

## SOME VERSIONS OF ANDERSON'S AND MAHER'S INEQUALITIES I

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We prove the orthogonality (in the sense of Birkhoff) of the range and the kernel of an important class of elementary operators with respect to the Schatten  $p$ -class.

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**1. Introduction.** Let  $H$  be a separable infinite-dimensional complex Hilbert space and let  $B(H)$  denote the algebra of all bounded operators on  $H$  into itself. Given  $A, B \in B(H)$ , we define the generalized derivation  $\delta_{A,B} : B(H) \rightarrow B(H)$  by  $\delta_{A,B}(X) = AX - XB$  and the elementary operator derivation  $\Delta_{A,B} : B(H) \rightarrow B(H)$  by  $\Delta_{A,B}(X) = AXB - X$ . Denote  $\delta_{A,A} = \delta_A$  and  $\Delta_{A,A} = \Delta_A$ .

In [1, Theorem 1.7], Anderson shows that if  $A$  is normal and commutes with  $T$ , then, for all  $X \in B(H)$ ,

$$\|T + \delta_A(X)\| \geq \|T\|. \quad (1.1)$$

It is shown in [10] that if the pair  $(A, B)$  has the Fuglede-Putnam property (in particular, if  $A$  and  $B$  are normal operators) and  $AT = TB$ , then, for all  $X \in B(H)$ ,

$$\|T + \delta_{A,B}(X)\| \geq \|T\|. \quad (1.2)$$

Duggal [4] showed that the above inequality (1.2) is also true when  $\delta_{A,B}$  is replaced by  $\Delta_{A,B}$ . The related inequality (1.1) was obtained by the author [11] showing that if the pair  $(A, B)$  has the Fuglede-Putnam property  $(FP)_{C_p}$ , then

$$\|T + \delta_{A,B}(X)\|_p \geq \|T\|_p \quad (1.3)$$

for all  $X \in B(H)$ , where  $C_p$  is the von Neumann-Schatten class,  $1 \leq p < \infty$ , and  $\|\cdot\|_p$  is its norm for all  $X \in B(H)$  and for all  $T \in C_p \cap \ker \delta_{A,B}$ . In all of the above results,  $A$  was not arbitrary. In fact, certain normality-like assumptions have been imposed on  $A$ . A characterization of  $T \in C_p$  for  $1 < p < \infty$ , which is orthogonal to  $R(\delta_A|_{C_p})$  (the range of  $\delta_A|_{C_p}$ ) for a general operator  $A$ , has

been carried out by Kittaneh [7], showing that if  $T$  has the polar decomposition  $T = U|T|$ , then

$$\|T + \delta_A(X)\|_p \geq \|T\|_p \tag{1.4}$$

for all  $X \in C_p$  ( $1 < p < \infty$ ) if and only if  $|T|^{p-1}U^* \in \ker \delta_A$ . By a simple modification in the proof of the above inequality, we can prove that this inequality is also true in the general case, that is, if  $T$  has the polar decomposition  $T = U|T|$ , then  $\|T + \delta_{A,B}(X)\|_p \geq \|T\|_p$  for all  $X \in C_p$  ( $1 < p < \infty$ ) if and only if  $|T|^{p-1}U^* \in \ker \delta_{B,A}$ . In Sections 1, 2, 3, and 4, we prove these results in the case where we consider  $E_{A,B}$  instead of  $\delta_{A,B}$ , which leads us to prove that if  $T \in C_p$  and  $\ker E_{A,B} \subseteq \ker E_{A,B}^*$ , then

$$\|T + E_{A,B}(X)\|_p \geq \|T\|_p \tag{1.5}$$

for all  $X \in C_p$  ( $1 < p < \infty$ ) if and only if  $T \in \ker E_{A,B}$ . In Sections 5 and 6, we minimize the map  $\|S + E_{A,B}(X)\|_p$  and we classify its critical points.

**2. Preliminaries.** Let  $T \in B(H)$  be compact and let  $s_1(X) \geq s_2(X) \geq \dots \geq 0$  denote the singular values of  $T$ , that is, the eigenvalues of  $|T| = (T^*T)^{1/2}$  arranged in their decreasing order. The operator  $T$  is said to belong to the Schatten  $p$ -class  $C_p$  if

$$\|T\|_p = \left[ \sum_{i=1}^{\infty} s_j(T)^p \right]^{1/p} = [\text{tr}(T)^p]^{1/p}, \quad 1 \leq p < \infty, \tag{2.1}$$

where  $\text{tr}$  denotes the trace functional. Hence,  $C_1$  is the trace class,  $C_2$  is the Hilbert-Schmidt class, and  $C_\infty$  is the class of compact operators with

$$\|T\|_\infty = s_1(T) = \sup_{\|f\|=1} \|Tf\| \tag{2.2}$$

denoting the usual operator norm. For the general theory of the Schatten  $p$ -classes, the reader is referred to [8, 13].

Recall that the norm  $\|\cdot\|$  of the  $B$ -space  $V$  is said to be Gateaux differentiable at nonzero elements  $x \in V$  if

$$\lim_{t \rightarrow 0, t \in \mathbb{R}} \frac{\|x + t\mathcal{Y}\| - \|x\|}{t} = \Re D_x(\mathcal{Y}) \tag{2.3}$$

for all  $\mathcal{Y} \in V$ . Here  $\mathbb{R}$  denotes the set of reals,  $\Re$  denotes the real part, and  $D_x$  is the unique support functional (in the dual space  $V^*$ ) such that  $\|D_x\| = 1$  and  $D_x(x) = \|x\|$ . The Gateaux differentiability of the norm at  $x$  implies that  $x$  is a smooth point of the sphere of radius  $\|x\|$ .

It is well known (see [8] and the references therein) that, for  $1 < p < \infty$ ,  $C_p$  is a uniformly convex Banach space. Therefore, every nonzero  $T \in C_p$  is a smooth point and, in this case, the support functional of  $T$  is given by

$$D_T(X) = \operatorname{tr} \left[ \frac{|T|^{p-1}UX^*}{\|T\|_p^{p-1}} \right] \tag{2.4}$$

for all  $X \in C_p$ , where  $T = U|T|$  is the polar decomposition of  $T$ .

**DEFINITION 2.1.** Let  $E$  be a complex Banach space. We define the orthogonality in  $E$ . We say that  $b \in E$  is orthogonal to  $a \in E$  if, for all complex  $\lambda$ , there holds

$$\|a + \lambda b\| \geq \|a\|. \tag{2.5}$$

This definition has a natural geometric interpretation, namely,  $b \perp a$  if and only if the complex line  $\{a + \lambda b \mid \lambda \in \mathbb{C}\}$  is disjoint with the open ball  $K(0, \|a\|)$ , that is, if and only if this complex line is a tangent one. Note that if  $b$  is orthogonal to  $a$ , then  $a$  needs not be orthogonal to  $b$ . If  $E$  is a Hilbert space, then from (2.5), it follows that  $\langle a, b \rangle = 0$ , that is, orthogonality in the usual sense.

**3. Main results.** In this section, we characterize  $T \in C_p$  for  $1 < p < \infty$ , which is orthogonal to  $R(\Delta_{A,B}|C_p)$  (the range of  $\Delta_{A,B}|C_p$ ) for a general pair of operators  $A, B$ .

**LEMMA 3.1** [7]. *Let  $u$  and  $v$  be two elements of a Banach space  $V$  with norm  $\|\cdot\|$ . If  $u$  is a smooth point, then  $D_u(v) = 0$  if and only if*

$$\|u + zv\| \geq \|u\| \tag{3.1}$$

for all  $z \in \mathbb{C}$  (the complex numbers).

**THEOREM 3.2.** *Let  $A, B \in B(H)$  and  $T \in C_p$  ( $1 < p < \infty$ ). Then*

$$\|T + \Delta_{A,B}(X)\|_p \geq \|T\|_p \tag{3.2}$$

for all  $X \in B(H)$  with  $\Delta_{A,B}(X) \in C_p$  if and only if  $\operatorname{tr}(|T|^{p-1}U^*\Delta_{A,B}(X)) = 0$  for all such  $X$ .

**PROOF.** The theorem is an immediate consequence of equality (2.4) and Lemma 3.1. □

**THEOREM 3.3.** *Let  $A, B \in B(H)$  and  $T \in C_p$  ( $1 < p < \infty$ ). Then*

$$\|T + \Delta_{A,B}(X)\|_p \geq \|T\|_p \tag{3.3}$$

for all  $X \in C_p$  if and only if  $\tilde{T} = |T|^{p-1}U^* \in \ker \Delta_{B,A}$ .

**PROOF.** By virtue of [Theorem 3.2](#), it is sufficient to show that  $\text{tr}(\tilde{T}\Delta_{A,B}(X)) = 0$  for all  $X \in C_p$  if and only if  $\tilde{T} \in \ker \Delta_{B,A}$ .

Choose  $X$  to be the rank-one operator  $f \otimes g$  for some arbitrary elements  $f$  and  $g$  in  $H$ ; then  $\text{tr}(\tilde{T}(AXB - X)) = \text{tr}((B\tilde{T}A - \tilde{T})X) = 0$  implies that  $\langle \Delta_{B,A}(\tilde{T})f, g \rangle = 0 \Leftrightarrow \tilde{T} \in \ker \Delta_{B,A}$ . Conversely, assume that  $\tilde{T} \in \ker \Delta_{B,A}$ , that is,  $B\tilde{T}A = \tilde{T}$ .

Since  $\tilde{T}X$  and  $\tilde{T}\Delta_{B,A}$  are trace classes for all  $X \in C_p$ , we get

$$\begin{aligned} \text{tr}(\tilde{T}(AXB - X)) &= \text{tr}(\tilde{T}AXB - \tilde{T}X) \\ &= \text{tr}(XB\tilde{T}A - X\tilde{T}) \\ &= \text{tr}(X\Delta_{B,A}(\tilde{T})) = 0. \end{aligned} \tag{3.4}$$

**LEMMA 3.4.** *Let  $A, B \in B(H)$  and  $S \in B(H)$  such that  $\ker \Delta_{A,B} \subseteq \ker \Delta_{A^*,B^*}$ . If  $AU|S|^{p-1}B = U|S|^{p-1}$ , where  $p > 1$  and  $S = U|S|$  is the polar decomposition of  $S$ , then  $AU|S|B = U|S|$ .*

**PROOF.** If  $T = |S|^{p-1}$ , then

$$AUTB = UT. \tag{3.5}$$

We prove that

$$AUT^nB = UT^n. \tag{3.6}$$

If  $ATB = T = A^*TB^*$ , then  $BT^*T = BT^*ATB = T^*TB$ , and thus  $B|T| = |T|B$  and  $BT^2 = T^2B$ . Since  $B$  commutes with the positive operator  $T^2$ , then  $B$  commutes with its square roots, that is,

$$BT = TB. \tag{3.7}$$

By [\(3.5\)](#) and [\(3.7\)](#) we obtain [\(3.6\)](#). Let  $f(t)$  be the map defined on  $\sigma(T) \subset \mathbb{R}^+$  by

$$f(t) = t^{1/(p-1)}, \quad 1 < p < \infty. \tag{3.8}$$

Since  $f$  is the uniform limit of a sequence  $(P_i)$  of polynomials without constant term (since  $f(0) = 0$ ), it follows from [\(3.3\)](#) that  $AUP_i(T)B = UP_i(T)$ . Therefore,  $AUT^{1/(p-1)}B = UT^{1/(p-1)}$ .  $\square$

**THEOREM 3.5.** *Let  $A$  and  $B$  be operators in  $B(H)$  such that  $\ker \Delta_{A,B} \subseteq \ker \Delta_{A^*,B^*}$ . Then  $T \in \ker \Delta_{A,B} \cap C_p$  if and only if*

$$\|S + \Delta_{A,B}(X)\|_p \geq \|S\|_p \tag{3.9}$$

for all  $X \in C_p$ .

**PROOF.** If  $S \in \ker \Delta_{A,B}$ , then, by applying [11, Theorem 3.4], it follows that

$$\|S + \Delta_{A,B}(X)\|_p \geq \|S\|_p \tag{3.10}$$

for all  $X \in C_p$ . Conversely, if

$$\|S + \Delta_{A,B}(X)\|_p \geq \|S\|_p \tag{3.11}$$

for all  $X \in C_p$ , then, from Theorem 3.3,  $A|S|^{p-1}U^*B = |S|^{p-1}U^*$ . Since  $\ker \Delta_{A,B} \subseteq \ker \Delta_{A^*,B^*}$ ,  $A^*|S|^{p-1}U^*B^* = |S|^{p-1}U^*$ . By taking adjoints, we get  $AU|S|^{p-1}B = U|S|^{p-1}$ . From Lemma 3.4, it follows that  $AU|S|B = U|S|$ . That is,  $S \in \ker \Delta_{A,B}$ .  $\square$

**THEOREM 3.6.** *Let  $A, B \in B(H)$ . If*

- (1)  $A, B \in \mathcal{L}(H)$  such that  $\|Ax\| \geq \|x\| \geq \|Bx\|$  for all  $x \in \mathcal{H}$ ,
  - (2)  $A$  is invertible and  $B$  is such that  $\|A^{-1}\| \|B\| \leq 1$ ,
  - (3)  $A = B$  is a cyclic subnormal operator,
- then,  $T \in \ker \Delta_{A,B} \cap C_p$  if and only if

$$\|S + \Delta_{A,B}(X)\|_p \geq \|S\|_p \tag{3.12}$$

for all  $X \in C_p$ .

**PROOF.** The result of Tong [14, Lemma 1] guarantees that the above condition implies that for all  $T \in \ker(\delta_{A,B}|\mathcal{H}(\mathcal{H}))$ ,  $\overline{R(T)}$  reduces  $A$ ,  $\ker(T)^\perp$  reduces  $B$ , and  $A|_{\overline{R(T)}}$  and  $B|_{\ker(T)^\perp}$  are unitary operators. Take  $\mathcal{H}_1 = \mathcal{H} = \overline{\text{ran } S} \oplus \overline{\text{ran } S}^\perp$  and  $\mathcal{H}_2 = \mathcal{H} = \ker S \oplus \ker S^\perp$ . According to the decomposition of  $\mathcal{H}$  and for  $A_1 : \mathcal{H}_1 \rightarrow \mathcal{H}_1$ ,  $A_2 : \mathcal{H}_2 \rightarrow \mathcal{H}_2$ , and  $S : \mathcal{H}_2 \rightarrow \mathcal{H}_1$ , we can write

$$A = \begin{pmatrix} A_1 & 0 \\ 0 & A_2 \end{pmatrix}, \quad B^* = \begin{pmatrix} B_1^* & 0 \\ 0 & B_2^* \end{pmatrix}, \quad S = \begin{pmatrix} S_1 & 0 \\ 0 & 0 \end{pmatrix}. \tag{3.13}$$

From  $ASB = S$ , it follows that  $A_1SB_1 = S$ , and since  $A_1$  and  $B_1$  are unitary operators, we obtain  $A_1^*SB_1^* = S$ , and the result holds by the above theorem.

The above inequality holds in particular if  $A = B$  is isometric; in other words,  $\|Ax\| = \|x\|$  for all  $x \in \mathcal{H}$ .

(2) In this case, it suffices to take  $A_1 = \|B\|^{-1}A$  and  $B_1 = \|B\|^{-1}B$ , then  $\|A_1x\| \geq \|x\| \geq \|B_1x\|$ , and the result holds by (1) for all  $x \in \mathcal{H}$ .

(3) Since  $T$  commutes with  $A$ , it follows that  $T$  is subnormal [15]. But any compact subnormal operator is normal; hence,  $T$  is normal. By applying Fuglede-Putnam theorem, we get that  $ATA = T$  implies  $A^*TA^* = T$ .  $\square$

**4. The case where  $n > 1$ .** Let  $A = (A_1, A_2, \dots, A_n)$  and  $B = (B_1, B_2, \dots, B_n)$  be  $n$ -tuples of operators in  $B(H)$ . In this section, we characterize  $T \in C_p$  for  $1 < p < \infty$ , which is orthogonal to  $R(E_{A,B}|C_p)$  (the range of  $E_{A,B}|C_p$ ) for a general pair of operators  $A$  and  $B$ .

By the same argument used in the proofs of Theorems 3.2 and 3.3, we prove the following theorems.

**THEOREM 4.1.** *Let  $A = (A_1, A_2, \dots, A_n)$  and  $B = (B_1, B_2, \dots, B_n)$  be  $n$ -tuples of operators in  $B(H)$  and  $T \in C_p$  ( $1 < p < \infty$ ). Then*

$$\|T + E_{A,B}(X)\|_p \geq \|T\|_p \tag{4.1}$$

for all  $X \in B(H)$  with  $E_{A,B}(X) \in C_p$  if and only if  $\text{tr}(|T|^{p-1}U^*E_{A,B}(X)) = 0$  for all such  $X$ .

**THEOREM 4.2.** *Let  $A = (A_1, A_2, \dots, A_n)$  and  $B = (B_1, B_2, \dots, B_n)$  be  $n$ -tuples of operators in  $B(H)$  and  $T \in C_p$  ( $1 < p < \infty$ ). Then*

$$\|T + E_{A,B}(X)\|_p \geq \|T\|_p \tag{4.2}$$

for all  $X \in C_p$  if and only if  $\tilde{T} = |T|^{p-1}U^* \in \ker E_{A,B}$ .

**LEMMA 4.3.** *Let  $C = (C_1, C_2, \dots, C_n)$  be  $n$ -tuple of operators in  $B(H)$  such that  $\sum_{i=1}^n C_i C_i^* \leq 1$ ,  $\sum_{i=1}^n C_i^* C_i \leq 1$ , and  $\ker E_C \subseteq \ker E_{C^*}$ . If*

$$\sum_{i=1}^n C_i U |S|^{p-1} C_i = U |S|^{p-1}, \tag{4.3}$$

where  $p > 1$  and  $S = U|S|$  is the polar decomposition of  $S$ , then

$$\sum_{i=1}^n C_i U |S| C_i = U |S|. \tag{4.4}$$

**PROOF.** If  $T = |S|^{p-1}$ , then

$$\sum_{i=1}^n C_i U T C_i = U T. \tag{4.5}$$

We prove that

$$\sum_{i=1}^n C_i U T^n C_i = U T^n. \tag{4.6}$$

It is known that if  $\sum_{i=1}^n C_i C_i^* \leq 1$ ,  $\sum_{i=1}^n C_i^* C_i \leq 1$ , and  $\ker E_C \subseteq \ker E_{C^*}$ , then the eigenspaces corresponding to distinct nonzero eigenvalues of the compact positive operator  $|S|^2$  reduce each  $C_i$  (see [3, Theorem 8], [14, Lemma 2.3]). In particular,  $|S|$  commutes with  $C_i$  for all  $1 \leq i \leq n$ . This implies also that  $|S|^{p-1} = T$  commutes with each  $C_i$  for all  $1 \leq i \leq n$ . Hence  $C_i |T| = |T| C_i$  and  $C_i T^2 = T^2 C_i$ .

Since  $C_i$  commutes with the positive operator  $T^2$ , then  $C_i$  commutes with its square roots, that is,

$$C_i T = T C_i. \tag{4.7}$$

By the same arguments used in the proof of [Lemma 3.4](#), the proof of this lemma can be completed. □

**THEOREM 4.4.** *Let  $C = (C_1, C_2, \dots, C_n)$  be  $n$ -tuple of operators in  $B(H)$  such that  $\sum_{i=1}^n C_i C_i^* \leq 1$ ,  $\sum_{i=1}^n C_i^* C_i \leq 1$ , and  $\ker E_C \subseteq \ker E_{C^*}$ . Then  $S \in \ker E_C \cap C_p$  ( $1 < p < \infty$ ) if and only if*

$$\|S + E_C(X)\|_p \geq \|S\|_p \tag{4.8}$$

for all  $X \in C_p$ .

**PROOF.** If  $S \in \ker E_C$ , then, from [14, Theorem 2.4], it follows that  $\|S + E_C(X)\|_p \geq \|S\|_p$  for all  $X \in C_p$ . Conversely, if  $\|S + E_C(X)\|_p \geq \|S\|_p$  for all  $X \in C_p$ , then, from [Theorem 4.2](#),  $\sum_{i=1}^n C_i |S|^{p-1} U^* C_i = |S|^{p-1} U^*$ . Since  $\ker E_C \subseteq \ker E_{C^*}$ ,  $\sum_{i=1}^n C_i^* |S|^{p-1} U^* C_i^* = |S|^{p-1} U^*$ . Taking adjoints, we get  $\sum_{i=1}^n C_i U \times |S|^{p-1} C_i = U |S|^{p-1}$ , and from [Lemma 4.3](#), it follows that  $\sum_{i=1}^n C_i U |S| C_i = U |S|$ , that is,  $S \in \ker E_C$ . □

**THEOREM 4.5.** *Let  $A = (A_1, A_2, \dots, A_n)$  and  $B = (B_1, B_2, \dots, B_n)$  be  $n$ -tuples of operators in  $B(H)$  such that  $\sum_{i=1}^n A_i A_i^* \leq 1$ ,  $\sum_{i=1}^n A_i^* A_i \leq 1$ ,  $\sum_{i=1}^n B_i B_i^* \leq 1$ ,  $\sum_{i=1}^n B_i^* B_i \leq 1$ , and  $\ker E_{A,B} \subseteq \ker E_{A^*,B^*}$ .*

*Then  $T \in \ker E_{A,B} \cap C_p$  if and only if*

$$\|S + E_{A,B}(X)\|_p \geq \|S\|_p \tag{4.9}$$

for all  $X \in C_p$ .

**PROOF.** It suffices to take the Hilbert space  $H \oplus H$  and the operators

$$C_i = \begin{bmatrix} A_i & 0 \\ 0 & B_i \end{bmatrix}, \quad S = \begin{bmatrix} 0 & T \\ 0 & 0 \end{bmatrix}, \quad X = \begin{bmatrix} 0 & X \\ 0 & 0 \end{bmatrix} \tag{4.10}$$

and apply [Theorem 4.4](#). □

**5. Remarks.** (1) It is known (see [8] and the references therein) that the smooth points of  $K(H)$  are those compact operators that attain their norm at a unique (up to multiplication by a constant of modulus one) unit vector. It has been shown in [8] that a nonzero  $T \in B(H)$  is a smooth point if and only if  $T$  attains its norm at a unique (up to multiplication by a constant of modulus one) unit vector  $e \in H$  and  $\|T\|_e \leq \|T\|$ , where  $\|T\|_e$  is the essential

norm of  $T$ , that is, the norm of  $\pi(T)$ , where  $\pi$  is the quotient map of  $B(H)$  onto  $B(H)/K(H)$ . In this case,

$$D_T(X) = \text{tr} \left[ \frac{(e \otimes Te)}{\|T\|} X \right] = \left\langle Xe, \frac{Te}{\|T\|} \right\rangle \tag{5.1}$$

for all  $X \in B(H)$ , where  $\langle \cdot, \cdot \rangle$  denotes the inner product on  $H$  and  $e \otimes Te$  is the rank-one operators defined by  $(e \otimes Te)f = \langle f, Te \rangle e$  for all  $f \in H$ .

Hence, for the usual operator norm, Theorems 3.2, 3.3, 4.1, and 4.2 can be combined in the following formulation. Let  $A, B \in B(H)$  and  $T \in B(H)$  be a smooth point. If  $\tilde{T} = e \otimes Te$ , then the following statements are equivalent:

- (i)  $\|T + E_{A,B}(X)\| \geq \|T\|$  for all  $X \in B(H)$ ,
- (ii)  $\text{tr}(T \tilde{T} E_{A,B}(X)) = 0$  for all  $X \in B(H)$ ,
- (iii)  $\tilde{T} \in \ker E_{A,B}$ .

(2) It is still possible to give a characterization similar to this given in the usual operator norm for the norm  $\|\cdot\|_\infty$ . However, in this case, we have to assume that  $T$  is a smooth point, that is, the given norm is Gateaux differentiable at  $T$  and  $\tilde{T} = e \otimes Te$ , where  $e$  is the unique (up to multiplication by a constant of modulus one) unit vector at which  $T$  attains its norm.

(3) It is well known that the Hilbert-Schmidt class  $C_2$  is a Hilbert space under the inner product  $\langle Y, Z \rangle = \text{tr} Z^* Y$ .

We remark here that, for the Hilbert Schmidt norm  $\|\cdot\|_2$ , the orthogonality results in Theorems 3.3, 3.5, 4.1, and 4.2 are to be understood in the usual Hilbert-space sense. Note in the case  $\tilde{T} = |T|U^* = T^*$  that

$$\|T + E_{A,B}(X)\|_2^2 = \|E_{A,B}(X)\|_2^2 + \|T\|_2^2 \tag{5.2}$$

for all  $X \in C_2$  if and only if  $T^* \in \ker E_{A,B}$ .

(4) Theorem 4.4 does not hold in the case  $0 < p \leq 1$  because the functional calculus argument involving the function  $t \mapsto t^{1/(p-1)}$ , where  $0 \leq t < \infty$ , is only valid for  $1 < p < \infty$ . We ask if there is another proof where this theorem still holds in the case  $0 < p < 1$ . For the case  $p = 1$ , this theorem still holds see [12, Theorem 2.3].

**6. On minimizing  $\|T - (AXB - X)\|_p^p$ .** Maher [9, Theorem 3.2] showed that, if  $A$  is normal,  $AT = TA$ ,  $1 \leq p < \infty$ , and  $S \in \ker \delta_{A,B} \cap C_p$ ; then the map  $F_p$  defined by  $F_p(X) = \|S - (AX - XA)\|_p^p$  has a global minimizer at  $V$  if, and for  $1 < p < \infty$  only if,  $AV - VA = 0$ . In other words, we have

$$\|S - (AX - XA)\|_p^p \geq \|T\|_p^p \tag{6.1}$$

if, and for  $1 < p < \infty$  only if,  $AV - VA = 0$ . In [10] we generalized Maher's result, showing that if the pair  $(A, B)$  has the property  $(FP)_{C_p}$ , that is,  $(AT = TB, \text{ where } T \in C_p \text{ implies } A^*T = TB^*)$ ,  $1 \leq p < \infty$  and  $S \in \ker \delta_{A,B} \cap C_p$ , then the map  $F_p$

defined by  $F_p(X) = \|S - (AX - XB)\|_p^p$  has a global minimizer at  $V$  if, and for  $1 < p < \infty$  only if,  $AV - VB = 0$ . In other words, we have

$$\|S - (AX - XB)\|_p^p \geq \|T\|_p^p \tag{6.2}$$

if, and for  $1 < p < \infty$  only if,  $AV - VB = 0$ . In this paper, we obtain an inequality similar to (6.1), where the operator  $AX - XB$  is replaced by the operator  $\Delta_{A,B}(X) = AXB - X$  (in the case  $n = 1$ ). We prove that if  $\ker \Delta_{A,B} \subseteq \ker \Delta_{A^*,B^*}$  and  $T \in \ker \Delta_{A,B} \cap C_p$ , then the map  $F_p$  defined by  $F_p(X) = \|T - (AXB - X)\|_p^p$  has a global minimizer at  $V$  if, and for  $1 < p < \infty$  only if,  $AVB - V = 0$ . In other words, we have

$$\|T - (AXB - X)\|_p^p \geq \|T\|_p^p \tag{6.3}$$

if, and for  $1 < p < \infty$  only if,  $AVB - V = 0$ . Additionally, we show that if  $\ker \Delta_{A,B} \subseteq \ker \Delta_{A^*,B^*}$  and  $T \in \ker \Delta_{A,B} \cap C_p$ ,  $1 < p < \infty$ , then the map  $F_p$  has a critical point at  $W$  if and only if  $AWB - W = 0$ , that is, if  $\mathcal{D}_W F_p$  is the Frechet derivative at  $W$  of  $F_p$ , the set

$$\{W \in \mathfrak{B}(H) : \mathcal{D}_W F_p = 0\} \tag{6.4}$$

coincides with  $\ker \Delta_{A,B}$  (the kernel of  $\Delta_{A,B}$ ).

**THEOREM 6.1** [2]. *If  $1 < p < \infty$ , then the map*

$$F_p : C_p \mapsto \mathbb{R}^+ \tag{6.5}$$

*defined by  $X \mapsto \|X\|_p^p$  is differentiable at every  $X \in C_p$  with derivative  $\mathcal{D}_X F_p$  given by*

$$\mathcal{D}_X F_p(T) = p \operatorname{Re} \operatorname{tr}(|X|^{p-1} U^* T), \tag{6.6}$$

*where  $\operatorname{tr}$  denotes trace,  $\operatorname{Re} z$  is the real part of a complex number  $z$ , and  $X = U|X|$  is the polar decomposition of  $X$ . If  $\dim \mathfrak{H} < \infty$ , then the same result holds for  $0 < p \leq 1$  at every invertible  $X$ .*

**THEOREM 6.2** [6]. *If  $\mathcal{U}$  is a convex set of  $C_p$  with  $1 < p < \infty$ , then the map  $X \mapsto \|X\|_p^p$ , where  $X \in \mathcal{U}$ , has at most a global minimizer.*

**DEFINITION 6.3.** Let  $\mathcal{U}(A,B) = \{X \in B(H) : AXB - X \in C_p\}$  and let  $F_p : \mathcal{U} \mapsto \mathbb{R}^+$  be the map defined by  $F_p(X) = \|T - (AXB - X)\|_p^p$ , where  $T \in \ker \Delta_{A,B} \cap C_p$  ( $1 \leq p < \infty$ ).

By a simple modification in the proof of Lemma 4.3, we can proof the following lemma.

**LEMMA 6.4.** *Let  $A, B \in B(H)$  and  $S \in B(H)$  such that  $\ker \Delta_{A,B} \subseteq \ker \Delta_{A^*,B^*}$ . If  $A|S|^{p-1}U^*B = |S|^{p-1}U^*$ , where  $p > 1$  and  $S = U|S|$  is the polar decomposition of  $S$ , then  $A|S|U^*B = |S|U^*$ .*

**THEOREM 6.5.** *Let  $A, B \in B(H)$ . If  $\ker \Delta_{A,B} \subseteq \ker \Delta_{A^*,B^*}$  and  $T \in \ker \Delta_{A,B} \cap C_p$ , then, for  $1 \leq p < \infty$ , the map  $F_p$  has a global minimizer at  $W$  if, and for  $1 < p < \infty$  only if,  $AWB - W = 0$ .*

**PROOF.** If  $\ker \Delta_{A,B} \subseteq \ker \Delta_{A^*,B^*}$ , then it follows from [Theorem 3.5](#) that  $\|T - (AXB - X)\|_p^p \geq \|T\|_p^p$ , that is,  $F_p(X) \geq F_p(W)$ . Conversely, if  $F_p$  has a minimum, then

$$\|T - (AWB - WB)\|_p^p = \|S\|_p^p. \quad (6.7)$$

Since  $\mathcal{U}$  is convex, then the set  $\mathcal{V} = \{T - (AXB - X); X \in \mathcal{U}\}$  is also convex. Thus [Theorem 6.2](#) implies that  $S - (AWB - W) = S$ .  $\square$

**THEOREM 6.6.** *Let  $A, B \in B(H)$ . If  $\ker \Delta_{A,B} \subseteq \ker \Delta_{A^*,B^*}$  and  $S \in \ker \Delta_{A,B} \cap C_p$ , then, for  $1 < p < \infty$ , the map  $F_p$  has a critical point at  $W$  if and only if  $AWB - W = 0$ .*

**PROOF.** Let  $W, S \in \mathcal{U}$  and let  $\phi$  and  $\varphi$  be two maps defined, respectively, by  $\phi : X \mapsto S - (AXB - X)$  and  $\varphi : X \mapsto \|X\|_p^p$ .

Since the Frechet derivative of  $F_p$  is given by

$$\mathcal{D}_W F_p(T) = \lim_{h \rightarrow 0} \frac{F_p(W + hT) - F_p(W)}{h}, \quad (6.8)$$

it follows that  $\mathcal{D}_W F_p(T) = [\mathcal{D}_{S - (AWB - W)}](ATB - T)$ . If  $W$  is a critical point of  $F_p$ , then  $\mathcal{D}_W F_p(T) = 0$  for all  $T \in \mathcal{U}$ . By applying [Theorem 6.1](#), we get

$$\begin{aligned} \mathcal{D}_W F_p(T) &= p \operatorname{Re} \operatorname{tr} \left[ |S - (AWB - W)|^{p-1} W^* (ATB - T) \right] \\ &= p \operatorname{Re} \operatorname{tr} [Y(ATB - T)] = 0, \end{aligned} \quad (6.9)$$

where  $S - (AWB - W) = W|S - (AWB - W)|$  is the polar decomposition of the operator  $S - (AWB - W)$ , and  $Y = |S - (AWB - W)|^{p-1} W^*$ .

An easy calculation shows that  $AYB - Y = 0$ , that is,

$$A|S - (AWB - W)|^{p-1} W^* B = |S - (AWB - W)|^{p-1} W^*. \quad (6.10)$$

It follows from [Lemma 6.4](#) that

$$A|S - (AWB - W)|W^* B = |S - (AWB - W)|W^*. \quad (6.11)$$

By taking adjoints and since  $\ker \Delta_{A,B} \subseteq \ker \Delta_{A^*,B^*}$ , we get  $A(T - (AWB - W))B = (T - (AWB - W))B$ . Then  $A(AWB - W)B = (AWB - W)$ .

Hence  $AWB - W \in R(\Delta_{A,B}) \cap \ker \Delta_{A,B}$ . It is easy to see that (arguing as in the proof of [Theorem 3.5](#)) if  $A, B \in \mathfrak{B}(H)$ ,  $\ker \Delta_{A,B} \subseteq \ker \Delta_{A^*,B^*}$ , and  $T \in \ker \Delta_{A,B}$ ,

where  $T \in \mathfrak{B}(H)$ , then

$$\|T - (AXB - X)\| \geq \|T\| \tag{6.12}$$

holds for all  $X \in \mathfrak{B}(H)$  and for all  $T \in \ker \Delta_{A,B}$ . Hence  $AWB - W = 0$ .

Conversely, if  $AWB = W$ , then  $W$  is a minimum, and since  $F_p$  is differentiable, then  $W$  is a critical point.  $\square$

**THEOREM 6.7.** *Let  $A, B \in \mathfrak{B}(H)$  such that  $\ker \Delta_{A,B} \subseteq \ker \Delta_{A^*, B^*}$ ,  $S \in \ker \Delta_{A,B} \cap C_p$  ( $0 < p \leq 1$ ),  $\dim \mathcal{H} < \infty$ , and  $S - (AWB - W)$  is invertible. Then  $F_p$  has a critical point at  $W$  if  $AWB - W = 0$ .*

**PROOF.** Suppose that  $\dim \mathcal{H} < \infty$ . If  $AWB - W = 0$ , then  $S$  is invertible by hypothesis. Also  $|S|$  is invertible, hence  $|S|^{p-1}$  exists for  $0 < p \leq 1$ . If we take

$$Y = |S|^{p-1}U^* \tag{6.13}$$

with  $S = U|S|$  the polar decomposition and since  $ASB = S$  implies  $BS^*A = S^*$ , then  $AS^*S = AS^*BSA = S^*SA$ , and this implies that  $|S|^2A = A|S|^2$  and  $|S|A = A|S|$ .

Since  $BS^*A = S^*$ , that is,  $A|S|U^*B = |S|U^*$ ,  $|S|(AU^*B - U^*) = 0$ , and since  $A|S|^{p-1} = |S|^{p-1}A$ , then

$$AYB - Y = A|S|^{p-1}U^*B - |S|^{p-1}U^* = |S|^{p-1}(AU^*B - U^*) \tag{6.14}$$

so that  $AYB - Y = 0$  and  $\text{tr}[(AYB - Y)T] = 0$  for all  $T \in B(H)$ . Since  $S = S - (AWB - W)$ , then

$$\begin{aligned} 0 &= \text{tr}[YATB - YAT] = \text{tr}[Y(ATB - T)] \\ &= p \text{Re tr}[Y(ATB - AT)] = p \text{Re tr}[|S|^{p-1}U^*(ATB - T)] \\ &= (\mathfrak{D}_T \phi)(ATB - T) = (\mathfrak{D}_W F_p)(T). \end{aligned} \tag{6.15}$$

$\square$

**REMARK 6.8.** (1) In [Theorem 6.6](#), the implication “ $W$  is a critical point  $\Rightarrow AWB - WB = 0$ ” does not hold in the case  $0 < p \leq 1$  because the functional calculus argument involving the function  $t \mapsto t^{1/(p-1)}$ , where  $0 \leq t < \infty$ , is only valid for  $1 < p < \infty$ .

(2) Theorems [3.5](#), [6.5](#), [6.6](#), and [6.7](#) hold in particular if  $A$  and  $B$  are contractions. Indeed, it is known [\[4\]](#) that if  $A$  and  $B$  are contractions and  $\Delta_{A,B}(S) = 0$ , where  $S \in C_p$ , then  $\Delta_{A^*, B^*}(S) = \delta_{A^*, B}(S) = \delta_{A, B^*}(S) = 0$ .

(3) The set

$$\mathcal{S} = \{X : AXB - X \in C_p\} \tag{6.16}$$

contains  $C_p$  for if  $X \in C_p$ , then  $X \in \mathcal{S}$  and, for example,  $I \in \mathcal{S}$  but  $I \notin C_p$ . If  $A \in C_p$ , the conclusions of [Theorems 6.5](#), [6.6](#), and [6.7](#) hold for all  $X \in B(H)$ .

**7. On minimizing**  $\|T - (\sum_{i=1}^n A_i X B_i - X)\|_p^p$ . Let  $A = (A_1, A_2, \dots, A_n)$  and  $B = (B_1, B_2, \dots, B_n)$  be  $n$ -tuples of operators in  $B(H)$ . We define the elementary operator  $E_{A,B} : B(H) \rightarrow B(H)$  by  $E_{A,B}(X) = \sum_{i=1}^n A_i X B_i - X$ .

Denote  $E_{A,A} = E_A$ . In this section, we prove that if  $\sum_{i=1}^n A_i A_i^* \leq 1$ ,  $\sum_{i=1}^n A_i^* A_i \leq 1$ ,  $\sum_{i=1}^n B_i B_i^* \leq 1$ ,  $\sum_{i=1}^n B_i^* B_i \leq 1$ ,  $\ker E_{A,B} \subseteq \ker E_{A^*,B^*}$ , and  $T \in \ker \Delta_{A,B} \cap C_p$ , then the map  $F_p$  defined by  $F_p(X) = \|T - E_{A,B}(X)\|_p^p$  has a global minimizer at  $V$  if, and for  $1 < p < \infty$  only if,  $\sum_{i=1}^n A_i V B_i - V = 0$ . In other words, we have

$$\|T - E_{A,B}(X)\|_p^p \geq \|T\|_p^p \quad (7.1)$$

if, and for  $1 < p < \infty$  only if,  $\sum_{i=1}^n A_i V B_i - V = 0$ . Additionally, we show that if  $\ker E_{A,B} \subseteq \ker E_{A^*,B^*}$  and  $T \in \ker E_{A,B} \cap C_p$  ( $1 < p < \infty$ ), then the map  $F_p$  has a critical point at  $W$  if and only if  $\sum_{i=1}^n A_i W B_i - W = 0$ , that is, if  $D_W F_p$  is the Frechet derivative of  $F_p$  at  $W$ , the set

$$\{W \in L(H) : D_W F_p = 0\} \quad (7.2)$$

coincides with  $\ker E_{A,B}$  (the kernel of  $E_{A,B}$ ).

**DEFINITION 7.1.** Let  $\mathfrak{U}(A,B) = \{X \in B(H) : (\sum_{i=1}^n C_i X C_i - X) \in C_p\}$  and let  $F_p : \mathfrak{U} \rightarrow \mathbb{R}^+$  be the map defined by  $F_p(X) = \|T - (\sum_{i=1}^n C_i X C_i - X)\|_p^p$ , where  $T \in \ker E_C \cap C_p$  ( $1 \leq p < \infty$ ).

**LEMMA 7.2.** Let  $C = (C_1, C_2, \dots, C_n)$  be  $n$ -tuple of operators in  $B(H)$  such that  $\sum_{i=1}^n C_i C_i^* \leq 1$ ,  $\sum_{i=1}^n C_i^* C_i \leq 1$ , and  $\ker E_C \subseteq \ker E_C^*$ . If  $\sum_{i=1}^n C_i |S|^{p-1} U^* C_i = |S|^{p-1} U^*$ , where  $p > 1$  and  $S = U|S|$  is the polar decomposition of  $S$ , then  $\sum_{i=1}^n C_i |S| U^* C_i = |S| U^*$ .

**PROOF.** By the same arguments as in the proof of [Lemma 4.3](#), the proof can be completed.  $\square$

**THEOREM 7.3.** Let  $C = (C_1, C_2, \dots, C_n)$  be  $n$ -tuple of operators in  $B(H)$ . If  $\sum_{i=1}^n C_i C_i^* \leq 1$ ,  $\sum_{i=1}^n C_i^* C_i \leq 1$ ,  $\ker E_C \subseteq \ker E_C^*$ , and  $T \in \ker \Delta_{A,B} \cap C_p$ , then, for  $1 \leq p < \infty$ , the map  $F_p$  has a global minimizer at  $W$  if, and for  $1 < p < \infty$  only if,  $\sum_{i=1}^n C_i W C_i - W = 0$ .

**PROOF.** If  $\sum_{i=1}^n C_i C_i^* \leq 1$ ,  $\sum_{i=1}^n C_i^* C_i \leq 1$ , and  $\ker E_C \subseteq \ker E_C^*$ , it follows from [Theorem 4.4](#) that

$$\left\| T - \left( \sum_{i=1}^n C_i X C_i - X \right) \right\|_p^p \geq \|T\|_p^p, \quad (7.3)$$

that is,  $F_p(X) \geq F_p(W)$ . Conversely, if  $F_p$  has a minimum, then

$$\left\| T - \left( \sum_{i=1}^n C_i W C_i - W \right) \right\|_p^p = \|T\|_p^p. \quad (7.4)$$

Since  $\mathcal{U}$  is convex, then the set

$$\mathcal{V} = \left\{ T - \left( \sum_{i=1}^n C_i X C_i - X \right); X \in \mathcal{U} \right\} \tag{7.5}$$

is also convex. Thus [Theorem 6.2](#) implies that  $T - (\sum_{i=1}^n C_i W C_i - W) = T$ .  $\square$

**THEOREM 7.4.** *Let  $C = (C_1, C_2, \dots, C_n)$  be  $n$ -tuple of operators in  $B(H)$ . If  $\sum_{i=1}^n C_i C_i^* \leq 1$ ,  $\sum_{i=1}^n C_i^* C_i \leq 1$ ,  $\ker E_C \subseteq \ker E_C^*$ , and  $T \in \ker E_C \cap C_p$ , then, for  $1 \leq p < \infty$ , the map  $F_p$  has a critical point at  $W$  if, and for  $1 < p < \infty$  only if,*

$$\sum_{i=1}^n C_i W C_i - W = 0. \tag{7.6}$$

**PROOF.** Let  $W, S \in U$  and let  $\phi$  and  $\varphi$  be two maps defined, respectively, by

$$\phi : X \mapsto S - \left( \sum_{i=1}^n C_i X C_i - X \right), \quad \varphi : X \mapsto \|X\|_p^p. \tag{7.7}$$

Since the Frechet derivative of  $F_p$  is given by

$$\mathcal{D}_W F_p(T) = \lim_{h \rightarrow 0} \frac{F_p(W + hT) - F_p(W)}{h}, \tag{7.8}$$

it follows that

$$\mathcal{D}_W F_p(T) = \left[ \mathcal{D}_{S - (\sum_{i=1}^n C_i W C_i - W)} \right] \left( \sum_{i=1}^n C_i T C_i - T \right). \tag{7.9}$$

If  $W$  is a critical point of  $F_p$ , then  $\mathcal{D}_W F_p(T) = 0$  for all  $T \in \mathcal{U}$ . By applying [Theorem 6.1](#), we get

$$\begin{aligned} \mathcal{D}_W F_p(T) &= p \operatorname{Re tr} \left[ \left| S - \left( \sum_{i=1}^n C_i W C_i - W \right) \right|^{p-1} W^* \left( \sum_{i=1}^n C_i T C_i - T \right) \right] \\ &= p \operatorname{Re tr} \left[ Y \left( \sum_{i=1}^n C_i T C_i - T \right) \right] = 0, \end{aligned} \tag{7.10}$$

where

$$S - \left( \sum_{i=1}^n C_i W C_i - W \right) = W \left| S - \left( \sum_{i=1}^n C_i W C_i - W \right) \right| \tag{7.11}$$

is the polar decomposition of the operator  $S - (\sum_{i=1}^n C_i W C_i - W)$ , and

$$Y = \left| S - \left( \sum_{i=1}^n C_i W C_i - W \right) \right|^{p-1} W^*. \tag{7.12}$$

An easy calculation shows that

$$\left( \sum_{i=1}^n C_i Y C_i - Y \right) = 0, \tag{7.13}$$

that is,

$$\sum_{i=1}^n C_i \left| S - \left( \sum_{i=1}^n C_i W C_i - W \right) \right|^{p-1} W^* C_i = |S - (AWB - W)|^{p-1} W^*. \tag{7.14}$$

It follows from Lemma 7.2 that

$$\sum_{i=1}^n C_i \left| S - \left( \sum_{i=1}^n C_i W C_i - W \right) \right| W^* C_i = \left| S - \left( \sum_{i=1}^n C_i W C_i - W \right) \right| W^*. \tag{7.15}$$

By taking adjoints, and since  $\ker E_C \subseteq \ker E_{C^*}$ , we get

$$\sum_{i=1}^n C_i \left( T - \left( \sum_{i=1}^n C_i W C_i - W \right) \right) C_i = \left( T - \left( \sum_{i=1}^n C_i W C_i - W \right) \right), \tag{7.16}$$

and then

$$\sum_{i=1}^n C_i \left[ \left( \sum_{i=1}^n C_i W C_i - W \right) \right] C_i = \left( \sum_{i=1}^n C_i W C_i - W \right). \tag{7.17}$$

Hence

$$\sum_{i=1}^n C_i W C_i - W \in R(E_C) \cap \ker E_{C^*}. \tag{7.18}$$

It is easy to see that (arguing as in the proof of [14, Proposition 4.3]) if  $C = (C_1, C_2, \dots, C_n)$  is  $n$ -tuple of operator in  $B(H)$  such that

$$\sum_{i=1}^n C_i C_i^* \leq 1, \quad \sum_{i=1}^n C_i^* C_i \leq 1, \tag{7.19}$$

$\ker E_C \subseteq \ker E_{C^*}$ , and  $T \in \ker \Delta_C$ , where  $T \in B(H)$ , then

$$\|T - \Delta_C X\| \geq \|T\| \tag{7.20}$$

holds for all  $X \in B(H)$  and for all  $T \in \ker E_C$ . Hence  $\sum_{i=1}^n C_i W C_i - W = 0$ .

Conversely, if  $\sum_{i=1}^n C_i W C_i = W$ , then  $W$  is minimum, and since  $F_p$  is differentiable,  $W$  is a critical point.  $\square$

**THEOREM 7.5.** *Let  $C = (C_1, C_2, \dots, C_n)$  be  $n$ -tuple of operators in  $B(H)$ . If*

$$\sum_{i=1}^n C_i C_i^* \leq 1, \quad \sum_{i=1}^n C_i^* C_i \leq 1, \tag{7.21}$$

such that  $\ker E_c \subseteq \ker E_c^*$ ,  $S \in \ker E_c \cap C_p$  ( $0 < p \leq 1$ ),  $\dim H < \infty$ , and  $S - (\sum_{i=1}^n C_i W C_i - W)$  is invertible, then  $F_p$  has a critical point at  $W$  if  $\sum_{i=1}^n C_i W C_i - W = 0$ .

**PROOF.** Suppose that  $\dim H < \infty$ . If  $\sum_{i=1}^n C_i W C_i - W = 0$ , then  $S$  is invertible by hypothesis. Also  $|S|$  is invertible, hence  $|S|^{p-1}$  exists for  $0 < p \leq 1$  taking  $Y = |S|^{p-1} U^*$ , where  $S = U|S|$  is the polar decomposition of  $S$ .

It is known that if

$$\sum_{i=1}^n C_i C_i^* \leq 1, \quad \sum_{i=1}^n C_i^* C_i \leq 1, \quad \ker E_c \subseteq \ker E_c^*, \tag{7.22}$$

the eigenspaces corresponding to distinct nonzero eigenvalues of the compact positive operator  $|S|^2$  reduce each  $C_i$  (see [5, Theorem 8] and [14, Lemma 2.3]). In particular,  $|S|$  commutes with  $C_i$  for all  $1 \leq i \leq n$ . Hence

$$C_i |S| = |S| C_i. \tag{7.23}$$

Since  $\sum_{i=1}^n C_i S^* C_i = S^*$ , that is,

$$\sum_{i=1}^n C_i |S| U^* C_i = |S| U^*, \tag{7.24}$$

then

$$|S| \left( \sum_{i=1}^n C_i U^* C_i - U^* \right) = 0, \tag{7.25}$$

and since

$$A |S|^{p-1} = |S|^{p-1} A, \tag{7.26}$$

then

$$\sum_{i=1}^n C_i Y C_i - Y = \sum_{i=1}^n C_i |S|^{p-1} U^* C_i - |S|^{p-1} U^* = |S|^{p-1} \left( \sum_{i=1}^n C_i U^* C_i - U^* \right) \tag{7.27}$$

so that  $\sum_{i=1}^n C_i Y C_i - Y = 0$  and  $\text{tr}[(\sum_{i=1}^n C_i Y C_i - Y)T] = 0$  for all  $T \in B(H)$ . Since

$$S = S - \left( \sum_{i=1}^n C_i W C_i - W \right), \tag{7.28}$$

then

$$\begin{aligned}
 0 &= \text{tr} \left[ Y \sum_{i=1}^n C_i T C_i - Y T \right] = \text{tr} \left[ Y \left( \sum_{i=1}^n C_i T C_i - T \right) \right] \\
 &= p \text{Re tr} \left[ Y \left( \sum_{i=1}^n C_i T C_i - T \right) \right] = p \text{Re tr} \left[ |S|^{p-1} U^* \left( \sum_{i=1}^n C_i T C_i - T \right) \right] \quad (7.29) \\
 &= (\mathcal{D}_T \phi) \left( \sum_{i=1}^n C_i T C_i - T \right) = (\mathcal{D}_W F_p)(T). \quad \square
 \end{aligned}$$

**THEOREM 7.6.** *Let  $A = (A_1, A_2, \dots, A_n)$  and  $B = (B_1, B_2, \dots, B_n)$  be  $n$ -tuples of operators in  $B(H)$  such that*

$$\sum_{i=1}^n A_i A_i^* \leq 1, \quad \sum_{i=1}^n A_i^* A_i \leq 1, \quad \sum_{i=1}^n B_i B_i^* \leq 1, \quad \sum_{i=1}^n B_i^* B_i \leq 1. \quad (7.30)$$

If

$$\ker E_{A,B} \subseteq \ker E_{A^*,B^*} \quad (7.31)$$

and  $T \in \ker E_{A,B} \cap C_p$ , then for  $1 \leq p < \infty$ ,

(i) *the map  $F_p$  has a global minimizer at  $W$  if, and for  $1 < p < \infty$  only if,*

$$\sum_{i=1}^n A_i W B_i - W = 0; \quad (7.32)$$

(ii) *the map  $F_p$  has a critical point at  $W$  if, and for  $1 < p < \infty$  only if,*

$$\sum_{i=1}^n A_i W B_i - W = 0; \quad (7.33)$$

(iii) *for  $0 < p \leq 1$ ,  $\dim H < \infty$ , and  $S - (\sum_{i=1}^n C_i W C_i - W)$  invertible,  $F_p$  has a critical point at  $W$  if*

$$\sum_{i=1}^n A_i W B_i - W = 0. \quad (7.34)$$

**PROOF.** It suffices to take the Hilbert space  $H \oplus H$  and operators (4.10) and apply Theorems 7.3, 7.4, and 7.5. □

**REMARK 7.7.** (1) In Theorem 7.4, the implication “ $W$  is a critical point  $\Rightarrow \sum_{i=1}^n A_i W B_i - W = 0$ ” does not hold in the case  $0 < p \leq 1$  because the functional calculus argument involving the function  $t \mapsto t^{1/(p-1)}$ , where  $0 \leq t < \infty$ , is only valid for  $1 < p < \infty$ .

(2) The set  $S = \{X : AXB - X \in C_p\}$  contains  $C_p$ . If  $X \in C_p$ , then  $X \in S$  and, for example,  $I \in S$  but  $I \notin C_p$ . If  $A \in C_p$ , the conclusion of Theorem 7.6 holds for all  $X \in B(H)$ .

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