

# VOLUME GROWTH AND CLOSED GEODESICS ON RIEMANNIAN MANIFOLDS OF HYPERBOLIC TYPE

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We study the volume growth function of geodesic spheres in the universal Riemannian covering of a compact manifold of hyperbolic type. Furthermore, we investigate the growth rate of closed geodesics in compact manifolds of hyperbolic type.

## 1. Introduction

In this paper, we investigate asymptotic properties of universal Riemannian covering of a compact manifold of hyperbolic type.

*Definition 1.1.* A compact Riemannian manifold  $(M, g)$  is called of hyperbolic type if there exists another Riemannian metric  $g_0$  such that  $(M, g_0)$  has a strictly negative curvature.

Note that, in dimension 2, an orientable manifold  $M$  is of hyperbolic type if and only if its genus is greater than or equal to 2.

We say that a function  $f : \mathbb{R}_+ \rightarrow \mathbb{R}_+$  is of purely exponential type if there exist constants  $a > 1$  and  $r_0 > 0$  such that

$$\frac{1}{a} \leq \frac{f(r)}{e^{hr}} \leq a \quad \forall r \geq r_0, \quad (1.1)$$

for some constant  $h > 0$ . The real number  $h$  is called the exponential factor of  $f$ .

In 1969, Margulis proved, for suitable constant  $h > 0$ , that

$$a(p) := \lim_{r \rightarrow \infty} \frac{\text{vol}S(p, r)}{e^{hr}} \quad (1.2)$$

exists at each point  $p$  in manifolds of negative curvature and that the function  $a$  is continuous (see [18]). Clearly, this result implies purely exponential growth of volume of geodesic spheres.

If  $(M, g)$  is a compact Riemannian manifold, Manning has introduced an interesting asymptotic invariant  $h_g$  (volume entropy) which is defined as follows: if  $\text{vol}B_g(p, r)$

denotes the volume of the geodesic ball  $B_g(p, r)$  with centre  $p$  and radius  $r$  in the universal Riemannian covering  $X$  of  $(M, g)$ , then

$$h_g := \lim_{r \rightarrow \infty} \frac{\log \text{vol} B_g(p, r)}{r}, \quad (1.3)$$

where the limit on the right-hand side exists for all  $p \in X$  and, in fact, is independent of  $p$ . Manning showed that, in the case of nonpositive curvature,  $h_g$  coincides with the topological entropy (see [17]).

In 1997, using the notions of Busemann density and Patterson Sullivan measure, G. Knieper proved the following result (see [16]): if  $(M, g_0)$  is a rank-1 compact Riemannian manifold of nonpositive curvature and  $X_0$  its universal Riemannian covering, there exist constants  $a_0 \geq 1$  and  $r_0 \geq 0$  such that

$$\frac{1}{a_0} \leq \frac{\text{vol} S_{g_0}(p, r)}{e^{h_{g_0} r}} \leq a_0 \quad \forall r \geq r_0, \quad (1.4)$$

where  $h_{g_0}$  is the volume entropy of  $(M, g_0)$  and  $S_{g_0}(p, r)$  is the geodesic sphere in  $X_0$  with centre  $p$  and radius  $r$ .

The main result of this paper is as follows.

**THEOREM 1.2.** *Let  $(M, g)$  be a compact Riemannian manifold of hyperbolic type without conjugate points and let  $X$  be its universal Riemannian covering. Then the growth function of the volume of geodesic spheres of  $X$  is of purely exponential type with the volume entropy  $h_g$  as exponential factor.*

*Remark 1.3.* Note that the manifolds considered in Theorem 1.2 may have curvature of both signs (see [7] or [13, page 199]). This result yields a sufficient condition for the nonexistence of Riemannian metric with negative curvature on a compact manifold. In Theorem 1.2 by integration an analogous growth result holds if one replaces geodesic spheres by geodesic balls. Precisely the following holds.

**COROLLARY 1.4.** *Let  $(M, g)$  be a compact Riemannian manifold of hyperbolic type without conjugate points and let  $X$  be its universal Riemannian covering. Then the growth function of the volume of geodesic balls of  $X$  is of purely exponential type.*

*Remark 1.5.* Corollary 1.4 implies that the critical exponent of the deck transformations group of  $X$  is equal to the volume entropy of  $M$ . However, using a Coornaert's result ([4, Theorem 4.3]), we get an analogous result without the assumption of no conjugate points.

We also study the counting function  $\mathcal{P}(t)$  of the number of closed geodesics of period less than or equal to  $t$  (up to free homotopy) in the compact quotient  $M$ .

In the case of negative curvature, Margulis showed that  $\mathcal{P}(t) \sim e^{ht}/t$ , where  $h$  is the volume entropy of  $X$ .

In this paper, we prove the following.

**THEOREM 1.6.** *Let  $(M, g)$  be a compact Riemannian manifold of hyperbolic type without conjugate points. Then there are constants  $a > 1$  and  $t_0 > 0$  such that*

$$\frac{1}{a} \frac{e^{h_g t}}{t} \leq \mathcal{P}(t) \leq a e^{h_g t} \quad \forall t > t_0, \tag{1.5}$$

where  $h_g$  is the volume entropy of  $(M, g)$ ,  $\mathcal{P}(t)$  the number of closed geodesics of period less than or equal to  $t$  in  $M$ .

The corresponding result for compact rank-1 manifolds was proven by Knieper [16].

The paper is organized as follows. In Section 2, we recall some basic facts about Gromov hyperbolic spaces. In particular, we study the ideal boundary and the Gromov boundary of a manifold of hyperbolic type. In Section 3, we introduce a notion of Busemann quasidensity, which is used to prove the so-called shadow lemma (see Lemma 3.6). In Section 4, we prove Theorem 1.2. Section 5 starts with some properties of closed geodesics of compact manifold. Then, we give a proof of Theorem 1.6.

### 2. Gromov and ideal boundaries of manifolds of hyperbolic type

We recall first some basic notions about a compactification of Hadamard manifolds.

*Definition 2.1.* A connected, simply connected, and complete Riemannian manifold is called Hadamard manifold.

Let  $(X_0, g_0)$  be a Hadamard manifold. Two geodesics  $c_1, c_2 : \mathbb{R} \rightarrow X_0$  are said to be asymptotic, if there exists a constant  $D \geq 0$  such that

$$d_{g_0}(c_1(t), c_2(t)) < D \quad \forall t \geq 0. \tag{2.1}$$

This defines an equivalence relation on the set of geodesics of  $X_0$ .

An equivalence class of this relation is called point at infinity of  $X_0$ . If  $c : \mathbb{R} \rightarrow X_0$  is a geodesic, its class is denoted by  $c(+\infty)$ . Let  $c^{-1} : \mathbb{R} \rightarrow X_0$  defined by  $c^{-1}(t) := c(-t)$  for all  $t \in \mathbb{R}$ . The class of  $c^{-1}$  is denoted by  $c(-\infty)$ .

The ideal boundary  $X_0(\infty)$  of  $X_0$  is the coset of the geodesics of  $X_0$ .

One defines a natural topology on the set  $\overline{X}_0 := X_0 \cup X_0(\infty)$  as follows: consider  $B(x, 1) = \{v \in T_x X_0 \mid \|v\| \leq 1\}$  and the bijection

$$\begin{aligned} \Phi_x : B(x, 1) &\longrightarrow \overline{X}_0 = X_0 \cup X_0(\infty), \\ v &\longmapsto \begin{cases} \exp_x \left( \frac{\|v\|}{1 - \|v\|} \right) v & \text{if } \|v\| < 1, \\ c_v(+\infty) & \text{if } \|v\| = 1, \end{cases} \end{aligned} \tag{2.2}$$

where  $c_v$  is the geodesic satisfying  $c_v(0) = x$  and  $\dot{c}_v(0) = v$ . The following classic lemma will also be used.

**LEMMA 2.2** (see [2, page 22] or [7]). *Let  $(X_0, g_0)$  be a Hadamard manifold,  $x \in X_0$ , and  $\xi \in X_0(\infty)$ . Then there exists a unique geodesic  $c : \mathbb{R} \rightarrow X_0$  satisfying  $c(0) = x$  and  $c(+\infty) = \xi$ .*

For  $p \in X_0$ ,  $q_1$  and  $q_2$  in  $\bar{X}_0 = X_0 \cup X_0(\infty)$  with  $p \neq q_1$  and  $p \neq q_2$ , we define

$$\angle_p(q_1, q_2) := \angle(\dot{c}_{pq_1}(0), \dot{c}_{pq_2}(0)), \tag{2.3}$$

where  $c_{pq_i} : \mathbb{R} \rightarrow X_0$  is the geodesic joining the points  $p$  and  $q_i$  and  $\angle(\dot{c}_{pq_1}(0), \dot{c}_{pq_2}(0))$  is the angle subtended by the vectors  $\dot{c}_{pq_1}(0)$  and  $\dot{c}_{pq_2}(0)$ .

For  $p \in X_0$ ,  $\xi \in X_0(\infty)$ ,  $\epsilon > 0$ , and  $R > 0$ , let

$$\Gamma_p(\xi, \epsilon, R) := \{q \in \bar{X}_0 = X_0 \cup X_0(\infty) \mid q \neq p, \angle_p(q, \xi) < \epsilon, d_{g_0}(p, q) > R\}. \tag{2.4}$$

For a fixed point  $p \in X_0$ , the set of all  $\Gamma_p(\xi, \epsilon, R)$  and the open subsets of  $X_0$  generate a topology on  $\bar{X}_0 = X_0 \cup X_0(\infty)$ . This topology is called the *cône topology*. With respect to this topology, the set  $\bar{X}_0 := X_0 \cup X_0(\infty)$  is homeomorphic to a closed  $n$ -ball in  $\mathbb{R}^n$  (see [2, page 22] or [7]). The induced topology on  $X_0(\infty)$  is called the *sphere topology*.

*Definition 2.3.* Let  $(X_1, d_1)$  and  $(X_2, d_2)$  be two metric spaces. A map  $\phi : X_1 \rightarrow X_2$  is called an  $(A, \alpha)$ -quasi-isometric map for some constants  $A > 1$  and  $\alpha > 0$  if

$$\frac{1}{A}d_1(x, y) - \alpha \leq d_2(\phi(x), \phi(y)) \leq Ad_1(x, y) + \alpha \quad \forall x, y \in X_1. \tag{2.5}$$

In a metric space  $X$ , a  $(A, \alpha)$ -quasigeodesic (resp.,  $(A, \alpha)$ -quasigeodesic ray) is a  $(A, \alpha)$ -quasi-isometric map  $\phi : \mathbb{R} \rightarrow X$  (resp.,  $\phi : \mathbb{R}^+ \rightarrow X$ ).

*Definition 2.4.* Let  $(X, d)$  be a metric space,  $E$  and  $F$  subsets of  $X$ . The Hausdorff distance  $d_H$  is defined by

$$d_H(E, F) := \inf \{r > 0, E \subset T_r(F), F \subset T_r(E)\}, \tag{2.6}$$

where

$$T_r(G) := \{x \in X, d(x, G) \leq r\} \quad \forall G \subset X. \tag{2.7}$$

**THEOREM 2.5** (Morse lemma, see [14]). *Let  $(X_0, g_0)$  be a Hadamard manifold with sectional curvature  $K_{X_0} \leq -k_0^2 < 0$  for some constant  $k_0 > 0$ . Then for each  $(A, \alpha)$ -quasigeodesic (resp.,  $(A, \alpha)$ -quasigeodesic ray)  $\phi : \mathbb{R} \rightarrow X_0$  (resp.,  $\phi : \mathbb{R}^+ \rightarrow X_0$ ), there exist a real number  $r_0 > 0$  and a geodesic (resp., geodesic ray)  $c : \mathbb{R} \rightarrow X_0$  (resp.,  $c : \mathbb{R}^+ \rightarrow X_0$ ) such that  $d_H(c(\mathbb{R}), \phi(\mathbb{R})) \leq r_0$  (resp.,  $d_H(c(\mathbb{R}^+), \phi(\mathbb{R}^+)) \leq r_0$ );  $r_0$  depends only on  $A$ ,  $\alpha$ , and  $k_0$ .*

*Definition 2.6.* Let  $(X, d)$  be a metric space with a reference point  $x_0$ . The Gromov product of the points  $x$  and  $y$  of  $X$  with respect to  $x_0$  is the nonnegative real number  $(x \cdot y)_{x_0}$  defined by

$$(x \cdot y)_{x_0} = \frac{1}{2} \{d(x, x_0) + d(y, x_0) - d(x, y)\}. \tag{2.8}$$

A metric space  $(X, d)$  is said to be a  $\delta$ -hyperbolic space for some constant  $\delta \geq 0$ , if

$$(x \cdot y)_{x_0} \geq \min \{(x \cdot z)_{x_0}; (y \cdot z)_{x_0}\} - \delta \tag{2.9}$$

for all  $x, y, z$  and every choice of reference point  $x_0$ .  $X$  is a Gromov hyperbolic space if it is a  $\delta$ -hyperbolic space for some  $\delta \geq 0$ . The usual hyperbolic space  $\mathbb{H}^n$  is a  $\delta$ -hyperbolic space, where  $\delta = \log 3$ . More generally, every Hadamard manifold with sectional curvature less than or equal to  $-k^2$  for some constant  $k > 0$  is a  $\delta$ -hyperbolic space, where  $\delta = k^{-1} \log 3$  (see [1, 5, 10] or [11]).

LEMMA 2.7 (see [5, page 20] or [4]). *Let  $(X, d)$  be a complete geodesic  $\delta$ -hyperbolic space,  $x_0$  a reference point in  $X$ ,  $x$  and  $y$  two points of  $X$ . Then*

$$d(x_0, \gamma_{xy}) - 4\delta \leq (x \cdot y)_{x_0} \leq d(x_0, \gamma_{xy}) \tag{2.10}$$

for every geodesic segment  $\gamma_{xy}$  joining  $x$  and  $y$ .

Now let  $X$  be a Gromov hyperbolic manifold,  $x_0$  a reference point in  $X$ . We say that the sequence  $(x_i)_{i \in \mathbb{N}}$  of points in  $X$  converges at infinity if

$$\lim_{i, j \rightarrow \infty} (x_i \cdot x_j)_{x_0} = \infty. \tag{2.11}$$

If  $x_1$  is another reference point in  $X$ ,

$$(x \cdot y)_{x_0} - d(x_0, x_1) \leq (x \cdot y)_{x_1} \leq (x \cdot y)_{x_0} + d(x_0, x_1). \tag{2.12}$$

Then the definition of the sequence that converges at infinity does not depend on the choice of the reference point. We recall the following equivalence relation  $\mathcal{R}$  on the set of sequences of points in  $X$  that converge at infinity:

$$(x_i) \mathcal{R} (y_j) \iff \lim_{i, j \rightarrow \infty} (x_i \cdot y_j)_{x_0} = \infty. \tag{2.13}$$

The Gromov boundary  $X^G(\infty)$  of  $X$  is the coset of sequences that converge at infinity.

Let  $X$  be a simply connected Riemannian manifold which is a Gromov hyperbolic space. One defines on the set  $X \cup X^G(\infty)$  a topology as follows (see [5, page 22] or [10, page 122]):

- (1) if  $x \in X$ , a sequence  $(x_i)_{i \in \mathbb{N}}$  converges to  $x$  with respect to the topology of  $X$ ,
- (2) if  $(x_i)_{i \in \mathbb{N}}$  defines a point  $\xi \in X^G(\infty)$ ,  $(x_i)_{i \in \mathbb{N}}$  converges to  $\xi$ ,
- (3) for  $\eta \in X^G(\infty)$  and  $k > 0$ , let

$$V_k(\eta) := \{y \in X \cup X^G(\infty), (y \cdot \eta)_{x_0} > k\}, \tag{2.14}$$

where

$$(x \cdot y)_{x_0} = \inf \left\{ \liminf_{i \rightarrow \infty} (x_i \cdot y_i)_{x_0}, x_i \rightarrow x, y_i \rightarrow y \right\} \tag{2.15}$$

for  $x$  and  $y$  elements of  $X \cup X^G(\infty)$ .

The set of all  $V_k(\eta)$  and the open metric balls of  $X$  generate a topology on  $X \cup X^G(\infty)$ . With respect to this topology,  $X$  is dense in  $X \cup X^G(\infty)$  and  $X \cup X^G(\infty)$  is compact.

LEMMA 2.8 [4]. *Let  $X$  be a  $\delta$ -hyperbolic space. Then*

- (1) *each geodesic  $\gamma : \mathbb{R} \rightarrow X$  defines two distinct points at infinity  $\gamma(+\infty)$  and  $\gamma(-\infty)$ ,*
- (2) *for each  $(\eta, x) \in X^G(\infty) \times X$ , there exists a geodesic ray  $\gamma$  such that  $\gamma(0) = x$  and  $\gamma(+\infty) = \eta$ . For any other geodesic ray  $\gamma'$  with  $\gamma'(0) = x$  and  $\gamma'(+\infty) = \eta$ ,  $d(\gamma'(t), \gamma(t)) \leq 4\delta$  for all  $t \geq 0$ .*

Definition 2.9. Let  $\xi \in X^G(\infty)$  and  $c : \mathbb{R}_+ \rightarrow X$  be a minimal geodesic ray satisfying  $c(+\infty) = \xi$ . The function

$$b_c(x) := \lim_{t \rightarrow \infty} (d(x, c(t)) - t) \tag{2.16}$$

is well-defined on  $X$  and is called the Busemann function for the geodesic  $c$ .

LEMMA 2.10 [4]. *Let  $X$  be a  $\delta$ -hyperbolic space,  $\xi \in X^G(\infty)$ ,  $x, y \in X$ , and  $c$  a geodesic ray with  $c(0) = x$  and  $c(+\infty) = \xi$ . Then there exists a neighbourhood  $\mathcal{V}$  of  $\xi$  in  $X \cup X^G(\infty)$  such that*

$$|b_c(y) - (d(z, y) - d(z, x))| \leq K \quad \forall z \in \mathcal{V} \cap X, \tag{2.17}$$

where  $b_c$  is the Busemann function for the geodesic  $c$  and  $K$  is a constant depending only on  $\delta$ .

LEMMA 2.11 [5]. *Let  $X_1$  be a metric space and let  $(X_2, d_2)$  be a geodesic Gromov hyperbolic space. If there exists a quasi-isometric map  $\phi : X_1 \rightarrow X_2$ , then  $X_1$  is also a Gromov hyperbolic space. Moreover, if the map*

$$x \mapsto d_2(x, \phi(X_1)) \tag{2.18}$$

*is bounded above,  $X_1^G(\infty) \simeq X_2^G(\infty)$ , that is,  $X_1^G(\infty)$  is homeomorphic to  $X_2^G(\infty)$ .*

Now let  $(M, g)$  be a compact Riemannian manifold of hyperbolic type and let  $X$  be its universal Riemannian covering. Let  $g_0$  denote an associated metric of strictly negative curvature on  $M$ . The universal Riemannian covering  $X_0$  of  $(M, g_0)$  is a Hadamard manifold satisfying  $K_{X_0} \leq -k_0^2 < 0$  for some constant  $k_0 > 0$ . Then  $X_0$  and  $X$  are Gromov hyperbolic spaces. Moreover,  $X^G(\infty) \simeq X_0^G(\infty)$ .

Two geodesic rays  $c$  and  $c'$  are said to be asymptotic if there exists a constant  $D \geq 0$  such that  $d_H(c(\mathbb{R}_+), c'(\mathbb{R}_+)) \leq D$ . This defines an equivalence relation on the set of minimizing  $g$ -geodesic rays of  $X$ . Let  $X(\infty)$  be the coset of asymptotic minimizing  $g$ -geodesic rays. For each minimizing  $g$ -geodesic ray  $c$  of  $X$ , it follows from Morse lemma that there exists a  $g_0$ -geodesic ray  $c_0$  such that  $d_H(c(\mathbb{R}_+), c_0(\mathbb{R}_+)) \leq r_0$ , where  $r_0$  is the constant in Morse lemma. Let  $[c]$  be the equivalence class of minimizing  $g$ -geodesic ray  $c$  and let  $[c_0]$  be the equivalence class of the  $g_0$ -geodesic  $c_0$ . The map  $f$  defined by

$$\begin{aligned} f : X(\infty) &\longrightarrow X_0(\infty), \\ [c] &\longmapsto [c_0] \end{aligned} \tag{2.19}$$

is bijective. Then  $f$  defines on  $X(\infty)$  a natural topology with respect to which  $X(\infty)$  and  $X_0(\infty)$  are homeomorphic, that is,  $X(\infty) \simeq X_0(\infty)$  (see [8]).

LEMMA 2.12 [3]. *Let  $X_0$  be a Hadamard manifold with sectional curvature  $K_{X_0} \leq -k_0^2 < 0$  for some constant  $k_0 > 0$ . There exists a natural homeomorphism*

$$\phi : X_0 \cup X_0^G(\infty) \longrightarrow X_0 \cup X_0(\infty). \tag{2.20}$$

*In particular,  $X_0^G(\infty) \simeq X_0(\infty)$ .*

Using Morse lemma, Lemma 2.12 and the properties of the ideal boundaries, we obtain the following lemma.

LEMMA 2.13. *Let  $(M, g)$  be a compact Riemannian manifold of hyperbolic type, and let  $X$  be its universal Riemannian covering. Let  $g_0$  be an associated metric of strictly negative curvature on  $M$  and let  $X_0$  be the universal Riemannian covering of  $(M, g_0)$ . It holds that*

$$X(\infty) \simeq X_0(\infty) \simeq X_0^G(\infty) \simeq X^G(\infty). \tag{2.21}$$

### 3. Busemann quasidensities

Let  $(X, d)$  be a metric space and let  $\Gamma$  be a discrete and infinite subgroup of the isometry group  $\text{Iso}(X)$  of  $X$ . For  $x_0, x \in X$  and  $s \in \mathbb{R}$ ,

$$P_s(x, x_0) := \sum_{\gamma \in \Gamma} e^{-sd(x, \gamma x_0)} \tag{3.1}$$

denotes the Poincaré series associated to  $\Gamma$ . The number

$$\alpha := \inf \{s \in \mathbb{R} / P_s(x, x_0) < \infty\} \tag{3.2}$$

is called the critical exponent of  $\Gamma$  and is independent of  $x$  and  $x_0$ . The group  $\Gamma$  is called of divergence type if  $P_\alpha(x, x_0)$  diverges. The following lemma introduces a useful modification (due to Patterson) of the Poincaré series if  $\Gamma$  is not of divergence type.

LEMMA 3.1 [19]. *Let  $\Gamma$  be a discrete group with critical exponent  $\alpha$ . There exists a function  $f : \mathbb{R}_+ \rightarrow \mathbb{R}_+$  which is continuous, nondecreasing, and such that*

$$\forall a > 0, \quad \lim_{r \rightarrow +\infty} \frac{f(r+a)}{f(r)} = 1, \tag{3.3}$$

*and the modified series*

$$\tilde{P}_s(x, x_0) := \sum_{\gamma \in \Gamma} f(d(x, \gamma x_0)) e^{-sd(x, \gamma x_0)} \tag{3.4}$$

*converges for  $s > \alpha$  and diverges for  $s \leq \alpha$ .*

Now let  $(M, g)$  be a compact Riemannian manifold of hyperbolic type and let  $X$  be its universal Riemannian covering. Let  $g_0$  denote a metric of negative curvature on  $M$ . The universal Riemannian covering  $X_0$  of  $(M, g_0)$  is a Hadamard manifold satisfying  $K_{X_0} \leq -k_0^2 < 0$  for some constant  $k_0 > 0$ . Let  $\Gamma$  be the group of deck transformations of  $X$  and

let  $\alpha^{g_0}$  be its critical exponent with respect to the metric  $g_0$ . It follows from [16, Theorem 5.1] that

$$\alpha^{g_0} = h_{g_0} := \lim_{r \rightarrow \infty} \frac{\log \text{vol} B_{g_0}(p, r)}{r}. \tag{3.5}$$

The fact that  $M$  is compact implies the existence of a constant  $\lambda \geq 1$  such that the critical exponent  $\alpha^g$  of  $\Gamma$  with respect to the metric  $g$  belongs to  $[\lambda^{-1}h_{g_0}, \lambda h_{g_0}] \subset \mathbb{R}_+^*$  (see [15]).

LEMMA 3.2. *Let  $(M, g)$  be a compact Riemannian manifold of hyperbolic type and let  $X$  be its universal Riemannian covering. Let  $\Gamma$  be the group of deck transformations of  $X$  and for a given  $x \in X$  the set  $\Lambda^g(\Gamma, x)$  of the accumulation points of the orbit  $\Gamma x$  in  $X^G(\infty)$ . Then*

- (1)  $\Lambda^g(\Gamma, x) = \overline{\Gamma x} \cap X^G(\infty)$ ,
- (2)  $\gamma(\Lambda^g(\Gamma, x)) = \Lambda^g(\Gamma, \gamma x)$  for all  $\gamma \in \Gamma$  and  $x \in X$ ,
- (3)  $\Lambda^g(\Gamma, x)$  is independent of  $x$ ,
- (4)  $\Lambda^g(\Gamma, x) = X^G(\infty)$ .

*Proof.* Using the definition of  $\Lambda^g(\Gamma, x)$ , we can easily check (1) and (2).

(3) For all  $\xi \in \Lambda^g(\Gamma, x)$ , by definition there is a sequence  $(\gamma_n)_n$  of points of  $\Gamma$  such that  $\lim_{n \rightarrow \infty} \gamma_n x = \xi$ . Then

$$\lim_{m, n \rightarrow \infty} (\gamma_n x \cdot \gamma_m x)_{x_0} = +\infty. \tag{3.6}$$

For all  $y \in X$ , we have

$$\begin{aligned} 2(\gamma_n x \cdot \gamma_n y)_{x_0} &= d(\gamma_n x, x_0) + d(\gamma_n y, x_0) - d(\gamma_n x, \gamma_n y) \\ &\geq d(\gamma_n x, x_0) + d(\gamma_n y, x_0) - d(x, y) \\ &\geq d(\gamma_n x, x_0) + d(x, y). \end{aligned} \tag{3.7}$$

Hence,

$$\lim_{n \rightarrow \infty} (\gamma_n x \cdot \gamma_n y)_{x_0} = +\infty, \quad \lim_{n \rightarrow \infty} \gamma_n y = \xi. \tag{3.8}$$

(4) Let  $g_0$  denote a metric of strictly negative curvature on  $M$ . The universal Riemannian covering  $X_0$  of  $(M, g_0)$  is a Hadamard manifold satisfying  $K_{X_0} \leq -k_0^2 < 0$  for some constant  $k_0 > 0$ . Then  $\Lambda^{g_0}(\Gamma, x) = X_0(\infty)$  (see [15]). Finally, using Lemma 2.11 we obtain that  $\Lambda^g(\Gamma, x) = X^G(\infty)$ . □

Definition 3.3. Let  $X$  be a Gromov hyperbolic manifold,  $\alpha \in \mathbb{R}_+$ , and let  $\Gamma$  be a discrete and infinite subgroup of  $\text{Iso}(X)$ . A family  $\{\mu_x\}_{x \in X}$  of finite nontrivial Borel measures on  $X \cup X^G(\infty)$  is an  $\alpha$ -dimensional Busemann quasidensity with reference point  $x_0 \in X$  if

- (1)  $\text{supp} \mu_x \subset \Lambda(\Gamma, x)$ , where  $\Lambda(\Gamma, x)$  is the limit set of the orbit  $\Gamma x$  in  $X^G(\infty)$ ,
- (2)  $\mu_{\gamma x}(\gamma A) = \mu_x(A)$  for all  $\gamma \in \Gamma, A \subset X^G(\infty), A$  measurable,  $x \in X$ ,
- (3) there exists a constant  $\lambda \geq 1$  such that for all  $x \in X$ ,

$$\lambda^{-1} e^{-\alpha b_c(x_0)} \leq \frac{d\mu_{x_0}}{d\mu_x}(\xi) \leq \lambda e^{-\alpha b_c(x_0)} \tag{3.9}$$



for almost all  $\xi \in X^G(\infty)$ , where  $c$  is a geodesic satisfying  $c(0) = x$ ,  $c(\infty) = \xi$  and  $b_c$  is the Busemann function for the geodesic  $c$ .

The next lemma states the existence of a Busemann quasidensity.

LEMMA 3.4. *Let  $(M, g)$  be a compact Riemannian manifold of hyperbolic type and let  $X$  be its universal Riemannian covering. Let  $\Gamma$  be the group of deck transformations of  $X$  and let  $\alpha^g$  be its critical exponent. Then there exists an  $\alpha^g$ -dimensional Busemann quasidensity  $\{\mu_x\}_{x \in X}$  on  $X \cup X^G(\infty)$ .*

*Proof.* We have to construct a family of measure  $\{\mu_x\}_{x \in X}$  which satisfies the axiomatic Definition 3.3.

*Construction of  $\{\mu_x\}_{x \in X}$ .* A natural way to obtain Busemann quasidensity was given by Patterson (see [19]) in the case of Fuchsian groups.

Let  $x_0$  be a reference point of the Gromov hyperbolic manifold  $X$ . For  $s > \alpha^g$  and  $x \in X$ , we consider the measure

$$\mu_{s,x_0,x} := \frac{\sum_{\gamma \in \Gamma} f(d(x, \gamma x_0)) e^{-sd(x, \gamma x_0)} \delta_{\gamma x_0}}{\tilde{P}_s(x_0, x_0)}, \tag{3.10}$$

where  $f$  is a useful modification function (due to Patterson) of the Poincaré series if  $\Gamma$  is not of divergence type and

$$\tilde{P}_s(x_0, x_0) = \sum_{\gamma \in \Gamma} f(d(x_0, \gamma x_0)) e^{-sd(x_0, \gamma x_0)}. \tag{3.11}$$

Let  $(s_n)_n$  be a sequence with  $s_n > \alpha^g$  and  $s_n \rightarrow \alpha^g$  such that  $\mu_{s_n, x_0, x}$  converges weakly, as well to the measure  $\mu_x$ . For every  $x \notin \Gamma x_0$ , we choose a subsequence of  $(s_n)_n$ , denoted by  $(s_n^x)$ , such that the measure  $\mu_{s_n^x, x_0, x}$  is also weakly convergent. For all points of the same orbit  $\Gamma x$  we can choose the same subsequence, that is,  $s_n^{x'} = s_n^x$  if  $x' \in \Gamma x$ . These choices yield a family  $\{\mu_x\}_{x \in X}$  of measures.

$\{\mu_x\}_{x \in X}$  is an  $\alpha^g$ -dimensional Busemann quasidensity. (i) Using the triangle inequality and the fact that  $1/2 \leq f(d(x, \gamma x_0))/f(d(x_0, \gamma x_0)) \leq 3/2$  for almost all  $\gamma \in \Gamma$ , we deduce that

$$a e^{-sd(x, x_0)} \leq \mu_{s, x_0, x} \leq b e^{-sd(x, x_0)}, \tag{3.12}$$

where  $a$  and  $b$  depend only on  $d(x_0, x)$ . This implies that  $\{\mu_x\}_{x \in X}$  is a family of finite nontrivial Borel measures on  $X \cup X^G(\infty)$ .

(ii) For all  $z \in X \cup X^G(\infty) \setminus \Lambda^g(\Gamma, x)$ , there is an open neighbourhood  $\mathcal{U}$  of  $z$  with  $\Gamma x \cap \mathcal{U} \setminus \{z\} = \emptyset$ . Then

$$\mu_{s_n, x_0, x}(\mathcal{U}) \leq \frac{f(d(x, z)) e^{-s_n d(x, z)}}{\tilde{P}_{s_n}(x_0, x_0)}. \tag{3.13}$$

Since  $\tilde{P}_s(x_0, x_0)$  diverges for  $s = \alpha^g$ , we obtain  $\mu_x(\mathcal{U}) = 0$ .

(iii) Let  $\eta \in \Gamma$ , and let  $A$  be a measurable subset of  $X \cup X^G(\infty)$ . Then

$$\begin{aligned} \mu_{s,x_0,\eta x}(\eta A) &= \frac{\sum_{\gamma \in \Gamma, \gamma x_0 \in \eta A} f(d(\eta x, \gamma x_0)) e^{-sd(\eta x, \gamma x_0)}}{\tilde{P}_s(x_0, x_0)} \\ &= \frac{\sum_{\gamma' \in \Gamma, \gamma' x_0 \in A} f(d(x, \gamma' x_0)) e^{-sd(x, \gamma' x_0)}}{\tilde{P}_s(x_0, x_0)} \\ &= \mu_{s,x_0,x}(A). \end{aligned} \tag{3.14}$$

Thus  $\mu_{\eta x}(\eta A) = \mu_x(A)$  for all  $\eta \in \Gamma$ .

(iv) We now consider  $\xi \in X^G(\infty)$  and a sequence  $(U_n)_n$  of open sets in  $X \cup X^G(\infty)$  with  $\lim_{n \rightarrow \infty} U_n = \xi$ . By Lemma 2.10, there exists  $n_0 \in \mathbb{N}$  such that

$$|b_c(x_0) - (d(\gamma x_0, x_0) - d(x, x_0))| \leq K \tag{3.15}$$

for all  $n \geq n_0$  and  $\gamma x_0 \in U_n$ , where  $c$  is a geodesic joining  $x$  and  $\xi$ ,  $b_c$  a Busemann function for the geodesic  $c$ , and  $K$  a constant depending only on the metric  $g_0$ . Then, using Lemma 3.1, we deduce the existence of a constant  $\lambda \geq 1$  such that

$$\lambda^{-1} e^{-ab_c(x_0)} \leq \frac{d\mu_{x_0}}{d\mu_x}(\xi) \leq \lambda e^{-ab_c(x_0)}. \tag{3.16}$$

For a given  $y \in X \cup X^G(\infty)$ ,  $x \in X$ , and  $\rho \geq 0$ , we introduce the shadow  $\mathbb{O}_y^g(x, \rho)$  (of the ball  $B_g(x, \rho)$  viewed from the point  $y$ ) as follows:  $\mathbb{O}_y^g(x, \rho)$  consists of all points  $\xi \in X^G(\infty)$  such that all geodesic rays  $c_{y\xi}$  connecting  $y$  and  $\xi$  satisfy  $c_{y\xi} \cap B_g(x, \rho) \neq \emptyset$ .  $\square$

LEMMA 3.5. *Let  $(M, g)$ ,  $X$ ,  $\Gamma$ , and  $\{\mu_x\}_{x \in X}$  be as in Lemma 3.4. Then there exist constants  $R_1 > 0$  and  $l > 0$  such that for all  $\rho \geq R_1$ ,*

$$\mu_x(\mathbb{O}_y^g(x, \rho)) \geq l \quad \forall x, y \in X. \tag{3.17}$$

*Proof.* Let  $g_0$  be a metric of negative curvature on  $M$  and  $X_0$  the universal Riemannian covering of  $(M, g_0)$ . For  $\nu \in S_x X_0$  we define

$$C_\varepsilon^{g_0}(\nu) = \{c_w(\infty), w \in S_x X_0, (\nu, w) < \varepsilon\}, \tag{3.18}$$

where  $c_w$  is the  $g_0$ -geodesic satisfying  $\dot{c}_w(0) = w$ .

Let  $\mathcal{F}$  be a fundamental domain in  $X$ . It follows from [16, Proposition 3.6] the existence of constants  $R_0 > 0$  and  $\varepsilon > 0$  such that for all  $x \in \mathcal{F}$  and  $y \in X$ ,  $C_\varepsilon^{g_0}(\nu) \subset \mathbb{O}_y^{g_0}(x, R_0)$  for some  $\nu \in S_x X_0$ . Hence, using Morse lemma we obtain a constant  $R_1 > 0$  with

$$C_\varepsilon^{g_0}(\nu) \subset \mathbb{O}_y^{g_0}(x, R_1). \tag{3.19}$$

Finally, because of

$$\text{sup } \mu_x = X^G(\infty) \simeq X_0^G(\infty), \quad \gamma(\mathbb{O}_y^g(x, \rho)) = \mathbb{O}_{\gamma y}^g(\gamma x, \rho) \tag{3.20}$$

for all  $\gamma \in \Gamma$ , there exists a constant  $l > 0$  such that for all  $\rho \geq R_1$ ,

$$\mu_x(\mathbb{O}_y^g(x, \rho)) \geq l \quad \forall x, y \in X. \tag{3.21}$$

□

The shadow lemma was proven by Sullivan in the case of the usual hyperbolic space (see [20]). Our version generalizes this result to all compact manifolds of hyperbolic type.

LEMMA 3.6 (shadow lemma). *Let  $(M, g)$  be a compact Riemannian manifold of hyperbolic type and let  $X$  be its universal Riemannian covering. Let  $\Gamma$  be the group of deck transformations of  $X$ , let  $\alpha^g$  be its critical exponent, and let  $\{\mu_x\}_{x \in X}$  be a Patterson-Sullivan density associated to  $\Gamma$  on  $X \cup X^G(\infty)$ . Then there exist a constant  $R_1 > 0$  and a function  $b \geq 1$  such that for all  $\rho \geq R_1$  and  $x \in X$ ,*

$$\frac{1}{b(\rho)} e^{-\alpha^g d(x, x_0)} \leq \mu_{x_0}(\mathbb{O}_{x_0}^g(x_0, \rho)) \leq b(\rho) e^{-\alpha^g d(x, x_0)}. \tag{3.22}$$

*Proof.* It follows from Lemma 3.4 that there exists a constant  $\lambda \geq 1$  such that for all  $\xi \in X^G(\infty)$  and  $x \in X$ ,

$$\lambda^{-1} \int_{\mathbb{O}_{x_0}^g(x_0, \rho)} e^{-\alpha^g b_c(x_0)} d\mu_x(\xi) \leq \mu_{x_0}(\mathbb{O}_{x_0}^g(x_0, \rho)) \leq \lambda \int_{\mathbb{O}_{x_0}^g(x_0, \rho)} e^{-\alpha^g b_c(x_0)} d\mu_x(\xi), \tag{3.23}$$

where  $c$  is a geodesic joining  $x$  and  $\xi$ ,  $b_c$  the Busemann function for the geodesic  $c$ .

Morse lemma and the definition of  $\mathbb{O}_{x_0}^g(x_0, \rho)$  imply the existence of constant  $D > 0$  such that

$$d(x, x_0) - D \leq b_c(x_0) \leq d(x, x_0) + D \quad \forall x \in X. \tag{3.24}$$

Therefore

$$\mu_{x_0}(\mathbb{O}_{x_0}^g(x_0, \rho)) \leq \lambda e^{-\alpha^g(d(x, x_0) - 2D)} \mu_x(\mathbb{O}_{x_0}^g(x, \rho)) \leq b' e^{2\alpha^g D} e^{\alpha^g d(x, x_0)}, \tag{3.25}$$

where  $b' = \sup_{x \in X} \mu_x(X^G(\infty))$ . Moreover,

$$\mu_{x_0}(\mathbb{O}_{x_0}^g(x_0, \rho)) \geq \lambda^{-1} e^{-2\alpha^g D} e^{-\alpha^g d(x, x_0)} \mu_x(\mathbb{O}_{x_0}^g(x, \rho)). \tag{3.26}$$

Then using Lemma 3.4, we obtain

$$\mu_{x_0}(\mathbb{O}_{x_0}^g(x_0, \rho)) \geq \lambda^{-1} e^{-2\alpha^g D} e^{-\alpha^g d(x, x_0)}. \tag{3.27}$$

□

#### 4. The growth rate of volume of spheres in manifolds of hyperbolic type

A Riemannian manifold  $M$  is said to be without conjugate points if every nonzero Jacobi field vanishes at most one point. It is well known that if  $M$  has no conjugate points, for each point  $p \in M$  the exponential map  $\exp_p : T_p M \rightarrow M$  is a covering map. Moreover, if  $M$  is simply connected,  $\exp_p$  is a diffeomorphism and any two points of  $M$  can be joined by a unique geodesic segment.

**THEOREM 4.1.** *Let  $(M, g)$  be a compact Riemannian manifold of hyperbolic type without conjugate points and let  $X$  be its universal Riemannian covering. Let  $S(x_0, r)$  be the geodesic sphere about  $x_0 \in X$  of radius  $r$  and let  $h_g$  be the volume entropy of  $(M, g)$ . Then there exist constants  $a \geq 1$  and  $r_0 > 0$  such that*

$$\frac{1}{a} \leq \frac{\text{vol}S(x_0, r)}{e^{h_g r}} \leq a \quad \forall r \geq r_0, \tag{4.1}$$

that is, the growth function of the volume of the geodesic spheres  $S(x_0, r)$  is of purely exponential type.

The following lemmas will be useful for the proof of Theorem 4.1. Their proofs use similarly arguments like those given in [3].

**LEMMA 4.2.** *Let  $(M, g)$  be a compact Riemannian manifold of hyperbolic type without conjugate points, let  $X$  be its universal Riemannian covering, and let  $n = \dim X$ . Let  $S(x_0, r)$  be the geodesic sphere about  $x_0 \in X$  of radius  $r$ . Then for all  $\rho \leq (1/2)r$ , there exists a constant  $l_1(\rho) > 0$  such that all  $(n - 1)$ -dimensional subdomains  $B$  in  $S(x_0, r)$  with  $\text{diam} B = \rho$  satisfy*

$$\text{vol}_{n-1}(B) \leq l_1(\rho). \tag{4.2}$$

*Proof.* We will use in  $T_{x_0}X$  the geodesic polar coordinate system  $(t, \theta)$ , where  $\theta \in S_{x_0}X$ . Since the Riemannian manifold  $X$  is simply connected without conjugate points, the exponential map  $\exp_{x_0}$  realizes a diffeomorphism from  $T_{x_0}X$  to  $X$ . Let  $(D\exp_{x_0})(t\theta)$  denote the differential of  $\exp_{x_0}$  evaluated at a point  $(t, \theta) \in T_{x_0}X$ . The fact that  $M$  is compact implies the existence of a constant  $k > 0$  with  $\text{Ric}(X) \geq -(n - 1)k^2$ . Let  $X_{-k^2}^n$  denote the simply connected space form with constant sectional curvature  $-k^2$ . Using Bishop-Gromov theorem (see [12]), we obtain

$$\det(D\exp_{x_0})(s_1\theta) \leq \left[ \frac{\sinh(ks_1)}{\sinh(ks_2)} \right] \det(D\exp_{x_0})(s_2\theta) \tag{4.3}$$

for all  $s_1 \geq s_2 > 0$ . We consider a  $(n - 1)$ -dimensional subdomain  $B$  in the geodesic sphere  $S(x_0, r)$  with  $\text{diam} B = \rho$  and the following set:

$$\mathbb{F} := \bigcup_{r-\rho \leq t \leq r} \mathbb{P}_t(B) \quad \text{where } \mathbb{P}_t(y) = \exp_{x_0} \left[ \frac{t}{r} \exp_{x_0}^{-1}(y) \right] \tag{4.4}$$

for all  $y \in S(x_0, r)$ . For each point  $x \in B$ , the set  $\mathbb{F}$  is contained in the geodesic ball  $B(x, 2\rho)$ . Therefore using Bishop-Gunther theorem (see [9, page 140]), we obtain a constant  $t_0 \in [r - \rho, r]$  such that

$$\text{vol}_n \mathbb{P}_{t_0}(B) \leq \frac{1}{\rho} V_{-k^2}(2\rho) \quad \text{where } V_{-k^2}(2\rho) \tag{4.5}$$

is the volume of a ball with radius  $2\rho$  in the space form  $X_{-k^2}^n$ . Then using (4.3), we obtain

$$\text{vol}_{n-1}(B) \leq \left[ \frac{\sinh(2k\rho)}{\sinh(k\rho)} \right]^{n-1} \frac{V_{-k^2}(2\rho)}{\rho}. \tag{4.6}$$

□

Let  $B(x_0, r)$  be the open geodesic ball of radius  $r$  about a point  $x_0$  in  $X$ . For  $x, y \in X \setminus B(x_0, r)$ , we define

$$d_r(x, y) := \inf \{l(\sigma), \sigma \text{ is a piecewise smooth curve connecting } x, y, \sigma \subset X \setminus B(x_0, r)\}. \tag{4.7}$$

For  $x \in S(x_0, r)$ , let

$$B_\rho^r(x) := \{y \in S(x_0, r), d_r(x, y) < \rho\}. \tag{4.8}$$

LEMMA 4.3. *Let  $(M, g)$  be a compact Riemannian manifold of hyperbolic type without conjugate points, let  $X$  be its universal Riemannian covering, and let  $n = \dim X$ . Suppose that  $X$  is a  $\delta$ -hyperbolic manifold. A constant  $K > 0$  can be found such that for all  $\rho \geq K$  and  $r \geq 2\rho$ , there exists a constant  $l_2(\rho) > 0$  with*

$$\text{vol}_{n-1}(B_\rho^r(x)) \geq l_2(\rho) \tag{4.9}$$

for all  $x \in S(x_0, r)$ .

*Proof.* We consider the set

$$\mathbb{H} := \bigcup_{r \leq t \leq r+4\rho} \mathbb{P}_t(B_\rho^r(x)). \tag{4.10}$$

Using (4.3) in Lemma 4.2, we obtain

$$\text{vol}_n(\mathbb{P}_t(B_\rho^r(x))) \leq \left[ \frac{\sinh(kt)}{\sinh(kr)} \right]^{n-1} \text{vol}_{n-1}(B_\rho^r(x)). \tag{4.11}$$

Hence,

$$\text{vol}_{n-1}(B_\rho^r(x)) \geq \frac{\text{vol}_n(\mathbb{H})}{4\rho} \left[ \frac{\sinh(kt)}{\sinh(kr + 4k\rho)} \right]^{n-1}. \tag{4.12}$$

But there exist some point  $z \in \mathbb{H}$  and a constant  $K > 0$  such that  $B(z, \rho/4) \subset \mathbb{H}$  for all  $\rho \geq K$ . Therefore

$$\text{vol}_{n-1}(B_\rho^r(x)) \geq \frac{\text{vol}_n(B(z, \rho/4))}{4\rho} \left[ \frac{\sinh(kt)}{\sinh(kr + 4k\rho)} \right]^{n-1}. \tag{4.13}$$

Since  $M$  is compact, there exists a constant  $k_1 > 0$  with  $K_X \leq k_1$ . Then using Bishop-Gunther theorem (see [9, page 140]), we obtain

$$\text{vol}_n\left(B\left(z, \frac{\rho}{4}\right)\right) \geq V_{k_1}\left(\frac{\rho}{4}\right), \tag{4.14}$$

where  $V_{k_1}(\rho/4)$  is the volume of a ball of radius  $\rho/4$  in the space form  $X_n^{k_1}$ . Hence,

$$\text{vol}_{n-1}(B_\rho^r(x)) \geq \frac{V_{k_1}(\rho/4)}{4\rho} \left[ \frac{\sinh(2k\rho)}{\sinh(6k\rho)} \right]^{n-1} \quad \forall r \geq 2\rho. \tag{4.15}$$

□

*Proof of Theorem 4.1.* Choose  $\rho = \max\{6R_1, 3K, 13\delta\}$ , where  $R_1$  is as in Lemma 3.6,  $K$  is as in Lemma 4.3, and  $\delta > 0$  such that  $X$  is a  $\delta$ -hyperbolic space. Let  $x_1, x_2, \dots, x_m$  be a maximal  $\rho$ -separating set in  $S(x_0, r)$ . Then

$$X^G(\infty) = \bigcup_{i=1}^m \mathbb{O}_{x_0}^g(x_i, \rho + 4\delta). \tag{4.16}$$

Since  $\rho \geq 6R_1$ , Lemma 3.6 implies the existence of a constant  $b(\rho + 4\delta)$  with

$$m \geq \frac{b_0 e^{\alpha^g r}}{b(\rho + 4\delta)} \quad \text{where } b_0 = \mu_{x_0}(X^G(\infty)), \tag{4.17}$$

and  $\alpha^g$  is the critical exponent of the group of deck transformations. Note that the balls  $B_{\rho/3}^r(x_i)$  are pairwise disjoint subsets of  $S(x_0, r)$ . Then since  $\rho \geq 3K$ , by Lemma 4.3 we obtain a constant  $l_2(\rho/3) > 0$  such that

$$\text{vol}S(x_0, r) \geq \frac{b_0 l_2(\rho/3) e^{\alpha^g r}}{b(\rho + 4\delta)} \quad \forall r \geq \frac{2\rho}{3}. \tag{4.18}$$

Furthermore, Lemma 4.2 implies the existence of a constant  $l_1(\rho) > 0$  with

$$\text{vol}S(x_0, r) \leq m l_1(\rho) \tag{4.19}$$

for all  $r \geq 2\rho$ . Since  $\rho \geq 13\delta$ , the shadows  $\mathbb{O}_{x_0}^g(x_i, \rho/6)$  are pairwise disjoint subsets of  $X^G(\infty)$ . Because of  $\rho \geq 6R_1$ , Lemma 3.6 implies that there exists a constant  $b(\rho/6)$  with

$$b_0 \geq \frac{m}{b(\rho/6) e^{\alpha^g r}}. \tag{4.20}$$

Finally, since

$$\text{vol}B(x_0, r) = \int_0^r \text{vol}S(x_0, t) dt, \tag{4.21}$$

there exist constants  $a_1 \geq 1$  and  $r_1 > 0$ , such that

$$\frac{1}{a_1} \leq \frac{\text{vol}B(x_0, r)}{e^{\alpha^g r}} \leq a_1 \quad \forall r \geq r_1. \tag{4.22}$$

Hence  $\alpha^g = h_g$ . □

**COROLLARY 4.4.** *Let  $(M, g)$  be a compact orientable surface of genus greater than or equal to 2, without conjugate points and let  $X$  be its universal Riemannian covering. Then the growth function of the volume of geodesic spheres of  $X$  is of pure exponential type.*

**COROLLARY 4.5.** *Let  $(M, g)$  be a compact manifold of hyperbolic type without conjugate points and let  $X$  be its universal Riemannian covering. Then the growth function of geodesic balls of  $X$  is of purely exponential type with the volume entropy as exponential factor.*

**5. Closed geodesics in compact manifolds of hyperbolic type**

Let  $M$  be a complete, simply connected manifold and let  $d$  be the induced metric of the Riemannian structure. A geodesic  $c : \mathbb{R} \rightarrow M$  is closed, if there exists a constant  $u > 0$  such that  $c(t + u) = c(t)$  for all  $t \in \mathbb{R}$ . The period  $\text{Per}(c)$  of  $c$  is the smallest constant  $u > 0$  satisfying this property.

*Definition 5.1.* Consider two closed geodesics  $c_1$  of period  $t_1$  and  $c_2$  of period  $t_2$  as equivalent, if there exist  $n_1, n_2 \in \mathbb{N}$  such that  $c_1|_{[0, n_1 t_1]}$  and  $c_2|_{[0, n_2 t_2]}$  or  $c_1|_{[0, n_1 t_1]}$  and  $c_2^{-1}|_{[0, n_2 t_2]}$  are freely homotopic, where  $c_2^{-1}(t) = c_2(-t)$  for all  $t \in \mathbb{R}$ .

Let  $[c]$  denote the equivalence class of the closed geodesic  $c$ ,

$$\begin{aligned}
 l([c]) &= \inf \{ \text{Per}(c_0), c_0 \in [c] \}, \\
 \mathcal{P}(t) &= \# \{ [c], l([c]) \leq t \}.
 \end{aligned}
 \tag{5.1}$$

Let  $(M, g)$  be a compact manifold, let  $X$  be its universal Riemannian covering, let  $\pi : X \rightarrow M$  be the covering map, and let  $\Gamma$  be the group of deck transformations;  $\Gamma \simeq \pi_1(M)$ . For all  $\gamma \in \Gamma$ , since the manifold  $M$  is compact, there exists  $p_0 \in X$  such that  $d(p_0, \gamma(p_0)) =: l(\gamma)$ . The geodesic  $c$  connecting  $p_0$  and  $\gamma(p_0)$  is called an axis of  $\gamma$  and the projection  $\pi \circ c$  is a closed geodesic of  $M$  of period  $l(\gamma)$ .

*Definition 5.2.* Two elements  $\gamma_1$  and  $\gamma_2$  of  $\Gamma$  are equivalent ( $\gamma_1 \sim \gamma_2$ ), if there exist  $m, n \in \mathbb{Z}$  and an isometry  $\beta \in \Gamma$  such that  $\gamma_1^n = \beta \gamma_2^m \beta^{-1}$ .

The projections of the axes of two equivalent elements  $\gamma_1$  and  $\gamma_2$  of  $\Gamma$  define two equivalent closed geodesics on  $M$ . Conversely, the lifts of two equivalent closed geodesics are axes of two equivalent isometries. Hence, we obtain the following well-known result.

**PROPOSITION 5.3** [16]. *The coset of closed geodesics is in one-to-one correspondence with the equivalence classes of the elements in the fundamental group.*

**LEMMA 5.4.** *Let  $(M, g)$  be a compact Riemannian manifold of hyperbolic type without conjugate points and let  $X$  be its universal Riemannian covering. Let  $\mathcal{P}(t)$  denote the number of equivalence classes of closed geodesics of  $M$  with length less than or equal to  $t$ . Then there exist constants  $a > 1$  and  $t_0 > 0$  such that  $\mathcal{P}(t) \leq a e^{h_g t}$  for all  $t > t_0$ , where  $h_g$  is the volume entropy of  $X$ .*

*Proof.* Let  $\Gamma$  be the group of deck transformations of  $X$  and  $\mathcal{F} \subset X$  a fundamental domain of  $\Gamma$  with  $\text{diam } \mathcal{F} = D$ . Using Proposition 5.3, we obtain for a fixed  $p$  in  $\mathcal{F}$ ,

$$\mathcal{P}(t) \leq \# \{ \gamma \in \Gamma, \gamma \mathcal{F} \subset B_{2D+t}(p) \}.
 \tag{5.2}$$

Since the  $\gamma_i \mathcal{F}$  are pairwise disjoint, we obtain by Corollary 4.5

$$\mathcal{P}(t) \leq \frac{\text{vol } B_{2D+t}(p)}{\text{vol } \mathcal{F}} \leq \frac{1}{\text{vol } \mathcal{F}} a_0 e^{h_g t}.
 \tag{5.3}$$

□

**LEMMA 5.5.** *Let  $(M, g)$  be a compact Riemannian manifold of hyperbolic type without conjugate points,  $X$  its universal Riemannian covering, and  $\Gamma$  the group of deck transformations*

of  $X$ . For  $p \in X$  and  $r \geq 0$ , let

$$\Gamma_t^r(p) := \{\gamma \in \Gamma, r < d(p, \gamma(p)) \leq t\}. \tag{5.4}$$

Then there exist constants  $b > 0$  and  $t_0 > 0$  such that  $\#\Gamma_t^r(p) \geq be^{h_g t}$  for all  $t \geq t_0$ , where  $h_g$  is the volume entropy of  $X$ .

*Proof.* Let  $\mathcal{F}$  be a fundamental domain of  $\Gamma$  in  $X$  with  $\text{diam } \mathcal{F} = D$ . For all  $p \in \mathcal{F}$ , using the definition of  $\Gamma_t^r(p)$  and the triangle inequality, we have

$$B_t(p) \setminus B_r(p) \subset \cup_{\gamma \in \Gamma_{t+D}^r(p)} \gamma(B_D(p)). \tag{5.5}$$

Let  $r_0$  be as in Theorem 4.1 and  $r_1 = \max(r, r_0)$ . We have

$$\text{vol} B_t(p) \setminus B_r(p) \geq \text{vol} B_t(p) \setminus B_{r_1}(p) \geq \frac{e^{h_g t}}{a} \left[ 1 - \frac{a^2 e^{h_g r_1}}{e^{h_g t}} \right]. \tag{5.6}$$

Then there exist constants  $A > 0$  and  $t_0 > 0$  such that

$$\text{vol} B_t(p) \setminus B_{r_1}(p) \geq Ae^{h_g t} \tag{5.7}$$

for all  $t \geq t_0$ . □

LEMMA 5.6. *Let  $(M, g)$  be a compact Riemannian manifold of hyperbolic type without conjugate points,  $X$  its universal Riemannian covering, and  $\Gamma$  the group of deck transformations of  $X$ . Let  $g_0$  be a metric of negative curvature on  $M$  and  $X_0$  the universal Riemannian covering of  $(M, g_0)$ . Let  $\eta \in \Gamma$ , let  $c : \mathbb{R} \rightarrow X_0$  be a  $g_0$ -axis of  $\eta$ , and let  $p_0 = c(0)$ . Then there exist constants  $r, k > 0$  and neighbourhoods  $\mathcal{U}$  of  $c_0(-\infty)$  and  $\mathcal{V}$  of  $c_0(+\infty)$  in  $X_0 \cup X_0(\infty)$  such that*

$$\#\{\gamma \in \Gamma_{t+r}^0(p_0), \gamma(\mathcal{V}) \cap \mathcal{U} = \emptyset\} \geq \frac{1}{4} \Gamma_t^k(p_0), \tag{5.8}$$

where

$$\Gamma_t^k(p) := \{\gamma \in \Gamma, k < d(p, \gamma(p)) \leq t\}. \tag{5.9}$$

*Proof.* Using Morse lemma and [16, Lemma 5.6], there exist  $\beta \in \Gamma$  and neighbourhoods  $\mathcal{U}$  of  $c_0(-\infty)$  and  $\mathcal{V}$  of  $c_0(+\infty)$  such that

$$\{\beta c(-\infty), \beta c(+\infty)\} \cap \{c(-\infty), c(+\infty)\} = \emptyset. \tag{5.10}$$

Then using Morse lemma, we find neighbourhoods  $\mathcal{U}$  of  $c(-\infty)$  and  $\mathcal{V}$  of  $c(+\infty)$  such that

- (1)  $(\overline{\beta(\mathcal{U})} \cap \overline{\beta(\mathcal{V})}) \cap (\overline{\mathcal{U}} \cap \overline{\mathcal{V}}) = \emptyset$ ,
- (2) there is a constant  $L > 0$  such that for all  $x \in \mathcal{U}$  and  $y \in \mathcal{V}$ , there is a  $g$ -geodesic  $h$  connecting  $x$  and  $y$  satisfying  $d(h, p_0) \leq L$ .

For  $t \in \mathbb{R}$ , let

$$\begin{aligned} A(\mathcal{U}, \mathcal{V}, t) &= \{\gamma \in \Gamma_t^0(p_0), \gamma(\mathcal{V}) \cap \mathcal{U} = \emptyset\}, \\ A(t) &= A(\mathcal{U}, \mathcal{V}, t) \cup A(\mathcal{V}, \mathcal{U}, t) \cup A(\mathcal{U}', \mathcal{V}', t) \cup A(\mathcal{V}', \mathcal{U}', t). \end{aligned} \tag{5.11}$$



Using Morse lemma and the triangle inequality, we prove that

$$\#A(t) = 4\#\{\gamma \in \Gamma_{t+r}^0(p_0), \gamma(\mathcal{V}) \cap \mathcal{U} = \emptyset\}. \tag{5.12}$$

Moreover, there is a constant  $k > 0$  such that

$$A(t) \subset \Gamma_t^0(p_0) \setminus \Gamma_k^0(p_0) = \Gamma_t^k(p_0). \tag{5.13}$$

□

LEMMA 5.7. *Let  $(M, g), (M, g_0), X, X_0, \Gamma, \eta, c,$  and  $p_0$  be as in Lemma 5.6. Then there exist  $n \in \mathbb{N}$ , neighbourhoods  $\mathcal{U}$  of  $c_0(-\infty)$  and  $\mathcal{V}$  of  $c_0(+\infty)$  in  $X_0 \cup X_0(\infty)$  and some constants  $\rho, a > 0$  such that the endpoints of each element*

$$\beta \in \mathfrak{D}(t) := \{\eta^n \gamma \eta^n, \gamma(\mathcal{V}) \cap \mathcal{U} = \emptyset, \gamma \in \Gamma_t^0(p_0)\} \tag{5.14}$$

belong to  $\mathcal{U}$ , respectively,  $\mathcal{V}$  and  $l(\beta) \leq \rho + t$ .

*Proof.* The fact that  $c_0(-\infty) \neq c_0(+\infty)$  implies the existence of neighbourhoods  $\mathcal{U}$  of  $c_0(-\infty)$  and  $\mathcal{V}$  of  $c_0(+\infty)$  and  $n \in \mathbb{N}$  such that

$$\begin{aligned} \eta^n(\bar{X} \setminus \mathcal{U}) &\subset \mathcal{V}, & \eta^{-n}(\bar{X} \setminus \mathcal{V}) &\subset \mathcal{U}, \\ \bar{\mathcal{V}} &\subset \bar{X} \setminus \mathcal{U}, & \bar{\mathcal{U}} &\subset \bar{X} \setminus \mathcal{V}. \end{aligned} \tag{5.15}$$

Let  $\gamma \in \Gamma$  such that  $\gamma(\mathcal{V}) \cap \mathcal{U} = \emptyset$  and  $d(h, \gamma(p_0)) \leq t$ . We have

$$\begin{aligned} \eta^n \gamma \eta^n(\bar{\mathcal{V}}) &\subset \mathcal{V}, & \eta^{-n} \gamma^{-1} \eta^{-n}(\bar{\mathcal{U}}) &\subset \mathcal{U}, \\ d(p_0, \eta^n \gamma \eta^n(p_0)) &\leq \rho + t. \end{aligned} \tag{5.16}$$

Finally, using [16, Lemma 5.6] we obtain the result. □

THEOREM 5.8. *Let  $(M, g)$  be a compact Riemannian manifold of hyperbolic type without conjugate points and let  $X$  be its universal Riemannian covering. Let  $\mathcal{P}(t)$  be the number of equivalence classes of closed geodesics of  $M$  of period less than or equal to  $t$ . Then there exist constant  $b > 1$  and  $t_0 > 0$  such that*

$$\frac{1}{b} \frac{e^{h_g t}}{t} \leq \mathcal{P}(t) \leq b e^{h_g t} \tag{5.17}$$

for all  $t > t_0$ , where  $h_g$  is the volume entropy of  $X$ .

*Proof.* Let  $\mathfrak{D}(t)$  be as in Lemma 5.7. If  $\beta \in \mathfrak{D}(t)$ , we have  $d(p_0, \beta(p_0)) \leq \rho + t$  for some constant  $\rho > 0$ . Then,  $l([\beta]) \leq \rho + t$ . Hence,

$$\mathcal{P}(t + \rho) \geq \#\{\gamma \in \Gamma, \gamma \in \mathfrak{D}(t)\} \geq \frac{\#\mathfrak{D}(t)}{\max_{\gamma \in \mathfrak{D}(t)} \#\gamma}. \tag{5.18}$$

Finally, using Lemma 5.6, there exist constants  $r, s > 0$  such that

$$\mathcal{P}(t) \geq \frac{1}{4a(t-\rho)} \# \Gamma_{t-r-\rho}^s(p) \quad (5.19)$$

for some constant  $a > 1$ . □

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