V$ with $\eta_n \rightharpoonup \eta \in V$, and every bounded sequence $\{u_n\} \subset V$, one has

$$\lim_{n \rightarrow \infty} [j(\eta_n, u_n) - j(\eta, u_n)] = 0. \tag{2.10}$$

(j7) For every $s \in (0, T]$ and every functions $u, v \in W^{1,\infty}(0, T; V)$ with $u(0) = v(0)$, $u(s) \neq v(s)$, the inequality below holds

$$\int_0^s [j(u(t), \dot{v}(t)) - j(u(t), \dot{u}(t)) + j(v(t), \dot{u}(t)) - j(v(t), \dot{v}(t))] dt < \frac{m}{2} |u(s) - v(s)|_V^2. \tag{2.11}$$

(j8) There exists $\alpha \in (0, m/2)$ such that for every $s \in (0, T]$ and every functions $u, v \in W^{1,\infty}(0, T; V)$ with $u(s) \neq v(s)$, the inequality below holds

$$\int_0^s [j(u(t), \dot{v}(t)) - j(u(t), \dot{u}(t)) + j(v(t), \dot{u}(t)) - j(v(t), \dot{v}(t))] dt < \alpha |u(s) - v(s)|_V^2. \tag{2.12}$$

Our main result, which we establish in the next section is the following.

THEOREM 2.1. *Let (1), (2), (2.2), (2.3), and (2.4) hold. Then:*

(1') *Under the assumptions (j1)–(j6) there exists at least a solution $u \in W^{1,\infty}(0, T; V)$ to the problem (1.1) and (1.2).*

(2') *Under the assumptions (j1)–(j7) there exists a unique solution $u \in W^{1,\infty}(0, T; V)$ to the problem (1.1) and (1.2).*

(3') *Under the assumptions (j1)–(j6) and (j8) there exists a unique solution $u = u(f, u_0) \in W^{1,\infty}(0, T; V)$ to the problem (1.1) and (1.2) and the mapping $(f, u_0) \mapsto u$ is Lipschitz continuous from $W^{1,\infty}(0, T; V) \times V$ to $L^\infty(0, T; V)$.*

The proof of [Theorem 2.1](#) will be established in the next section. Here we remark that if $\varphi : V \rightarrow \mathbb{R}_+$ is a continuous seminorm then the functional j defined by $j(u, v) = \varphi(v)$ for all $u, v \in V$ satisfies the assumptions (2), (j1)–(j8). Therefore, from [Theorem 2.1](#) we deduce the following result, which represents a version of Proposition II.9 in [4].

COROLLARY 2.2. *Let (1), (2.2), (2.3) hold, let $\varphi : V \rightarrow \mathbb{R}_+$ be a continuous seminorm and let us suppose that u_0 satisfies the condition*

$$a(u_0, v) + \varphi(v) \geq (f(0), v)_V \quad \forall v \in V. \tag{2.13}$$

Then, there exists a unique function $u \in W^{1,\infty}(0, T; V)$ such that

$$\begin{aligned} a(u(t), v - \dot{u}(t)) + \varphi(v) - \varphi(\dot{u}(t)) &\geq (f(t), v - \dot{u}(t))_V \quad \forall v \in V, \text{ a.e. } t \in (0, T), \\ u(0) &= u_0. \end{aligned} \tag{2.14}$$

Moreover, the mapping $(f, u_0) \mapsto u$ is Lipschitz continuous from $W^{1,\infty}(0, T; V) \times V$ to $L^\infty(0, T; V)$.

3. Proof of Theorem 2.1

The proof of [Theorem 2.1](#) will be carried out in several steps, using a time-discretization method, compactness and lower semicontinuity arguments. The first step is based on a result obtained recently in [11] that we recall here in a simplified version, for the convenience of the reader.

THEOREM 3.1. *Let (1), (2), (j1)–(j3) hold. Then, for all $f \in V$ there exists at least an element $u \in V$ such that*

$$a(u, v - u) + j(u, v) - j(u, u) \geq (f, v - u)_V \quad \forall v \in V. \tag{3.1}$$

The proof of [Theorem 3.1](#) is based on standard arguments of elliptic variational inequalities, topological degree theory and fixed point. A trait of novelty of [Theorem 3.1](#) consists, to the best of our knowledge, in considering conditions formulated in terms of the directional derivative of the functional j , in the study of quasivariational inequalities of the form [\(3.1\)](#).

We turn now to the proof of [Theorem 2.1](#). To this end we suppose in what follows that [\(1\)](#), [\(2\)](#), [\(2.2\)](#), [\(2.3\)](#), [\(2.4\)](#) hold and the assumptions $(j1)$ – $(j6)$ are fulfilled. Let $n \in \mathbb{N}$. We consider the following implicit scheme: find $u_n^{i+1} \in V$ such that

$$\begin{aligned} a\left(u_n^{i+1}, v - \frac{n}{T}(u_n^{i+1} - u_n^i)\right) + j(u_n^{i+1}, v) - j\left(u_n^{i+1}, \frac{n}{T}(u_n^{i+1} - u_n^i)\right) \\ \geq \left(f\left(\frac{T(i+1)}{n}\right), v - \frac{n}{T}(u_n^{i+1} - u_n^i)\right)_V \quad \forall v \in V, \end{aligned} \tag{3.2}$$

where $u_n^0 = u_0$, $i = 0, 1, \dots, n - 1$.

In the first step we prove the solvability of the quasivariational inequality [\(3.2\)](#) and we provide estimates of the solution to this problem.

LEMMA 3.2. *There exists at least a solution u_n^{i+1} to the quasivariational inequality [\(3.2\)](#), for $i = 0, 1, \dots, n - 1$. Moreover, the solution satisfies*

$$|u_n^{i+1}|_V^2 \leq \frac{1}{m - c_0 - a_1(0_V)} \left(\left| f\left(\frac{T(i+1)}{n}\right) \right|_V |u_n^{i+1}|_V + a_2(0_V) \right), \tag{3.3}$$

$$|u_n^{i+1} - u_n^i|_V \leq \frac{1}{m - c_0} \left| f\left(\frac{T(i+1)}{n}\right) - f\left(\frac{Ti}{n}\right) \right|_V, \tag{3.4}$$

for all $i = 0, 1, \dots, n - 1$.

Proof. Let $i \in \{0, 1, \dots, n - 1\}$. Using [\(2\)\(a\)](#) and setting $w = (T/n)v + u_n^i$ it follows that [\(3.2\)](#) is equivalent to the inequality

$$\begin{aligned} a(u_n^{i+1}, w - u_n^{i+1}) + j(u_n^{i+1}, w - u_n^i) - j(u_n^{i+1}, u_n^{i+1} - u_n^i) \\ \geq \left(f\left(\frac{T(i+1)}{n}\right), w - u_n^{i+1}\right)_V \quad \forall w \in V. \end{aligned} \tag{3.5}$$

Now using [Theorem 3.1](#), we obtain the existence of the solution to [\(3.5\)](#) and the equivalence of problems [\(3.2\)](#) and [\(3.5\)](#) yields the existence part of the lemma.

Taking now $w = 0_V$ in [\(3.5\)](#) and using [\(2\)\(b\)](#), we find

$$\begin{aligned} a(u_n^{i+1}, u_n^{i+1}) &\leq \left(f\left(\frac{T(i+1)}{n}\right), u_n^{i+1}\right)_V + j(u_n^{i+1}, -u_n^i) - j(u_n^{i+1}, u_n^{i+1} - u_n^i) \\ &\leq \left| f\left(\frac{T(i+1)}{n}\right) \right|_V |u_n^{i+1}|_V + j(u_n^{i+1}, -u_n^{i+1}), \end{aligned} \tag{3.6}$$

and [\(1\)\(b\)](#) yields

$$m|u_n^{i+1}|_V^2 \leq \left| f\left(\frac{T(i+1)}{n}\right) \right|_V |u_n^{i+1}|_V + j(u_n^{i+1}, -u_n^{i+1}). \tag{3.7}$$

Taking $u = u_n^{i+1}$ and $v = 0_V$ in (j4) and using (j5) with $\eta = 0_V$, we obtain

$$j(u_n^{i+1}, -u_n^{i+1}) \leq c_0 |u_n^{i+1}|_V^2 + j(0_V, -u_n^{i+1}) \leq (c_0 + a_1(0_V)) |u_n^{i+1}|_V^2 + a_2(0_V). \tag{3.8}$$

Since $a_1(0_V) < m - c_0$, the estimate (3.3) results from (3.7) and (3.8).

Using again (2)(a) it follows that $j(u, 0_V) = \lambda j(u, 0_V)$ for all $u \in V$ and $\lambda > 0$, which implies

$$j(u, 0_V) = 0 \quad \forall u \in V. \tag{3.9}$$

Setting $w = u_n^i$ in (3.5) and using (3.9), it follows that

$$\begin{aligned} & a(u_n^{i+1}, u_n^{i+1} - u_n^i) \\ & \leq \left(f\left(\frac{T(i+1)}{n}\right), u_n^{i+1} - u_n^i \right)_V - j(u_n^{i+1}, u_n^{i+1} - u_n^i) \quad \forall i = 0, 1, \dots, n-1. \end{aligned} \tag{3.10}$$

Using again (3.5) with $i - 1$ in place of i and $w = u_n^{i+1}$, we find

$$\begin{aligned} & a(u_n^i, u_n^{i+1} - u_n^i) + j(u_n^i, u_n^{i+1} - u_n^{i-1}) - j(u_n^i, u_n^i - u_n^{i-1}) \\ & \geq \left(f\left(\frac{Ti}{n}\right), u_n^{i+1} - u_n^i \right)_V \quad \forall i = 1, \dots, n-1 \end{aligned} \tag{3.11}$$

and, keeping in mind (2)(b), (2.4), we obtain

$$\begin{aligned} & -a(u_n^i, u_n^{i+1} - u_n^i) \\ & \leq \left(-f\left(\frac{Ti}{n}\right), u_n^{i+1} - u_n^i \right)_V + j(u_n^i, u_n^{i+1} - u_n^i) \quad \forall i = 0, 1, \dots, n-1. \end{aligned} \tag{3.12}$$

It follows now from (1)(b), (3.10), (3.12), and (j4) that

$$\begin{aligned} m |u_n^{i+1} - u_n^i|_V^2 & \leq a(u_n^{i+1} - u_n^i, u_n^{i+1} - u_n^i) \\ & \leq \left(f\left(\frac{T(i+1)}{n}\right) - f\left(\frac{Ti}{n}\right), u_n^{i+1} - u_n^i \right)_V - j(u_n^{i+1}, u_n^{i+1} - u_n^i) + j(u_n^i, u_n^{i+1} - u_n^i) \\ & \leq \left| f\left(\frac{T(i+1)}{n}\right) - f\left(\frac{Ti}{n}\right) \right|_V |u_n^{i+1} - u_n^i|_V + c_0 |u_n^{i+1} - u_n^i|_V^2 \quad \forall i = 0, 1, \dots, n-1, \end{aligned} \tag{3.13}$$

which implies (3.4). □

We now consider the functions $u_n : [0, T] \rightarrow V$ and $\tilde{u}_n : [0, T] \rightarrow V$ defined as follows:

$$u_n(0) = u_0, \quad u_n(t) = u_n^i + \frac{nt - Ti}{T} (u_n^{i+1} - u_n^i) \quad \forall t \in \left(\frac{Ti}{n}, \frac{T(i+1)}{n} \right], \tag{3.14}$$

$$\tilde{u}_n(0) = u_0, \quad \tilde{u}_n(t) = u_n^{i+1} \quad \forall t \in \left(\frac{Ti}{n}, \frac{T(i+1)}{n} \right], \tag{3.15}$$

where $u_n^0 = u_0$, u_n^{i+1} solves (3.2) and $i = 0, 1, \dots, n-1$.

In the next step we provide convergence results involving the sequences $\{u_n\}$ and $\{\tilde{u}_n\}$.

LEMMA 3.3. *There exist an element $u \in W^{1,\infty}(0, T; V)$ and subsequences of the sequences $\{u_n\}$ and $\{\tilde{u}_n\}$, again denoted $\{u_n\}$ and $\{\tilde{u}_n\}$, respectively, such that*

$$u_n \rightharpoonup u \text{ weakly* in } L^\infty(0, T; V), \tag{3.16}$$

$$\dot{u}_n \rightharpoonup \dot{u} \text{ weakly* in } L^\infty(0, T; V), \tag{3.17}$$

$$\tilde{u}_n(t) \rightharpoonup u(t) \text{ weakly in } V, \text{ a.e. } t \in (0, T). \tag{3.18}$$

Proof. Let $n \in \mathbb{N}$. Using (3.14) it follows that $u_n : [0, T] \rightarrow V$ is an absolutely continuous function and its derivative is given by

$$\dot{u}_n(t) = \frac{n}{T}(u_n^{i+1} - u_n^i) \text{ a.e. } t \in \left(\frac{Ti}{n}, \frac{T(i+1)}{n}\right), \quad i = 0, 1, \dots, n-1. \tag{3.19}$$

Therefore, from (3.3), (3.4), (3.14), and (3.19), we deduce

$$\begin{aligned} |u_n(t)|_V &\leq |u_0|_V + \frac{1}{m-c_0} \left| f\left(\frac{T}{n}\right) - f(0) \right|_V \quad \text{a.e. } t \in \left(0, \frac{T}{n}\right), \\ |u_n(t)|_V &\leq \frac{1}{(m-c_0-a_1(0_V))^{1/2}} \left(\left| f\left(\frac{Ti}{n}\right) \right|_V |u_n^i|_V + a_2(0_V) \right)^{1/2} \\ &\quad + \frac{1}{m-c_0} \left| f\left(\frac{T(i+1)}{n}\right) - f\left(\frac{Ti}{n}\right) \right|_V \\ &\quad \text{a.e. } t \in \left(\frac{Ti}{n}, \frac{T(i+1)}{n}\right), \quad i = 1, \dots, n-1, \\ |\dot{u}_n(t)|_V &\leq \frac{1}{m-c_0} \cdot \frac{n}{T} \left| f\left(\frac{T(i+1)}{n}\right) - f\left(\frac{Ti}{n}\right) \right|_V \\ &\quad \text{a.e. } t \in \left(\frac{Ti}{n}, \frac{T(i+1)}{n}\right), \quad i = 0, 1, \dots, n-1. \end{aligned} \tag{3.20}$$

Keeping in mind the regularity (2.2) and estimate (3.3), from the previous inequalities it follows that $u_n \in W^{1,\infty}(0, T; V)$ and

$$\|u_n\|_{W^{1,\infty}(0,T;V)} \leq C. \tag{3.21}$$

Here and everywhere in this section C represents a positive constant which may depend on f and u_0 but does not depend on n and whose value may change from place to place.

The existence of an element $u \in W^{1,\infty}(0, T; V)$ as well as the convergences (3.16) and (3.17) follow from standard compactness arguments.

We turn now to the proof of (3.18). To this end we remark that the convergence results (3.16) and (3.17) imply

$$u_n(t) \rightharpoonup u(t) \text{ weakly in } V, \quad \forall t \in [0, T]. \tag{3.22}$$

Moreover, using again (3.14), (3.15), and (3.4) we find

$$\begin{aligned} |u_n(t) - \tilde{u}_n(t)|_V &= \left(1 - \frac{nt - Ti}{T}\right) |u_n^{i+1} - u_n^i|_V \\ &\leq \frac{1}{m - c_0} \left| f\left(\frac{T(i+1)}{n}\right) - f\left(\frac{Ti}{n}\right) \right|_V \\ &\quad \forall t \in \left(\frac{Ti}{n}, \frac{T(i+1)}{n}\right], \quad i = 0, 1, \dots, n-1 \end{aligned} \tag{3.23}$$

and, keeping in mind the regularity (2.2), we deduce

$$|u_n - \tilde{u}_n|_{L^\infty(0, T; V)} \leq \frac{1}{m - c_0} \cdot \frac{T}{n} |\dot{f}|_{L^\infty(0, T; V)}. \tag{3.24}$$

This inequality proves that

$$u_n - \tilde{u}_n \longrightarrow 0 \quad \text{in } L^\infty(0, T; V) \tag{3.25}$$

and therefore

$$u_n(t) - \tilde{u}_n(t) \longrightarrow 0 \quad \text{a.e. } t \in (0, T). \tag{3.26}$$

The convergence (3.18) is now a consequence of (3.22) and (3.26). □

In the next two steps we prove additional convergence and semicontinuity results. To this end, for every $n \in \mathbb{N}$ consider the function $f_n : [0, T] \rightarrow V$ defined as follows:

$$f_n(0) = f(0), \quad f_n(t) = f\left(\frac{T(i+1)}{n}\right) \quad \forall t \in \left(\frac{Ti}{n}, \frac{T(i+1)}{n}\right], \quad i = 0, 1, \dots, n-1. \tag{3.27}$$

Everywhere in what follows u will denote the element of $W^{1,\infty}(0, T; V)$ whose existence was proved in Lemma 3.3 and $\{u_n\}$, $\{\tilde{u}_n\}$, $\{f_n\}$ will represent appropriate subsequences of the sequences $\{u_n\}$, $\{\tilde{u}_n\}$, and $\{f_n\}$, respectively.

LEMMA 3.4. *The following properties hold:*

$$\lim_{n \rightarrow \infty} \int_0^T a(\tilde{u}_n(t), g(t)) dt = \int_0^T a(u(t), g(t)) dt \quad \forall g \in L^2(0, T; V), \tag{3.28}$$

$$\liminf_{n \rightarrow \infty} \int_0^T a(\tilde{u}_n(t), \dot{u}_n(t)) dt \geq \int_0^T a(u(t), \dot{u}(t)) dt, \tag{3.29}$$

$$\begin{aligned} \lim_{n \rightarrow \infty} \int_0^T (f_n(t), g(t) - \dot{u}_n(t))_V dt \\ = \int_0^T (f(t), g(t) - \dot{u}(t))_V dt \quad \forall g \in L^2(0, T; V). \end{aligned} \tag{3.30}$$

Proof. It follows from (3.16) and (3.25) that $\tilde{u}_n \rightharpoonup u$ weakly in $L^2(0, T; V)$ and therefore, keeping in mind (1), we deduce (3.28). Using again (1)(a), (3.25), and (3.21)

we find

$$\lim_{n \rightarrow \infty} \int_0^T a(\tilde{u}_n(t) - u_n(t), \dot{u}_n(t)) dt = 0 \tag{3.31}$$

and, from (3.22), $u_n(0) = u_0$ and standard semicontinuity arguments, we obtain

$$\liminf_{n \rightarrow \infty} \int_0^T a(u_n(t), \dot{u}_n(t)) dt \geq \int_0^T a(u(t), \dot{u}(t)) dt. \tag{3.32}$$

The inequality (3.29) is now a consequence of (3.31) and (3.32).

Finally, from (2.2) and (3.27) we obtain that the sequence $\{f_n\}$ converges uniformly to f on $[0, T]$, that is,

$$\max_{t \in [0, T]} |f_n(t) - f(t)|_V \longrightarrow 0 \quad \forall t \in [0, T]. \tag{3.33}$$

The convergence (3.30) is now a consequence of (3.17) and (3.33). □

LEMMA 3.5. *The following properties hold:*

$$\limsup_{n \rightarrow \infty} \int_0^T j(\tilde{u}_n(t), g(t)) dt \leq \int_0^T j(u(t), g(t)) dt \quad \forall g \in L^2(0, T; V), \tag{3.34}$$

$$\limsup_{n \rightarrow \infty} \int_0^T [j(u(t), \dot{u}_n(t)) - j(\tilde{u}_n(t), \dot{u}_n(t))] dt \leq 0, \tag{3.35}$$

$$\liminf_{n \rightarrow \infty} \int_0^T j(u(t), \dot{u}_n(t)) dt \geq \int_0^T j(u(t), \dot{u}(t)) dt. \tag{3.36}$$

Proof. Let $g \in L^2(0, T; V)$. Using (2.2), (3.3), and (3.15), it follows that $\{\tilde{u}_n(t)\}$ is a bounded sequence in V , for all $t \in [0, T]$. Therefore, by assumption (j5) we deduce that there exists $C > 0$ such that

$$|j(\tilde{u}_n(t), g(t))| \leq C(|g(t)|_V^2 + 1) \quad \text{a.e. } t \in (0, T), \quad \forall n \in \mathbb{N}. \tag{3.37}$$

This inequality allows us to apply Fatou's lemma to obtain

$$\limsup_{n \rightarrow \infty} \int_0^T j(\tilde{u}_n(t), g(t)) dt \leq \int_0^T \limsup_{n \rightarrow \infty} j(\tilde{u}_n(t), g(t)) dt. \tag{3.38}$$

We apply (3.18) and assumption (j6) to find

$$\lim_{n \rightarrow \infty} j(\tilde{u}_n(t), g(t)) = j(u(t), g(t)) \quad \text{a.e. } t \in (0, T). \tag{3.39}$$

The inequality (3.34) is now a consequence of (3.38) and (3.39).

Now, using again assumption (j5) and (3.21) we deduce that there exists $C > 0$ such that

$$|j(u(t), \dot{u}_n(t)) - j(\tilde{u}_n(t), \dot{u}_n(t))| \leq C \quad \text{a.e. } t \in (0, T), \quad \forall n \in \mathbb{N}. \tag{3.40}$$

This inequality allows us to apply again Fatou’s lemma to obtain

$$\begin{aligned} \limsup_{n \rightarrow \infty} \int_0^T [j(u(t), \dot{u}_n(t)) - j(\tilde{u}_n(t), \dot{u}_n(t))] dt \\ \leq \int_0^T \limsup_{n \rightarrow \infty} [j(u(t), \dot{u}_n(t)) - j(\tilde{u}_n(t), \dot{u}_n(t))] dt. \end{aligned} \tag{3.41}$$

Moreover, using (3.18), (3.21), and assumption (j6), we deduce

$$\lim_{n \rightarrow \infty} [j(u(t), \dot{u}_n(t)) - j(\tilde{u}_n(t), \dot{u}_n(t))] = 0 \quad \text{a.e. } t \in (0, T). \tag{3.42}$$

Inequality (3.35) follows now from (3.41) and (3.42).

Finally, inequality (3.36) follows from standard semicontinuity arguments, keeping in mind (2), (j5), and (3.17). \square

We have now all the ingredients to prove the theorem.

Proof of Theorem 2.1. (1) Using (3.2), (3.15), (3.19), and (3.27) we obtain

$$\begin{aligned} a(\tilde{u}_n(t), v - \dot{u}_n(t))_V + j(\tilde{u}_n(t), v) - j(\tilde{u}_n(t), \dot{u}_n(t)) \\ \geq (f_n(t), v - \dot{u}_n(t))_V \quad \forall v \in V, \text{ a.e. } t \in (0, T). \end{aligned} \tag{3.43}$$

This inequality and assumption (j5) yield

$$\begin{aligned} \int_0^T a(\tilde{u}_n(t), g(t) - \dot{u}_n(t)) dt + \int_0^T j(\tilde{u}_n(t), g(t)) dt - \int_0^T j(\tilde{u}_n(t), \dot{u}_n(t)) dt \\ \geq \int_0^T (f_n(t), g(t) - \dot{u}_n(t))_V dt \quad \forall g \in L^2(0, T; V). \end{aligned} \tag{3.44}$$

Now using (3.28), (3.29), (3.30), (3.34), (3.35), (3.36), and (3.44) we find

$$\begin{aligned} \int_0^T a(u(t), g(t) - \dot{u}(t)) dt + \int_0^T j(u(t), g(t)) dt - \int_0^T j(u(t), \dot{u}(t)) dt \\ \geq \int_0^T (f(t), g(t) - \dot{u}(t))_V dt \quad \forall g \in L^2(0, T; V). \end{aligned} \tag{3.45}$$

Using now in (3.45) a classical application of Lebesgue point for L^1 functions we obtain that $u \in W^{1,\infty}(0, T; V)$ satisfies (1.1) and from (3.14) and (3.22) we deduce (1.2) which concludes the proof.

(2) Consider two solutions $u_1, u_2 \in W^{1,\infty}(0, T; V)$ to the Cauchy problem (1.1) and (1.2). The inequalities below hold for all $v \in V$ and a.e. $t \in (0, T)$:

$$\begin{aligned} a(u_1(t), v - \dot{u}_1(t)) + j(u_1(t), v) - j(u_1(t), \dot{u}_1(t)) &\geq (f(t), v - \dot{u}_1(t))_V, \\ a(u_2(t), v - \dot{u}_2(t)) + j(u_2(t), v) - j(u_2(t), \dot{u}_2(t)) &\geq (f(t), v - \dot{u}_2(t))_V. \end{aligned} \tag{3.46}$$

We take $v = \dot{u}_2(t)$ in the first inequality, $v = \dot{u}_1(t)$ in the second inequality. Adding the corresponding inequalities and using (1) we obtain

$$\begin{aligned} & \frac{1}{2} \frac{d}{dt} a(u_1(t) - u_2(t), u_1(t) - u_2(t)) \\ & \leq j(u_1(t), \dot{u}_2(t)) - j(u_1(t), \dot{u}_1(t)) + j(u_2(t), \dot{u}_1(t)) - j(u_2(t), \dot{u}_2(t)) \quad \text{a.e. } t \in (0, T). \end{aligned} \tag{3.47}$$

Moreover, from (1.2) we have

$$u_1(0) = u_2(0) = u_0. \tag{3.48}$$

Arguing by contradiction, let us suppose that $u_1 \neq u_2$. Then there exists $s \in (0, T]$ such that

$$u_1(s) \neq u_2(s). \tag{3.49}$$

Integrating (3.47) over $[0, s]$, by using (1)(b) and (3.48) yields

$$\begin{aligned} & \frac{m}{2} |u_1(s) - u_2(s)|_V^2 \\ & \leq \int_0^s [j(u_1(t), \dot{u}_2(t)) - j(u_1(t), \dot{u}_1(t)) + j(u_2(t), \dot{u}_1(t)) - j(u_2(t), \dot{u}_2(t))] dt. \end{aligned} \tag{3.50}$$

In view of (3.48), (3.49) and assumption (j7), the inequality (3.50) leads to a contradiction, which concludes the proof.

(3) The unique solvability of the Cauchy problem (1.1) and (1.2) follows from (2) since the assumption (j8) implies (j7). Let now $f_i \in W^{1,\infty}(0, T; V)$ and $u_{0i} \in V$ be such that (2.4) holds for $i = 1, 2$. We denote in what follows by $u_i \in W^{1,\infty}(0, T; V)$ the solution of the Cauchy problem (1.1) and (1.2) for the data f_i and u_{0i} . A computation similar to the one in (3.47) leads to the inequality

$$\begin{aligned} & \frac{1}{2} \frac{d}{dt} a(u_1(t) - u_2(t), u_1(t) - u_2(t)) \\ & \leq j(u_1(t), \dot{u}_2(t)) - j(u_1(t), \dot{u}_1(t)) + j(u_2(t), \dot{u}_1(t)) \\ & \quad - j(u_2(t), \dot{u}_2(t)) + (f_1(t) - f_2(t), \dot{u}_1(t) - \dot{u}_2(t))_V \quad \text{a.e. } t \in (0, T). \end{aligned} \tag{3.51}$$

We suppose in what follows that $u_1 \neq u_2$ and let $s \in (0, T]$ be such that $u_1(s) \neq u_2(s)$. Integrating over $[0, s]$ the previous inequality, using the initial conditions $u_i(0) = u_{0i}$ and (1), yields

$$\begin{aligned} & \frac{m}{2} |u_1(s) - u_2(s)|_V^2 \leq \frac{M}{2} |u_{01} - u_{02}|_V^2 + \int_0^s [j(u_1(t), \dot{u}_2(t)) - j(u_1(t), \dot{u}_1(t)) \\ & \quad + j(u_2(t), \dot{u}_1(t)) - j(u_2(t), \dot{u}_2(t))] dt \\ & \quad + \int_0^s (f_1(t) - f_2(t), \dot{u}_1(t) - \dot{u}_2(t))_V dt. \end{aligned} \tag{3.52}$$

In view of the assumption (j8) we obtain

$$\left(\frac{m}{2} - \alpha\right) |u_1(s) - u_2(s)|_V^2 \leq \frac{M}{2} |u_{01} - u_{02}|_V^2 + \int_0^s (f_1(t) - f_2(t), \dot{u}_1(t) - \dot{u}_2(t))_V dt. \tag{3.53}$$

Let $\delta \in (0, m - 2\alpha)$. Using the inequality

$$ab \leq \frac{a^2}{2\delta} + \frac{\delta b^2}{2} \tag{3.54}$$

we obtain

$$\begin{aligned} & \int_0^s (f_1(t) - f_2(t), \dot{u}_1(t) - \dot{u}_2(t))_V dt \\ &= (f_1(s) - f_2(s), u_1(s) - u_2(s))_V - (f_1(0) - f_2(0), u_{01} - u_{02})_V \\ & \quad - \int_0^s (\dot{f}_1(t) - \dot{f}_2(t), u_1(t) - u_2(t))_V dt \\ & \leq \frac{1}{2\delta} |f_1(s) - f_2(s)|_V^2 + \frac{\delta}{2} |u_1(s) - u_2(s)|_V^2 + \frac{1}{2\delta} |f_1(0) - f_2(0)|_V^2 \\ & \quad + \frac{\delta}{2} |u_{01} - u_{02}|_V^2 + \frac{1}{2\delta} \int_0^s |\dot{f}_1(t) - \dot{f}_2(t)|_V^2 dt + \frac{\delta}{2} \int_0^s |u_1(t) - u_2(t)|_V^2 dt \\ & \leq \frac{T+2}{2\delta} |f_1 - f_2|_{W^{1,\infty}(0,T;V)}^2 + \frac{\delta}{2} |u_1(s) - u_2(s)|_V^2 \\ & \quad + \frac{\delta}{2} |u_{01} - u_{02}|_V^2 + \frac{\delta}{2} \int_0^s |u_1(t) - u_2(t)|_V^2 dt. \end{aligned} \tag{3.55}$$

Keeping in mind (3.53) and the previous inequality we deduce

$$\begin{aligned} |u_1(s) - u_2(s)|_V^2 dt & \leq C_1 \left(|u_{01} - u_{02}|_V^2 + |f_1 - f_2|_{W^{1,\infty}(0,T;V)}^2 \right) \\ & \quad + C_2 \int_0^s |u_1(t) - u_2(t)|_V^2 dt, \end{aligned} \tag{3.56}$$

where $C_1, C_2 > 0$ depend on M, m, α, δ , and T . Clearly the inequality (3.56) holds for all $s \in [0, T]$. Using now a Gronwall-type argument, from (3.56) we obtain

$$|u_1(0) - u_2(1)|_V^2 dt \leq C \left(|u_{01} - u_{02}|_V^2 + |f_1 - f_2|_{W^{1,\infty}(0,T;V)}^2 \right) \quad \forall s \in [0, T], \tag{3.57}$$

where $C > 0$, which concludes the proof. □

4. A frictional contact problem with normal compliance

In this section, we present an application of [Theorem 2.1](#) in the study of a nonlinear problem modeling the contact between an elastic body and a foundation.

The physical setting is as follows. A linear elastic body occupies a bounded domain $\Omega \subset \mathbb{R}^d$ ($d = 2, 3$) with a regular boundary Γ that is partitioned into three disjoint

measurable parts Γ_1 , Γ_2 , and Γ_3 , such that $\text{meas}(\Gamma_1) > 0$. Let $T > 0$ and let $[0, T]$ denote the time interval of interest. The body is clamped on $\Gamma_1 \times (0, T)$ and thus the displacement field vanishes there. A volume force of density \mathbf{f}_0 acts in $\Omega \times (0, T)$ and a surface traction of density \mathbf{f}_2 acts on $\Gamma_2 \times (0, T)$. We assume that the forces and tractions change slowly in time so that the acceleration in the system is negligible. The boundary conditions on the potential contact surface Γ_3 involve normal compliance and friction and will be discussed below.

Under these conditions, the classical formulation of the mechanical problem of frictional contact of the elastic body is the following.

Problem 1. Find a displacement $\mathbf{u} : \Omega \times [0, T] \rightarrow \mathbb{R}^d$ and a stress field $\boldsymbol{\sigma} : \Omega \times [0, T] \rightarrow S_d$ such that

$$\boldsymbol{\sigma} = \mathcal{E}\boldsymbol{\varepsilon}(\mathbf{u}) \quad \text{in } \Omega \times (0, T), \tag{4.1}$$

$$\text{Div } \boldsymbol{\sigma} + \mathbf{f}_0 = \mathbf{0} \quad \text{in } \Omega \times (0, T), \tag{4.2}$$

$$\mathbf{u} = \mathbf{0} \quad \text{on } \Gamma_1 \times (0, T), \tag{4.3}$$

$$\boldsymbol{\sigma}\mathbf{v} = \mathbf{f}_2 \quad \text{on } \Gamma_2 \times (0, T), \tag{4.4}$$

$$-\sigma_v = p_v(u_v - g), \tag{4.5}$$

$$\left. \begin{aligned} |\sigma_\tau| &\leq p_\tau(u_v - g) \\ |\sigma_\tau| < p_\tau(u_v - g) &\implies \dot{\mathbf{u}}_\tau = 0 \\ |\sigma_\tau| = p_\tau(u_v - g) &\implies \boldsymbol{\sigma}_\tau = -\lambda \dot{\mathbf{u}}_\tau, \quad \lambda \geq 0 \end{aligned} \right\} \text{on } \Gamma_3 \times (0, T), \tag{4.6}$$

$$\mathbf{u}(0) = \mathbf{u}_0 \quad \text{in } \Omega. \tag{4.7}$$

Here S_d represents the space of second order symmetric tensors on \mathbb{R}^d . Relation (4.1) is the elastic constitutive law in which \mathcal{E} is a fourth order tensor and $\boldsymbol{\varepsilon}(\mathbf{u})$ is the infinitesimal strain tensor. Relation (4.2) represents the equilibrium equation, equations (4.3) and (4.4) are the displacement-traction boundary conditions in which \mathbf{v} represents the unit outward normal vector to Γ and, finally, the function \mathbf{u}_0 in (4.7) denotes the initial displacement.

We make some comments on the contact conditions (4.5) and (4.6) in which σ_v denotes the normal stress, σ_τ represents the tangential traction, u_v is the normal displacement and $\dot{\mathbf{u}}_\tau$ represents the tangential velocity. The equality (4.5) represents the *normal compliance* contact condition in which p_v is a prescribed nonnegative function and g denotes the gap between the potential contact surface Γ_3 and the foundation, measured along the direction of the outward normal \mathbf{v} . When positive, $u_v - g$ represents the penetration of the surface asperities into those of the foundation. Such contact condition was proposed in [10] and used in a number of publications, see, for example, [2, 3, 9, 15, 16] and references there. In this condition the interpenetration is allowed but penalized. An example of a normal compliance function p_v is

$$p_v(r) = c_v r_+, \tag{4.8}$$

where c_v is a positive constant and $r_+ = \max\{0, r\}$. Formally, Signorini's nonpenetration condition is obtained in the limit $c_v \rightarrow \infty$.

The relations (4.6) represent a version of Coulomb's law of dry friction in which p_τ is a prescribed nonnegative function, the so-called *friction bound*. According to (4.6) the tangential shear cannot exceed the maximal frictional resistance $p_\tau(u_v - g)$. Then, if the strict inequality holds, the surface adheres to the foundation and is in the so-called *stick* state, and when equality holds there is relative sliding, the so-called *slip* state. Therefore, at each time instant the potential contact surface Γ_3 is divided into three zones: the stick zone, the slip zone and the zone of separation, in which $u_v < g$ and there is no contact. The boundaries of these zones are unknown a priori and form free boundaries. The choice

$$p_\tau = \mu p_v, \tag{4.9}$$

leads to the usual Coulomb's law, and $\mu \geq 0$ is the coefficient of friction (cf. [6] or [13]). Recently a modified version of the Coulomb friction law was derived in [17, 18] from thermodynamic considerations. It consists of using the friction law (4.6) with

$$p_\tau = \mu p_v (1 - \delta p_v)_+, \tag{4.10}$$

where δ is a small positive material constant related to the wear and hardness of the surface. Contact and frictional boundary conditions of the form (4.5) and (4.6) were considered in [15] in the study of quasistatic process for Kelvin-Voigt viscoelastic materials.

To provide the variational analysis of the problem (4.1), (4.2), (4.3), (4.4), (4.5), (4.6), and (4.7) we introduce the following notation. We define the inner products and the corresponding norms on \mathbb{R}^d and S_d by

$$\begin{aligned} \mathbf{u} \cdot \mathbf{v} &= u_i v_i, & |\mathbf{v}| &= (\mathbf{v} \cdot \mathbf{v})^{1/2}, & \forall \mathbf{u}, \mathbf{v} \in \mathbb{R}^d, \\ \boldsymbol{\sigma} \cdot \boldsymbol{\tau} &= \sigma_{ij} \tau_{ij}, & |\boldsymbol{\tau}| &= (\boldsymbol{\tau} \cdot \boldsymbol{\tau})^{1/2}, & \forall \boldsymbol{\sigma}, \boldsymbol{\tau} \in S_d. \end{aligned} \tag{4.11}$$

Here and below the indices i and j run between 1 and d , the summation convention over repeated indices is used, and the index following a comma indicates a partial derivative. Next, we use the following spaces:

$$\begin{aligned} H &= \{ \mathbf{v} = (v_i) \mid v_i \in L^2(\Omega) \} = L^2(\Omega)^d, \\ H_1 &= \{ \mathbf{v} = (v_i) \mid v_i \in H^1(\Omega) \} = H^1(\Omega)^d, \\ \mathcal{H} &= \{ \boldsymbol{\tau} = (\tau_{ij}) \mid \tau_{ij} = \tau_{ji} \in L^2(\Omega) \} = L^2(\Omega)_s^{d \times d}, \\ \mathcal{H}_1 &= \{ \boldsymbol{\tau} \in \mathcal{H} \mid \tau_{ij,j} \in H \}. \end{aligned} \tag{4.12}$$

These are real Hilbert spaces endowed with the inner products

$$\begin{aligned} (\mathbf{u}, \mathbf{v})_H &= \int_\Omega u_i v_i dx, & (\boldsymbol{\sigma}, \boldsymbol{\tau})_{\mathcal{H}} &= \int_\Omega \sigma_{ij} \tau_{ij} dx, \\ (\mathbf{u}, \mathbf{v})_{H_1} &= (\mathbf{u}, \mathbf{v})_H + (\boldsymbol{\varepsilon}(\mathbf{u}), \boldsymbol{\varepsilon}(\mathbf{v}))_{\mathcal{H}}, & (\boldsymbol{\sigma}, \boldsymbol{\tau})_{\mathcal{H}_1} &= (\boldsymbol{\sigma}, \boldsymbol{\tau})_{\mathcal{H}} + (\text{Div } \boldsymbol{\sigma}, \text{Div } \boldsymbol{\tau})_H, \end{aligned} \tag{4.13}$$

with the associated norms $|\cdot|_H$, $|\cdot|_{\mathcal{H}}$, $|\cdot|_{H_1}$, and $|\cdot|_{\mathcal{H}_1}$, respectively. Here $\boldsymbol{\varepsilon} : H_1 \rightarrow \mathcal{H}$ and $\text{Div} : \mathcal{H}_1 \rightarrow H$ are the *deformation* and *divergence* operators, respectively, defined by

$$\boldsymbol{\varepsilon}(\mathbf{u}) = (\varepsilon_{ij}(\mathbf{u})), \quad \varepsilon_{ij}(\mathbf{u}) = \frac{1}{2}(u_{i,j} + u_{j,i}), \quad \text{Div } \boldsymbol{\sigma} = (\sigma_{ij,j}). \quad (4.14)$$

For an element $\mathbf{v} \in H_1$ we denote by \mathbf{v} its trace on Γ and by $v_\nu = \mathbf{v} \cdot \boldsymbol{\nu}$ and $\mathbf{v}_\tau = \mathbf{v} - v_\nu \boldsymbol{\nu}$ its *normal* and *tangential* components on the boundary. Let V be the closed subspace of H_1 given by

$$V = \{\mathbf{v} \in H_1 \mid \mathbf{v} = \mathbf{0} \text{ on } \Gamma_1\}. \quad (4.15)$$

Since $\text{meas}(\Gamma_1) > 0$, the following Korn's inequality holds:

$$|\boldsymbol{\varepsilon}(\mathbf{v})|_{\mathcal{H}} \geq c_K |\mathbf{v}|_{H_1} \quad \forall \mathbf{v} \in V, \quad (4.16)$$

where $c_K > 0$ is a constant depending only on Ω and Γ_1 . A proof of Korn's inequality can be found in, for instance, [12, page 79]. Over the space V we consider the inner product given by

$$(\mathbf{u}, \mathbf{v})_V = (\boldsymbol{\varepsilon}(\mathbf{u}), \boldsymbol{\varepsilon}(\mathbf{v}))_{\mathcal{H}} \quad (4.17)$$

and let $|\cdot|_V$ be the associated norm. It follows from Korn's inequality (4.16) that $|\cdot|_{H_1}$ and $|\cdot|_V$ are equivalent norms on V . Therefore $(V, |\cdot|_V)$ is a real Hilbert space. Moreover, by the Sobolev trace theorem and (4.16) and (4.17), we have a constant c_B depending only on the domain Ω , Γ_1 and Γ_3 such that

$$|\mathbf{v}|_{L^2(\Gamma_3)^d} \leq c_B |\mathbf{v}|_V \quad \forall \mathbf{v} \in V. \quad (4.18)$$

In the study of the mechanical problem (4.1), (4.2), (4.3), (4.4), (4.5), (4.6), and (4.7) we assume that $\mathcal{E} : \mathbb{S}_d \times \mathbb{S}_d \rightarrow \mathbb{S}_d$ is a bounded symmetric positive definite fourth order tensor, that is,

- (i) (a) $\mathcal{E}_{ijkl} \in L^\infty(\Omega)$, $1 \leq i, j, k, l \leq d$;
- (b) $\mathcal{E}\boldsymbol{\sigma} \cdot \boldsymbol{\tau} = \boldsymbol{\sigma} \cdot \mathcal{E}\boldsymbol{\tau}$, for all $\boldsymbol{\sigma}, \boldsymbol{\tau} \in \mathbb{S}_d$, a.e. in Ω ;
- (c) there exists $m > 0$ such that $\mathcal{E}\boldsymbol{\tau} \cdot \boldsymbol{\tau} \geq m|\boldsymbol{\tau}|^2$ for all $\boldsymbol{\tau} \in \mathbb{S}_d$, a.e. in Ω .

The functions $p_r : \Gamma_3 \times \mathbb{R} \rightarrow \mathbb{R}_+$ ($r = \nu, \tau$) satisfy:

- (ii) (a) there exists $L_r > 0$ such that $|p_r(\mathbf{x}, u_1) - p_r(\mathbf{x}, u_2)| \leq L_r|u_1 - u_2|$ for all $u_1, u_2 \in \mathbb{R}$, a.e. $\mathbf{x} \in \Gamma_3$;
- (b) $\mathbf{x} \mapsto p_r(\mathbf{x}, u)$ is Lebesgue measurable on Γ_3 for all $u \in \mathbb{R}$;
- (c) $\mathbf{x} \mapsto p_r(\mathbf{x}, u) = 0$ for $u \leq 0$, a.e. $\mathbf{x} \in \Gamma_3$.

The assumptions (ii) on p_ν and p_τ are fairly general. The main restriction is the requirement that, asymptotically, the functions grow at most linearly. Clearly, the function defined in (4.8) satisfies this condition. We also observe that if the functions p_ν and p_τ are related by (4.9) or (4.10) and p_ν satisfies condition (ii)(a), then p_τ does too with $L_\tau = \mu L_\nu$.

The forces and tractions are assumed to satisfy

$$\mathbf{f}_0 \in W^{1,\infty}(0, T; H), \quad \mathbf{f}_2 \in W^{1,\infty}\left(0, T; L^2(\Gamma_2)^d\right), \quad (4.19)$$

and the gap function satisfies

$$g \in L^2(\Gamma_3), \quad g \geq 0 \text{ a.e. on } \Gamma_3. \tag{4.20}$$

Next we define the bilinear form $a : V \times V \rightarrow \mathbb{R}$ by

$$a(\mathbf{u}, \mathbf{v}) = (\mathcal{E}\boldsymbol{\varepsilon}(\mathbf{u}), \boldsymbol{\varepsilon}(\mathbf{v}))_{\mathcal{H}} \tag{4.21}$$

and the functional $j : V \times V \rightarrow \mathbb{R}$ by

$$j(\boldsymbol{\eta}, \mathbf{v}) = \int_{\Gamma_3} p_\nu(\eta_\nu - g)v_\nu da + \int_{\Gamma_3} p_\tau(\eta_\nu - g)|v_\tau| da. \tag{4.22}$$

Using the conditions (ii) and (4.20) it follows that for all $\mathbf{v} \in V$ the functions $\mathbf{x} \mapsto p_r(\boldsymbol{\eta}, \mathbf{v}(\mathbf{x}) - g(\mathbf{x}))$ ($r = \nu, \tau$) belong to $L^2(\Gamma_3)$ and therefore the integrals in (4.22) are well defined.

Let $\mathbf{f} : [0, T] \rightarrow V$ be given by

$$(\mathbf{f}(t), \mathbf{v})_V = \int_{\Omega} \mathbf{f}_0(t) \cdot \mathbf{v} dx + \int_{\Gamma_2} \mathbf{f}_2(t) \cdot \mathbf{v} da \quad \forall \mathbf{v} \in V, t \in [0, T]. \tag{4.23}$$

We note that conditions (4.19) imply

$$\mathbf{f} \in W^{1,\infty}(0, T; V). \tag{4.24}$$

Finally we assume that the initial data satisfies

$$\mathbf{u}_0 \in V, \tag{4.25}$$

$$a(\mathbf{u}_0, \mathbf{v}) + j(\mathbf{u}_0, \mathbf{v}) \geq (\mathbf{f}(0), \mathbf{v})_V \quad \forall \mathbf{v} \in V. \tag{4.26}$$

It is straightforward to show that if $\{\mathbf{u}, \boldsymbol{\sigma}\}$ are sufficiently smooth functions satisfying (4.2), (4.3), (4.4), (4.5), and (4.6), then $\mathbf{u}(t) \in V$ and

$$(\boldsymbol{\sigma}(t), \boldsymbol{\varepsilon}(\mathbf{v}) - \boldsymbol{\varepsilon}(\dot{\mathbf{u}}(t)))_{\mathcal{H}} + j(\mathbf{u}(t), \mathbf{v}) - j(\mathbf{u}(t), \dot{\mathbf{u}}(t)) \geq (\mathbf{f}(t), \mathbf{v} - \dot{\mathbf{u}}(t))_V \quad \forall \mathbf{v} \in V, \tag{4.27}$$

for all $t \in [0, T]$. Therefore, using (4.1), (4.21), and (4.7) yields to the following variational formulation of Problem 1.

Problem 2. Find a displacement field $\mathbf{u} : [0, T] \rightarrow V$ such that

$$a(\mathbf{u}(t), \mathbf{v} - \dot{\mathbf{u}}(t)) + j(\mathbf{u}(t), \mathbf{v}) - j(\mathbf{u}(t), \dot{\mathbf{u}}(t)) \tag{4.28}$$

$$\geq (\mathbf{f}(t), \mathbf{v} - \dot{\mathbf{u}}(t))_V \quad \forall \mathbf{v} \in V, \text{ a.e. } t \in (0, T),$$

$$\mathbf{u}(0) = \mathbf{u}_0. \tag{4.29}$$

Our main result, which we establish in the next section is the following.

THEOREM 4.1. *Assume that conditions (i), (ii), (4.19), (4.20), (4.25), and (4.26) hold. Then there exists $L_0 > 0$ depending only on Ω , Γ_1 , Γ_3 and \mathcal{E} such that if $L_\nu + L_\tau < L_0$, then [Problem 2](#) has at least a solution. Moreover, the solution satisfies $u \in W^{1,\infty}(0, T; V)$.*

Let now $\mathbf{u} \in W^{1,\infty}(0, T; V)$ be the solution of [Problem 2](#) and let $\boldsymbol{\sigma}$ be the stress field given by (4.1). Using (4.28) and (4.19) it can be shown that $\text{Div } \boldsymbol{\sigma} \in W^{1,\infty}(0, T; H)$ and therefore $\boldsymbol{\sigma} \in W^{1,\infty}(0, T; \mathcal{H}_1)$. A pair of functions $\{\mathbf{u}, \boldsymbol{\sigma}\}$ which satisfies (4.1), (4.28), and (4.29) is called a *weak solution* of the problem (4.1), (4.2), (4.3), (4.4), (4.5), (4.6), and (4.7). We conclude that problem (4.1), (4.2), (4.3), (4.4), (4.5), (4.6), and (4.7) has at least a weak solution provided $L_\nu + L_\tau$ is sufficiently small. The critical value L_0 depends only on the elasticity operator and on the geometry of the problem but does not depend on the external forces, nor on the initial displacement.

The verification of the condition $L_\nu + L_\tau < L_0$ which guarantees the solvability of [Problem 2](#) as well as its physical interpretation depends on the specific mechanical problem. For example, consider the mechanical [Problem 1](#) in which the function p_ν is given by (4.8) and the function p_τ is given by (4.9) or by (4.10). It follows that assumption (ii)(a) is satisfied with $L_\nu = c_\nu$ and $L_\tau = \mu c_\nu$ and therefore the condition $L_\nu + L_\tau < L_0$ holds if $c_\nu(1 + \mu) < L_0$, which may be interpreted as a smallness assumption involving the coefficients c_ν and μ .

The important question of uniqueness of the solution to [Problem 2](#) is left open. This is so even for the local elastic problem with normal compliance treated in [2], when the coefficient of friction and the load are assumed to be sufficiently small, as well as for the global elastic problem with normal compliance and friction studied in [3]. We finally remark that in the case of viscoelastic materials the unique solvability of quasistatic problems with normal compliance and friction may be proved without any smallness assumption on the data, see, for example, [15].

We end this section with an additional comment on the assumptions made on the contact functions p_ν and p_τ . We remark that the choice of condition (ii) in [Theorem 4.1](#) was made for simplicity since, as it will be shown in the next section, in this case it is easy to verify that the functional j given by (4.22) satisfies the assumptions (j1)–(j6). However, the assumptions (j1)–(j6) are quite general and may be verified in many other cases, even when the Lipschitz assumptions on the functions p_ν and p_τ are replaced by weaker assumptions. Considering different assumptions on the normal compliance function p_ν and on the friction bound function p_τ leads to different versions of [Theorem 4.1](#) which may be proved using the abstract result provided by [Theorem 2.1](#).

5. Proof of [Theorem 4.1](#)

The proof of [Theorem 4.1](#) will be carried out in several steps and it is based on [Theorem 2.1](#). We assume in what follows that (i), (ii), (4.19), (4.20), (4.25), and (4.26) hold and we start by investigating the properties of the functional j given by (4.22). We remark that j satisfies the condition (2). Moreover, we have the following results.

LEMMA 5.1. *The functional j satisfies the assumptions (j1) and (j2).*

Proof. Let $\boldsymbol{\eta}, \mathbf{u}, \bar{\mathbf{u}} \in V$ and let $\lambda \in]0, 1]$. Using (4.22) it results that

$$\begin{aligned}
 j(\boldsymbol{\eta}, \mathbf{u} - \bar{\mathbf{u}} - \lambda \mathbf{u}) - j(\boldsymbol{\eta}, \mathbf{u} - \bar{\mathbf{u}}) &\leq -\lambda \int_{\Gamma_3} p_\nu(\eta_\nu - g) u_\nu da \\
 &\quad - \lambda \int_{\Gamma_3} p_\tau(\eta_\nu - g) |\mathbf{u}_\tau - \bar{\mathbf{u}}_\tau| da \quad (5.1) \\
 &\quad + \lambda \int_{\Gamma_3} p_\tau(\eta_\nu - g) |\bar{\mathbf{u}}_\tau| da
 \end{aligned}$$

and, since $p_\tau \geq 0$ a.e. on Γ_3 , we deduce

$$j(\boldsymbol{\eta}, \mathbf{u} - \bar{\mathbf{u}} - \lambda \mathbf{u}) - j(\boldsymbol{\eta}, \mathbf{u} - \bar{\mathbf{u}}) \leq -\lambda \int_{\Gamma_3} p_\nu(\eta_\nu - g) u_\nu da + \lambda \int_{\Gamma_3} p_\tau(\eta_\nu - g) |\bar{\mathbf{u}}_\tau| da. \quad (5.2)$$

Therefore, by (2.5) we obtain

$$j'_2(\boldsymbol{\eta}, \mathbf{u} - \bar{\mathbf{u}}; -\mathbf{u}) \leq - \int_{\Gamma_3} p_\nu(\eta_\nu - g) u_\nu da + \int_{\Gamma_3} p_\tau(\eta_\nu - g) |\bar{\mathbf{u}}_\tau| da \quad \forall \boldsymbol{\eta}, \mathbf{u}, \bar{\mathbf{u}} \in V. \quad (5.3)$$

Now, we consider the sequences $\{\mathbf{u}_n\} \subset V$, $\{t_n\} \subset [0, 1]$ and let $\bar{\mathbf{u}} \in V$. Using (ii) it follows that $p_\nu(t_n u_{n\nu} - g)(u_{n\nu} - g) \geq 0$ a.e. on Γ_3 and therefore (5.3) yields

$$\begin{aligned}
 j'_2(t_n \mathbf{u}_n, \mathbf{u}_n - \bar{\mathbf{u}}; -\mathbf{u}_n) \\
 \leq - \int_{\Gamma_3} g p_\nu(t_n u_{n\nu} - g) da + \int_{\Gamma_3} p_\tau(t_n u_{n\nu} - g) |\bar{\mathbf{u}}_\tau| da, \quad \forall n \in \mathbb{N}. \quad (5.4)
 \end{aligned}$$

Thus, since $g \geq 0$, $p_\nu \geq 0$ a.e. on Γ_3 , using (ii) and (4.18), from the previous inequality we deduce

$$\begin{aligned}
 j'_2(t_n \mathbf{u}_n, \mathbf{u}_n - \bar{\mathbf{u}}; -\mathbf{u}_n) &\leq \int_{\Gamma_3} p_\tau(t_n u_{n\nu} - g) |\bar{\mathbf{u}}_\tau| da \\
 &\leq L_\tau \int_{\Gamma_3} (|u_{n\nu}| + |g|) |\bar{\mathbf{u}}_\tau| da \quad (5.5) \\
 &\leq L_\tau c_B (c_B \|\mathbf{u}_n\|_V + \|g\|_{L^2(\Gamma_3)}) \|\bar{\mathbf{u}}\|_V.
 \end{aligned}$$

It follows from the previous inequality that if $\|\mathbf{u}_n\|_V \rightarrow \infty$ then

$$\liminf_{n \rightarrow \infty} \left[\frac{1}{\|\mathbf{u}_n\|_V^2} j'_2(t_n \mathbf{u}_n, \mathbf{u}_n - \bar{\mathbf{u}}; -\mathbf{u}_n) \right] \leq 0 \quad (5.6)$$

and we conclude that j satisfies the assumption (j1).

Now, we consider the sequences $\{\mathbf{u}_n\} \subset V$, $\{\boldsymbol{\eta}_n\} \subset V$ such that

$$\|\mathbf{u}_n\|_V \rightarrow \infty, \quad (5.7)$$

$$\|\boldsymbol{\eta}_n\|_V \leq C \quad \forall n \in \mathbb{N}, \quad (5.8)$$

where $C > 0$. Let $\bar{\mathbf{u}} \in V$. Using (5.3) and (ii) we obtain

$$\begin{aligned} j_2'(\boldsymbol{\eta}_n, \mathbf{u}_n - \bar{\mathbf{u}}; -\mathbf{u}_n) &\leq \int_{\Gamma_3} p_\nu(\eta_{n\nu} - g) |u_{n\nu}| da + \int_{\Gamma_3} p_\tau(\eta_{n\nu} - g) |\bar{\mathbf{u}}_\tau| da \\ &\leq L_\nu (|\boldsymbol{\eta}_n|_{L^2(\Gamma_3)^d} + |g|_{L^2(\Gamma_3)}) |\mathbf{u}_n|_{L^2(\Gamma_3)^d} \\ &\quad + L_\tau (|\boldsymbol{\eta}_n|_{L^2(\Gamma_3)^d} + |g|_{L^2(\Gamma_3)}) |\bar{\mathbf{u}}|_{L^2(\Gamma_3)^d} \quad \forall n \in \mathbb{N}. \end{aligned} \tag{5.9}$$

Using now (4.18) and (5.8) in the previous inequality yields

$$j_2'(\boldsymbol{\eta}_n, \mathbf{u}_n - \bar{\mathbf{u}}; -\mathbf{u}_n) \leq c_B (C c_B + |g|_{L^2(\Gamma_3)}) (L_\nu |\mathbf{u}_n|_V + L_\tau |\bar{\mathbf{u}}|_V) \quad \forall n \in \mathbb{N}. \tag{5.10}$$

Thus, from (5.10) and (5.7) we deduce that j satisfies the assumption (j2). □

LEMMA 5.2. *The functional j satisfies the assumptions (j3) and (j6).*

Proof. Let $\{\mathbf{u}_n\} \subset V$, $\{\boldsymbol{\eta}_n\} \subset V$ be two sequences such that $\boldsymbol{\eta}_n \rightharpoonup \boldsymbol{\eta} \in V$ and $\mathbf{u}_n \rightharpoonup \mathbf{u} \in V$. Using the compactness property of the trace map and (ii) it follows that

$$p_r(\eta_{n\nu} - g) \longrightarrow p_r(\eta_\nu - g) \quad \text{in } L^2(\Gamma_3) \quad (r = \nu, \tau), \tag{5.11}$$

$$\mathbf{u}_n \longrightarrow \mathbf{u} \quad \text{in } L^2(\Gamma_3). \tag{5.12}$$

Therefore, we deduce from (5.11) and (5.12) that

$$j(\boldsymbol{\eta}_n, \mathbf{v}) \longrightarrow j(\boldsymbol{\eta}, \mathbf{v}) \quad \forall \mathbf{v} \in V, \quad j(\boldsymbol{\eta}_n, \mathbf{u}_n) \longrightarrow j(\boldsymbol{\eta}, \mathbf{u}), \tag{5.13}$$

which shows that the functional j satisfies the condition (j3).

Now, let $\{\mathbf{u}_n\}$ be a bounded subsequence of V , that is,

$$|\mathbf{u}_n|_V \leq C \quad \forall n \in \mathbb{N}, \tag{5.14}$$

where $C > 0$. We have

$$\begin{aligned} |j(\boldsymbol{\eta}_n, \mathbf{u}_n) - j(\boldsymbol{\eta}, \mathbf{u}_n)| &\leq \int_{\Gamma_3} |p_\nu(\eta_{n\nu} - g) - p_\nu(\eta_\nu - g)| |u_{n\nu}| da \\ &\quad + \int_{\Gamma_3} |p_\tau(\eta_{n\nu} - g) - p_\tau(\eta_\nu - g)| |u_{n\tau}| da \end{aligned} \tag{5.15}$$

and, using again (4.18), we deduce

$$\begin{aligned} |j(\boldsymbol{\eta}_n, \mathbf{u}_n) - j(\boldsymbol{\eta}, \mathbf{u}_n)| &\leq c_B \left(|p_\nu(\eta_{n\nu} - g) - p_\nu(\eta_\nu - g)|_{L^2(\Gamma_3)} \right. \\ &\quad \left. + |p_\tau(\eta_{n\nu} - g) - p_\tau(\eta_\nu - g)|_{L^2(\Gamma_3)} \right) |\mathbf{u}_n|_V. \end{aligned} \tag{5.16}$$

It follows now from (5.11), (5.14), and (5.16) that j satisfies assumption (j6). □

LEMMA 5.3. *The functional j satisfies the assumption (j5). Moreover,*

$$j(\mathbf{u}, \mathbf{v} - \mathbf{u}) - j(\mathbf{v}, \mathbf{v} - \mathbf{u}) \leq c_B^2 (L_\nu + L_\tau) |\mathbf{u} - \mathbf{v}|_V^2 \quad \forall \mathbf{u}, \mathbf{v} \in V. \tag{5.17}$$

Proof. Let $\boldsymbol{\eta}, \mathbf{u} \in V$. Using (4.22) and (ii) it follows that

$$\begin{aligned} |j(\boldsymbol{\eta}, \mathbf{u})| &\leq \int_{\Gamma_3} p_\nu(\eta_\nu - g)|u_\nu| da + \int_{\Gamma_3} p_\tau(\eta_\nu - g)|\mathbf{u}_\tau| da \\ &\leq L_\nu |\eta_\nu - g|_{L^2(\Gamma_3)} \|u_\nu\|_{L^2(\Gamma_3)} + L_\tau |\eta_\nu - g|_{L^2(\Gamma_3)} \|\mathbf{u}_\tau\|_{L^2(\Gamma_3)^d} \end{aligned} \tag{5.18}$$

and, keeping in mind (4.18), we find

$$|j(\boldsymbol{\eta}, \mathbf{u})| \leq c_B(L_\nu + L_\tau)(c_B|\boldsymbol{\eta}|_V + |g|_{L^2(\Gamma_3)})\|\mathbf{u}\|_V \tag{5.19}$$

which implies (j5).

Now, let $\mathbf{u}, \mathbf{v} \in V$. Using again (4.22) and (ii) it follows that

$$\begin{aligned} j(\mathbf{u}, \mathbf{v} - \mathbf{u}) - j(\mathbf{v}, \mathbf{v} - \mathbf{u}) &= \int_{\Gamma_3} (p_\nu(u_\nu - g) - p_\nu(v_\nu - g))(v_\nu - u_\nu) da \\ &\quad + \int_{\Gamma_3} (p_\tau(u_\nu - g) - p_\tau(v_\nu - g))(|\mathbf{v}_\tau - \mathbf{u}_\tau|) da \\ &\leq L_\nu \int_{\Gamma_3} |u_\nu - v_\nu|^2 da + L_\tau \int_{\Gamma_3} |u_\nu - v_\nu| |\mathbf{v}_\tau - \mathbf{u}_\tau| da \\ &\leq (L_\nu + L_\tau) \int_{\Gamma_3} |\mathbf{u} - \mathbf{v}|^2 da. \end{aligned} \tag{5.20}$$

Using now (4.18) in the previous inequality we deduce (5.17). □

We have all the ingredients to prove the theorem.

Proof of Theorem 4.1. Using the conditions (i) and (4.17) we see that the bilinear form a defined by (4.21) is symmetric, continuous and coercive, that is,

$$a(\mathbf{v}, \mathbf{v}) \geq m|\mathbf{v}|_V^2 \quad \forall \mathbf{v} \in V. \tag{5.21}$$

Let $L_0 = m/c_B^2$. Clearly L_0 depends only on $\Omega, \Gamma_1, \Gamma_3$, and \mathcal{E} . Let now assume that $L_\nu + L_\tau < L_0$. Then, there exists $c_0 \in \mathbb{R}$ such that $c_B^2(L_\nu + L_\tau) \leq c_0 < m$. Using (5.17) we obtain

$$j(\mathbf{u}, \mathbf{v} - \mathbf{u}) - j(\mathbf{v}, \mathbf{v} - \mathbf{u}) \leq c_0|\mathbf{u} - \mathbf{v}|_V^2 \quad \forall \mathbf{u}, \mathbf{v} \in V \tag{5.22}$$

and we conclude that the functional j satisfies the condition (j4). Using Lemmas 5.1, 5.2, 5.3, (4.24), (4.25), (4.26), and Theorem 2.1, we deduce that Problem 2 has at least a solution $\mathbf{u} \in W^{1,\infty}(0, T; V)$. □

6. Other quasistatic frictional contact problems

In this section, we consider two quasistatic frictional contact problems for linear elastic materials which may be set in the variational formulation (2.14). We use Corollary 2.2 to prove the existence, the uniqueness and the Lipschitz continuous dependence of

the weak solution with respect to the data. The results we present here extend to the quasistatic case some results obtained in [6, 13] where the corresponding mechanical problems were considered in the static case. The physical setting is similar to that in Section 4 but the contact conditions on $\Gamma_3 \times (0, T)$ are different. Everywhere in what follows we use the same notation for the spaces H , H_1 , \mathcal{H} , and \mathcal{H}_1 . We assume that the elasticity operator \mathcal{E} satisfies (i) and that the body forces and tractions satisfy (4.19). We also use the notation (4.21).

6.1. Bilateral contact with Tresca’s friction law. In the first example we consider a bilateral contact modeled by Tresca’s friction law (cf. [1, 6]), that is,

$$\left. \begin{aligned} u_\nu &= 0, & |\sigma_\tau| &\leq g, \\ |\sigma_\tau| < g &\implies \dot{\mathbf{u}}_\tau = \mathbf{0}, \\ |\sigma_\tau| = g &\implies \sigma_\tau = -\lambda \dot{\mathbf{u}}_\tau, & \lambda &\geq 0 \end{aligned} \right\} \text{ on } \Gamma_3 \times (0, T). \tag{6.1}$$

Here u_ν represents the normal displacement, $\dot{\mathbf{u}}_\tau$ denotes the tangential velocity, σ_τ is the tangential force on the contact boundary and g is the friction bound, that is, the magnitude of the limiting friction traction at which slip begins. In (6.1) the strong inequality holds in the stick zone and the equality in the slip zone. The contact is assumed to be bilateral, that is, there is no loss of the contact during the process.

With this assumption, the mechanical problem of frictional contact we consider is the following.

Problem 3. Find a displacement $\mathbf{u} : \Omega \times [0, T] \rightarrow \mathbb{R}^d$ and a stress field $\sigma : \Omega \times [0, T] \rightarrow S_d$ which satisfy (4.1), (4.2), (4.3), (4.4), (4.7), and (6.1).

Let V denote the closed subspace of H_1 given by

$$V = \{ \mathbf{v} \in H_1 \mid \mathbf{v} = \mathbf{0} \text{ on } \Gamma_1, v_\nu = 0 \text{ on } \Gamma_3 \}, \tag{6.2}$$

endowed with the inner product (4.17).

We assume in what follows that the friction bound satisfies

$$g \in L^\infty(\Gamma_3), \quad g \geq 0 \text{ a.e. on } \Gamma_3 \tag{6.3}$$

and we define the friction functional $\varphi : V \rightarrow \mathbb{R}$ by

$$\varphi(\mathbf{v}) = \int_{\Gamma_3} g |\mathbf{v}_\tau| da. \tag{6.4}$$

Finally we assume that the initial data satisfies

$$\mathbf{u}_0 \in V, \quad a(\mathbf{u}_0, \mathbf{v}) + \varphi(\mathbf{v}) \geq (\mathbf{f}(0), \mathbf{v})_V \quad \forall \mathbf{v} \in V, \tag{6.5}$$

where \mathbf{f} is given by (4.23).

Using the arguments of [1] we deduce the following variational formulation of the quasistatic Problem 3.

Problem 4. Find a displacement field $\mathbf{u} : [0, T] \rightarrow V$ such that

$$a(\mathbf{u}(t), \mathbf{v} - \dot{\mathbf{u}}(t)) + \varphi(\mathbf{v}) - \varphi(\dot{\mathbf{u}}(t)) \geq (\mathbf{f}(t), \mathbf{v} - \dot{\mathbf{u}}(t))_V \quad \forall \mathbf{v} \in V, \text{ a.e. } t \in (0, T), \tag{6.6}$$

$$\mathbf{u}(0) = \mathbf{u}_0. \tag{6.7}$$

In the study of [Problem 4](#) we remark that assumption (6.3) implies that φ is a continuous seminorm on V . Moreover, assumptions (i) and (4.19) imply that a and \mathbf{f} satisfy conditions (1) and (2.2), respectively. Therefore, using [Corollary 2.2](#) we obtain the following result.

THEOREM 6.1. *Assume that conditions (i), (4.19), (6.3), and (6.5) hold. Then [Problem 4](#) has a unique solution $\mathbf{u} \in W^{1,\infty}(0, T; V)$. Moreover, the mapping $(\mathbf{f}, \mathbf{u}_0) \mapsto \mathbf{u}$ is Lipschitz continuous from $W^{1,\infty}(0, T; V) \times V$ to $L^\infty(0, T; V)$.*

Let now $\mathbf{u} \in W^{1,\infty}(0, T; V)$ be the solution of the [Problem 4](#) and let $\boldsymbol{\sigma}$ be the stress field given by (4.1). As is [Section 4](#) it can be shown that $\boldsymbol{\sigma} \in W^{1,\infty}(0, T; \mathcal{H}_1)$. A pair of functions $\{\mathbf{u}, \boldsymbol{\sigma}\}$ which satisfies (6.6), (6.7), and (4.1) is called a *weak solution* of the bilateral contact problem with Tresca’s friction law (4.1), (4.2), (4.3), (4.4), (4.7), and (6.1). We conclude by [Theorem 6.1](#) that the [Problem 3](#) has a unique weak solution which depends Lipschitz continuously on the data.

6.2. Contact with simplified Coulomb’s friction law. Consider a contact problem modeled by a simplified version of Coulomb’s law of dry friction (cf. [6, 13]), that is,

$$\left. \begin{aligned} \sigma_\nu &= S, \quad |\boldsymbol{\sigma}_\tau| \leq \mu |\sigma_\nu|, \\ |\boldsymbol{\sigma}_\tau| < \mu |\sigma_\nu| &\implies \dot{\mathbf{u}}_\tau = \mathbf{0}, \\ |\boldsymbol{\sigma}_\tau| = \mu |\sigma_\nu| &\implies \boldsymbol{\sigma}_\tau = -\lambda \dot{\mathbf{u}}_\tau, \quad \lambda \geq 0 \end{aligned} \right\} \text{ on } \Gamma_3 \times (0, T). \tag{6.8}$$

Here σ_ν denotes the normal stress on the contact boundary, $\boldsymbol{\sigma}_\tau$ is the tangential force on the contact boundary, $\dot{\mathbf{u}}_\tau$ denotes the tangential velocity, $S \in L^\infty(\Gamma_3)$ is a given function and μ is the coefficient of friction.

With this assumption, the mechanical problem of frictional contact we consider is the following.

Problem 5. Find a displacement $\mathbf{u} : \Omega \times [0, T] \rightarrow \mathbb{R}^d$ and a stress field $\boldsymbol{\sigma} : \Omega \times [0, T] \rightarrow S_d$ which satisfy (4.1), (4.2), (4.3), (4.4), (4.7), and (6.8).

Let V denote the closed subspace of H_1 given by

$$V = \{ \mathbf{v} \in H_1 \mid \mathbf{v} = \mathbf{0} \text{ on } \Gamma_1 \}, \tag{6.9}$$

endowed with the inner product (4.17).

We assume that the given normal stress satisfies

$$S \in L^\infty(\Gamma_3) \tag{6.10}$$

and the coefficient of friction is such that

$$\mu \in L^\infty(\Gamma_3), \quad \mu \geq 0 \text{ a.e. on } \Gamma_3. \quad (6.11)$$

We define the friction functional $\varphi : V \rightarrow \mathbb{R}$ by

$$\varphi(\mathbf{v}) = \int_{\Gamma_3} \mu |S| |\mathbf{v}_\tau| da \quad (6.12)$$

and let

$$(\mathbf{f}(t), \mathbf{v})_V = \int_{\Omega} \mathbf{f}_0(t) \cdot \mathbf{v} dx + \int_{\Gamma_2} \mathbf{f}_2(t) \cdot \mathbf{v} da + \int_{\Gamma_3} S v_\nu da \quad \forall \mathbf{v} \in V, t \in [0, T]. \quad (6.13)$$

With these notation, the variational formulation of the mechanical [Problem 5](#) is as follows.

Problem 6. Find a displacement field $\mathbf{u} : [0, T] \rightarrow V$ such that u satisfies [\(6.6\)](#) and [\(6.7\)](#).

In the study of [Problem 6](#) we remark that assumptions [\(6.10\)](#) and [\(6.11\)](#) imply that φ is a continuous seminorm on V . Moreover, condition (i) implies (1) and conditions [\(4.19\)](#) and [\(6.10\)](#) imply the regularity $\mathbf{f} \in W^{1,\infty}(0, T; V)$. Therefore, using [Corollary 2.2](#) we obtain the following result.

THEOREM 6.2. *Assume that conditions (i), [\(4.19\)](#), [\(6.5\)](#), [\(6.10\)](#), and [\(6.11\)](#) hold. Then [Problem 6](#) has a unique solution $u \in W^{1,\infty}(0, T; V)$. Moreover, the mapping $(\mathbf{f}, \mathbf{u}_0) \mapsto \mathbf{u}$ is Lipschitz continuous from $W^{1,\infty}(0, T; V) \times V$ to $L^\infty(0, T; V)$.*

As in the previous example, we conclude by [Theorem 6.2](#) that the mechanical [Problem 6](#) has a unique *weak solution* which depends Lipschitz continuously on the data.

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