



Computing Igusa's Local Zeta Functions of Univariate Polynomials, and Linear Feedback Shift Registers

W. A. Zuniga-Galindo

Department of Mathematics and Computer Science

Barry University

11300 N. E. Second Avenue

Miami Shores, Florida 33161

USA

wzuniga@mail.barry.edu

Abstract

We give a polynomial time algorithm for computing the Igusa local zeta function $Z(s, f)$ attached to a polynomial $f(x) \in \mathbb{Z}[x]$, in one variable, with splitting field \mathbb{Q} , and a prime number p . We also propose a new class of linear feedback shift registers based on the computation of Igusa's local zeta function.

1. INTRODUCTION

Let $f(x) \in \mathbb{Z}[x]$, $x = (x_1, \dots, x_n)$ be a non-constant polynomial, and p a fixed prime number. We put $N_m(f, p) = N_m(f)$ for the number of solutions of the congruence $f(x) \equiv 0 \pmod{p^m}$ in $(\mathbb{Z}/p^m\mathbb{Z})^n$, $m \geq 1$, and $H(t, f)$ for the Poincaré series

$$H(t, f) = \sum_{m=0}^{\infty} N_m(f)(p^{-n}t)^m,$$

with $t \in \mathbb{C}$, $|t| < 1$, and $N_0(f) = 1$. This paper is dedicated to the computation of the sequence $\{N_m(f)\}_{m \geq 0}$ when f is an univariate polynomial with splitting field \mathbb{Q} .

Igusa showed that the Poincaré series $H(t, f)$ admits a meromorphic continuation to the complex plane as a rational function of t [14], [15]. In this paper we make a first step towards the solution of the following problem: given a polynomial $f(x)$ as above, how difficult is to compute the meromorphic continuation of the Poincaré series $H(t, f)$?

The computation of the Poincaré series $H(t, f)$ is equivalent to the computation of Igusa's local zeta function $Z(s, f)$, attached to f and p , defined as follows. We denote by \mathbb{Q}_p the field of p -adic numbers, and by \mathbb{Z}_p the ring of p -adic integers. For $x \in \mathbb{Q}_p$, $v_p(x)$ denotes

the p -adic order of x , and $|x|_p = p^{-v_p(x)}$ its absolute value. The Igusa local zeta function associated to f and p is defined as follows:

$$Z(s, f) = \int_{\mathbb{Z}_p^n} |f(x)|_p^s |dx|, \quad s \in \mathbb{C},$$

where $\operatorname{Re}(s) > 0$, and $|dx|$ denotes the Haar measure on \mathbb{Q}_p^n so normalized that \mathbb{Z}_p^n has measure 1. The following relation between $Z(s, f)$ and $H(t, f)$ holds (see [14], theorem 8.2.2):

$$H(t, f) = \frac{1 - tZ(s, f)}{1 - t}, \quad t = p^{-s}.$$

Thus, the rationality of $Z(s, f)$ implies the rationality of the Poincaré series $H(t, f)$, and the computation of $H(t, f)$ is equivalent to the computation of $Z(s, f)$. Igusa [14, theorem 8.2.1] showed that the local zeta function $Z(s, f)$ admits a meromorphic continuation to the complex plane as a rational function of p^{-s} .

The first result of this paper is a polynomial time algorithm for computing the local zeta function $Z(s, f)$ attached to a polynomial $f(x) \in \mathbb{Z}[x]$, in one variable, with splitting field \mathbb{Q} , and a prime number p . We also give an explicit estimate for its complexity (see algorithm `Compute_Z(s, f)` in section 2, and theorem 7.1).

Many authors have found explicit formulas for $Z(s, f)$, or $H(f, t)$, for several classes of polynomials, among them [6], [7], [10], [11], [16] and the references therein, [19], [24], [25]. In all these works the computation of $Z(s, f)$, or $H(f, t)$, is reduced to the computation of other problems, as the computation of the number of solutions of polynomial equations with coefficients in a finite field. Currently, there is no polynomial time algorithm solving this problem [23], [22]. Moreover, none of the above mentioned works include complexity estimates for the computation of Igusa's local zeta functions.

Of particular importance is Denef's explicit formula for $Z(s, f)$, when f satisfies some generic conditions [6]. This formula involves the numerical data associated to a resolution of singularities of the divisor $f = 0$, and the number of rational points of certain non-singular varieties over finite fields. Thus the computation of $Z(s, f)$, for a generic polynomial f , is reduced to the computation of the numerical data associated to a resolution of singularities of the divisor $f = 0$, and the number of solutions of non-singular polynomials over finite fields. Currently, it is unknown if these problems can be solved in polynomial time on a Turing machine. However, during the last few years important achievements have been obtained in the computation of resolution of singularities of polynomials [2], [3], [4], [21].

The computation of the Igusa local zeta function for an arbitrary polynomial seems to be an intractable problem on a Turing machine. For example, for $p = 2$, the computation of the number of solutions of a polynomial equation with coefficients in $\mathbb{Z}/2\mathbb{Z}$ is an **NP**-complete problem on a Turing Machine [9, page 251, problem AN9]. Then in the case of 2-adic numbers, the computation of the Igusa local zeta function is an **NP**-complete problem.

Recently, Anshel and Goldfeld have shown the existence of a strong connection between the computation of zeta functions and cryptography [1]. Indeed, they proposed a new class of candidates for one-way functions based on global zeta functions. A one-way function is a function F such that for each x in the domain of F , it is easy to compute $F(x)$; but for essentially all y in the range of F , it is an intractable problem to find an x such that $y = F(x)$. These functions play a central role, from a practical and theoretical point of view, in modern cryptography. Currently, there is no guarantee that one-way functions exist even

if $\mathbf{P} \neq \mathbf{NP}$. Most of the present candidates for one-way functions are constructed on the intractability of problems like integer factorization and discrete logarithms [12]. Recently, P. Shor has introduced a new approach to attack these problems [20]. Indeed, Shor have shown that on a quantum computer the integer factorization and discrete logarithm problems can be computed in polynomial time.

We set

$$\mathcal{H} = \{H(t, f) \mid f(x) \in \mathbb{Z}[x], \text{ in one variable, with splitting field } \mathbb{Q}\},$$

and $N^\infty(\mathbb{Z})$ for the set of finite sequences of integers. For each positive integer u and a prime number p , we define

$$F_{u,p} : \begin{array}{ccc} \mathcal{H} & \rightarrow & \mathbb{N}^\infty(\mathbb{Z}) \\ H(t, f) & \rightarrow & \{N_0(f, p), N_1(f, p), \dots, N_u(f, p)\}. \end{array}$$

Our second result asserts that $F_{u,p}(H(t, f))$ can be computed in polynomial time, for every $H(t, f)$ in \mathcal{H} (see theorem 8.1). It seems interesting to study the complexity on a Turing machine of the following problem: given a list of positive integers $\{a_0, a_1, \dots, a_u\}$, how difficult is it to determine whether or not there exists a Poincaré series $H(t, f) = \sum_{m=0}^{\infty} N_m(f)(p^{-1}t)^m$, such that $a_i = N_i(f)$, $i = 1, \dots, u$?

Currently, the author does not have any result about the complexity of the above problem, however the mappings $F_{u,p}$ can be considered as new class of stream ciphers (see section 8).

2. THE ALGORITHM COMPUTE_Z(s, f)

In this section we present a polynomial time algorithm, $\text{Compute}_Z(s, f)$, that solves the following problem: given a polynomial $f(x) \in \mathbb{Z}[x]$, in one variable, whose splitting field is \mathbb{Q} , find an explicit expression for the meromorphic continuation of $Z(s, f)$. The algorithm is as follows.

Algorithm $\text{Compute}_Z(s, f)$

Input : A polynomial $f(x) \in \mathbb{Z}[x]$, in one variable, whose splitting field is \mathbb{Q} .

Output : A rational function of p^{-s} that is the meromorphic continuation of $Z(s, f)$.

(1) Factorize $f(x)$ in $\mathbb{Q}[x]$: $f(x) = \alpha_0 \prod_{i=1}^r (x - \alpha_i)^{e_i} \in \mathbb{Q}[x]$.

(2) Compute

$$l_f = \begin{cases} 1 + \max\{v_p(\alpha_i - \alpha_j) \mid i \neq j, 1 \leq i, j \leq r\}, & \text{if } r \geq 2; \\ 1, & \text{if } r = 1. \end{cases}$$

(3) Compute the p -adic expansions of the numbers α_i , $i = 1, 2, \dots, r$ modulo p^{l_f+1} .

(4) Compute the tree $T(f, l_f)$ associated to $f(x)$ and p (for the definition of $T(f, l_f)$ see (4.2)).

(5) Compute the generating function $G(s, T(f, l_f), p)$ attached to $T(f, l_f)$ (for the definition of $G(s, T(f, l_f), p)$ see (5.1)).

(6) Return $Z(s, f) = G(s, T(f, l_f), p)$.

(7) End

In section 6, we shall give a proof of the correctness and a complexity estimate for the algorithm $\text{Compute}_Z(s, f)$. The first step in our algorithm is accomplished by means of the

factoring algorithm by A.K. Lenstra, H. Lenstra and L. Lovász [17]. If d_f denotes the degree of $f(x) = \sum_i a_i x^i$, and

$$\|f\| = \sqrt{\sum_i a_i^2},$$

then the mentioned factoring algorithm needs $O(d_f^6 + d_f^9(\log \|f\|))$ arithmetic operations, and the integers on which these operations are performed each have a binary length

$$O(d_f^3 + d_f^2(\log \|f\|))$$

[17, theorem 3.6].

The steps 2, 3, 4, 5 reduce in polynomial time the computation of $Z(s, f)$ to the computation of a factorization of $f(x)$ over \mathbb{Q} . This reduction is accomplished by constructing a weighted tree from the p -adic expansion of the roots of $f(x)$ modulo a certain power of p (see section 4), and then associating a generating function to this tree (see section 5). Finally, we shall prove that the generating function constructed in this way coincides with the local zeta function of $f(x)$ (see section 5).

3. p -ADIC STATIONARY PHASE FORMULA

Our main tool in the effective computing of Igusa's local zeta function of a polynomial in one variable will be the p -adic stationary phase formula, abbreviated SPF [16]. This formula is a recursive procedure for computing local zeta functions. By using this procedure it is possible to compute the local zeta functions for many classes of polynomials [[16] and the references therein], [19], [24], [25], [26].

Given a polynomial $f(x) \in \mathbb{Z}_p[x] \setminus p\mathbb{Z}_p[x]$, we denote by $\overline{f(x)}$ its reduction modulo $p\mathbb{Z}_p$, i.e., the polynomial obtained by reducing the coefficients of $f(x)$ modulo $p\mathbb{Z}_p$. We define for each $x_0 \in \mathbb{Z}_p$,

$$f_{x_0}(x) = p^{-e_{x_0}} f(x_0 + px),$$

where e_{x_0} is the minimum order of p in the coefficients of $f(x_0 + px)$. Thus $f_{x_0}(x) \in \mathbb{Z}_p[x] \setminus p\mathbb{Z}_p[x]$. We shall call the polynomial $f_{x_0}(x)$ the *dilatation* of $f(x)$ at x_0 . We also define

$$\nu(\overline{f}) = \text{Card}\{\overline{z} \in \mathbb{F}_p \mid \overline{f}(\overline{z}) \neq 0\},$$

$$\delta(\overline{f}) = \text{Card}\{\overline{z} \in \mathbb{F}_p \mid \overline{z} \text{ is a simple root of } \overline{f}(\overline{z}) = 0\}.$$

We shall use $\{0, 1, \dots, p-1\} \subseteq \mathbb{Z}_p$ as a set of representatives of the elements of $\mathbb{F}_p = \mathbb{Z}/p\mathbb{Z} = \{\overline{0}, \overline{1}, \dots, \overline{p-1}\}$. Let $S = S(f)$ denote the subset of $\{0, 1, \dots, p-1\} \subseteq \mathbb{Z}_p$ which is mapped bijectively by the canonical homomorphism $\mathbb{Z}_p \rightarrow \mathbb{Z}_p/p\mathbb{Z}_p$ to the set of roots of $\overline{f}(\overline{z}) = 0$ with multiplicity greater than or equal to two.

With all the above notation we are able to state the p -adic stationary phase formula for polynomials in one variable.

Proposition 3.1 ([14, theorem 10.2.1]). *Let $f(x) \in \mathbb{Z}_p[x] \setminus p\mathbb{Z}_p[x]$ be a non-constant polynomial. Then*

$$Z(s, f) = p^{-1}\nu(\overline{f}) + \delta(\overline{f}) \frac{(1-p^{-1})p^{-1-s}}{(1-p^{-1-s})} + \sum_{\xi \in S} p^{-1-e_{\xi}s} \int_{\mathbb{Z}_p} |f_{\xi}(x)|_p^s dx.$$

The following example illustrates the use of the p -adic stationary phase formula, and also the basic aspects of our algorithm for computing $Z(s, f)$.

3.1. Example. Let $f(x) = (x - \alpha_1)(x - \alpha_2)^3(x - \alpha_3)(x - \alpha_4)^2(x - \alpha_5)$ be a polynomial such that $\alpha_1, \alpha_2, \alpha_3, \alpha_4, \alpha_5$ are integers having the following p -adic expansions:

$$\alpha_1 = a + dp + kp^2,$$

$$\alpha_2 = a + dp + lp^2,$$

$$\alpha_3 = b + gp + mp^2,$$

$$\alpha_4 = c + hp + np^2,$$

$$\alpha_5 = c + hp + rp^2,$$

where the p -adic digits $a, b, c, d, g, h, l, m, n, r$ belong to $\{0, 1, \dots, p-1\}$. We assume the p -adic digits to be different by pairs. The local zeta function $Z(s, f)$ will be computed by using SPF iteratively.

By applying SPF with $\overline{f(x)} = (x - \bar{a})^4(x - \bar{b})(x - \bar{c})^3$, $\nu(\bar{f}) = p - 3$, $\delta(\bar{f}) = 1$, $S = \{a, c\}$, $f_a(x) = p^{-4}f(a + px)$, and $f_c(x) = p^{-3}f(c + px)$, we obtain that

$$\begin{aligned} Z(s, f) &= p^{-1}(p-3) + \frac{(1-p^{-1})p^{-1-s}}{1-p^{-1-s}} + p^{-1-4s} \int_{\mathbb{Z}_p} |f_a(x)|_p^s |dx| \\ &\quad + p^{-1-3s} \int_{\mathbb{Z}_p} |f_c(x)|_p^s |dx|. \end{aligned} \quad (3.1)$$

We apply SPF to the integrals involving $f_a(x)$ and $f_c(x)$ in (3.1). First, we consider the integral corresponding to $f_a(x)$. Since $f_a(x) = (x - \bar{d})^4(\bar{a} - \bar{b})(\bar{a} - \bar{c})^3$, $S = \{d\}$, $f_{a,d}(x) = p^{-4}f_a(d + px)$, $\nu(\overline{f_a}) = p - 1$, and $\delta(\overline{f_a}) = 0$, it follows from (3.1) using SPF that

$$\begin{aligned} Z(s, f) &= p^{-1}(p-3) + \frac{(1-p^{-1})p^{-1-s}}{1-p^{-1-s}} + p^{-1}(p-1)p^{-1-4s} \\ &\quad + p^{-2-8s} \int_{\mathbb{Z}_p} |f_{a,d}(x)|_p^s |dx| + p^{-1-3s} \int_{\mathbb{Z}_p} |f_c(x)|_p^s |dx|. \end{aligned} \quad (3.2)$$

Now, we apply SPF to the integral involving $f_c(x)$ in (3.2). Since $\overline{f_c(x)} = (\bar{c} - \bar{a})^4(\bar{c} - \bar{b})(x - \bar{h})^3$, $S = \{h\}$, $f_{c,h}(x) = p^{-3}f_c(h + px)$, $\nu(\overline{f_c}) = p - 1$, and $\delta(\overline{f_c}) = 0$, it follows from (3.2) using SPF that

$$\begin{aligned} Z(s, f) &= p^{-1}(p-3) + \frac{(1-p^{-1})p^{-1-s}}{1-p^{-1-s}} + p^{-1}(p-1)p^{-1-4s} \\ &\quad + p^{-2-8s} \int_{\mathbb{Z}_p} |f_{a,d}(x)|_p^s |dx| + p^{-1}(p-1)p^{-1-3s} \\ &\quad + p^{-2-6s} \int_{\mathbb{Z}_p} |f_{c,h}(x)|_p^s |dx|. \end{aligned} \quad (3.3)$$

By applying SPF to the integral involving $f_{a,d}(x)$ in (3.3), with $\overline{f_{a,d}(x)} = (x - \bar{k})(x - \bar{l})^3(\bar{d} - \bar{b})(\bar{d} - \bar{c})^3$, $S = \{k, l\}$, $f_{a,d,k}(x) = p^{-1}f_{a,d}(k + px)$, $|f_{a,d,k}(x)|_p^s = |x|_p^s$, $f_{a,d,l}(x) = p^{-3}f_{a,d}(l + px)$, $|f_{a,d,l}(x)|_p^s = |x|_p^{3s}$, $\nu(\overline{f_{a,d}}) = p - 2$, and $\delta(\overline{f_{a,d}}) = 1$, we obtain that

$$\begin{aligned}
Z(s, f) &= p^{-1}(p-3) + \frac{(1-p^{-1})p^{-1-s}}{1-p^{-1-s}} + p^{-1}(p-1)p^{-1-4s} \\
&\quad + p^{-1}(p-1)p^{-1-3s} + p^{-1}(p-2)p^{-2-8s} + \frac{(1-p^{-1})p^{-3-9s}}{1-p^{-1-s}} \\
&\quad + \frac{(1-p^{-1})p^{-3-11s}}{1-p^{-1-3s}} + p^{-2-6s} \int_{\mathbb{Z}_p} |f_{c,h}(x)|_p^s |dx|. \tag{3.4}
\end{aligned}$$

Finally, by applying SPF to the integral involving $f_{c,h}(x)$ in (3.4), we obtain that

$$\begin{aligned}
Z(s, f) &= p^{-1}(p-3) + \frac{(1-p^{-1})p^{-1-s}}{1-p^{-1-s}} + p^{-1}(p-1)p^{-1-4s} \\
&\quad + p^{-1}(p-1)p^{-1-3s} + p^{-1}(p-2)p^{-2-8s} + \frac{(1-p^{-1})p^{-3-9s}}{1-p^{-1-s}} \\
&\quad + \frac{(1-p^{-1})p^{-3-11s}}{1-p^{-1-3s}} + p^{-1}(p-2)p^{-2-6s} + \frac{(1-p^{-1})p^{-3-7s}}{1-p^{-1-s}} \\
&\quad + \frac{(1-p^{-1})p^{-3-8s}}{1-p^{-1-2s}}. \tag{3.5}
\end{aligned}$$

Remark 3.1. If $\alpha = \frac{a}{b} \in \mathbb{Q}$, and $v_p(\alpha) < 0$, then

$$|x - \alpha|_p = |\alpha|_p, \text{ for every } x \in \mathbb{Z}_p. \tag{3.6}$$

On the other hand, a polynomial of the form

$$f(x) = \alpha_0 \prod_{i=1}^r (x - \alpha_i)^{e_i} \in \mathbb{Q}[x],$$

can be decomposed as $f(x) = \alpha_0 f_-(x) f_+(x)$, where

$$f_-(x) = \prod_{\{\alpha_i | v_p(\alpha_i) < 0\}} (x - \alpha_i)^{e_i}, \text{ and } f_+(x) = \prod_{\{\alpha_i | v_p(\alpha_i) \geq 0\}} (x - \alpha_i)^{e_i}. \tag{3.7}$$

From (3.6) and (3.7) follow that

$$Z(s, f) = |\alpha_0| \prod_{\{\alpha_i | v_p(\alpha_i) < 0\}} |\alpha_i|_p^{e_i s} Z(s, f_+).$$

Thus, from a computational point of view, we may assume without loss of generality that all roots of $f(x)$ are p -adic integers.

4. TREES AND p -ADIC NUMBERS

The tree $U = U(p)$ of residue classes modulo powers of a given prime number p is defined as follows. Consider the diagram

$$\{0\} = \mathbb{Z}/p^0\mathbb{Z} \xleftarrow{\phi_1} \mathbb{Z}/p^1\mathbb{Z} \xleftarrow{\phi_2} \mathbb{Z}/p^2\mathbb{Z} \xleftarrow{\phi_3} \cdots$$

where ϕ_l the are the natural homomorphisms. The vertices of U are the elements of $\mathbb{Z}/p^l\mathbb{Z}$, for $l = 0, 1, 2, \dots$, and the directed edges are $u \rightarrow v$ where $u \in \mathbb{Z}/p^l\mathbb{Z}$ and $\phi_l(u) = v$, for some $l > 0$. Thus U is a rooted tree with root $\{0\}$. Exactly one directed edge emanates from

each vertex of U ; except from the vertex $\{0\}$, from which no edge emanates. In addition, every vertex is the end point of exactly p directed edges.

Given two vertices u, v the notation $u > v$ will mean that there is a sequence of vertices and edges of the form

$$u \rightarrow u^{(1)} \rightarrow \dots \rightarrow u^{(m)} = v.$$

The notation $u \geq v$ will mean that $u = v$ or $u > v$. The *level* $l(u)$ of a vertex u is m if $u \in \mathbb{Z}/p^m\mathbb{Z}$. The *valence* $Val(u)$ of a vertex u is defined as the number of directed edges whose end point is u .

A subtree, or simply a tree, is defined as a nonempty subset T of vertices of U , such that when $u \in T$ and $u > v$, then $v \in T$. Thus T together with the directed edges $u \rightarrow v$, where $u, v \in T$, is again a tree with root $\{0\}$.

A tree T is named a *weighted tree*, if there exists a weight function $W : T \rightarrow \mathbb{N}$. The value $W(u)$ is called the weight of vertex u .

If $x \in \mathbb{Z}_p$, and x_l denotes its residue class modulo p^l , then every vertex of U is of the type x_l with $l \in \mathbb{N}$.

A *stalk* is defined as a tree K having at most one vertex at each level. Thus a stalk is either finite, of the type

$$\{0\} \leftarrow u^{(1)} \leftarrow \dots \leftarrow u^{(l)},$$

or infinite, of the type

$$\{0\} \leftarrow u^{(1)} \leftarrow \dots.$$

Clearly a finite stalk may be written as

$$\{0\} \leftarrow x_1 \leftarrow \dots \leftarrow x_l,$$

with $x \in \mathbb{Z}$, and infinite stalks as

$$\{0\} \leftarrow x_1 \leftarrow x_2 \leftarrow \dots,$$

with $x \in \mathbb{Z}_p$. Thus there is a 1 – 1 correspondence between infinite stalks and p -adic integers.

4.1. Tree Attached to a Polynomial. Let

$$f(x) = \alpha_0 \prod_{i=1}^r (x - \alpha_i)^{e_i} \in \mathbb{Q}[x] \quad (4.1)$$

be a non-constant polynomial, in one variable, of degree d_f , such that $v_p(\alpha_i) \geq 0$, $i = 1, 2, \dots, r$. We associate to $f(x)$ and a prime number p the integer

$$l_f = \begin{cases} 1 + \max\{v_p(\alpha_i - \alpha_j) \mid i \neq j, 1 \leq i, j \leq r\}, & \text{if } r \geq 2; \\ 1, & \text{if } r = 1. \end{cases}$$

We set

$$\alpha_i = a_{0,i} + a_{1,i} p + \dots + a_{j,i} p^j + \dots + a_{l_f,i} p^{l_f} \pmod{p^{l_f+1}},$$

$a_{j,i} \in \{0, 1, \dots, p-1\}$, $j = 0, 1, \dots, l_f$, $i = 1, 2, \dots, r$, for the p -adic expansion modulo p^{l_f+1} of α_i . We attach a weighted tree $T(f, l_f)$ to f as follows:

$$T(f, l_f, p) = T(f, l_f) = \bigcup_{i=1}^r K(\alpha_i, l_f), \quad (4.2)$$

where $K(\alpha_i, l_f)$ denotes the stalk corresponding to the p -adic expansion of α_i modulo p^{l_f+1} . Thus $T(f, l_f)$ is a rooted tree. We introduce a weight function on $T(f, l_f)$, by defining the weight of a vertex u of level m as

$$W(u) = \begin{cases} \sum_{\{i|\alpha_i \equiv u \pmod{p^m}\}} e_i, & \text{if } m \geq 1; \\ 0, & \text{if } m = 0. \end{cases} \quad (4.3)$$

Given a vertex $u \in T(f, l_f)$, we define the stalk generated by u to be

$$B_u = \{v \in T(f, l_f) \mid u \geq v\}.$$

We associate a weight $W^*(B_u)$ to B_u as follows:

$$W^*(B_u) = \sum_{v \in B_u} W(v). \quad (4.4)$$

4.2. Computation of Trees Attached to Polynomials. Our next step is to show that a tree $T(f, l_f)$ attached to a polynomial $f(x)$, of type (4.1), can be computed in polynomial time. There are well known programming techniques to construct and manipulate trees and forests (see e.g. [8, Volume 1]), for this reason, we shall focus on showing that such computations can be carry out in polynomial time, and set aside the implementation details of a particular algorithm for this task. We shall include in the computation of $T(f, l_f)$, the computation of the weights of the stalks generated by its vertices; because all these data will be used in the computation of the local zeta function of f .

Proposition 4.1. *The computation of a tree $T(f, l_f)$ attached to a polynomial $f(x)$, of type (4.1), from the p -adic expansions modulo p^{l_f+1} of its roots*

$$\alpha_i = a_{0,i} + a_{1,i} p + \cdots + a_{l_f,i} p^{l_f} \pmod{p^{l_f+1}}$$

and multiplicities e_i , $i = 1, 2, \dots, r$, involves $O(l_f^2 d_f^3)$ arithmetic operations on integers with binary length

$$O(\max\{\log p, \log(l_f d_f)\}).$$

Proof. We assume that $T(f, l_f)$ is finite set of the form

$$T = \{\text{Level}_0, \dots, \text{Level}_j, \dots, \text{Level}_{l_f+1}\}, \quad (4.5)$$

where Level_j represents the set of all vertices with level j . Each Level_j is a set of the form

$$\text{Level}_j = \{u_{j,1}, \dots, u_{j,i}, \dots, u_{j,m_j}\},$$

and each $u_{j,i}$ is a weighted vertex for every $i = 1, \dots, m_j$. A weighted vertex $u_{j,i}$ is a set of the form

$$u_{j,i} = \{W(u_{j,i}), \text{Val}(u_{j,i}), W^*(B_{u_{j,i}})\},$$

where $W(u_{j,i})$ is the weight of $u_{j,i}$, $\text{Val}(u_{j,i})$ is its valence, and $W^*(B_{u_{j,i}})$ is the weight of stalk $B_{u_{j,i}}$. The weight of the stalk generated by $u_{j,i}$ can be written as

$$W^*(B_{u_{j,i}}) = \sum_{v \in B_{u_{j,i}}} W(v).$$

For the computation of a vertex $u_{j,i}$ of level j , we proceed as follows. We put $I = \{1, 2, \dots, r\}$, and

$$M_j = \{\alpha_i \pmod{p^j} \mid i \in I\}.$$

For each $0 \leq j \leq l_f + 1$, we compute a partition of I of type

$$I = \bigcup_{i=1}^{l_j} I_{j,i}, \quad (4.6)$$

such that

$$\alpha_t \bmod p^j = \alpha_s \bmod p^j,$$

for every $t, s \in I_{j,i}$. Each subset $I_{j,i}$ corresponds to a vertex $u_{j,i}$ of level j . This computation requires $O(l_f r^2)$ arithmetic operations on integers with binary length $O(\log p)$. Indeed, the cost of computing a “yes or no” answer for the question: $\alpha_t \bmod p^j = \alpha_s \bmod p^j$? is $O(j)$ comparisons of integers with binary length $O(\log p)$. In the worst case, there are r vectors M_j , and the computation of partition (4.6), for a fixed j , involves the comparison of α_t with α_l for $l = t + 1, t + 2, \dots, r$. This computation requires $O(jr^2)$ arithmetic operations on integers with binary length $O(\log p)$. Since $j \leq l_f + 1$, the computation of partition (4.6) requires $O(l_f r^2)$ arithmetic operations on integers with binary length $O(\log p)$.

The weight of the vertex $u_{j,i}$ is given by the expression

$$W(u_{j,i}) = \sum_{k \in I_{j,i}} e_k.$$

Thus the computation of the weight of a vertex requires $O(r)$ additions of integers with binary length $O(\log d_f r)$.

For the computation of the valence of $u_{j,i}$, we proceed as follows. The valence of $u_{j,i}$ can be expressed as

$$\text{Val}(u_{j,i}) = \text{Card}\{I_{j+1,l} \mid I_{j+1,l} \subseteq I_{j,i}\},$$

where $I_{j+1,l}$ runs through all possible sets that correspond to the vertices $u_{j+1,l}$, with level $j + 1$. Thus the computation of $\text{Val}(u_{j,m})$ involves the computation of a “yes or no” answer for the question $I_{j+1,l} \subseteq I_{j,i}$? The computation of a “yes or no” answer involves $O(r)$ comparisons of integers with binary length $O(\log r)$. Therefore the computation of $\text{Val}(u_{j,i})$ involves $O(r)$ comparisons and $O(r)$ additions of integers with binary length $O(\log r)$.

For the computation of the weight of $B_{u_{j,i}}$, we observe that $W^*(B_{u_{j,i}})$ is given by the formula

$$W^*(B_{u_{j,i}}) = \sum_{l=0}^{j-1} \sum_{I_{j,i} \subseteq I_{l,k}} W(I_{l,k}),$$

where $W(I_{l,k}) = W(v_{l,k})$, and $v_{l,k}$ is the vertex corresponding to $I_{l,k}$. Thus the computation of $W^*(B_{u_{j,i}})$ involves $O(l_f)$ additions of integers with binary length $O(\log(l_f d_f))$, and $O(l_f r)$ comparisons of integers with binary length $O(\log r)$.

From the above reasoning follows that the computation of a vertex of a tree $T(f, l_f)$ involves at most $O(l_f r^2)$ arithmetic operations (additions and comparisons) on integers with binary length $O(\max\{\log p, \log(l_f d_f)\})$. Finally, since the number of vertices of $T(f, l_f)$ is at most $O(l_f d_f)$, it follows that the computation of a tree of type $T(f, l_f)$ involves $O(l_f^2 d_f^3)$ arithmetic operations on integers with binary length $O(\max\{\log p, \log(l_f d_f)\})$. ■

5. GENERATING FUNCTIONS AND TREES

In this section we attach to a weighted tree $T(f, l_f)$ and a prime p a generating function $G(s, T(f, l_f), p) \in \mathbb{Q}(p^{-s})$ defined as follows.

We set

$$\mathcal{M}_{T(f, l_f)} = \left\{ u \in T(f, l_f) \mid \begin{array}{l} W(u) = 1, \text{ and there no exists } v \in T(f, l_f) \\ \text{with } W(v) = 1, \text{ such that } u > v. \end{array} \right\},$$

and

$$L_u(p^{-s}) = \begin{cases} \frac{(1-p^{-1})p^{-l(u)-W^*(B_u)s}}{(1-p^{-1-W(u)s})}, & \text{if } l(u) = 1 + l_f, \text{ and } W(u) \geq 2; \\ p^{-1}(p - Val(u))p^{-l(u)-W^*(B_u)s}, & \text{if } 0 \leq l(u) \leq l_f, \text{ and } W(u) \neq 1; \\ \frac{(1-p^{-1})p^{-l(u)-W^*(B_u)s}}{1-p^{-1-s}}, & \text{if } u \in \mathcal{M}_{T(f, l_f)}; \\ 0, & \text{if } W(u) = 1, \text{ and } u \notin \mathcal{M}_{T(f, l_f)}. \end{cases}$$

With all the above notation, we define the generating function attached to $T(f, l_f)$ and p as

$$G(s, T(f, l_f), p) = \sum_{u \in T(f, l_f)} L_u(p^{-s}). \quad (5.1)$$

Our next goal is to show that $G(s, T(f, l_f), p) = Z(s, f)$. The proof of this fact requires the following preliminary result.

Proposition 5.1. *The generating function attached to a tree $T(f, l_f)$ and a prime p satisfies*

$$\begin{aligned} G(s, T(f, l_f), p) &= p^{-1}\nu(\bar{f}) + \delta(\bar{f}) \frac{(1-p^{-1})p^{-1-s}}{(1-p^{-1-s})} \\ &\quad + \sum_{\xi \in S} p^{-1-e_{\xi}s} G(s, T(f_{\xi}, l_f - 1), p). \end{aligned} \quad (5.2)$$

Proof. Let $A_f = \{u \in T(f, l_f) \mid l(u) = 1, W(u) = 1\}$, and $B_f = \{u \in T(f, l_f) \mid l(u) = 1, W(u) \geq 2\}$. We have the following partition for $T(f, l_f)$:

$$T(f, l_f) = \{0\} \cup A_f \cup \left(\bigcup_{u \in B_f} T_u \right), \quad (5.3)$$

with

$$T_u = \{v \in T(f, l_f) \mid v \geq u\}.$$

Each T_u is a rooted tree with root $\{u\}$. From partition (5.3) and the definition of $G(s, T(f, l_f), p)$, it follows that

$$\begin{aligned} G(s, T(f, l_f), p) &= p^{-1}(p - Val(\{0\})) + \text{Card}\{A_f\} \frac{(1-p^{-1})p^{-1-s}}{(1-p^{-1-s})} + \\ &\quad \sum_{u \in B_f} G(s, T_u), \end{aligned} \quad (5.4)$$

with $G(s, T_u) = \sum_{v \in T_u} L_v(p^{-s})$.

Since there exists a bijective correspondence between the roots of $\bar{f}(x) \equiv 0 \pmod{p}$ and the vertices of $T(f, l_f)$ with level 1,

$$p - \text{Val}(\{0\}) = \nu(\bar{f}), \text{ and } \text{Card}\{A_f\} = \delta(\bar{f}). \quad (5.5)$$

Now, if the vertex u corresponds to the root $\bar{f}(\xi) \equiv 0 \pmod{p}$, then

$$T_u = \left(\bigcup_{\{\alpha_i | \alpha_i \equiv \xi \pmod{p}\}} K(\alpha_i, l_f) \right) \setminus \{0\}. \quad (5.6)$$

On the other hand, we have that

$$T(f_\xi, l_f - 1) = \bigcup_{\{\alpha_i | \alpha_i \equiv \xi \pmod{p}\}} K\left(\frac{\alpha_i - \xi}{p}, l_f - 1\right). \quad (5.7)$$

Now we remark that the map $\alpha_i \rightarrow \frac{\alpha_i - \xi}{p}$ induces an isomorphism between the trees T_u and $T(f_\xi, l_f - 1)$, that preserves the weights of the vertices; and thus we may suppose that $T_u = T(f_\xi, l_f - 1)$. The level function l_T of $T(f_\xi, l_f - 1)$ is related to the level function l_{T_u} of T_u by means of the equality $l_T - l_{T_u} = -1$. In addition, $B_f = S$, where S is the subset of $\{0, 1, \dots, p-1\} \subseteq \mathbb{Z}_p$ whose reduction modulo $p\mathbb{Z}_p$ is equal to the set of roots of $\bar{f}(\xi) = 0$ with multiplicity greater or equal than two. Therefore, it holds that

$$G(s, T_u) = p^{-1-e_\xi s} G(s, T(f_\xi, l_f - 1), p). \quad (5.8)$$

The result follows from (5.4) by the identities (5.5) and (5.8). ■

Lemma 5.1. *Let p be a fixed prime number and v_p the corresponding p -adic valuation, and*

$$f(x) = \alpha_0 \prod_{i=1}^r (x - \alpha_i)^{e_i} \in \mathbb{Q}[x] \setminus \mathbb{Q},$$

a polynomial such that $v_p(\alpha_i) \geq 0$, for $i = 1, \dots, r$. Then

$$Z(s, f) = G(s, T(f, l_f), p).$$

Proof. We proceed by induction on l_f .

Case $l_f = 1$

If $r = 1$ the proof follows immediately, thus we may assume that $r \geq 2$. Since $l_f = 1$, it holds that $v_p(\alpha_i - \alpha_j) = 0$, for every i, j , satisfying $i \neq j$, and thus $\bar{\alpha}_i \neq \bar{\alpha}_j$, if $i \neq j$. By applying SPF, we have that

$$Z(s, f) = p^{-1}\nu(\bar{f}) + \delta(\bar{f}) \frac{(1-p^{-1})p^{-1-s}}{(1-p^{-1-s})} + \sum_{\xi \in S} p^{-1-e_\xi s} \frac{(1-p^{-1})}{(1-p^{-1-e_\xi s})}, \quad (5.9)$$

where each $e_\xi = e_j \geq 2$, for some j , and $\alpha_j = \xi + p\beta_j$.

On the other hand, $T(f, l_f)$ is a rooted tree with r vertices v_j , satisfying $l(v_j) = 1$, and $W(v_j) = e_j$, for $j = 1, \dots, r$. These observations allow one to deduce that $Z(s, f) = G(s, T(f, l_f), p)$.

By induction hypothesis, we may assume that $Z(s, f) = G(s, T(f, l_f), p)$, for every polynomial f satisfying both the hypothesis of the lemma, and the condition $1 \leq l_f \leq k$, $k \in \mathbb{N}$.

Case $l_f = k + 1$, $k \in \mathbb{N}$

Let $f(x)$ be a polynomial satisfying the lemma's hypothesis, and $l_f = k + 1$, $k \geq 1$. By applying SPF, we obtain that

$$Z(s, f) = p^{-1}\nu(\bar{f}) + \delta(\bar{f}) \frac{(1-p^{-1})p^{-1-s}}{(1-p^{-1-s})} + \sum_{\xi \in S} p^{-1-e_{\xi}s} \int |f_{\xi}(x)|_p^s dx. \quad (5.10)$$

Now, since $l_{f_{\xi}} = l_f - 1$, for every $\xi \in S$, it follows from the induction hypothesis applied to each $f_{\xi}(x)$ in (5.10), that

$$Z(s, f) = p^{-1}\nu(\bar{f}) + \delta(\bar{f}) \frac{(1-p^{-1})p^{-1-s}}{(1-p^{-1-s})} + \sum_{\xi \in S} p^{-1-e_{\xi}s} G(s, T(f_{\xi}, l_f - 1), p). \quad (5.11)$$

Finally, from identity (5.2), and (5.11), we conclude that

$$Z(s, f) = G(s, T(f, l_f), p). \quad (5.12)$$

■

The following proposition gives a complexity estimate for the computation of $G(s, T(f, l_f), p)$.

Proposition 5.2. *The computation of the generating function*

$$G(s, T(f, l_f), p)$$

from $T(f, l_f)$, involves $O(l_f d_f)$ arithmetic operations on integers with binary length $O(\max\{\log p, \log(l_f d_f)\})$.

Proof. This is a consequence of proposition 4.1, and the definition of generating function. ■

6. COMPUTATION OF p -ADIC EXPANSIONS

In this section we estimate the complexity of the steps 2 and 3 in the algorithm `Compute_Z(s, f)`.

Proposition 6.1. *Let*

$$B = \max_{\substack{1 \leq i, j \leq r \\ i \neq j}} \{ |c_{j,i}|, |d_{j,i}| \mid \alpha_j - \alpha_i = \frac{c_{j,i}}{d_{j,i}}, c_{j,i}, d_{j,i} \in \mathbb{Z} \setminus \{0\} \}.$$

The computation of the integer l_f involves $O(d_f^2 \frac{\log B}{\log p})$ arithmetic operations on integers with binary length $O(\max\{\log B, \log p\})$.

Proof. First, we observe that for $c \in \mathbb{Z} \setminus \{0\}$, the computation of $v_p(c)$ involves $O(\frac{\log |c|}{\log p})$ divisions of integers of binary length $O(\max\{\log |c|, \log p\})$. Thus the computation of $v_p(\frac{c}{d}) = v_p(c) - v_p(d)$, involves $O(\frac{\max\{\log |c|, \log |d|\}}{\log p})$ divisions and subtractions of integers with binary length

$$O(\max\{\log |c|, \log |d|, \log p\}).$$

From these observations follow that the computation of $v_p(\alpha_j - \alpha_i)$, $i \neq j$, $1 \leq i, j \leq r$, involves $O(r^2 \frac{\log B}{\log p})$ arithmetic operations on integers with binary length $O(\max\{\log B, \log p\})$.

Finally, the computation of the maximum of the $v_p(\alpha_j - \alpha_i)$, $i \neq j$, $1 \leq i, j \leq r$, involves $O(\log r)$ comparisons of integers with binary length $O(\max\{\log B, \log p\})$. Therefore the

computation of the integer l_f involves at most $O(d_f^2 \frac{\log B}{\log p})$ arithmetic operations on integers with binary length $O(\max\{\log B, \log p\})$. ■

Proposition 6.2. *Let p be a fixed prime and $\gamma = \frac{c}{b} \in \mathbb{Q}$, with $c, b \in \mathbb{Z} \setminus \{0\}$, and $v_p(\gamma) \geq 0$. The p -adic expansion*

$$\gamma = a_0 + a_1 p + \cdots + a_j p^j + \cdots + a_m p^m,$$

modulo p^{m+1} involves $O(m + \log(\max\{|b|, p\}))$ arithmetic operations on integers with binary length $O(\max\{\log |c|, \log |b|, \log p\})$.

Proof. Let $y \in \{1, \dots, p-1\}$ be an integer such that $yb \equiv 1 \pmod{p}$. This integer can be computed by means of the Euclidean algorithm in $O(\log(\max\{|b|, p\}))$ arithmetic operations involving integers of binary length $O(\max\{\log |b|, \log p\})$ (cf. [8, Volume 2, section 4.5.2]).

We set $\gamma = \gamma_0 = \frac{c}{b}$, $c_0 = c$, and define $a_0 \equiv yc \pmod{p}$. With this notation, the p -adic digits $a_i, i = 1, \dots, m$, can be computed recursively as follows:

$$\gamma_i = \frac{\frac{(c_{i-1} - a_{i-1}b)}{p}}{b} = \frac{c_i}{b},$$

$$a_i = yc_i \pmod{p}.$$

Thus the computation of the p -adic expansion of γ needs $O(m + \log(\max\{|b|, p\}))$ arithmetic operations on integers with binary length

$$O(\max\{\log |c|, \log |b|, \log p\}).$$

■

Corollary 6.1. *Let p be a fixed prime number and v_p the corresponding p -adic valuation, and*

$$f(x) = \alpha_0 \prod_{i=1}^r (x - \alpha_i)^{e_i} \in \mathbb{Q}[x],$$

a non-constant polynomial such that $v_p(\alpha_i) \geq 0, i = 1, \dots, r$. The computation of the p -adic expansions modulo p^{l_f+1} of the roots $\alpha_i, i = 1, 2, \dots, r$, of $f(x)$ involves $O(d_f l_f + d_f \log(\max\{B, p\}))$ arithmetic operations on integers with binary length $O(\max\{\log B, \log p\})$.

Proof. The corollary follows directly from the two previous propositions. ■

7. COMPUTING LOCAL ZETA FUNCTIONS OF POLYNOMIALS WITH SPLITTING \mathbb{Q}

In this section we prove the correctness of the algorithm `Compute_Z(s, f)` and estimate its complexity.

Theorem 7.1. *The algorithm `Compute_Z(s, f)` outputs the meromorphic continuation of the Igusa local zeta function $Z(s, f)$ of a polynomial $f(x) \in \mathbb{Z}[x]$, in one variable, with splitting field \mathbb{Q} . The number of arithmetic operations needed by the algorithm is*

$$O(d_f^6 + d_f^9 \log(\|f\|) + l_f^2 d_f^3 + d_f^2 \log(\max\{B, p\})),$$

and the integers on which these operations are performed have a binary length

$$O(\max\{\log p, \log l_f d_f, \log B, d_f^3 + d_f^2 \log(\|f\|)\}).$$

Proof. By remark (3.1), we may assume without loss of generality that

$$f(x) = \alpha_0 \prod_{i=1}^r (x - \alpha_i)^{e_i} \in \mathbb{Q}[x] \setminus \mathbb{Q},$$

with $v_p(\alpha_i) \geq 0$, $i = 1, \dots, r$. The correctness of the algorithm follows from lemma 5.1. The complexity estimates are obtained as follows: the number of arithmetic operations needed in the steps 2 (cf. proposition 6.1), 3 (cf. corollary 6.1), 4 (cf. proposition 4.1), 5 (proposition 5.2), and 6 is at most

$$O(l_f^2 d_f^3 + d_f^2 \log(\max\{B, p\}));$$

and these operations are performed on integers whose binary length is at most

$$O(\max\{\log p, \log l_f d_f, \log B\}).$$

The estimates for the whole algorithm follow from the above estimates and those of the factoring algorithm by A. K. Lenstra, H. Lenstra and L. Lovász (see theorem 3.6 of [17]). ■

8. STREAM CIPHERS AND POINCARÉ SERIES

There is a natural connection between Poincaré series and stream ciphers. In order to explain this relation, we recall some basic facts about stream ciphers [18]. Let \mathbb{F}_{p^n} be a finite field with p^n elements, with p a prime number. For any integer $r > 0$ and r fixed elements $q_i \in \mathbb{F}_{p^n}$, $i = 1, \dots, r$ (called taps), a Linear Feedback Shift Register, abbreviated LFSR, of length r consists of r cells with initial contents $\{a_i \in \mathbb{F}_{p^n} \mid i = 1, \dots, r\}$. For any $n \geq r$, if the current state is $(a_{n-1}, \dots, a_{n-r})$, then a_n is determined by the linear recurrence relation

$$a_n = - \sum_{i=1}^r a_{n-i} q_i.$$

The device outputs the rightmost element a_{n-r} , shifts all the cells one unit right, and feeds a_n back to the leftmost cell.

Any configuration of the r cells forms a state of the LSFR. If $q_r \neq 0$, the following polynomial $q(x) \in \mathbb{F}_{p^n}[x]$ of degree r appears in the analysis of LFSRs:

$$q(x) = q_0 + q_1 x + \dots + q_r x^r \quad \text{with } q_0 = -1.$$

This polynomial is called the connection polynomial. An infinite sequence $A = \{a_i \in \mathbb{F}_{p^n} \mid i \in \mathbb{N}\}$ has period T if for any $i \geq 0$, $a_{i+T} = a_i$. Such a sequence is called periodic. If this is only true for i greater than some index i_0 , then the sequence is called eventually periodic. The following facts about an LFSR of length r are well-known [18].

- (1) There are only finitely many possible states, and the state with all the cells zero will produce a 0-sequence. The output sequence is eventually periodic and the maximal period is $p^{nr} - 1$.
- (2) The Poincaré series $g(x) = \sum_{i=0}^{\infty} a_i x^i$ associated with the output sequence is called the generating function of the sequence. It is a rational function over \mathbb{F}_{p^n} of the form $g(x) = \frac{L(x)}{R(x)}$, with $L(x), R(x) \in \mathbb{F}_{p^n}[x]$, $\deg(R(x)) < r$. The output sequence is strictly periodic if and only if $\deg(L(x)) < \deg(R(x))$.
- (3) There is a one-to-one correspondence between LFSRs of length r with $q_r \neq 0$ and rational functions $\frac{L(x)}{R(x)}$ with $\deg(R(x)) = r$ and $\deg(L(x)) < r$.

We set $\mathbb{F}_{p^n}(x)$ for the field of rational functions over \mathbb{F}_{p^n} , and $N^\infty(\mathbb{F}_{p^n})$ for the set of sequences of the form $\{b_0, \dots, b_u\}$, $b_i \in \mathbb{F}_{p^n}$, $0 \leq i \leq u$, $u \in \mathbb{N}$. From the above considerations, it is possible to identify an LFSR with a function F_u , $u \in \mathbb{N}$, defined as follows:

$$\begin{aligned} F_u : \mathbb{F}_{p^n}(x) &\rightarrow N^\infty(\mathbb{F}_{p^n}) \\ \sum_{i=0}^{\infty} a_i x^i &\rightarrow \{a_0, \dots, a_u\}. \end{aligned} \quad (8.1)$$

We set

$$\mathcal{H} = \{H(t, f) \mid f(x) \in \mathbb{Z}[x], \text{ in one variable, with splitting field } \mathbb{Q}\},$$

and $N^\infty(\mathbb{Z})$ for the set of finite sequences of integers. Also, for each $u \in \mathbb{N}$, and a prime number p , we define

$$\begin{aligned} F_{u,p} : \mathcal{H} &\rightarrow N^\infty(\mathbb{Z}) \\ H(t, f) &\rightarrow \{N_0(f, p), N_1(f, p), \dots, N_u(f, p)\}. \end{aligned} \quad (8.2)$$

Thus the mappings $F_{u,p}$ can be seen as LFSRs, or stream ciphers, over \mathbb{Z} . If we replace each $N_u(f, p)$ by its binary representation, then the $F_{u,p}$ are LFSRs. For practical purposes it is necessary that $F_{u,p}$ can be computed efficiently, i.e., in polynomial time. With the above notation our second result is the following.

Theorem 8.1. *For every $H(t, f) \in \mathcal{H}$, the computation of $F_{u,p}(H(t, f))$ involves $O(u^2 d_f l_f)$ arithmetic operations, and the integers on which these operations are performed have binary length*

$$O(\max\{(l_f + u) \log p, \log(d_f l_f)\}).$$

The proof of this theorem will be given at the end of this section. This proof requires some preliminary results. We set $t = q^{-s}$, and

$$Z(s, f) = Z(t, f) = \sum_{m=0}^{\infty} c_m(f, p) t^m,$$

with $c_m(f, p) = \text{vol}(\{x \in \mathbb{Z}_p \mid v_p(f(x)) = m\})$.

Proposition 8.1. *Let $f(x) \in \mathbb{Z}[x] \setminus \mathbb{Z}$ be a polynomial in one variable and p a prime number. The following formula holds for $N_n(f, p)$:*

$$N_n(f, p) = \begin{cases} 1, & \text{if } n = 0; \\ p^n \left(1 - \sum_{j=1}^n c_{j-1}(f, p)\right), & \text{if } n \geq 1. \end{cases} \quad (8.3)$$

Proof. The result follows by comparing the coefficient of t^n of the series

$$\sum_{n=0}^{\infty} \frac{N_n(f, p)}{p^n} t^n \quad \text{and} \quad \sum_{n=0}^{\infty} d_n t^n,$$

in the following equality :

$$H(t, f) = \sum_{n=0}^{\infty} \frac{N_n(f, p)}{p^n} t^n = \frac{1 - t \left(\sum_{m=0}^{\infty} c_m(f, p) t^m \right)}{1 - t} = \sum_{n=0}^{\infty} d_n t^n.$$

■

We associate to each $u \in T(f, l_f)$, and $j \in \mathbb{N}$, a rational integer $a_j(u)$ defined as follows:

$$a_j(u) = \begin{cases} \frac{(p-1)}{p^{l(u)+1+y(u)}}, & \text{if } l(u) = 1 + l_f, W(u) \geq 2, j = W^*(B_u) + y(u), \\ & \text{for some } y(u) \in \mathbb{N}; \\ \frac{(p-Val(u))}{p^{l(u)+1}}, & \text{if } 0 \leq l(u) \leq l_f, W(u) \neq 1, j = W^*(B_u); \\ \frac{(p-1)}{p^{l(u)+1+y(u)}}, & \text{if } u \in \mathcal{M}_{T(f, l_f)}, j = W^*(B_u) + y(u), \\ & \text{for some } y(u) \in \mathbb{N}; \\ 0, & \text{if } W(u) = 1, \text{ and } u \notin \mathcal{M}_{T(f, l_f)}; \\ 0, & \text{in other cases.} \end{cases} \quad (8.4)$$

Proposition 8.2. *Let $f(x) \in \mathbb{Z}[x] \setminus \mathbb{Z}$ be a polynomial in one variable, with splitting field \mathbb{Q} , and p a prime number. The following formula holds:*

$$c_j(f, p) = \sum_{u \in T(f, l_f)} a_j(u), \quad j \geq 0. \quad (8.5)$$

Proof. As a consequence of lemma (5.1), we have the following identity:

$$Z(t, f) = \sum_{u \in T(f, l_f)} L_u(t), \quad (8.6)$$

with

$$L_u(t) = \begin{cases} \frac{(p-1)t^{W^*(B_u)}}{p^{l(u)+1}(1-p^{-1}t^{W(u)})}, & \text{if } l(u) = 1 + l_f, W(u) \geq 2; \\ \frac{(p-Val(u))t^{W^*(B_u)}}{p^{l(u)+1}}, & \text{if } 0 \leq l(u) \leq l_f, W(u) \neq 1; \\ \frac{(p-1)t^{W^*(B_u)}}{p^{l(u)+1}(1-p^{-1}t)}, & \text{if } u \in \mathcal{M}_{T(f, l_f)}; \\ 0, & \text{if } W(u) = 1, \text{ and } u \notin \mathcal{M}_{T(f, l_f)}. \end{cases} \quad (8.7)$$

The result follows by comparing the coefficient of t^j in the series $Z(t, f) = \sum_{m=0}^{\infty} c_m(f, p)t^m$, and $Z(t, f) = \sum_{u \in T(f, l_f)} L_u(t)$. ■

Proposition 8.3. *Let $f(x) \in \mathbb{Z}[x] \setminus \mathbb{Z}$ be a polynomial in one variable, with splitting field \mathbb{Q} , and p a prime number.*

- (1) *The computation of $N_n(f, p)$, $n \geq 1$, from the $c_{j-1}(f, p)$, $j = 1, \dots, n$, involves $O(n)$ arithmetic operations on integers with binary length $O(n \log p)$.*
- (2) *The computation of $c_j(f, p)$, $j \geq 0$, from $Z(t, f)$, involves $O(d_f l_f)$ arithmetic operations on integers with binary length*

$$O(\max\{(j + l_f) \log p, \log p, \log(d_f l_f)\}).$$

- (3) The computation of any $N_n(f, p)$, $n \geq 1$, from $Z(t, f)$, involves $O(nd_f l_f)$ arithmetic operations on integers with binary length

$$O(\max\{(n + l_f) \log p, \log(d_f l_f)\}).$$

Proof. (1) By (8.4) and (8.5), $c_j(f, p) = \frac{v_j}{p^{m_j}}$, $v_j, m_j \in \mathbb{N}$. In addition,

$$c_{j-1}(f, p) = p^{-j+1} N_{j-1}(f, p) - p^{-j} N_j(f, p).$$

Thus $p^n c_{j-1}(f, p) \in \mathbb{N}$, for $j = 1, \dots, n$, and $m_j \leq n$, for $j = 1, \dots, n$. From (8.3), it follows that

$$N_n(f, p) = p^n - \sum_{j=1}^n p^n c_{j-1}(f, p), \quad n \geq 1. \quad (8.8)$$

The above formula implies that the computation of $N_n(f, p)$, $n \geq 1$, from the $c_{j-1}(f, p)$, $j = 1, \dots, n$, involves $O(n)$ arithmetic operations on integers with binary length $O(n \log p)$.

(2) The computation of $a_j(u)$ from $L_u(t)$ (i.e. from $Z(t, f)$, cf. (8.6)) involves $O(1)$ arithmetic operations (cf. (8.4), (8.7)) on integers of binary length $O(\max\{\log p, \log(d_f l_f)\})$. Indeed, since the numbers $l(u)$, $W^*(B_u)$, $W(u)$, $u \in T(f, l_f)$ are involved in this computation, we know by proposition 4.1 that their binary length is bounded by $O(\max\{\log p, \log(d_f l_f)\})$.

The cost of computing $c_j(f, p)$ from $L_u(t)$, $u \in T(f, l_f)$ (i.e. from $Z(t, f)$) is bounded by the number of vertices of $T(f, l_f)$ multiplied by an upper bound for the cost of computing $a_j(u)$ from $L_u(t)$, for any j , and u (cf. (8.5)). Therefore, from the previous discussion the cost of computing $c_j(f, p)$ from $Z(t, f)$ is bounded by $O(d_f l_f)$ arithmetic operations. These arithmetic operations are performed on integers of binary length bounded by $O(\max\{(j + l_f) \log p, \log p, \log(d_f l_f)\})$. Indeed, the binary lengths of the numerator and the denominator of $a_j(u) + a_j(u')$, $u, u' \in T(f, l_f)$ are bounded by $(l_f + 1 + j) \log p$ (cf. (8.4)). Thus, the mentioned arithmetic operations for calculating $c_j(f, p)$ from $L_u(t)$ are performed on integers whose binary length is bounded by $O(\max\{(j + l_f) \log p, \log p, \log(d_f l_f)\})$.

(3) The third part follows the first and second parts by (8.8). ■

8.1. Proof of Theorem 8.1. The theorem follows from proposition 8.3 (3).

9. ACKNOWLEDGMENTS.

This work supported by COLCIENCIAS-Grant # 089-2000.

REFERENCES

- [1] Anshel, M., and Goldfeld, D., Zeta functions, one-way functions and pseudorandom number generators, *Duke Math. J.* **88** (1997), 371–390.
- [2] Bierstone, E., Milman, P., Canonical desingularization in characteristic zero by blowing up the maximum strata of a local invariant, *Invent. Math.* **128** (1997), 207–302.
- [3] Bodnár, Gábor; Schicho, Josef. A computer program for the resolution of singularities. Resolution of singularities (Oberglurgl, 1997), 231–238, *Progr. Math.*, 181, Birkhäuser, Basel, 2000.
- [4] Bodnár, G., Schicho, J., Automated resolution of singularities for hypersurfaces, *J. Symbolic Comput.* **30** (2000), 401–428.
- [5] Denef J., Report on Igusa's local zeta function, Séminaire Bourbaki 1990/1991 (730-744) in *Astérisque* 201–203 (1991), 359–386.
- [6] Denef J., On the degree of Igusa's local zeta functions, *Amer. Math. J.* **109** (1987), 991–1008.
- [7] Denef J., Hoornaert Kathleen, Newton polyhedra and Igusa local zeta function, *J. Number Theory* **89** (2001), 31–64.

- [8] Knuth, D., *The Art of Computer Programming*, 3 volumes, Addison-Wesley, 1999.
- [9] Garey, M. R., Johnson, D. S., *Computers and Intractability: A Guide to the Theory of NP-Completeness*, 1979, W. H. Freeman and Company, New York.
- [10] Goldman, Jay R., Numbers of solution of congruences: Poincaré series for strongly nondegenerate forms, *Proc. Amer. Math. Soc.*, **87** (1983), 586–590.
- [11] Goldman, Jay R., Numbers of solution of congruences: Poincaré series for algebraic curves, *Adv. in Math.* **62** (1986), 68–83.
- [12] Goldreich, O., Levin, L. A., and Nisan, N., On constructing 1-1 one-way functions, preprint available at <http://www.wisdom.weizmann.ac.il/~oded/cryptography.html>.
- [13] Goldreich, O., Krawczyk, H., Luby, M., On the existence of pseudorandom number generators, *SIAM J. on Computing*, **22** (1993), 1163–1175.
- [14] Igusa, Jun-Ichi, *An Introduction to the Theory of Local Zeta Functions*, AMS/IP Studies in Advanced Mathematics, v. 14, 2000.
- [15] Igusa, J., Complex powers and asymptotic expansions, I *J. Reine Angew. Math.* **268/269** (1974), 110–130; II, *ibid.*, 278/279 (1975), 357–368.
- [16] Igusa, J., A stationary phase formula for p -adic integrals and its applications, in *Algebraic Geometry and its Applications*, Springer-Verlag (1994), 175–194.
- [17] Lenstra, A.K., Lenstra, H.W., Lovász, L., Factoring polynomials with rational coefficients, *Math. Ann.* **261** (1982), 515–534.
- [18] Rueppel R., *Analysis and Design of Stream Ciphers*, Springer-Verlag, New York, 1986.
- [19] Saia, M.J., Zuniga-Galindo, W.A., Local zeta functions, Newton polygons and non degeneracy conditions, to appear in *Trans. Amer. Math. Soc.*
- [20] P. Shor, Algorithms for quantum computation, discrete logarithms, and factoring, in *Proceedings of 35th Annual Symposium on Foundations of Computer Science*, IEEE Computer Society Press, Los Alamitos, California, 1994, 124–134.
- [21] Villamayor, O., Constructiveness of Hironaka’s resolution, *Ann. Scient. Ecole Norm. Sup.* **4** (1989), 1–32.
- [22] von zur Gathen, J., Karpinski, M., Shparlinski, I., Counting curves and their projections, in *Proceedings ACM STOC 1993*, 805–812.
- [23] Daqing Wan, Algorithmic theory of zeta functions over finite fields, to appear in MSRI Computational Number Theory Proceedings.
- [24] Zuniga-Galindo W. A., Igusa’s local zeta functions of semiquasihomogeneous polynomials, *Trans. Amer. Math. Soc.* **353** (2001), 3193–3207.
- [25] Zuniga-Galindo W. A., Local zeta functions and Newton polyhedra, to appear in *Nagoya Math. J.*
- [26] Zuniga-Galindo W.A., Local zeta function for non-degenerate homogeneous mappings, preprint 2003.

2000 *Mathematics Subject Classification*: Primary 11S40, 94A60; Secondary 11Y16, 14G50.

Key words: Igusa’s local zeta function, polynomial time algorithms, one-way functions, linear feedback shift registers.

Received May 3, 2003; revised version received September 25, 2003. Published in *Journal of Integer Sequences*, October 20, 2003.

Return to [Journal of Integer Sequences home page](#).