

ENRICHED YONEDA LEMMA

VLADIMIR HINICH

ABSTRACT. We present a version of the enriched Yoneda lemma for conventional (not ∞ -) categories. We do not require the base monoidal category \mathcal{M} to be closed or symmetric monoidal. In the case \mathcal{M} has colimits and the monoidal structure in \mathcal{M} preserves colimits in each argument, we prove that the Yoneda embedding $\mathcal{A} \rightarrow P_{\mathcal{M}}(\mathcal{A})$ is a universal functor from \mathcal{A} to a category with colimits, left-tensored over \mathcal{M} .

1. Introduction

1.1. The principal source on enriched category theory is the classical Max Kelly book [K]. The theory is mostly developed under the assumption that the basic monoidal category \mathcal{M} is symmetric monoidal, and is closed, that is admits an internal Hom — a functor right adjoint to the tensor product.

The aim of this note is to present an approach which would make both conditions unnecessary.

Throughout the paper we study categories enriched over an arbitrary monoidal category \mathcal{M} . Note that this means that, if \mathcal{A} is enriched over \mathcal{M} , the opposite category \mathcal{A}^{op} is enriched over the monoidal category \mathcal{M}_{op} having the opposite multiplication. Also, since we do not require \mathcal{M} to be closed, \mathcal{M} may not be enriched over itself.

Our approach is based on the following observation. Even though categories left-tensored over \mathcal{M} are not necessarily enriched over \mathcal{M} , it makes a perfect sense to talk about \mathcal{M} -functors $\mathcal{A} \rightarrow \mathcal{B}$ where \mathcal{A} is \mathcal{M} -enriched, and \mathcal{B} is left-tensored over \mathcal{M} . Thus, \mathcal{M} -enriched categories and categories left-tensored over \mathcal{M} appear in our approach as distinct but interconnected species.

1.2. In this note we present two results in the enriched setting. The first is construction of the category of enriched presheaves and the Yoneda lemma. The second result, claiming a universal property of the category of enriched presheaves, requires \mathcal{M} to have colimits, so that the tensor product in \mathcal{M} preserves colimits in both arguments.

1.3. In this note we adopt the language which allows us not to mention associativity constraints explicitly. Thus is done as follows. The small categories are considered belonging to $(2, 1)$ -category \mathbf{Cat} , with functors as 1-morphisms and isomorphisms of functors as 2-morphisms. Associative algebras in 2-category \mathbf{Cat} are precisely monoidal categories, and left modules over these algebras are left-tensored categories.

The author was supported by ISF grant 446/15.

Received by the editors 2015-11-15 and, in final form, 2016-08-30.

Transmitted by Tom Leinster. Published on 2016-09-01.

2010 Mathematics Subject Classification: 18D20.

Key words and phrases: enriched categories, Yoneda embedding, left-tensored categories.

© Vladimir Hinich, 2016. Permission to copy for private use granted.

Similarly, we denote \mathbf{Cat}^L the $(2, 1)$ -category whose objects are the categories with colimits, 1-morphisms are colimit preserving functors, and 2-morphisms are isomorphisms of such functors.

This is a symmetric monoidal $(2, 1)$ -category, with tensor product defined by the formula

$$\mathrm{Fun}(A \otimes B, C) = \{f : A \times B \rightarrow C \mid f \text{ preserves colimits in both arguments}\}. \quad (1)$$

Associative algebras in \mathbf{Cat}^L are monoidal categories with colimits, such that tensor product preserves colimits in each argument ¹.

1.4. As was pointed to us by the referee, the enriched Yoneda lemma in the generality presented in this note is not a new result. A recent paper [GS] contains it (see Sections 5,7), as well as many other results, in even more general context of monoidal bicategories. The approach of *op. cit* is close to ours. The authors do not have the notion of \mathcal{M} -functor $\mathcal{A} \rightarrow \mathcal{B}$ from \mathcal{M} -enriched category \mathcal{A} to a category \mathcal{B} left-tensored over \mathcal{M} ; but they construct the category of \mathcal{M} -presheaves $P_{\mathcal{M}}(\mathcal{A})$ ad hoc using the same formulas.

We are very grateful to the referee for providing this reference, as well as for indicating that we do not use cocompleteness of \mathcal{M} in Sections 2, 3.

1.5. The approach to Yoneda lemma presented in this note is very instrumental in the theory of enriched infinity categories. We intend to address this in a subsequent publication.

2. Two types of enrichment

Let \mathcal{M} be a monoidal category. In this section we define \mathcal{M} -categories and categories left-tensored over \mathcal{M} .

2.1. \mathcal{M} -ENRICHED CATEGORIES. Let \mathcal{M} be a monoidal category. An \mathcal{M} -enriched category \mathcal{A} (or just \mathcal{M} -category) has a set of objects, an object $\mathrm{hom}_{\mathcal{A}}(x, y) \in \mathcal{M}$ for each pair of objects (“internal Hom”), identity maps $\mathbf{1} \rightarrow \mathrm{hom}(x, x)$ for each x and associative compositions

$$\mathrm{hom}(y, z) \otimes \mathrm{hom}(x, y) \rightarrow \mathrm{hom}(x, z).$$

Let \mathcal{A} be \mathcal{M} -enriched category. Its opposite $\mathcal{A}^{\mathrm{op}}$ is a category enriched over $\mathcal{M}_{\mathrm{op}}$. The latter is the same category as \mathcal{M} , but having the opposite tensor product structure. The category $\mathcal{A}^{\mathrm{op}}$ has the same objects as \mathcal{A} . Morphisms are defined by the formula

$$\mathrm{hom}_{\mathcal{A}^{\mathrm{op}}}(x^{\mathrm{op}}, y^{\mathrm{op}}) = \mathrm{hom}_{\mathcal{A}}(y, x),$$

with the composition defined in the obvious way.

2.2. LEFT-TENSORED CATEGORIES. A left-tensored category \mathcal{A} over \mathcal{M} is just a left (unital) module for the associative algebra $\mathcal{M} \in \mathbf{Alg}(\mathbf{Cat})$. Note that unitality is not an extra structure, but a property saying that the unit of \mathcal{M} acts on \mathcal{A} as an equivalence.

Right-tensored categories over \mathcal{M} are defined similarly. They are the same as the categories left-tensored over $\mathcal{M}_{\mathrm{op}}$.

¹As it is shown in [L.HA], Chapter 2, there is no necessity of keeping explicit track of various coherences even in the more general context of quasicategories.

2.2.1. **REMARK.** In case $\mathcal{M} \in \mathbf{Alg}(\mathbf{Cat}^L)$, that is, \mathcal{M} has colimits and the monoidal operation in \mathcal{M} preserves colimits in each argument, we will define left-tensored categories over \mathcal{M} as left \mathcal{M} -modules over the associative algebra $\mathcal{M} \in \mathbf{Alg}(\mathbf{Cat}^L)$. A left-tensored category so defined has colimits, and the tensor product preserves colimits in both arguments.

2.2.2. Left-tensored categories over \mathcal{M} often give rise to an \mathcal{M} -enriched structure: we can define $\mathit{hom}(x, y)$ as an object of \mathcal{M} representing the functor

$$m \mapsto \mathit{Hom}(m \otimes x, y). \tag{2}$$

Even if the above functor is not representable, we will use the notation $\mathit{hom}(x, y)$ to define the functor (2).

Note that left-tensored categories are categories (with extra structure). Enriched categories are not, formally speaking, categories: maps from one object to another form an object of \mathcal{M} rather than a set.

3. \mathcal{M} -functors

In this section we present two contexts for the definition of a category of \mathcal{M} -functors: from one category left-tensored over \mathcal{M} to another, and from an \mathcal{M} -category to a left-tensored category over \mathcal{M} .

3.1. **\mathcal{A} AND \mathcal{B} ARE LEFT-TENSORED.** Given two categories \mathcal{A} and \mathcal{B} , left-tensored over \mathcal{M} , one defines a category $\mathit{Fun}_{\mathcal{M}}(\mathcal{A}, \mathcal{B})$ of \mathcal{M} -functors as follows.

The objects are functors $f : \mathcal{A} \rightarrow \mathcal{B}$, together with a natural equivalence between two compositions in the diagram

$$\begin{array}{ccc} \mathcal{M} \otimes \mathcal{A} & \longrightarrow & \mathcal{A} \\ \downarrow \mathit{id} \otimes f & & \downarrow f \\ \mathcal{M} \otimes \mathcal{B} & \longrightarrow & \mathcal{B} \end{array}, \tag{3}$$

satisfying a compatibility in the diagram

$$\begin{array}{ccccc} \mathcal{M} \otimes \mathcal{M} \otimes \mathcal{A} & \xrightarrow{\quad} & \mathcal{M} \otimes \mathcal{A} & \longrightarrow & \mathcal{A} \\ \downarrow \mathit{id} \otimes \mathit{id} \otimes f & & \downarrow \mathit{id} \otimes f & & \downarrow f \\ \mathcal{M} \otimes \mathcal{M} \otimes \mathcal{A} & \xrightarrow{\quad} & \mathcal{M} \otimes \mathcal{B} & \longrightarrow & \mathcal{B} \end{array} \tag{4}$$

The morphisms in $\mathit{Fun}_{\mathcal{M}}(\mathcal{A}, \mathcal{B})$ are morphisms of functors compatible with natural equivalences (3). Note that we have no unit condition on $f : \mathcal{A} \rightarrow \mathcal{B}$ as unitality of left-tensor categories is a property rather than extra data ², so the “unit constraints” $\mathbf{1} \otimes x \rightarrow x$ are uniquely reconstructed and automatically preserved by \mathcal{M} -functors.

In case $\mathcal{M} \in \mathbf{Alg}(\mathbf{Cat}^L)$ and \mathcal{A}, \mathcal{B} are left-tensored, we define $\mathit{Fun}_{\mathcal{M}}^L(\mathcal{A}, \mathcal{B})$ as the category of colimit-preserving functors $f : \mathcal{A} \rightarrow \mathcal{B}$, with a natural equivalence (3) satisfying compatibility (4).

²saying that the functor $\mathbf{1} \otimes : \mathcal{A} \rightarrow \mathcal{A}$ is an equivalence.

3.2. \mathcal{A} IS \mathcal{M} -CATEGORY AND \mathcal{B} IS LEFT-TENSORED. Let \mathcal{A} be \mathcal{M} -enriched category and \mathcal{B} be left-tensored over \mathcal{M} . We will define $\text{Fun}_{\mathcal{M}}(\mathcal{A}, \mathcal{B})$, the category of \mathcal{M} -functors from \mathcal{A} to \mathcal{B} , as follows.

An \mathcal{M} -functor $f : \mathcal{A} \rightarrow \mathcal{B}$ is given by a map $f : \text{Ob}(\mathcal{A}) \rightarrow \text{Ob}(\mathcal{B})$, together with a compatible collection of maps

$$\text{hom}_{\mathcal{A}}(x, y) \otimes f(x) \rightarrow f(y), \quad (5)$$

given for each pair $x, y \in \text{Ob}(\mathcal{A})$. The compatibility means that, given three objects $x, y, z \in \mathcal{A}$, one has a commutative diagram

$$\begin{array}{ccc} \text{hom}_{\mathcal{A}}(y, z) \otimes \text{hom}_{\mathcal{A}}(x, y) \otimes f(x) & \longrightarrow & \text{hom}_{\mathcal{A}}(y, z) \otimes f(y) \\ \downarrow & & \downarrow \\ \text{hom}_{\mathcal{A}}(x, z) \otimes f(x) & \longrightarrow & f(z). \end{array} \quad (6)$$

Note that here, once more, we need no special unitality condition: the map (5) applied to $x = y$, composed with the unit $\mathbf{1} \rightarrow \text{hom}_{\mathcal{A}}(x, x)$, yields automatically the “unit constraint” $\mathbf{1} \otimes f(x) \rightarrow f(x)$: this follows from (6) and the unitality of \mathcal{B} .

\mathcal{M} -functors from \mathcal{A} to \mathcal{B} form a category: a map from f to g is given by a compatible collection of arrows $f(x) \rightarrow g(x)$ in \mathcal{B} for any $x \in \text{Ob}(\mathcal{A})$.

3.3. \mathcal{M} -PRESHEAVES. The category \mathcal{M} is both left and right-tensored over \mathcal{M} . Given an \mathcal{M} -category \mathcal{A} , the opposite category \mathcal{A}^{op} is enriched over \mathcal{M}_{op} , so one has a category of \mathcal{M}_{op} -functors $\text{Fun}_{\mathcal{M}_{\text{op}}}(\mathcal{A}^{\text{op}}, \mathcal{M})$. We will call it *the category of \mathcal{M} -presheaves on \mathcal{A}* and we will denote it $P_{\mathcal{M}}(\mathcal{A})$.

3.3.1. Let us describe explicitly what is an \mathcal{M} -presheaf on \mathcal{A} . This is a map $f : \text{Ob}(\mathcal{A}) \rightarrow \text{Ob}(\mathcal{M})$, together with a compatible collection of maps

$$f(y) \otimes \text{hom}_{\mathcal{A}}(x, y) \rightarrow f(x). \quad (7)$$

3.3.2. Let us show that $P_{\mathcal{M}}(\mathcal{A})$ is left-tensored over \mathcal{M} . Given $f \in P_{\mathcal{M}}(\mathcal{A}) = \text{Fun}_{\mathcal{M}_{\text{op}}}(\mathcal{A}^{\text{op}}, \mathcal{M})$ and $m \in \mathcal{M}$, the presheaf $m \otimes f$ is defined as follows.

It carries an object $x \in \mathcal{A}^{\text{op}}$ to $m \otimes f(x)$. For a pair $x, y \in \text{Ob}(\mathcal{A})$ the map

$$(m \otimes f(y)) \otimes \text{hom}_{\mathcal{A}}(x, y) \rightarrow m \otimes f(x). \quad (8)$$

is obtained from (7) by tensoring with m on the left.

3.3.3. The Yoneda embedding $Y : \mathcal{A} \rightarrow P_{\mathcal{M}}(\mathcal{A})$ is an \mathcal{M} -functor defined as follows.

For $z \in \mathcal{A}$ the presheaf $Y(z)$ carries $x \in \mathcal{A}$ to $\text{hom}_{\mathcal{A}}(x, z) \in \mathcal{M}$. The map (7)

$$Y(z)(y) \otimes \text{hom}_{\mathcal{A}}(x, y) \rightarrow Y(z)(x) \quad (9)$$

is defined by the composition

$$\text{hom}_{\mathcal{A}}(y, z) \otimes \text{hom}_{\mathcal{A}}(x, y) \rightarrow \text{hom}_{\mathcal{A}}(x, z).$$

3.4. LEMMA. *The functor $\text{hom}_{P_{\mathcal{M}}(\mathcal{A})}(Y(x), F)$ is represented by $F(x) \in \mathcal{M}$.*

PROOF. The map of presheaves

$$F(x) \otimes Y(x) \rightarrow F \tag{10}$$

is given by the collection of maps $F(x) \otimes \text{hom}(z, x) \rightarrow F(z)$ which is a part of data for F .

We have to verify that (10) is universal. That is, any map $\alpha : m \otimes Y(x) \rightarrow F$ in $P_{\mathcal{M}}(\mathcal{A})$ comes from a unique map $\tilde{\alpha} : m \rightarrow F(x)$. The map $\tilde{\alpha}$ is the composition

$$m \rightarrow m \otimes \text{hom}_{\mathcal{A}}(x, x) \rightarrow F(x).$$

■

Lemma 3.4 is a version of Yoneda lemma. Theorem 3.6 below saying Yoneda embedding is fully faithful is almost an immediate corollary.

3.5. DEFINITION. An \mathcal{M} -functor $f : \mathcal{A} \rightarrow \mathcal{B}$ from an \mathcal{M} -category to an enriched category is *fully faithful* if for any $x, y \in \mathcal{A}$ the functor $\text{hom}_{\mathcal{B}}(f(x), f(y))$ defined by the formula (2), is represented by $\text{hom}_{\mathcal{A}}(x, y)$.

3.6. THEOREM. *The Yoneda embedding $Y : \mathcal{A} \rightarrow P_{\mathcal{M}}(\mathcal{A})$ is fully faithful for any small \mathcal{M} -category \mathcal{A} .*

PROOF. Let $x, y \in \mathcal{A}$. We have to prove that the canonical map

$$\text{hom}_{\mathcal{A}}(x, y) \otimes Y(x) \rightarrow Y(y)$$

induces a bijection

$$\text{Hom}_{\mathcal{M}}(m, \text{hom}_{\mathcal{A}}(x, y)) \rightarrow \text{Hom}_{P_{\mathcal{M}}(\mathcal{A})}(m \otimes Y(x), Y(y)). \tag{11}$$

This is a special case of Lemma 3.4.

■

4. Universal property of \mathcal{M} -presheaves

In this section we assume $\mathcal{M} \in \text{Alg}(\text{Cat}^L)$.

The Yoneda embedding $Y : \mathcal{A} \rightarrow P_{\mathcal{M}}(\mathcal{A})$ induces, for each left- tensored category \mathcal{B} over \mathcal{M} , a natural map

$$\text{Res} : \text{Fun}_{\mathcal{M}}^L(P_{\mathcal{M}}(\mathcal{A}), \mathcal{B}) \rightarrow \text{Fun}_{\mathcal{M}}(\mathcal{A}, \mathcal{B}). \tag{12}$$

In this section we will show that the above map is an equivalence of categories. In other words, we will prove that $P_{\mathcal{M}}(\mathcal{A})$ is the universal left- tensored category over \mathcal{M} with colimits generated by \mathcal{A} .

4.1. **WEIGHTED COLIMITS.** Let, as usual, \mathcal{A} be \mathcal{M} -category and \mathcal{B} be left-tensored over \mathcal{M} . Given $W \in P_{\mathcal{M}}(\mathcal{A})$ and $F : \mathcal{A} \rightarrow \mathcal{B}$, we define the weighted colimit $Z = \text{colim}_W(F)$ as a object of \mathcal{B} together with a collection of arrows $\alpha_x : W(x) \otimes F(x) \rightarrow Z$ making the diagrams

$$\begin{array}{ccc} W(y) \otimes \text{hom}_{\mathcal{A}}(x, y) \otimes F(x) & \longrightarrow & W(y) \otimes F(y) \\ \downarrow & & \downarrow \alpha_y \\ W(x) \otimes F(x) & \xrightarrow{\alpha_x} & Z \end{array} \quad (13)$$

commutative for each pair $x, y \in \mathcal{A}$, and satisfying an obvious universal property.

It is clear from the above definition that weighted colimits are special kind of colimits, so they always exist.

Weighted colimit is a functor

$$P_{\mathcal{M}}(\mathcal{A}) \times \text{Fun}_{\mathcal{M}}(\mathcal{A}, \mathcal{B}) \rightarrow \mathcal{B}$$

preserving colimits in both arguments.

Weighted colimits are very convenient in presenting presheaves as colimits of representable presheaves. This can be done in a very canonical way: any presheaf $F \in P_{\mathcal{M}}(\mathcal{A})$ is the weighted colimit

$$F = \text{colim}_F(Y),$$

where $Y : \mathcal{A} \rightarrow P_{\mathcal{M}}(\mathcal{A})$ is the Yoneda embedding.

4.2. **THEOREM.** *The functor (12) is an equivalence of categories.*

PROOF. We will construct a functor Ext in the opposite direction. Given $F \in \text{Fun}_{\mathcal{M}}(\mathcal{A}, \mathcal{B})$, we define $Ext(F)$ by the formula

$$Ext(F)(W) = \text{colim}_W(F). \quad (14)$$

It is easily verified that the functors Ext and Res form a pair of equivalences. ■

References

- [GS] R. Garner, M. Shulman, Enriched categories as a free cocompletion, *Adv. Math.*, 289:1–94, 2016.
- [K] G.M. Kelly, *Basic concepts of enriched category theory*, London Math. Soc. Lec. Note Series 64, Cambridge Univ. Press 1982, 245 pp.
- [L.HA] J. Lurie, *Higher algebra*, available at the author's homepage, <http://www.math.harvard.edu/~lurie/papers/higheralgebra.pdf>

Department of Mathematics, University of Haifa, Mount Carmel, Haifa 3498838, Israel

Email: hinich@math.haifa.ac.il

This article may be accessed at <http://www.tac.mta.ca/tac/>

THEORY AND APPLICATIONS OF CATEGORIES (ISSN 1201-561X) will disseminate articles that significantly advance the study of categorical algebra or methods, or that make significant new contributions to mathematical science using categorical methods. The scope of the journal includes: all areas of pure category theory, including higher dimensional categories; applications of category theory to algebra, geometry and topology and other areas of mathematics; applications of category theory to computer science, physics and other mathematical sciences; contributions to scientific knowledge that make use of categorical methods.

Articles appearing in the journal have been carefully and critically refereed under the responsibility of members of the Editorial Board. Only papers judged to be both significant and excellent are accepted for publication.

Full text of the journal is freely available from the journal's server at <http://www.tac.mta.ca/tac/>. It is archived electronically and in printed paper format.

SUBSCRIPTION INFORMATION Individual subscribers receive abstracts of articles by e-mail as they are published. To subscribe, send e-mail to tac@mta.ca including a full name and postal address. For institutional subscription, send enquiries to the Managing Editor, Robert Rosebrugh, rrosebrugh@mta.ca.

INFORMATION FOR AUTHORS The typesetting language of the journal is \TeX , and $\text{\LaTeX}2\text{e}$ is required. Articles in PDF format may be submitted by e-mail directly to a Transmitting Editor. Please obtain detailed information on submission format and style files at <http://www.tac.mta.ca/tac/>.

MANAGING EDITOR. Robert Rosebrugh, Mount Allison University: rrosebrugh@mta.ca

\TeX NICAL EDITOR. Michael Barr, McGill University: barr@math.mcgill.ca

ASSISTANT \TeX EDITOR. Gavin Seal, Ecole Polytechnique Fédérale de Lausanne: gavin.seal@fastmail.fm

TRANSMITTING EDITORS.

Clemens Berger, Université de Nice-Sophia Antipolis: cberger@math.unice.fr

Richard Blute, Université d' Ottawa: rblute@uottawa.ca

Lawrence Breen, Université de Paris 13: breen@math.univ-paris13.fr

Ronald Brown, University of North Wales: [ronnie.profbrown\(at\)btinternet.com](mailto:ronnie.profbrown(at)btinternet.com)

Valeria de Paiva, Nuance Communications Inc: valeria.depaiva@gmail.com

Ezra Getzler, Northwestern University: [getzler\(at\)northwestern\(dot\)edu](mailto:getzler(at)northwestern(dot)edu)

Kathryn Hess, Ecole Polytechnique Fédérale de Lausanne: kathryn.hess@epfl.ch

Martin Hyland, University of Cambridge: M.Hyland@dpms.cam.ac.uk

Anders Kock, University of Aarhus: kock@imf.au.dk

Stephen Lack, Macquarie University: steve.lack@mq.edu.au

F. William Lawvere, State University of New York at Buffalo: wlawvere@buffalo.edu

Tom Leinster, University of Edinburgh: Tom.Leinster@ed.ac.uk

Ieke Moerdijk, Utrecht University: i.moerdijk@uu.nl

Susan Niefield, Union College: niefiels@union.edu

Robert Paré, Dalhousie University: pare@mathstat.dal.ca

Jiri Rosicky, Masaryk University: rosicky@math.muni.cz

Giuseppe Rosolini, Università di Genova: rosolini@disi.unige.it

Alex Simpson, University of Ljubljana: Alex.Simpson@fmf.uni-lj.si

James Stasheff, University of North Carolina: jds@math.upenn.edu

Ross Street, Macquarie University: street@math.mq.edu.au

Walter Tholen, York University: tholen@mathstat.yorku.ca

Myles Tierney, Université du Québec à Montréal: tierney.myles4@gmail.com

R. J. Wood, Dalhousie University: rjwood@mathstat.dal.ca