

## GRAPHS WITH LEAST EIGENVALUE AT LEAST $-\sqrt{3}$

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ABSTRACT. We determine the graphs whose least eigenvalue is at least  $-\sqrt{3}$ .

### 1. Introduction

Let  $G = (V, E)$  be a simple graph with  $n$  vertices. We write  $V(G)$  for the vertex set of  $G$ , and  $E(G)$  for the edge set of  $G$ .

The *complement* of a graph  $G$  is denoted by  $\overline{G}$ . For  $v \in V(G)$ ,  $G - v$  denotes the graph obtained from  $G$  by deleting the vertex  $v$  and all edges incident with  $v$ . More generally, for  $U \subseteq V(G)$ ,  $G - U$  is the subgraph of  $G$  induced by  $V(G) \setminus U$ .

The characteristic polynomial  $\det(xI - A)$  of the adjacency matrix  $A$  of  $G$  is called the *characteristic polynomial of  $G$*  and denoted by  $P_G(x)$ . The eigenvalues of  $A$  (i.e., the zeros of  $\det(xI - A)$ ) and the spectrum of  $A$  (which consists of the  $n$  eigenvalues) are also called the *eigenvalues* and the *spectrum* of  $G$ , respectively. The eigenvalues of  $G$  are usually denoted by  $\lambda_1, \lambda_2, \dots, \lambda_n$ ; they are real because  $A$  is symmetric. Unless we indicate otherwise, we shall assume that  $\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_n$  and use the notation  $\lambda_i = \lambda_i(G)$  for  $i = 1, 2, \dots, n$ . The least eigenvalue  $\lambda_n(G)$  of a graph  $G$  will also be denoted by  $\lambda(G)$ .

As usual,  $K_n, C_n$  and  $P_n$  denote respectively the *complete graph*, the *cycle* and the *path* on  $n$  vertices. Further,  $K_{m,n}$  denotes the *complete bipartite* graph on  $m+n$  vertices. The graph  $K_{1,n}$  is called a *star* and its vertex of maximal degree is denoted as *central*. A *double star*  $D_{m,n}$  is the graph formed by adding an edge between the central vertices of stars  $K_{1,m}$  and  $K_{1,n}$ .

The *cocktail-party graph*  $CP(n)$  is the unique regular graph with  $2n$  vertices of degree  $2n - 2$ ; it is obtained from  $K_{2n}$  by deleting  $n$  mutually non-adjacent edges.

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A connected graph with  $n$  vertices is said to be a *tree* if it has  $n - 1$  edges. If  $T$  is a tree, a vertex of  $T$  of degree one is called a *leaf*. An *end-edge* of  $T$  is an edge one of whose endvertices is a leaf.

A connected graph with  $n$  vertices is said to be *unicyclic* if it has  $n$  edges. It is called *even* (*odd*) if its unique cycle is even (odd).

The *join*  $G \nabla H$  of graphs  $G$  and  $H$  is obtained from  $G$  and  $H$  by joining with an edge each vertex of  $G$  to each vertex of  $H$ .

If  $G$  is a graph of order  $n$ , the *corona*  $G \otimes H$  of graphs  $G$  and  $H$  is obtained from  $G$  and  $n$  copies of the graph  $H$  by adding edges between the  $i$ -th vertex of  $G$  and each vertex in the  $i$ -th copy of  $H$  ( $i = 1, 2, \dots, n$ ).

The *line graph*  $L(H)$  of any graph  $H$  is defined as follows. The vertices of  $L(H)$  are the edges of  $H$  and two vertices of  $L(H)$  are adjacent whenever the corresponding edges of  $H$  have a vertex of  $H$  in common.

A *generalized line graph*  $L(H; a_1, \dots, a_n)$  is defined for graphs  $H$  with vertex set  $\{1, \dots, n\}$  and non-negative integers  $a_1, \dots, a_n$  by taking the graphs  $L(H)$  and  $CP(a_i)$  ( $i = 1, \dots, n$ ) and adding extra edges: a vertex  $e$  in  $L(H)$  is joined to all vertices in  $CP(a_i)$  if  $i$  is an end-vertex of  $e$  as an edge of  $H$ . We include as special cases an ordinary line graph ( $a_1 = a_2 = \dots = a_n = 0$ ) and the cocktail-party graph  $CP(n)$  ( $n = 1$  and  $a_1 = n$ ).

An *exceptional* graph is a connected graph with least eigenvalue greater than or equal to  $-2$  which is not a generalized line graph.

The following result of M. Doob and D. Cvetković [11] is our starting point. (It appears as Theorem 1.3 of [3] with a misprint in part (v).)

**THEOREM 1.** *If  $G$  is a connected graph with least eigenvalue greater than  $-2$  then one of the following holds:*

- (i)  $G = L(T; 1, 0, \dots, 0)$  where  $T$  is a tree;
- (ii)  $G = L(H)$  where  $H$  is a tree or an odd unicyclic graph;
- (iii)  $G$  is one of 20 graphs on 6 vertices represented in the root system  $E_6$ ;
- (iv)  $G$  is one of 110 graphs on 7 vertices represented in the root system  $E_7$ ;
- (v)  $G$  is one of 443 graphs on 8 vertices represented in the root system  $E_8$ .

The exceptional graphs with least eigenvalue greater than  $-2$  are those appearing in parts (iii)–(v) of Theorem 1 (573 in total). Those of type (v) are one-vertex extensions of graphs of type (iv), which are in turn one-vertex extensions of graphs of type (iii). The 443 graphs of type (v) are tabulated in [1]. The 110 graphs of type (iv) are identified in [5] by means of the list of 7-vertex graphs in [3]. The twenty 6-vertex graphs of type (iii) are identified in [7]. All 573 exceptional graphs with least eigenvalue greater than  $-2$  are also given in the technical report [6] together with related data.

By the well-known interlacing theorem for graph eigenvalues (cf., e.g., [4, p. 19]), the property  $\lambda(G) \geq a$  for a fixed real  $a$ , is a hereditary property.

It is shown in [15] that, for  $n \geq 4$ , if  $G$  is not a complete graph on  $n$  vertices, then

$$\lambda(G) < -\frac{1}{2} \left( 1 + \sqrt{1 + 4 \frac{n-3}{n-1}} \right)$$

When  $n$  tends to infinity, this upper bound tends to  $\tau = -(1 + \sqrt{5})/2 \approx -1.61803$ . We are interested to find such graphs  $G$  whose smallest eigenvalue  $\lambda(G)$  falls in the gap between  $\tau = -(1 + \sqrt{5})/2$  and this upper bound, i.e., that satisfy  $\lambda_n \geq -(1 + \sqrt{5})/2$ . Such graphs will be called  $\tau$ -graphs.

Recall that  $x$  is a *limit point* of a set  $S$  of reals if any open interval containing  $x$  contains an element of  $S$  different from  $x$ .

The value  $\tau$  is the largest limit point of the least eigenvalue of graphs. The second largest limit point is  $\omega = -\sqrt{3}$ . This follows from some results of A.J. Hoffman who determined in [12] all reals exceeding  $-2$  which are limit points of the set  $\Lambda$  of least eigenvalues of graphs. Let  $T$  be a tree with at least two edges,  $e$  an end-edge of  $T$ . Let  $\hat{A}(T, e)$  be the adjacency matrix of  $L(T)$ , modified by putting  $-1$  in the diagonal position corresponding to  $e$ . We will say that the pair  $(T, e)$  is *proper* provided  $\lambda(\hat{A}(T, e)) < \lambda(L(T))$ . (It was conjectured in [12] that every  $(T, e)$  is proper, but so far there is no proof.) The main result of [12] is given in the following theorem.

**THEOREM 2.** *If  $(T, e)$  is proper,  $\lambda(\hat{A}(T, e))$  is a limit point of  $\Lambda$ . Conversely, if  $\lambda > -2$  is a limit point of  $\Lambda$ , then  $\lambda = \lambda(\hat{A}(T, e))$  for some proper  $(T, e)$ .*

The limit point  $\tau$  is obtained if  $T = K_{1,2}$  while the next limit point  $\omega$  is obtained for  $T = K_{1,3}$ . We will also determine all  $\omega$ -graphs.

Before [15] it was established in [13] that if we order connected graphs on  $n$  ( $n > 2$ ) vertices by decreasing least eigenvalues the first graph is  $K_n$  and the second one is  $K_{n-1}$  with a pendant edge attached, which is here denoted by  $L_n$ . The sequence  $\lambda(L_n)$  can be easily calculated and it is decreasing and tends to  $\tau$ .

$\tau$ -graphs are related to the problem of characterizing graphs with  $\lambda_2 \leq (\sqrt{5} - 1)/2 = \sigma \approx 0.61803$  [9], [10]. For let  $\bar{\lambda}_1 \geq \bar{\lambda}_2 \geq \dots \geq \bar{\lambda}_n$  be the eigenvalues of  $\bar{G}$ . The Courant-Weyl inequalities [14, Theorem 34.2.1], imply that  $\lambda_2 + \bar{\lambda}_{n-1} \geq -1$ , while  $\lambda_2 + \bar{\lambda}_n \leq -1$  (cf. [2]). This shows that if  $\lambda_n \geq -(1 + \sqrt{5})/2$ , then  $\bar{\lambda}_2 \leq (\sqrt{5} - 1)/2$ . Hence, the complements of  $\tau$ -graphs have  $\lambda_2 \leq \sigma$ .

Let  $\alpha > -2$  and let  $S_\alpha$  denote the set of all graphs  $G$  satisfying  $\lambda(G) \geq \alpha$ . From Theorem 1 it follows that a graph  $G$  from  $S_\alpha$  is:

- one of 573 exceptional graphs, or
- the generalized line graph  $L(T; 1, 0, \dots, 0)$  for some tree  $T$ , or
- the line graph  $L(T)$  for some tree  $T$ , or
- the line graph  $L(H)$  for some odd unicyclic graph  $H$ .

Suppose that  $G$  is isomorphic to either  $L(T; 1, 0, \dots, 0)$  or  $L(T)$  for some tree  $T$ . Note that if  $T'$  is an induced subgraph of  $T$ , then  $L(T')$  is an induced subgraph of  $L(T)$ . Since the sequence  $\lambda_d(P_d)$  is monotonic decreasing and  $\lim_{d \rightarrow \infty} \lambda_d(P_d) = -2$ , it follows that there is  $d_\alpha \in \mathbb{N}$  such that  $\alpha > \lambda_{d_\alpha}(P_{d_\alpha})$ . If  $T$  has a diameter at least  $d_\alpha$  then it contains  $P_{d_\alpha+1}$  as an induced subgraph, and  $G = L(T)$  contains  $P_{d_\alpha} = L(P_{d_\alpha+1})$  as an induced subgraph, which is contradiction, since from the interlacing theorem it follows that  $\alpha > \lambda_{d_\alpha}(P_{d_\alpha}) \geq \lambda_n(G)$ . Therefore, we conclude that a tree  $T$  has diameter at most  $d_\alpha - 1$ .

Now, suppose that  $G$  is isomorphic to  $L(H)$  for some odd unicyclic graph  $H$ . Since the sequence  $\lambda_{2l+1}(C_{2l+1})$  is monotonic decreasing and  $\lim_{l \rightarrow \infty} \lambda_{2l+1}(C_{2l+1}) = -2$ , it follows that there is  $l_\alpha \in \mathbb{N}$  such that  $\alpha > \lambda_{2l_\alpha+1}(C_{2l_\alpha+1})$ . If  $H$  contains (as an induced subgraph) an odd cycle of length at least  $2l_\alpha + 1$ , then  $G = L(H)$  contains  $C_{2l_\alpha+1} = L(C_{2l_\alpha+1})$  as an induced subgraph too, which is contradiction, since from the interlacing theorem it follows that  $\alpha > \lambda_{2l_\alpha+1}(C_{2l_\alpha+1}) \geq \lambda_n(G)$ . Therefore, we conclude that  $H$  has a cycle of length at most  $2l_\alpha - 1$ .

In the following two sections, we apply previous considerations to determine the sets  $S_\tau$  and  $S_\omega$ .

## 2. The set $S_\tau$

LEMMA 1. *The wheel  $W_5$ , shown in Fig. 1a, is the only exceptional graph which belongs to  $S_\tau$ .*

PROOF. Looking at the tables of [6] we see that out of 573 exceptional graphs with least eigenvalue greater than  $-2$  only the wheel  $W_5$ , shown in Fig. 1a, belongs to  $S_\tau$ . In fact,  $W_5$  has least eigenvalue equal to  $\tau$ .  $\square$

LEMMA 2. *An odd unicyclic graph  $H$  such that  $L(H) \in S_\tau$  contains an odd cycle of length either 3 or 5.*

PROOF. Since  $\lambda_7(C_7) \approx -1.8019 < \tau$ , we conclude that an odd unicyclic graph  $H$  such that  $L(H) \in S_\tau$  contains an odd cycle of length either 3 or 5.  $\square$

LEMMA 3. *The only unicyclic graph  $H$  with a cycle  $C$  of length 5 for which  $L(H)$  belongs to  $S_\tau$  is the cycle  $C_5$  itself, shown in Fig. 1b.*

PROOF. Suppose that  $G = L(H) \in S_\tau$  where  $H$  is a unicyclic graph with a cycle  $C$  of length 5. If there exists a vertex  $v$  of  $H$  adjacent to a vertex of  $C$ , then  $H$  contains as an induced subgraph the graph  $B_1$  from Fig. 2, which is a contradiction, since then  $\lambda_n(G) \leq \lambda_6(L(B_1)) \approx -1.7566$ . Therefore,  $H$  does not have any vertex adjacent to a vertex from  $C$ . The cycle  $C_5$ , shown in Fig. 1b, has the smallest eigenvalue equal to  $\tau$  and it belongs to  $S_\tau$ .  $\square$

LEMMA 4. *The line graphs of a unicyclic graph with a cycle  $C$  of length 3 which belong to  $S_\tau$ , are shown in Fig. 1c–f.*

PROOF. Let  $G = L(H)$  and suppose that  $H$  is a unicyclic graph with a cycle  $C$  of length 3 consisting of vertices  $c_1, c_2, c_3$ . If any of these vertices has degree at least 4, then  $H$  contains as an induced subgraph the graph  $B_2$  from Fig. 2, which is a contradiction, since then  $\lambda_n(G) \leq \lambda_5(L(B_2)) \approx -1.6813$ . Therefore, each of vertices  $c_1, c_2, c_3$  has degree either 2 or 3. If there is a vertex  $v$  of  $H$  adjacent to vertex  $c_i$  for some  $i \in \{1, 2, 3\}$ , and the degree of  $v$  is at least 2, then  $H$  contains as an induced subgraph the graph  $B_3$  from Fig. 2, which is also a contradiction, since then  $\lambda_n(G) \leq \lambda_5(L(B_3)) \approx -1.7757$ . Therefore, possible neighbors of vertices  $c_1, c_2, c_3$  may be only pendant vertices and we conclude that there are four possibilities, the line graphs of which all belong to  $S_\tau$ , and which are shown in Fig. 1c–f.  $\square$

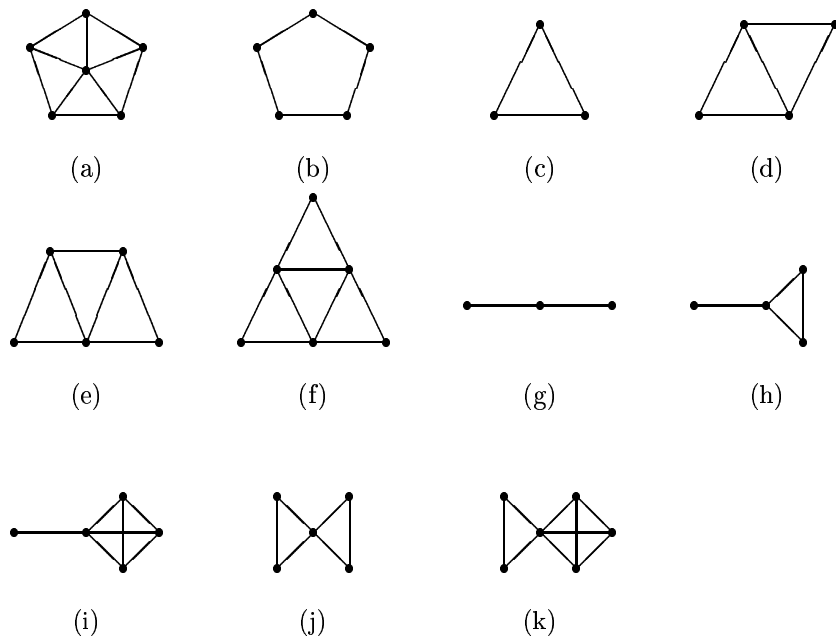


FIGURE 1. Some  $\tau$ -graphs.

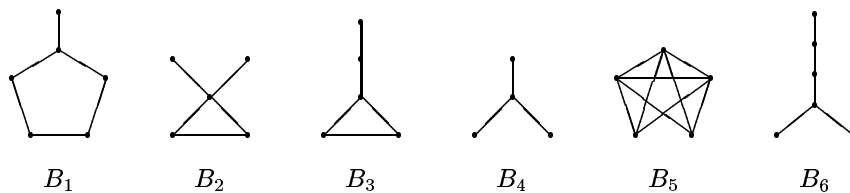


FIGURE 2. Forbidden subgraphs.

LEMMA 5. *Suppose that  $G = L(T; 1, 0, \dots, 0) \in S_\tau$ , where  $T$  is a tree with at least one edge. Then  $G$  is either graph (g) or graph (d) as shown in Fig. 1.*

PROOF. Suppose that  $L(T; 1, 0, \dots, 0) \in S_\tau$ , where  $T$  is a tree with at least one edge. Consider the vertex  $v_1$  of  $T$ . The vertices of  $L(T; 1, 0, \dots, 0)$ , corresponding to the edges  $e$  of  $T$  having  $v_1$  as an endvertex, are adjacent to both vertices of  $CP(1) \cong K_2$  in  $L(T; 1, 0, \dots, 0)$ . If there is a vertex  $w$  at distance 2 from  $v_1$  in  $T$ , then  $L(T; 1, 0, \dots, 0)$  contains as an induced subgraph the graph  $B_4 \cong K_{1,3}$  from Fig. 2, which is a contradiction, since then  $\lambda_n(L(T; 1, 0, \dots, 0)) \leq \lambda_3(B_4) \approx -1.7321$ . Therefore, all other vertices of  $T$  are neighbors of  $v_1$ . If  $v_1$  has one neighbor, i.e., if  $T \cong K_2$ , then  $L(T; 1, 0) \cong P_3 \in S_\tau$  and it is shown in Fig. 1g. If  $v_1$

has two neighbors, i.e., if  $T \cong P_3$ , then  $L(T; 1, 0, 0)$  is isomorphic to the graph in Fig. 1d. However, if  $v_1$  has at least three neighbors, then  $L(T; 1, 0, \dots, 0)$  contains as an induced subgraph the graph  $B_5$  from Fig. 2, which is a contradiction, since then  $\lambda_n(L(T; 1, 0, \dots, 0)) \leq \lambda_5(B_5) \approx -1.6458$ .  $\square$

LEMMA 6. *Suppose that  $G = L(T) \in S_\tau$ , where  $T$  is a tree with at least one edge. Then  $G$  is one of the graphs (g)–(k) shown in Fig. 1 or belongs to the family  $Y_{k,l}$ , shown in Fig. 3b.*

PROOF. Suppose that  $G = L(T) \in S_\tau$ , where  $T$  is a tree with at least one edge. Since  $\lambda_5(P_5) = -\sqrt{3} < \tau$ , from observations in previous section, we conclude that  $T$  has diameter at most 4.

If  $T$  has diameter 1, then  $T \cong K_2$  and  $L(T) \cong K_1$ , which has no edges. If  $T$  has diameter 2, then for some  $n \in \mathbb{N}$  we have  $T \cong K_{1,n}$  and  $L(T) \cong K_n$ . The complete graphs have smallest eigenvalue equal to  $-1$  and they belong to  $S_\tau$ . However, they form a subfamily of a larger family which we later find is contained in  $S_\tau$ .

If  $T$  has diameter 3, then  $T$  is isomorphic to a *double star*  $D_{m,n}$  for some  $m \geq n \geq 1$ . In that case,  $L(T)$  is isomorphic to a graph formed by identifying a pair of vertices of complete graphs  $K_{m+1}$  and  $K_{n+1}$ . Since  $\lambda_7(L(D_{4,2})) \approx -1.6262$ , in order that  $L(D_{m,n}) \in S_\tau$  we must have that either  $n = 1$  or  $m \leq 3$ . For  $n = 1$  the graphs  $L(D_{m,1})$  form a subfamily of a larger family which we later find is contained in  $S_\tau$ . If  $m \leq 3$ , then we have in all six possibilities for the pairs  $(m, n)$  and double stars  $D_{m,n}$ . Their line graphs all belong to  $S_\tau$ , except for the case  $m = n = 3$ , and they are shown in Fig. 1g–k (since  $L(D_{1,1}) \cong P_3$ , which is already shown in Fig. 1g).

Finally, suppose that  $T$  has diameter 4, let  $u$  and  $v$  be two vertices of  $T$  with  $d(u, v) = 4$  and let  $c$  be the unique vertex of  $T$  such that  $d(c, u) = d(c, v) = 2$ . If there is a vertex  $w$  of  $T$  such that  $d(c, w) = 2$  and either  $d(u, w) \leq 2$  or  $d(v, w) \leq 2$ , then  $T$  contains as an induced subgraph the graph  $B_6$  from Fig. 2, which is a contradiction, since then  $\lambda_n(L(T)) \leq \lambda_5(B_6) \approx -1.6751$ . Therefore, for each vertex  $w$  of  $T$  such that  $d(c, w) = 2$  we conclude that  $d(u, w) = d(v, w) = 4$ . Thus, there exist non-negative integers  $k, l$  ( $k \geq 2$ ), such that  $T$  is isomorphic to the tree  $X_{k,l}$ , shown in Fig. 3a, while  $L(T)$  is isomorphic to the graph  $Y_{k,l}$ , shown in Fig. 3b.  $\square$

Note that the complete graph  $K_n$  is just  $Y_{0,n}$ , while the graph  $L(D_{m,1})$  is just  $Y_{1,m}$ .

All graphs  $Y_{k,l}$  belong to  $S_\tau$ . To see this, it is enough to show that  $Y_{k,0} \in S_\tau$ , since  $Y_{k,l}$  is an induced subgraph of  $Y_{k+l,0}$ . Actually, the graphs  $Y_{k,0}$  may be obtained by adding a pendant vertex to each vertex of  $K_k$ . On page 60 of [4] one can find a formula for the characteristic polynomial of a graph obtained in this way (alternatively, one can use a more general formula for the corona of two graphs in the next section):

$$P(Y_{k,0}; \lambda) = \lambda^k P(K_k; \lambda - 1/\lambda) = (\lambda^2 - (k-1)\lambda - 1)(\lambda^2 + \lambda - 1)^{k-1}.$$

Thus, the eigenvalues of  $Y_{k,0}$  are simple eigenvalues  $(k-1 \pm \sqrt{(k-1)^2 + 4})/2$ , and eigenvalues  $(\sqrt{5}-1)/2$  and  $-(1+\sqrt{5})/2$ , each with multiplicity  $k-1$ .

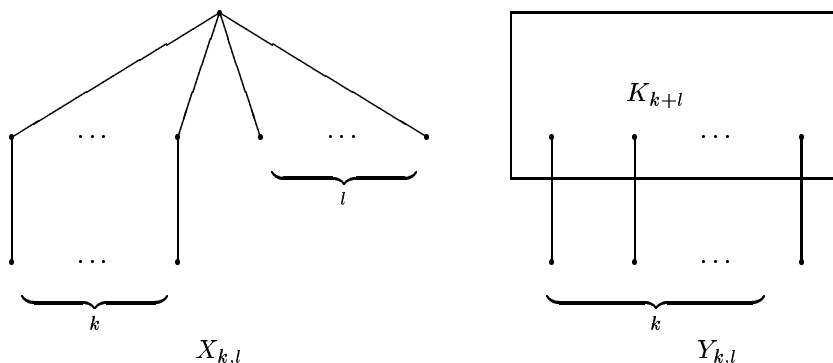


FIGURE 3. A family of graphs.

This ends our search and establishes the following theorem.

**THEOREM 3.** *The set  $S_\tau$  consists of connected induced subgraphs of the following graphs:*

- (1) graph (a) of Fig. 1 (i.e., the wheel  $W_5$ ),
- (2) graph (f) of Fig. 1,
- (3) graph (k) of Fig. 1,
- (4) the graph  $Y_{n,0}$  for some  $n = 1, 2, \dots$ .

### 3. The set $S_\omega$

We obviously have  $S_\tau \subseteq S_\omega$ , since  $\tau = -(1 + \sqrt{5})/2 > -\sqrt{3} = \omega$ . Thus, in order to save space, in Lemmas 7–12 below we will specify the graphs belonging to  $S_\omega \setminus S_\tau$  only.

**LEMMA 7.** *The exceptional graphs belonging to  $S_\omega \setminus S_\tau$  are  $P_4 \nabla K_2$  and  $C_5 \nabla 2K_1$ .*

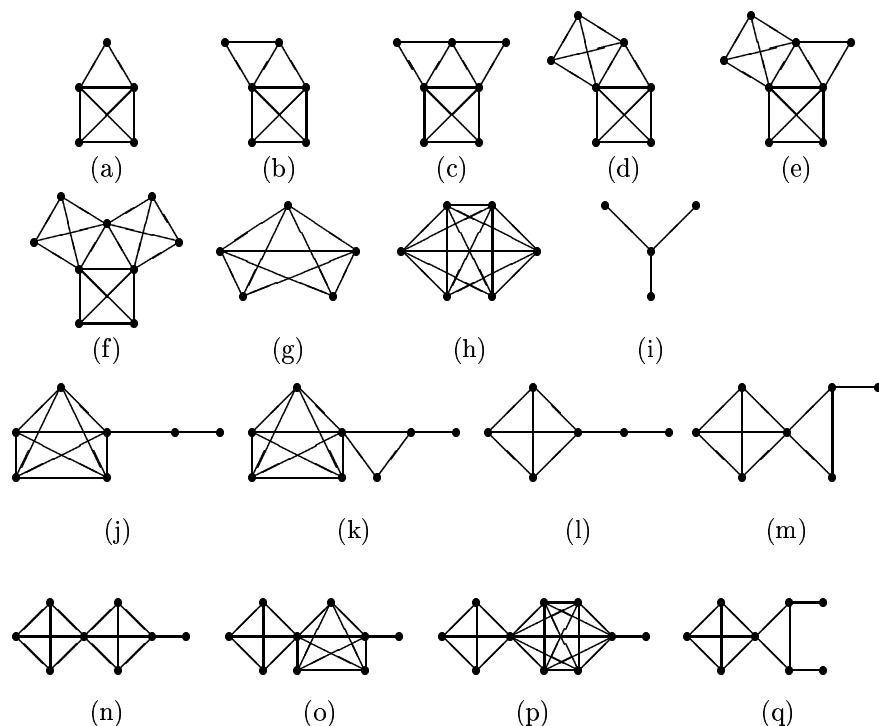
**PROOF.** Looking at the tables of [6] we see that out of 573 exceptional graphs with least eigenvalue greater than  $-2$ , only the graphs  $P_4 \nabla K_2$  and  $C_5 \nabla 2K_1$  belong to  $S_\omega \setminus S_\tau$ .  $\square$

**LEMMA 8.** *An odd unicyclic graph  $H$  such that  $L(H) \in S_\omega$  contains an odd cycle of length either 3 or 5.*

**PROOF.** As in Lemma 2, it is impossible that  $H$  contains a cycle of length at least 7, since for  $k \geq 3$  we have  $\lambda_{2k+1}(C_{2k+1}) \leq \lambda_7(C_7) \approx -1.8019 < \omega$ .  $\square$

**LEMMA 9.** *There exists no unicyclic graph  $H$  with a cycle  $C$  of length 5 for which  $L(H)$  belongs to  $S_\omega \setminus S_\tau$ .*

**PROOF.** This follows from the proof of Lemma 3, where it is shown that the line graph of such  $H$  has smallest eigenvalue at most  $\lambda_6(L(B_1)) \approx -1.7566 < \omega$ .  $\square$

FIGURE 4. Some  $\omega$ -graphs.

LEMMA 10. *The line graphs of a unicyclic graph with a cycle  $C$  of length 3 which belong to  $S_\omega \setminus S_\tau$  are those shown in Fig. 4a–f.*

PROOF. Let  $G = L(H)$  and suppose that  $H$  is a unicyclic graph with a cycle  $C$  of length 3 consisting of vertices  $c_1, c_2, c_3$ . If any of these vertices has degree at least 5, then  $H$  contains as an induced subgraph the graph  $B_7$  from Fig. 5, which is a contradiction, since then  $\lambda_n(G) \leq \lambda_6(L(B_7)) \approx -1.7466$ . Therefore, each of vertices  $c_1, c_2, c_3$  has degree at most 4.

As in the proof of Lemma 4, we may conclude that the possible neighbors of vertices  $c_1, c_2, c_3$  must be pendant vertices. We have already seen in the proof of Lemma 4 that if the degrees of  $c_1, c_2$  and  $c_3$  are at most 3, then all the corresponding line graphs belong to  $S_\tau$ . Therefore, we only need to consider those graphs where one of these vertices has degree 4. There are six such nonisomorphic graphs, coded by the nonincreasing degrees of vertices  $c_1, c_2$  and  $c_3$ :

$$\{(4, 2, 2), (4, 3, 2), (4, 3, 3), (4, 4, 2), (4, 4, 3), (4, 4, 4)\}.$$

The line graphs of all these graphs have least eigenvalue at least  $-\sqrt{3}$  (three of them have least eigenvalue strictly greater than  $-\sqrt{3}$  while the other three have least eigenvalue equal to  $-\sqrt{3}$ ), and they are shown in Fig. 4a–f.  $\square$



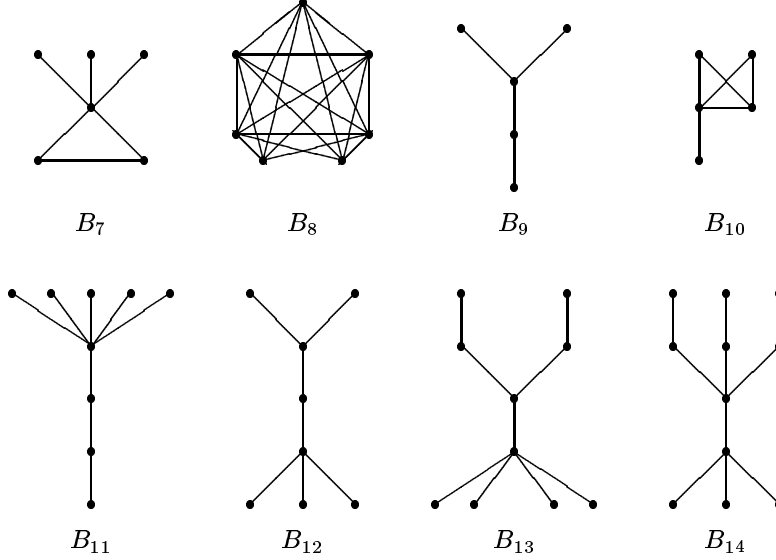


FIGURE 5. Additional forbidden subgraphs.

LEMMA 11. *Suppose that  $G = L(T; 1, 0, \dots, 0) \in S_\omega \setminus S_\tau$ , where  $T$  is a tree with at least one edge. Then  $G$  is either one of the graphs (g)–(i) or the graph (d) shown in Fig. 4.*

PROOF. Suppose that  $L(T; 1, 0, \dots, 0) \in S_\omega \setminus S_\tau$ , where  $T$  is a tree with at least one edge. Consider the vertex  $v_1$  of  $T$ . The vertices of  $L(T; 1, 0, \dots, 0)$ , corresponding to the edges  $e$  of  $T$  having  $v_1$  as its endvertex, are adjacent to both vertices of  $CP(1) \cong K_2$  in  $L(T; 1, 0, \dots, 0)$ .

The vertex  $v_1$  has at most four neighbors in  $T$ , since otherwise  $L(T; 1, 0, \dots, 0)$  contains as an induced subgraph the graph  $B_8$  from Fig. 5, which is a contradiction, since then  $\lambda_n(L(T; 1, 0, \dots, 0)) \leq \lambda_7(B_8) \approx -1.7417$ .

If there is a vertex  $w$  at distance 3 from  $v_1$  in  $T$ , then  $L(T; 1, 0, \dots, 0)$  contains as an induced subgraph the graph  $B_9$  from Fig. 5, which is a contradiction, since then  $\lambda_n(L(T; 1, 0, \dots, 0)) \leq \lambda_5(B_9) \approx -1.8478$ . Therefore, all other vertices of  $T$  are at distance at most 2 from  $v_1$ .

Suppose that  $w$  is a vertex at distance 2 from  $v_1$  in  $T$ , and let  $v$  be a common neighbor of  $w$  and  $v_1$ . If  $v_1$  has another neighbor, say  $u$ , then  $L(T; 1, 0, \dots, 0)$  contains as an induced subgraph the graph  $B_{10}$  from Fig. 5, which is a contradiction, since then  $\lambda_n(L(T; 1, 0, \dots, 0)) \leq \lambda_5(B_{10}) \approx -1.7491$ .

Therefore, either all neighbors of  $v_1$  are pendant vertices, or  $v_1$  is a pendant vertex of a nontrivial star. In the first case, the generalized line graph is isomorphic to a complete graph with one edge deleted. If  $v_1$  has degree 1 or 2, then the two corresponding generalized line graphs belong to  $S_\tau$  (shown in Fig. 1g and Fig. 1d, respectively). If  $v_1$  has degree 3 or 4, then the two corresponding generalized line graphs belong to  $S_\omega \setminus S_\tau$  and they are shown in Fig. 4g and Fig. 4h, respectively.

In the second case, the generalized line graph is isomorphic to a complete graph  $K_n$  with two pendant vertices attached to one vertex of  $K_n$ . For these graphs, the least eigenvalue is monotonic non-increasing as  $n$  increases. For  $n = 2$  the corresponding graph has the least eigenvalue  $-\sqrt{3}$  and it belongs  $S_\omega \setminus S_\tau$  (shown in Fig. 4i). For  $n = 3$  the corresponding graph has least eigenvalue  $-1.8136$  and thus none of these graphs belong to  $S_\omega \setminus S_\tau$  for  $n \geq 3$ .  $\square$

LEMMA 12. *Suppose that  $G = L(T) \in S_\omega$ , where  $T$  is a tree with at least one edge. Then  $G$  is one of the following a) a complete graph, b) a graph formed by identifying a pair of vertices of complete graphs  $K_{m+1}$  and  $K_{n+1}$  where either  $n = 2$  and  $m$  is arbitrary, or  $n = 3$  and  $3 \leq m \leq 9$ , or  $n = 4$  and  $4 \leq m \leq 5$ , c)  $G$  is one of the graphs shown in Fig. 4j–q, d)  $G$  belongs to the family  $Y_{k,l_2,l_1}$ , shown in Fig. 6.*

PROOF. Suppose that  $G = L(T) \in S_\omega \setminus S_\tau$ , where  $T$  is a tree with at least one edge. If  $T$  has diameter at least 5, then it contains  $P_6$  as an induced subgraph, which is impossible, since  $\lambda_6(P_6) \approx -1.8019 < \omega$ . Therefore,  $T$  has diameter at most 4.

If  $T$  has diameter 1, then  $T \cong K_2$  and  $L(T) \cong K_1$ , which has no edges. If  $T$  has diameter 2, then for some  $n \in \mathbb{N}$  we have  $T \cong K_{1,n}$  and  $L(T) \cong K_n$ . The complete graphs have smallest eigenvalue equal to  $-1$  and they already belong to  $S_\tau$ .

If  $T$  has diameter 3, then  $T$  is isomorphic to a *double star*  $D_{m,n}$  for some  $m \geq n \geq 1$ . In that case,  $L(T)$  is isomorphic to a graph formed by identifying a pair of vertices of complete graphs  $K_{m+1}$  and  $K_{n+1}$ . We have already seen that the graphs  $L(D_{m,1})$  belong to  $S_\tau$ . Later we will show that the graphs  $L(D_{m,2})$  belong to  $S_\omega$ . If  $n \geq 3$ , then we have that  $D_{10,3}$ ,  $D_{6,4}$  and  $D_{5,5}$  are the minimal double stars whose least eigenvalue is less than  $-\sqrt{3}$ . Thus, as new graphs in  $S_\omega$  we will have the graphs of the form  $L(D_{m,2})$  for all  $m \in \mathbb{N}$ , as well as  $L(D_{m,3})$  for  $3 \leq m \leq 9$  and  $L(D_{m,4})$  for  $4 \leq m \leq 5$ .

Finally, suppose that  $T$  has diameter 4, let  $u$  and  $v$  be two vertices of  $T$  with  $d(u, v) = 4$  and let  $c$  be the unique vertex of  $T$  such that  $d(c, u) = d(c, v) = 2$ . Denote the neighbors of  $c$  by  $w_1, w_2, \dots, w_k$ . Each of the vertices  $w_i$  may be adjacent to at most 4 leaves; otherwise,  $T$  contains as an induced subgraph the graph  $B_{11}$  from Fig. 5, which has  $\lambda_8(L(B_{11})) \approx -1.7350$ . Further, if  $w_i$  is adjacent to at least 3 leaves for some  $i$ , then  $w_j$ , for  $j \neq i$ , may be adjacent to at most one leaf; otherwise,  $T$  contains as an induced subgraph the graph  $B_{12}$  from Fig. 5, which has  $\lambda_7(L(B_{12})) \approx -1.7616$ .

Without loss of generality, suppose that the vertices  $w_1, w_2, \dots, w_k$  are ordered by nonincreasing degrees. Then the following cases are possible:

a)  $w_1$  is adjacent to 4 leaves. Then we may suppose that  $w_2, \dots, w_l$  ( $2 \leq l \leq k$ ) are each adjacent to one leaf, while  $w_{l+1}, \dots, w_k$  are themselves leaves.

It must be that  $l = 2$ ; otherwise, if  $l \geq 3$  then  $T$  contains as an induced subgraph the graph  $B_{13}$  from Fig. 5, which has  $\lambda_9(L(B_{13})) \approx -1.7558$ .

With  $l = 2$ , the least eigenvalue of these graphs is monotonic as  $k$  increases. For  $k = 2$  and  $k = 3$  we obtain graphs in  $S_\omega$ , shown in Fig. 4j and k. The graphs obtained for  $k \geq 5$  have least eigenvalue less than  $-\sqrt{3}$ .

b)  $w_1$  is adjacent to 3 leaves. Then we may suppose that  $w_2, \dots, w_l$  ( $2 \leq l \leq k$ ) are each adjacent to one leaf, while  $w_{l+1}, \dots, w_k$  are themselves leaves.

It must be that  $l \leq 3$ ; otherwise, if  $l \geq 4$  then  $T$  contains as an induced subgraph the graph  $B_{14}$  from Fig. 5, which has  $\lambda_{10}(L(B_{14})) \approx -1.7462$ .

With  $l$  fixed, the least eigenvalue of these graphs is monotonic as  $k$  increases. Thus, once the least eigenvalue of a graph from this sequence drops below  $-\sqrt{3}$ , then all the graphs following it also have the least eigenvalue less than  $-\sqrt{3}$ .

If  $l = 2$ , then the corresponding graph belongs to  $S_\omega$  only for  $k \leq 6$ , giving 5 new graphs in  $S_\omega$ ; they are shown in Fig. 4l-p.

If  $l = 3$ , then the corresponding graph belongs to  $S_\omega$  only for  $k = 3$ , giving one new graph in  $S_\omega$ ; it is shown in Fig. 4q.  $\square$

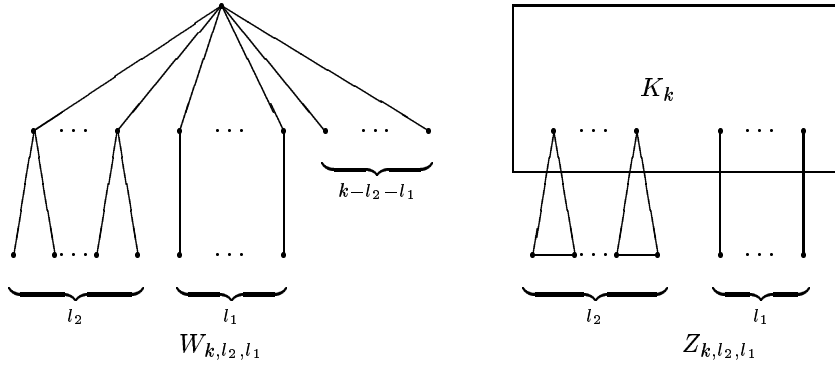


FIGURE 6. Another family of graphs.

Vertices  $w_1, \dots, w_{l_2}$  are each adjacent to two leaves, vertices  $w_{l_2+1}, \dots, w_{l_2+l_1}$  are each adjacent to one leaf, and vertices  $w_{l_2+l_1+1}, \dots, w_k$  are themselves leaves.

We denote such a graph by  $W_{k,l_2,l_1}$  (see Fig. 6) and prove that its line graph  $Z_{k,l_2,l_1}$  (see Fig. 6) always belongs to  $S_\omega$ . The easiest way to show this is first to notice that  $Z_{k,l_2,l_1}$  is always an induced subgraph of  $Z_{k,k,0}$ , and then to show that  $Z_{k,k,0}$  belongs to  $S_\omega$ . The graph  $Z_{k,k,0}$  can be represented as  $K_k \otimes K_2$ , where  $\otimes$  denotes the corona of graphs. A formula for the characteristic polynomial of the corona of two regular graphs is given in [3, p. 50], according to which we have

$$\begin{aligned}
 & P_{K_p \otimes K_q}(\lambda) \\
 &= \left( \lambda - \frac{q}{\lambda - q + 1} - p + 1 \right) \left( \lambda - \frac{q}{\lambda - q + 1} + 1 \right)^{p-1} (\lambda - q + 1)^p (\lambda + 1)^{p(q-1)} \\
 &= (\lambda^2 - (p + q - 2)\lambda + pq - p - 2q + 1) (\lambda^2 - (q - 2)\lambda - 2q + 1)^{p-1} (\lambda + 1)^{p(q-1)}.
 \end{aligned}$$

The first factor above yields simple eigenvalues  $\frac{1}{2}(p+q-2 \pm \sqrt{(p-q)^2 + 4q})$ , and the second factor yields eigenvalues  $\frac{1}{2}(q-2 \pm \sqrt{q^2 + 4q})$  of the multiplicity  $p-1$ . For fixed  $q$ , the function  $\frac{1}{2}(p+q-2 \pm \sqrt{(p-q)^2 + 4q})$  is monotone increasing and thus, the least eigenvalue of  $K_p \otimes K_q$  is  $\frac{1}{2}(q-2 - \sqrt{q^2 + 4q})$ , equal to  $\tau$  for  $q=1$  and  $\omega$  for  $q=2$ .

Notice also that  $L(D_{m,2})$ , mentioned above, is just  $Z_{m+1,1,0}$ , and thus it also belongs to  $S_\omega$  for arbitrary  $m$ .

This ends our search and establishes the following theorem.

**THEOREM 4.** *The set  $S_\omega$  consists of connected induced subgraphs of the following graphs:*

- (1) *the exceptional graphs  $P_4 \nabla K_2$  and  $C_5 \nabla 2K_1$ ,*
- (2) *one of the graphs (f), (h), (i), (k), (p) and (q) of Fig. 4,*
- (3) *the graph formed by identifying a pair of vertices of the complete graphs  $K_{m+1}$  and  $K_{n+1}$  where  $(m, n) = (9, 3)$  or  $(m, n) = (5, 4)$ ,*
- (4) *graph  $Z_{k,k,0}$  of Fig. 6 for some  $k = 1, 2, \dots$ .*

Theorems 3 and 4 show the existence of some interesting points of a different type when compared to limit points considered by A.J. Hoffman. Namely, the sequence

$$\lambda_{n(q+1)}(K_n \otimes K_q) = \frac{1}{2} \left( q - 2 - \sqrt{q^2 + 4q} \right), \quad n = 1, 2, \dots$$

is constant for fixed  $q$ . We do not know whether other non-trivial such graph sequences of line graphs of trees exist, or what is the relation between the points defined by constant sequences and the limit points considered by A.J. Hoffman.

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