## Nilpotent Lie algebras of Maximal Rank and of Kac-Moody Type $D_4^{(3)}$

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**Abstract.** Let  $\mathfrak g$  be the Kac-Moody algebra associated with the twisted affine Cartan matrix  $D_4^{(3)}$ . Each nilpotent Lie algebra of maximal rank and of type  $D_4^{(3)}$  is isomorphic to a quotient of the positive part of  $\mathfrak g$ . We determine the isomorphism classes of nilpotent Lie algebras of maximal rank and of type  $D_4^{(3)}$ . Mathematics Subject Classification: 17B30, 17B67.

Key Words and Phrases: Nilpotent, maximal rank, Kac-Moody algebras.

#### 1. Introduction

In [12] Santharoubane associated canonically a Kac-Moody algebra  $\mathfrak{g}(A)$  with each nilpotent Lie algebra  $\mathfrak{L}$  of maximal rank, where A is a generalized Cartan matrix.

There are 3 families of Kac-Moody algebras: finite, affine and indefinite, and the second family is divided in two subfamilies: non-twisted affine and twisted affine.

The study of nilpotent Lie algebras of maximal rank associated with the finite Kac-Moody algebras (i.e. the finite-dimensional simple Lie algebras) was already done (see [3], [5] and [4]).

At present, several authors are studying the nilpotent Lie algebras of maximal rank associated with non-twisted affine Kac-Moody algebras (see [1, 2], [6, 7], [10] and [11]).

In this paper we study the nilpotent Lie algebras associated with the twisted affine Kac-Moody algebra  $\mathfrak{g}(D_4^{(3)})$ . The main result we get is the following: there are exactly 88 infinite series (up to isomorphism) with discrete parameters and 1 infinite series with continuous parameter of nilpotent Lie algebras of maximal rank and of Kac-Moody type  $D_4^{(3)}$ .

I thank the referee for pointing out an error which caused me to miss in the original version of this paper the 5 infinite series in the last 2 lines of Theorem 4.1a below. This same mistake appears also in [6], [7], [1], [2] and [10]. The corrections to [6] and [7] will be given elsewhere.

### 2. The Classification Method for nilpotent Lie algebras of maximal rank

Let  $\mathfrak L$  be a finite-dimensional nilpotent Lie algebra,  $Der \mathfrak L$  its derivation algebra,  $Aut \mathfrak L$  its automorphism group. A torus on  $\mathfrak L$  is a commutative subalgebra of  $Der \mathfrak L$  whose elements are semi-simple. All maximal (for the inclusion) tori on  $\mathfrak L$  have the same dimension called the rank of  $\mathfrak L$ . The rank r of  $\mathfrak L$  is less than the dimension  $\ell$  of  $\mathfrak L/[\mathfrak L,\mathfrak L]$ ; one says that  $\mathfrak L$  is of  $maximal\ rank$  if  $r=\ell$ .

A matrix  $A = (a_{ij})_{i,j=1}^{\ell}$  with entries in  $\mathbb{Z}$  is called a generalized Cartan matrix if it satisfies the following conditions:

- 1.  $a_{ii} = 2$  for  $i = 1, \ldots, \ell$ ;
- 2.  $a_{ij} \leq 0$  for  $i \neq j$ ;
- $3. \ a_{ij} = 0 \Longleftrightarrow a_{ji} = 0.$

If  $\mathfrak{L}$  is of maximal rank  $\ell$ , then we can associate a generalized Cartan matrix  $A = (a_{ij})_{1 \leq i,j \leq \ell}$  with  $\mathfrak{L}$  (see 3.2. of [11]), we say that  $\mathfrak{L}$  is of Kac-Moody  $type\ A$ .

Let  $A=(a_{ij})_{1\leq i,j\leq \ell}$  be a generalized Cartan matrix,  $\mathfrak{g}(A)$  be the Kac-Moody algebra associated with A,  $\mathfrak{n}_+$  be the positive part of  $\mathfrak{g}(A)$ ,  $\Delta_+$  the positive root system,  $\mathfrak{g}_{\alpha}$  be the root subspace associated with  $\alpha\in\Delta_+$ ,  $G=\mathfrak{S}_{\ell}(A)$  be the automorphism group of the Dynkin diagram of A and  $\alpha_1,\ldots,\alpha_{\ell}$  the simple roots. Let  $\mathfrak{n}_{++}$  be the ideal of  $\mathfrak{n}_+$  defined by

$$\mathfrak{n}_+ = \Bigl(igoplus_{\substack{1 \leq i 
eq j \leq \ell \ 0 < k < -a_{ii}}} \mathfrak{g}_{lpha_i + klpha_j}\Bigr) \oplus \mathfrak{n}_{++}.$$

Let  $\mathcal{I}(\mathfrak{n}_{++})$  be the set of ideals of  $\mathfrak{n}_{+}$  included in  $\mathfrak{n}_{++}$  and stable under the action of the Cartan subalgebra  $\mathfrak{h}$  of  $\mathfrak{g}(A)$ . The group G acts on  $\mathfrak{n}_{+}$  as an automorphism group by  $\sigma e_i = e_{\sigma i}$   $(i = 0, ..., \ell)$  where  $e_0, \cdots, e_{\ell}$  are the Chevalley generators of  $\mathfrak{n}_{+}$ . The group G acts on  $\mathcal{I}(\mathfrak{n}_{++})$ .

According to previous definitions and 6.3 of [11], the mapping

$$G.\mathfrak{a} \mapsto \mathfrak{n}_+/\mathfrak{a}$$

is a bijection from the set of G-orbits of  $\mathcal{I}(\mathfrak{n}_{++})$  onto a set of representatives of the isomorphism classes of nilpotent Lie algebras of maximal rank and of Kac-Moody type A.

By the above result, our main problem of finding all nilpotent Lie algebras of maximal rank and of Kac-Moody type A is equivalent to the concrete problem of finding some ideals of the positive part of the Kac-Moody algebra  $\mathfrak{g}(A)$ , up to the action of the automorphism group G.

### 3. The Kac-Moody algebra associated with $D_4^{(3)}$

We consider the generalized Cartan matrix  $D_4^{(3)}$  (see table Aff3, p.55 of [9]). Let  $\mathfrak{g}' = \mathfrak{g}(D_4)$ , where  $D_4$  is a finite Cartan matrix and its Dynkin diagram is:

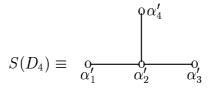


Figure 1: Dynkin diagram of  $D_4$ 

Let  $\overline{\mu}$  be an automorphism of the Dynkin diagram of  $D_4$  of order 3. Since there are two such automorphism which are equivalent, we choose one of them:

$$\overline{\mu}(\alpha_1') = \alpha_3', \quad \overline{\mu}(\alpha_2') = \alpha_2', \quad \overline{\mu}(\alpha_3') = \alpha_4', \quad \overline{\mu}(\alpha_4') = \alpha_1'.$$

Let  $\mu$  be the corresponding automorphism of  $\mathfrak{g}'$ . Set  $\epsilon = exp^{\frac{2\pi i}{3}}$ . Then each eigenvalue of  $\mu$  has the form  $\epsilon^j$ ,  $j \in \mathbb{Z}/3\mathbb{Z}$ , and since  $\mu$  is diagonalizable, we have the decomposition

$$\mathfrak{g}' = \bigoplus_{j \in \mathbb{Z}/3\mathbb{Z}} \mathfrak{g}'_j, \tag{1}$$

where  $\mathfrak{g}_j'$  is the eigenspace of  $\mu$  for the eigenvalue  $\epsilon^j$ .

Fix a non-degenerate invariant symmetric bilinear  $\mathbb{C}$ -valued form  $(\cdot|\cdot)$  on  $\mathfrak{g}'$ . Let  $L=\mathbb{C}[t,t^{-1}]$  be the algebra of Laurent polynomials in t. We consider the following Lie algebra:

$$L(\mathfrak{g}',\mu) = \bigoplus_{j \in \mathbb{Z}} L(\mathfrak{g}',\mu)_j,$$

where  $L(\mathfrak{g}',\mu)_j = t^j \otimes \mathfrak{g}'_{j \mod 3}$ .

The Kac-Moody algebra associated with the affine matrix  $D_4^{(3)}$  is a *twisted* affine algebra and is defined by (see Chap. 8 of [9]):

$$\widehat{L}(\mathfrak{g}',\mu) = L(\mathfrak{g}',\mu) \oplus \mathbb{C}c' \oplus \mathbb{C}d'$$

with the bracket defined as follows:

$$[t^{k} \otimes x \oplus \lambda c' \oplus \mu d', t^{k_{1}} \otimes y \oplus \lambda_{1}c' \oplus \mu_{1}d'] = (t^{k+k_{1}} \otimes [x, y] + \mu k_{1}t^{k_{1}} \otimes y - \mu_{1}kt^{k} \otimes x) \oplus k\delta_{k, -k_{1}}(x|y)c'$$
 where  $x, y \in \mathfrak{g}'; \lambda, \mu, \lambda_{1}, \mu_{1} \in \mathbb{C}$ .

Let  $\mathfrak{h}'$  be the Cartan subalgebra of  $\mathfrak{g}'$ ,  $\Delta'$  the root system,  $\{\alpha'_1, \alpha'_2, \alpha'_3, \alpha'_4\}$  the root basis,  $\{\alpha'_1^{\vee}, \alpha'_2^{\vee}, \alpha'_3^{\vee}, \alpha'_4^{\vee}\}$  the coroot basis,  $E'_1, E'_2, E'_3, E'_4, F'_1, F'_2, F'_3, F'_4$  the Chevalley generators. Let  $\mathfrak{g}' = \bigoplus_{\alpha' \in \Delta'} \mathfrak{g}'_{\alpha'}$  be the root subspace decomposition of  $\mathfrak{g}'$ . We have  $\dim \mathfrak{h}' = 4$ , the coroot basis is a basis of  $\mathfrak{h}'$ , and the root subspaces are unidimensional,  $\mathfrak{g}'_{\alpha'} = \mathbb{C}E'_{\alpha'}$ . The positive root system is:

$$\Delta'_{+} = \{ \alpha'_{1}, \alpha'_{2}, \alpha'_{3}, \alpha'_{4}, \alpha'_{1} + \alpha'_{2}, \alpha'_{2} + \alpha'_{3}, \alpha'_{2} + \alpha'_{4}, \alpha'_{1} + \alpha'_{2} + \alpha'_{3}, \alpha'_{1} + \alpha'_{2} + \alpha'_{4}, \alpha'_{1} + \alpha'_{2} + \alpha'_{3} + \alpha'_{4}, \alpha'_{1} + \alpha'_{2} + \alpha'_{3} + \alpha'_{4}, \alpha'_{1} + 2\alpha'_{2} + \alpha'_{3} + \alpha'_{4} \}.$$

We introduce the following elements of  $\mathfrak{g}'$ :

$$\begin{split} &\theta_0 = \alpha_1' + \alpha_2' + \alpha_3', \\ &H_0 = -2(\alpha_1'^\vee + \alpha_3'^\vee + \alpha_4'^\vee) - 3\alpha_2'^\vee, \quad H_1 = \alpha_2'^\vee, \quad H_2 = \alpha_1'^\vee + \alpha_3'^\vee + \alpha_4'^\vee, \\ &E_0 = E_{-\theta_0}' + \epsilon^2 E_{-\overline{\mu}(\theta_0)}' + \epsilon E_{-\overline{\mu}^2(\theta_0)}', \quad E_1 = E_2', \quad E_2 = E_1' + E_3' + E_4', \\ &F_0 = -E_{\theta_0}' - \epsilon E_{\overline{\mu}(\theta_0)}' - \epsilon^2 E_{\overline{\mu}^2(\theta_0)}', \quad F_1 = F_2', \quad F_2 = F_1' + F_3' + F_4'. \end{split}$$

The  $\mathbb{Z}/3\mathbb{Z}$ -gradation of  $\mathfrak{g}'$  described in (1) is  $\mathfrak{g}' = \mathfrak{g}'_{\overline{0}} \oplus \mathfrak{g}'_{\overline{1}} \oplus \mathfrak{g}'_{\overline{2}}, \ \mathfrak{h}'_{\overline{s}} = \mathfrak{h}' \cap \mathfrak{g}_{\overline{s}}$  and  $\mathfrak{g}'_{\overline{s}} = \mathfrak{h}'_{\overline{s}} \oplus \left(\bigoplus_{\alpha \in \Delta_{\overline{s}}} \mathfrak{g}'_{\overline{s},\alpha}\right)$  for s = 0, 1, 2, where  $\Delta_{\overline{s}} = \Delta_{\overline{s},+} \cup \Delta_{\overline{s},-}, \ \Delta_{\overline{s},-} = \{-\alpha / \alpha \in \Delta_{\overline{s},+}\}$  and

$$\begin{array}{rcl} \Delta_{\overline{0},+} &=& \{\alpha_1,\alpha_2,\alpha_1+\alpha_2,\alpha_1+2\alpha_2,\alpha_1+3\alpha_2,2\alpha_1+3\alpha_2\} \\ \Delta_{\overline{1},+} &=& \{\alpha_2,\alpha_1+\alpha_2,\alpha_1+2\alpha_2\} = \Delta_{\overline{2},+} \end{array}$$

with  $\alpha_1 = \alpha_2'$  and  $\alpha_2 = \frac{1}{3}(\alpha_1' + \alpha_3' + \alpha_4')$ .

Set  $\mathfrak{h} = \mathfrak{h}'_{\overline{0}} \oplus \mathbb{C}c' \oplus \mathbb{C}d'$  and define  $\delta \in \mathfrak{h}^*$  by  $\delta|_{\mathfrak{h}'_{\overline{0}} \oplus \mathbb{C}c'} = 0$ ,  $\delta(d') = 1$ . Set  $e_0 = t \otimes E_0$ ,  $f_0 = t^{-1} \otimes F_0$ ,  $e_i = 1 \otimes E_i$ ,  $f_i = 1 \otimes F_i$ , (i = 1, 2). Then we have:

$$[e_i, f_i] = 1 \otimes H_i \ (i = 1, 2); \ [e_0, f_0] = 3c' + 1 \otimes H_0.$$

We describe the root system and the root space decomposition of  $\widehat{L}(\mathfrak{g}',\mu)$  with respect to  $\mathfrak{h}$ :

$$\Delta = \{ j\delta + \gamma; j \in \mathbb{Z}, \gamma \in \Delta_{\overline{s}}, j \equiv s \mod 3, s = 0, 1, 2 \} \cup \{ j\delta; j \in \mathbb{Z} - \{0\} \};$$

$$\widehat{L}(\mathfrak{g}',\mu) = \mathfrak{h} \oplus \left(\bigoplus_{\alpha \in \Delta} L(\mathfrak{g}',\mu)_{\alpha}\right),$$

where

$$L(\mathfrak{g}',\mu)_{j\delta+\gamma}=t^j\otimes\mathfrak{g}'_{\overline{s},\gamma},\ L(\mathfrak{g}',\mu)_{j\delta}=t^j\otimes\mathfrak{h}'_{\overline{s}}.$$

We set

$$\Pi = \{\alpha_0 = \delta - \theta_0, \alpha_1, \alpha_2\}$$

and

$$\Pi^{\vee} = \{ \alpha_0^{\vee} = 3c' + 1 \otimes H_0, \alpha_i^{\vee} = 1 \otimes H_i \ (i = 1, 2) \}.$$

 $\mathfrak{h}$  is the Cartan subalgebra,  $e_0, e_1, e_2, f_0, f_1, f_2$  are the Chevalley generators and  $\Pi$  and  $\Pi^{\vee}$  are, respectively, the root basis and the coroot basis of  $\widehat{L}(\mathfrak{g}', \mu)$ , which we denote by  $\mathfrak{g}$  from now on.

The positive part  $\mathfrak{n}_+$  of  $\mathfrak{g}$  is

$$\mathfrak{n}_{+} = \bigoplus_{\alpha \in \Delta_{+}} \widehat{L}(\mathfrak{g}', \mu)_{\alpha} = \bigoplus_{\alpha \in \Delta_{+}} \mathfrak{g}_{\alpha}$$

and the positive root system of  $\mathfrak{g}$  is

$$\Delta_+ = \Delta_{\overline{0},+} \cup \{ j\delta + \gamma \, ; \, j \ge 1, \, \gamma \in \Delta_{\overline{s}} \cup \{0\}, \, j \equiv s \, \operatorname{mod} \, 3 \}.$$

If we set

$$\Delta^0 = \Delta_{\overline{0},+} \cup \{\delta + \gamma \, ; \, \gamma \in \Delta_{\overline{1}}\} \cup \{2\delta + \gamma \, ; \, \gamma \in \Delta_{\overline{2}}\} \cup \{3\delta + \gamma \, ; \, \gamma \in \Delta_{\overline{0},+}\} \cup \{\delta,2\delta,3\delta\}$$

we have 
$$\Delta_{+} = \bigsqcup_{j \geq 0} \Delta^{j}$$
, where  $\Delta^{j} = \{3j\delta + \gamma; \gamma \in \Delta^{0}\}$  if  $j \geq 1$ .  
Since  $\delta = \alpha_{0} + \alpha_{1} + 2\alpha_{2}$  we have  $\Delta^{0} = \{\alpha_{0}, \alpha_{1}, \dots, \alpha_{26}\}$ , with  $\alpha_{3} = \alpha_{0} + \alpha_{2}$ ,  $\alpha_{4} = \alpha_{1} + \alpha_{2}$ ,  $\alpha_{5} = \alpha_{0} + \alpha_{1} + \alpha_{2}$ ,  $\alpha_{6} = \alpha_{1} + 2\alpha_{2}$ ,  $\alpha_{7} = \delta = \alpha_{0} + \alpha_{1} + 2\alpha_{2}$ ,  $\alpha_{8} = \alpha_{1} + 3\alpha_{2}$ ,  $\alpha_{9} = 2\alpha_{0} + \alpha_{1} + 2\alpha_{2}$ ,  $\alpha_{10} = \alpha_{0} + \alpha_{1} + 3\alpha_{2}$ ,  $\alpha_{11} = 2\alpha_{1} + 3\alpha_{2}$ ,  $\alpha_{12} = 2\alpha_{0} + \alpha_{1} + 3\alpha_{2}$ ,  $\alpha_{13} = \alpha_{0} + 2\alpha_{1} + 3\alpha_{2}$ ,  $\alpha_{14} = 3\alpha_{0} + \alpha_{1} + 3\alpha_{2}$ ,  $\alpha_{15} = 2\alpha_{0} + 2\alpha_{1} + 3\alpha_{2}$ ,  $\alpha_{16} = \alpha_{0} + 2\alpha_{1} + 4\alpha_{2}$ ,  $\alpha_{17} = 3\alpha_{0} + 2\alpha_{1} + 3\alpha_{2}$ ,  $\alpha_{18} = 2\delta = 2\alpha_{0} + 2\alpha_{1} + 4\alpha_{2}$ ,  $\alpha_{19} = 3\alpha_{0} + 2\alpha_{1} + 4\alpha_{2}$ ,  $\alpha_{20} = 2\alpha_{0} + 2\alpha_{1} + 5\alpha_{2}$ ,  $\alpha_{21} = 3\alpha_{0} + 2\alpha_{1} + 5\alpha_{2}$ ,  $\alpha_{22} = 2\alpha_{0} + 3\alpha_{1} + 5\alpha_{2}$ ,  $\alpha_{23} = 3\alpha_{0} + 3\alpha_{1} + 5\alpha_{2}$ ,  $\alpha_{24} = 3\alpha_{0} + 2\alpha_{1} + 6\alpha_{2}$ ,  $\alpha_{25} = 2\alpha_{0} + 3\alpha_{1} + 6\alpha_{2}$ ,  $\alpha_{26} = 3\delta = 3\alpha_{0} + 3\alpha_{1} + 6\alpha_{2}$ .

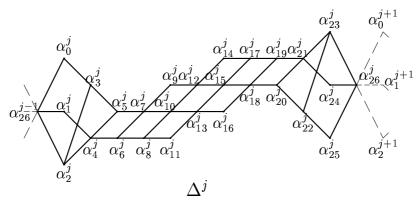


Figure 2: Positive roots of  $D_4^{(3)}$ 

where  $\alpha_i^j = 3j\delta + \alpha_i$ , with  $j \ge 1$  and  $\alpha_i \in \Delta^0$ . We order these roots by  $\alpha_i^j < \alpha_k^l$  iff j < l or j = l and i < k.

# 4. The nilpotent Lie algebras of maximal rank and of Kac-Moody type $D_4^{(3)}$

We have to obtain all G-orbits of ideals of the positive part of the Kac-Moody algebra  $\mathfrak{g}(D_4^{(3)})$  included in  $\mathfrak{n}_{++}$ .

The Dynkin diagram of  $D_4^{(3)}$  is:

$$S(D_4^{(3)}) \equiv \begin{array}{c} 0 & 0 \\ \alpha_0 & \alpha_2 \end{array}$$

Figure 3: Dynkin diagram of  $D_4^{(3)}$ 

Then the automorphism group G of the Dynkin diagram is  $\{id\}$  and each G-orbit has an unique ideal. Therefore we can identify the sets  $\mathcal{I}(\mathfrak{n}_{++})$  and  $\mathcal{I}(\mathfrak{n}_{++})/G$ .

Let  $\Delta_{++}$  be defined by :

$$\Delta_{+} = \{\alpha_i + k\alpha_j; 0 \le i \ne j \le \ell, 0 \le k \le -a_{ii}\} \cup \Delta_{++}$$

Since

$$D_4^{(3)} = \begin{pmatrix} 2 & 0 & -1 \\ 0 & 2 & -1 \\ -1 & -3 & 2 \end{pmatrix}$$

we have:

$$\Delta_{++} = \widetilde{\Delta}^0 \sqcup \Delta^1 \sqcup \Delta^2 \sqcup \cdots$$

with  $\widetilde{\Delta}^0 = \{\alpha_5, \alpha_7, \alpha_9, \alpha_{10}, \dots, \alpha_{26}\}.$ 

If  $I \subseteq \Delta_{++}$  then one can write:

$$I = \bigsqcup_{j \in \mathbb{N}} I^j$$

with  $I^0 = I \cap \widetilde{\Delta}^0$  and  $I^j = I \cap \Delta^j$  if  $j \geq 1$ . We say that  $I^j$  is an ideal of  $\Delta^j$  iff

$$(\alpha \in I^j, \alpha + \alpha_i \in \Delta^j) \Longrightarrow \alpha + \alpha_i \in I^j \quad \forall i = 0, 1, 2$$

We set  $\mathcal{I}(\Delta_{++}) = \{ I \subseteq \Delta_{++} ; I^j \text{ is an ideal of } \Delta^j, \forall j \in \mathbb{N} \}.$ 

If  $\mathfrak{a} \in \mathcal{I}(\mathfrak{n}_{++})$  then one can write:

$$\mathfrak{a} = igoplus_{lpha \in \Delta_{\mathfrak{a}}} \mathfrak{a} \cap \mathfrak{g}_{lpha}$$

where  $\Delta_{\mathfrak{a}} = \{ \alpha \in \Delta_{++}; \mathfrak{a} \cap \mathfrak{g}_{\alpha} \neq (0) \}$ . Then, as any root in  $\Delta^{j} \setminus \{\alpha_{26}^{j}\}$  has multiplicity one,  $\Delta_{\mathfrak{a}} \in \mathcal{I}(\Delta_{++})$ .

We can define the map  $\mathcal{I}(\mathfrak{n}_{++}) \stackrel{\varphi}{\to} \mathcal{I}(\Delta_{++})$  by setting  $\varphi(\mathfrak{a}) = \Delta_{\mathfrak{a}}$ . But this is not onto. There exists  $j_{\mathfrak{a}} \in \mathbb{N}$  such that  $3j_{\mathfrak{a}}\delta \notin \varphi(\mathfrak{a})$  and  $3(j_{\mathfrak{a}}+1)\delta \in \varphi(\mathfrak{a})$ . Then

$$\varphi(\mathfrak{a}) = \bigsqcup_{j \in \mathbb{N}} \varphi(\mathfrak{a})^j$$

with  $\varphi(\mathfrak{a})^{j_{\mathfrak{a}}}$  any ideal of  $\Delta^{j_{\mathfrak{a}}}$ ,  $\varphi(\mathfrak{a})^{j_{\mathfrak{a}}+1}$  an ideal of  $\Delta^{j_{\mathfrak{a}}+1}$  that depends on  $\varphi(\mathfrak{a})^{j_{\mathfrak{a}}}$  and  $\varphi(\mathfrak{a})^{j} = \Delta^{j}$  if  $j > j_{\mathfrak{a}}+1$  (this results from the calculation in 4.2 of the different possibilities for  $\varphi(\mathfrak{a})^{j_{\mathfrak{a}}+1}$  associated to a  $\varphi(\mathfrak{a})^{j_{\mathfrak{a}}}$ ).

The map  $\varphi$  is not injective either. Since  $\dim \mathfrak{g}_{\alpha} = 1$  if  $\alpha \neq 3j\delta$ , we have  $\mathfrak{g}_{\alpha} = \mathfrak{g}_{\alpha}$  if  $\alpha \in \varphi(\mathfrak{g})$  and  $\alpha \neq 3j\delta$ . But if  $\alpha \in \varphi(\mathfrak{g})$  and  $\alpha = 3j\delta$ , it may be  $\mathfrak{g}_{\alpha} \neq \mathfrak{g}_{\alpha}$  since  $\dim \mathfrak{g}_{3j\delta} = 2$ .

The first step for obtaining the nilpotent Lie algebras of maximal rank and of Kac-Moody type  $D_4^{(3)}$  is to determine Im  $\varphi$ . The next step consists of determining  $\varphi^{-1}(I)$  for each  $I \in \text{Im } \varphi \subset \mathcal{I}(\Delta_{++})$ .

#### 4.1. The image of $\varphi$ .

If  $I \in \text{Im } \varphi$  there exists  $j_I \in \mathbb{N}$  such that  $3j_I\delta \notin I$  and  $3(j_I+1)\delta \in I$ . We have

$$I = I^{j_I} \sqcup I^{j_I+1} \sqcup \Delta^{j_I+2} \sqcup \Delta^{j_I+3} \sqcup \Delta^{j_I+4} \sqcup \cdots$$

where  $I^{j_I}$  and  $I^{j_I+1}$  are ideals of  $\Delta^{j_I}$  and  $\Delta^{j_I+1}$ , respectively. Then we have to determine all ideals of  $\Delta^j$ ,  $\forall j \in \mathbb{N}$ .

The map  $J \mapsto J + 3(j-1)\delta$ ,  $j \ge 1$ , is a bijection between the sets of ideals of  $\Delta^1$  and  $\Delta^j$ . Therefore it is sufficient to determine all ideals of  $\widetilde{\Delta}^0$  and all ideals of  $\Delta^j$  for  $j \ne 0$ .

There are 80 series of ideals, we have 56 ideals of  $\widetilde{\Delta}^0$  and 80 ideals of  $\Delta^j$ ,  $\forall j \geq 1$ . For  $j \geq 1$  the ideals are: (we denote by  $\langle \gamma_1, \gamma_2, \ldots \rangle$  the ideal generated by  $\gamma_1 + 3j\delta, \gamma_2 + 3j\delta, \ldots$ )

$$\begin{split} I_{1}^{j} &= \langle \alpha_{0} \rangle, \quad I_{2}^{j} &= \langle \alpha_{1} \rangle, \quad I_{3}^{j} &= \langle \alpha_{2} \rangle, \quad I_{4}^{j} &= \langle \alpha_{3} \rangle, \quad I_{5}^{j} &= \langle \alpha_{4} \rangle, \\ I_{6}^{j} &= \langle \alpha_{5} \rangle, \quad I_{7}^{j} &= \langle \alpha_{6} \rangle, \quad I_{8}^{j} &= \langle \alpha_{7} \rangle, \quad I_{9}^{j} &= \langle \alpha_{8} \rangle, \quad I_{10}^{j} &= \langle \alpha_{9} \rangle, \\ I_{11}^{j} &= \langle \alpha_{10} \rangle, \quad I_{12}^{j} &= \langle \alpha_{11} \rangle, \quad I_{13}^{j} &= \langle \alpha_{12} \rangle, \quad I_{14}^{j} &= \langle \alpha_{13} \rangle, \quad I_{15}^{j} &= \langle \alpha_{14} \rangle, \\ I_{16}^{j} &= \langle \alpha_{15} \rangle, \quad I_{17}^{j} &= \langle \alpha_{16} \rangle, \quad I_{18}^{j} &= \langle \alpha_{17} \rangle, \quad I_{19}^{j} &= \langle \alpha_{18} \rangle, \quad I_{20}^{j} &= \langle \alpha_{19} \rangle, \\ I_{21}^{j} &= \langle \alpha_{20} \rangle, \quad I_{22}^{j} &= \langle \alpha_{21} \rangle, \quad I_{23}^{j} &= \langle \alpha_{22} \rangle, \quad I_{24}^{j} &= \langle \alpha_{23} \rangle, \quad I_{25}^{j} &= \langle \alpha_{24} \rangle, \\ I_{26}^{j} &= \langle \alpha_{20} \rangle, \quad I_{27}^{j} &= \langle \alpha_{26} \rangle, \\ I_{28}^{j} &= \langle \alpha_{0}, \alpha_{1} \rangle, \quad I_{29}^{j} &= \langle \alpha_{0}, \alpha_{2} \rangle, \quad I_{30}^{j} &= \langle \alpha_{0}, \alpha_{4} \rangle, \quad I_{31}^{j} &= \langle \alpha_{0}, \alpha_{6} \rangle, \\ I_{31}^{j} &= \langle \alpha_{0}, \alpha_{11} \rangle, \quad I_{34}^{j} &= \langle \alpha_{11}, \alpha_{22} \rangle, \quad I_{35}^{j} &= \langle \alpha_{11}, \alpha_{33} \rangle, \\ I_{36}^{j} &= \langle \alpha_{3}, \alpha_{4} \rangle, \quad I_{37}^{j} &= \langle \alpha_{3}, \alpha_{6} \rangle, \quad I_{38}^{j} &= \langle \alpha_{3}, \alpha_{8} \rangle, \quad I_{39}^{j} &= \langle \alpha_{3}, \alpha_{11} \rangle, \\ I_{40}^{j} &= \langle \alpha_{5}, \alpha_{6} \rangle, \quad I_{41}^{j} &= \langle \alpha_{5}, \alpha_{8} \rangle, \quad I_{42}^{j} &= \langle \alpha_{5}, \alpha_{11} \rangle, \quad I_{43}^{j} &= \langle \alpha_{7}, \alpha_{8} \rangle, \\ I_{44}^{j} &= \langle \alpha_{7}, \alpha_{11} \rangle, \quad I_{45}^{j} &= \langle \alpha_{8}, \alpha_{9} \rangle, \quad I_{46}^{j} &= \langle \alpha_{9}, \alpha_{10} \rangle, \quad I_{47}^{j} &= \langle \alpha_{11}, \alpha_{12} \rangle, \\ I_{48}^{j} &= \langle \alpha_{11}, \alpha_{14} \rangle, \quad I_{57}^{j} &= \langle \alpha_{12}, \alpha_{13} \rangle, \quad I_{58}^{j} &= \langle \alpha_{12}, \alpha_{16} \rangle, \quad I_{51}^{j} &= \langle \alpha_{11}, \alpha_{14} \rangle, \\ I_{56}^{j} &= \langle \alpha_{14}, \alpha_{22} \rangle, \quad I_{61}^{j} &= \langle \alpha_{14}, \alpha_{26} \rangle, \quad I_{62}^{j} &= \langle \alpha_{15}, \alpha_{16} \rangle, \quad I_{63}^{j} &= \langle \alpha_{14}, \alpha_{20} \rangle, \\ I_{69}^{j} &= \langle \alpha_{14}, \alpha_{22} \rangle, \quad I_{61}^{j} &= \langle \alpha_{14}, \alpha_{20} \rangle, \quad I_{66}^{j} &= \langle \alpha_{17}, \alpha_{22} \rangle, \quad I_{67}^{j} &= \langle \alpha_{17}, \alpha_{22} \rangle, \\ I_{68}^{j} &= \langle \alpha_{11}, \alpha_{22} \rangle, \quad I_{69}^{j} &= \langle \alpha_{19}, \alpha_{22} \rangle, \quad I_{70}^{j} &= \langle \alpha_{19}, \alpha_{25} \rangle, \quad I_{71}^{j} &= \langle \alpha_{21}, \alpha_{22} \rangle, \\ I_{77}^{j} &= \langle \alpha_{21}, \alpha_{22} \rangle, \quad I_{78}^{j} &= \langle \alpha_{9}, \alpha_{10}, \alpha_{11$$

Moreover, for j = 0 we have the ideals  $I_i^0$  with:  $i = 6, 8, 10, \ldots, 27, 42, 44, 46, \ldots, 76, 78, 79, 80.$ 

We haven't determined the image of  $\varphi$  yet. If  $I \in \text{Im } \varphi$ , although  $I^{j_I}$  is any ideal of  $\Delta^{j_I}$ ,  $I^{j_I+1}$  is an ideal of  $\Delta^{j_I+1}$  that depends on  $I^{j_I}$  and  $\mathfrak{a}_{3(j_I+1)\delta}$ . So, in order to obtain the ideals of  $\mathfrak{n}_+$  included in  $\mathfrak{n}_{++}$ , we will realize a case-by-case study.

#### 4.2. The ideals in $\mathfrak{n}_+$ .

Let  $I \in \text{Im } \varphi$ . Then there exists i such that  $I^j = I^j_i$  and  $3j\delta \notin I$ , but  $3(j+1)\delta \in I$ . We define:

$$I'_{p} = \{ \alpha \in I^{j+p} ; \mid \alpha \mid \leq \mid 3(j+p+1)\delta \mid -2 \}$$

$$I''_{p} = \{ \alpha \in I^{j+p} ; \mid \alpha \mid = \mid 3(j+p+1)\delta \mid -1 \}$$

with p = 0, 1. Then we have the partition:

$$I = \underbrace{I_0' \sqcup I_0'' \sqcup \{3(j+1)\delta\}}_{I^j} \sqcup \underbrace{I_1' \sqcup I_1'' \sqcup \{3(j+2)\delta\}}_{I^{j+1}} \sqcup \left(\bigsqcup_{k>j+1} \Delta^k\right)$$

If  $\mathfrak{a} \in \varphi^{-1}(I)$ , then we have  $\mathfrak{a} = \bigoplus_{\alpha \in I} \mathfrak{a}_{\alpha}$  with  $\mathfrak{a}_{\alpha} = \mathfrak{a} \cap \mathfrak{g}_{\alpha} \neq (0)$ . The partition of I gives us a direct sum decomposition for  $\mathfrak{a}$ :

$$\mathfrak{a} = \left(\bigoplus_{\alpha \in I_0'} \mathfrak{a}_{\alpha}\right) \oplus \left(\bigoplus_{\alpha \in I_0''} \mathfrak{a}_{\alpha}\right) \oplus \mathfrak{a}_{3(j+1)\delta} \oplus \left(\bigoplus_{\alpha \in I_1'} \mathfrak{a}_{\alpha}\right) \oplus \left(\bigoplus_{\alpha \in I_1''} \mathfrak{a}_{\alpha}\right) \oplus \mathfrak{a}_{3(j+2)\delta} \oplus \left(\bigoplus_{\alpha > 3(j+2)\delta} \mathfrak{a}_{\alpha}\right)$$

Since  $\dim \mathfrak{g}_{\alpha} = 1$  if  $\alpha \neq 3k\delta$ , we have  $\mathfrak{a}_{\alpha} = \mathfrak{g}_{\alpha}$  if  $\alpha \in I$  and  $\alpha \neq 3k\delta$ ; as we shall see below,  $I_1''$  has cardinal 3, hence  $\mathfrak{a}_{3k\delta-\alpha_m} = \mathfrak{g}_{3k\delta-\alpha_m}$  for m = 0, 1, 2 and  $k \geq j + 2$ , we have  $\mathfrak{a}_{3k\delta} = \mathfrak{g}_{3k\delta}$  for  $k \geq j + 2$ ; it follows that:

$$\mathfrak{a} = \left(igoplus_{lpha \in I_0'} \mathfrak{g}_lpha
ight) \oplus \left(igoplus_{lpha \in I_0''} \mathfrak{g}_lpha
ight) \oplus \mathfrak{a}_{3(j+1)\delta} \oplus \left(igoplus_{lpha \in I^{j+1}} \mathfrak{g}_lpha
ight) \oplus \mathfrak{b}$$

where

$$\mathfrak{b} = igoplus_{lpha > 3(j+2)\delta} \mathfrak{g}_lpha.$$

Therefore we have to determine  $\mathfrak{a}_{3(j+1)\delta} \subseteq \mathfrak{g}_{3(j+1)\delta}$ , that depends on  $I_0''$ , and  $I^{j+1}$ , that depends on  $\mathfrak{a}_{3(j+1)\delta}$ . We have

$$\mathfrak{g}_{3(j+1)\delta} = t^{3(j+1)} \otimes \mathfrak{h}_{\overline{0}}' = \mathbb{C} t^{3(j+1)} \otimes H_1 \oplus \mathbb{C} t^{3(j+1)} \otimes H_2.$$

We denote  $[\lambda_1, \lambda_2] = \mathbb{C}(\lambda_1 t^{3(j+1)} \otimes H_1 + \lambda_2 t^{3(j+1)} \otimes H_2)$  for  $(\lambda_1, \lambda_2) \in \mathbb{C}^2$ . Let  $n = \#I_0''$ . Since

$$I_0'' \subseteq \{3(j+1)\delta - \alpha_m; m = 0, 1, 2\} = \{3j\delta + \alpha_m; m = 23, 24, 25\},\$$

we have  $0 \le n \le 3$ . Then we have to consider 3 cases:

- Case 1: n = 0. Then  $I_0'' = \emptyset$  and  $\mathfrak{a} = \mathfrak{a}_{3(j+1)\delta} \oplus (\bigoplus_{\alpha \in I^{j+1}} \mathfrak{g}_{\alpha}) \oplus \mathfrak{b}$ . There is only one ideal of  $\Delta^j$  in this case:  $I^j = I_{27}^j = \langle 3(j+1)\delta \rangle = \{3(j+1)\delta\}$  with  $j \geq 0$ . There are 2 possibilities:
  - (1.a) dim  $\mathfrak{a}_{3(j+1)\delta} = 1$ . Then  $\mathfrak{a}_{3(j+1)\delta} = [\lambda_1, \lambda_2]$  for  $(\lambda_1, \lambda_2) \in \mathbb{P}^1$ . In order to obtain  $I^{j+1}$  we will considerate some subcases:
    - (1.a.1) If  $(\lambda_1, \lambda_2) = (1, 0)$ , i.e.  $\mathfrak{a}_{3(j+1)\delta} = \mathbb{C} t^{3(j+1)} \otimes H_1$ , then  $I_{34}^{j+1} = \langle \alpha_1^{j+1}, \alpha_2^{j+1} \rangle \subseteq I^{j+1}$  since  $[\mathfrak{g}_{\alpha_0}, \mathfrak{a}_{3(j+1)\delta}] = 0$ ,  $[\mathfrak{g}_{\alpha_1}, \mathfrak{a}_{3(j+1)\delta}] \neq 0$  and  $[\mathfrak{g}_{\alpha_2}, \mathfrak{a}_{3(j+1)\delta}] \neq 0$ . Therefore  $I^{j+1} = I_{34}^{j+1}$  or  $I^{j+1} = \Delta^{j+1}$  and

$$\mathfrak{a} = \mathfrak{a}_{27,1}^{j,(1,0)} = [1,0] \oplus \left( \oplus_{\alpha > \alpha_0^{j+1}} \mathfrak{g}_{\alpha} \right)$$

or

$$\mathfrak{a} = \mathfrak{a}_{27}^{j,(1,0)} = [1,0] \oplus \left( \bigoplus_{\alpha > 3(j+1)\delta} \mathfrak{g}_{\alpha} \right),$$

respectively.

(1.a.2) If  $(\lambda_1, \lambda_2) = (3, 2)$ , i.e.  $\mathfrak{a}_{3(j+1)\delta} = \mathbb{C} \left( 3t^{3(j+1)} \otimes H_1 + 2t^{3(j+1)} \otimes H_2 \right)$ , then  $I_{29}^{j+1} = \langle \alpha_0^{j+1}, \alpha_2^{j+1} \rangle \subseteq I^{j+1}$  since  $[\mathfrak{g}_{\alpha_0}, \mathfrak{a}_{3(j+1)\delta}] \neq 0$ ,  $[\mathfrak{g}_{\alpha_1}, \mathfrak{a}_{3(j+1)\delta}] = 0$  and  $[\mathfrak{g}_{\alpha_2}, \mathfrak{a}_{3(j+1)\delta}] \neq 0$ . Therefore  $I^{j+1} = I_{29}^{j+1}$  or  $I^{j+1} = \Delta^{j+1}$  and

$$\mathfrak{a} = \mathfrak{a}_{27,1}^{j,(3,2)} = [3,2] \oplus \mathfrak{g}_{\alpha_0^{j+1}} \oplus \left( \oplus_{\alpha > \alpha_1^{j+1}} \mathfrak{g}_{\alpha} \right)$$

or

$$\mathfrak{a} = \mathfrak{a}_{27}^{j,(3,2)} = [3,2] \oplus \left( \bigoplus_{\alpha > 3(j+1)\delta} \mathfrak{g}_{\alpha} \right),$$

respectively.

(1.a.3) If  $(\lambda_1, \lambda_2) = (2, 1)$ , i.e.  $\mathfrak{a}_{3(j+1)\delta} = \mathbb{C} \left( 2t^{3(j+1)} \otimes H_1 + t^{3(j+1)} \otimes H_2 \right)$ , then  $I_{28}^{j+1} = \langle \alpha_0^{j+1}, \alpha_1^{j+1} \rangle \subseteq I^{j+1}$  since  $[\mathfrak{g}_{\alpha_0}, \mathfrak{a}_{3(j+1)\delta}] \neq 0$ ,  $[\mathfrak{g}_{\alpha_1}, \mathfrak{a}_{3(j+1)\delta}] \neq 0$  and  $[\mathfrak{g}_{\alpha_2}, \mathfrak{a}_{3(j+1)\delta}] = 0$ . Therefore  $I^{j+1} = I_{28}^{j+1}$  or  $I^{j+1} = \Delta^{j+1}$  and

$$\mathfrak{a} = \mathfrak{a}_{27,1}^{j,(2,1)} = [2,1] \oplus \mathfrak{g}_{\alpha_0^{j+1}} \oplus \mathfrak{g}_{\alpha_1^{j+1}} \oplus \left( \oplus_{\alpha > \alpha_2^{j+1}} \mathfrak{g}_{\alpha} \right)$$

or

$$\mathfrak{a} = \mathfrak{a}_{27}^{j,(2,1)} = [2,1] \oplus \left( \bigoplus_{\alpha > 3(j+1)\delta} \mathfrak{g}_{\alpha} \right),$$

respectively.

(1.a.4) For the remaining values of  $(\lambda_1, \lambda_2) \in \mathbb{P}^1$ , we have  $I^{j+1} = \Delta^{j+1}$  since  $[\mathfrak{g}_{\alpha_i}, \mathfrak{a}_{3(j+1)\delta}] \neq 0$  for i = 0, 1, 2. Then

$$\mathfrak{a} = \mathfrak{a}_{27}^{j,(\lambda_1,\lambda_2)} = [\lambda_1,\lambda_2] \oplus (\bigoplus_{\alpha>3(j+1)\delta} \mathfrak{g}_{\alpha})$$

(1.b) dim  $\mathfrak{a}_{3(j+1)\delta} = 2$ . Then  $\mathfrak{a}_{3(j+1)\delta} = \mathfrak{g}_{3(j+1)\delta}$ ,  $I^{j+1} = \Delta^{j+1}$  and

$$\mathfrak{a} = \mathfrak{a}_{27}^j = \mathfrak{g}_{3(j+1)\delta} \oplus \left( \bigoplus_{\alpha > 3(j+1)\delta} \mathfrak{g}_{\alpha} \right) = \bigoplus_{\alpha \geq 3(j+1)\delta} \mathfrak{g}_{\alpha}.$$

So we have obtained the following set of ideals in  $\mathfrak{n}_+$  for n=0:

$$\{\mathfrak{a}_{27}^{j,(\lambda_{1},\lambda_{2})}\,;\,(\lambda_{1},\lambda_{2})\in\mathbb{P}^{1}\}\cup\{\mathfrak{a}_{27,1}^{j,(1,0)},\mathfrak{a}_{27,1}^{j,(3,2)},\mathfrak{a}_{27,1}^{j,(2,1)}\}\cup\{\mathfrak{a}_{27}^{j}\}.$$

Case 2: n = 1. There are 3 ideals in this case:  $I_{24}^j$ ,  $I_{25}^j$ ,  $I_{26}^j$  with  $j \ge 0$ .

If we call  $\gamma$  the unique element of  $I_0''$ , then

$$\mathfrak{a} = \mathfrak{g}_{\gamma} \oplus \mathfrak{a}_{3(j+1)\delta} \oplus (\oplus_{\alpha \in I^{j+1}} \mathfrak{g}_{\alpha}) \oplus \mathfrak{b}.$$

 $\mathfrak{a}$  contains the ideal generated by  $\mathfrak{g}_{\gamma}$  and  $\langle \mathfrak{g}_{\gamma} \rangle = \mathfrak{g}_{\gamma} \oplus \mathfrak{a}_{\gamma} \oplus \left( \bigoplus_{\alpha \in I_{\gamma}^{j+1}} \mathfrak{g}_{\alpha} \right) \oplus \mathfrak{b}$  with  $\mathfrak{a}_{\gamma}$  a subspace of dimension 1 in  $\mathfrak{g}_{3(j+1)\delta}$  and  $I_{\gamma}^{j+1}$  an ideal in  $\Delta^{j+1}$  contained in  $I^{j+1}$ .

There are three ideals in this case and some possibilities for each one:

(2.a) dim  $a_{3(j+1)\delta} = 1$ . We have

$$\mathfrak{a}_{\gamma} = \begin{cases} [0,1] & \text{for } i = 24\\ [1,0] & \text{for } i = 25\\ [3,2] & \text{for } i = 26 \end{cases}$$

since

$$\gamma = \begin{cases} \alpha_{23}^{j} = 3j\delta + \alpha_{23} = 3(j+1)\delta - \alpha_{2} & \text{for } I = I_{24}^{j} \\ \alpha_{24}^{j} = 3j\delta + \alpha_{24} = 3(j+1)\delta - \alpha_{1} & \text{for } I = I_{25}^{j} \\ \alpha_{25}^{j} = 3j\delta + \alpha_{25} = 3(j+1)\delta - \alpha_{0} & \text{for } I = I_{26}^{j} \end{cases}$$

Now we will determine  $I_{\gamma}^{j+1}$ :

$$\begin{array}{|l|l|} \hline \gamma = \alpha_{23}^j & \text{Since } [\mathfrak{g}_{\alpha_i}, \mathfrak{a}_{\alpha_{23}^j}] \neq 0 \text{ for } i = 0, 1, 2, \text{ we have } I_{\alpha_{23}^j}^{j+1} = \Delta^{j+1}. \\ \hline & \text{Therefore } I^{j+1} = \Delta^{j+1} \text{ and} \end{array}$$

$$\mathfrak{a} = \mathfrak{a}_{24,1}^j = \mathfrak{g}_{\alpha_{23}^j} \oplus [0,1] \oplus \left( \bigoplus_{\alpha > 3(j+1)\delta} \mathfrak{g}_{\alpha} \right).$$

$$\begin{array}{|c|c|c|c|c|}\hline \gamma = \alpha_{24}^j & \text{Since } [\mathfrak{g}_{\alpha_0},\mathfrak{a}_{\alpha_{24}^j}] = 0, \ [\mathfrak{g}_{\alpha_1},\mathfrak{a}_{\alpha_{24}^j}] \neq 0 \ \text{and} \ [\mathfrak{g}_{\alpha_2},\mathfrak{a}_{\alpha_{24}^j}] \neq 0, \\ & \text{we have } I_{\alpha_{24}^j}^{j+1} = I_{34}^{j+1} = \langle \alpha_1^{j+1},\alpha_2^{j+1} \rangle. \ \text{Therefore } I^{j+1} = \Delta^{j+1} \ \text{or} \\ & I^{j+1} = I_{34}^{j+1} \ \text{and} \end{array}$$

$$\mathfrak{a} = \mathfrak{a}_{25,1}^j = \mathfrak{g}_{\alpha_{24}^j} \oplus [1,0] \oplus \left( \oplus_{\alpha > 3(j+1)\delta} \mathfrak{g}_{\alpha} \right)$$

or

$$\mathfrak{a} = \mathfrak{a}_{25,2}^j = \mathfrak{g}_{\alpha_{24}^j} \oplus [1,0] \oplus \left( \oplus_{\alpha > \alpha_0^{j+1}} \mathfrak{g}_{\alpha} \right),$$

respectively.

$$\begin{array}{|c|c|c|c|c|}\hline \gamma = \alpha_{25}^{j} & \text{Since } [\mathfrak{g}_{\alpha_{0}},\mathfrak{a}_{\alpha_{25}^{j}}] \neq 0, \ [\mathfrak{g}_{\alpha_{1}},\mathfrak{a}_{\alpha_{25}^{j}}] = 0 \ \text{and} \ [\mathfrak{g}_{\alpha_{2}},\mathfrak{a}_{\alpha_{25}^{j}}] \neq 0, \\ & \text{we have } I_{\alpha_{25}^{j}}^{j+1} = I_{29}^{j+1} = \langle \alpha_{0}^{j+1},\alpha_{2}^{j+1} \rangle. \ \text{Therefore } I^{j+1} = \Delta^{j+1} \ \text{or} \\ & I^{j+1} = I_{29}^{j+1} \ \text{and} \end{array}$$

$$\mathfrak{a} = \mathfrak{a}_{26,1}^j = \mathfrak{g}_{\alpha_{2r}^j} \oplus [3,2] \oplus \left( \bigoplus_{\alpha > 3(j+1)\delta} \mathfrak{g}_{\alpha} \right)$$

or

$$\mathfrak{a}=\mathfrak{a}_{26,2}^{j}=\mathfrak{g}_{\alpha_{25}^{j}}\oplus [3,2]\oplus \mathfrak{g}_{\alpha_{0}^{j+1}}\oplus \left(\oplus_{\alpha>\alpha_{1}^{j+1}}\mathfrak{g}_{\alpha}\right),$$

respectively.

(2.b) dim 
$$\mathfrak{a}_{3(j+1)\delta} = 2$$
. Then  $\mathfrak{a}_{3(j+1)\delta} = \mathfrak{g}_{3(j+1)\delta}$ ,  $I^{j+1} = \Delta^{j+1}$  and 
$$\mathfrak{a} = \mathfrak{a}_i^j = \mathfrak{g}_{\alpha_{i-1}^{j+1}} \oplus \left( \bigoplus_{\alpha \geq 3(j+1)\delta} \mathfrak{g}_{\alpha} \right) \text{ for } i = 24, 25, 26.$$

So we have obtained the following set of ideals in  $\mathfrak{n}_+$  for n=1:

$$\{\mathfrak{a}_{i,1}^j; i=24,25,26\} \cup \{\mathfrak{a}_{i,2}^j; i=25,26\} \cup \{\mathfrak{a}_{i}^j; i=24,25,26\}.$$

Case 3: n = 2, 3. The other ideals are in this case.

$$n=2,3$$
 implies  $\mathfrak{a}_{3(j+1)\delta}=\mathfrak{g}_{3(j+1)\delta}$  and  $I^{j+1}=\Delta^{j+1}$ . Therefore

$$\mathfrak{a}=\mathfrak{a}_i^j=\oplus_{lpha\in I}\mathfrak{g}_lpha.$$

So we have obtained an unique ideal in  $\mathfrak{n}_+$  for n=2,3 and each possible  $I_i^j$ :  $\mathfrak{a}_i^j$ .

We have obtained the ideals of  $\mathfrak{n}_+$  included in  $\mathfrak{n}_{++}$ . Since  $G = \{id\}$  we can identify the sets  $\mathcal{I}(\mathfrak{n}_{++})$  and  $\mathcal{I}(\mathfrak{n}_{++})/G$ . Now we obtain a representative of each isomorphism class of nilpotent Lie algebras of maximal rank and of Kac-Moody type  $D_4^{(3)}$ , building the quotient  $\mathfrak{n}_+/\mathfrak{a}$  for each above obtained ideal  $\mathfrak{a}$ .

As a consequence of this study we have the following result:

#### **Theorem 4.1.** Up to isomorphism there are exactly:

(a) 88 infinite series with discrete parameters:

$$D_{4,i}^{(3),j} \begin{cases} for \ i = 6, 8, 10, \dots, 27, 42, 44, 46, \dots, 76, 78, 79, 80; \ j \geq 0 \\ for \ the \ remaining \ values \ of \ i; \ j \geq 1 \end{cases}$$

$$D_{4,i,1}^{(3),j} \begin{cases} i = 24, 25, 26; \ j \geq 0 \\ D_{4,i,2}^{(3),j} \end{cases} \begin{cases} i = 25, 26; \ j \geq 0 \\ (\lambda_1, \lambda_2) = (1, 0), (3, 2), (2, 1); \ j \geq 0 \end{cases}$$

(b) 1 infinite series with continuous parameter:

$$D_{4,27}^{(3),j,(\lambda_1,\lambda_2)} \text{ for } (\lambda_1,\lambda_2) \in \mathbb{P}^1; j \ge 0$$

of nilpotent Lie algebras of maximal rank and of Kac-Moody type  $D_4^{(3)}$ .

#### 5. An example

In this section we give explicitly the Lie algebra  $D_{4,42}^{(3),0}$ , which is the nilpotent Lie algebra of maximal rank and of Kac-Moody type  $D_4^{(3)}$  associated with the ideal  $\mathfrak{a}_{42}^0$  of  $\mathfrak{n}_+$ . Then we have:

$$D_{4,42}^{(3),0}=\mathfrak{n}_+/\mathfrak{a}_{42}^0$$

Since  $\mathfrak{a}_{42}^0 = \bigoplus_{\alpha \in \langle \alpha_5, \alpha_{11} \rangle} \mathfrak{g}_{\alpha}$  we can identify  $D_{4,42}^{(3),0}$  with the following Lie algebra:

$$igoplus_{lpha\in\Delta_+\setminus\langlelpha_5,lpha_{11}
angle} \mathfrak{g}_lpha = igoplus_{lpha\in\Delta_+\setminus\Delta_{++}} \mathfrak{g}_lpha = ig(\oplus_{i=0}^4 \mathfrak{g}_lphaig) \oplus \mathfrak{g}_{lpha_6} \oplus \mathfrak{g}_{lpha_8}$$

where the root subspaces are:

$$\begin{array}{llll} \mathfrak{g}_{\alpha_0} = & t \otimes \mathfrak{g}'_{\overline{1}, -\alpha_1 - 2\alpha_2} & = \mathbb{C}e_0, & \mathfrak{g}_{\alpha_1} = & 1 \otimes \mathfrak{g}'_{\overline{0}, \alpha_1} & = \mathbb{C}e_1, \\ \mathfrak{g}_{\alpha_2} = & 1 \otimes \mathfrak{g}'_{\overline{0}, \alpha_2} & = \mathbb{C}e_2, & \mathfrak{g}_{\alpha_3} = & t \otimes \mathfrak{g}'_{\overline{1}, -\alpha_1 - \alpha_2} & = \mathbb{C}e_3, \\ \mathfrak{g}_{\alpha_4} = & 1 \otimes \mathfrak{g}'_{\overline{0}, \alpha_1 + \alpha_2} & = \mathbb{C}e_4, & \mathfrak{g}_{\alpha_6} = & 1 \otimes \mathfrak{g}'_{\overline{0}, \alpha_1 + 2\alpha_2} & = \mathbb{C}e_6, \\ \mathfrak{g}_{\alpha_8} = & 1 \otimes \mathfrak{g}'_{\overline{0}, \alpha_1 + 3\alpha_2} & = \mathbb{C}e_8. & \end{array}$$

We have

$$D_{4,42}^{(3),0} = \left( \bigoplus_{i=0}^4 \mathbb{C}e_i \right) \oplus \mathbb{C}e_6 \oplus \mathbb{C}e_8$$

with brackets

$$[e_0,e_2]=(1+\epsilon^2)e_3=-\epsilon e_3, \quad [e_1,e_2]=-e_4, \quad [e_2,e_4]=2e_6, \quad [e_2,e_6]=3e_8.$$

This Lie algebra is the nilpotent Lie algebra of maximal rank and of Kac-Moody type  $D_4^{(3)}$  of least dimension (up to isomorphism).

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