

# Hochschild homology of finite dimensional algebras

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## 1 Introduction

Let  $A$  be an augmented algebra over a field  $k$ . By definition, the Hochschild homology of  $A$  with coefficients in  $A$  (called here Hochschild homology) is the homology of the Hochschild complex  $(C_*(A), b)$  and is denoted  $HH_*(A) = \bigoplus_{n \geq 0} HH_n(A)$ , [Lo]. We denote  $A = k \oplus \bar{A}$  where  $\bar{A}$  is the augmentation ideal and we assume that  $\bar{A}$  is a finite dimensional  $k$ -vector space. Then each homology group  $HH_n(A)$  is finite dimensional. Recall the following formulas :

$$C_n(A) = A \otimes \bar{A}^{\otimes n}$$

$$b(a_0 \otimes a_1 \dots \otimes a_n) = \sum_{i=0}^{n-1} (-1)^i a_0 \otimes \dots \otimes a_i a_{i+1} \otimes \dots \otimes a_n + (-1)^n a_n a_0 \otimes a_1 \dots \otimes a_{n-1}$$

where  $a_0 \in A$ ,  $a_i \in \bar{A}$  if  $i \geq 1$ .

All the tensor products are over  $k$ .

We introduce the cyclic permutation  $t_n : \bar{A}^{\otimes n} \rightarrow \bar{A}^{\otimes n}$  defined by  $t_n(a_1 \otimes \dots \otimes a_n) = (-1)^{n-1} a_n \otimes a_1 \dots \otimes a_{n-1}$ .

From Loday, [Lo], Proposition 2.2.14, the reduced cyclic homology groups  $HC_n(A) := HC_n(A)/HC_n(k)$  can be computed as the homology groups of the complex  $\bar{A}^{\otimes(n+1)}/(Id - t_{n+1})$  endowed with the differential induced by  $b$ , when  $\text{char} k = 0$ , or  $\text{char} k = p$  and  $n < p - 1$ .

## 2 Characterization of the trivial algebra structure

**Proposition 2.1** [Ro] *Let  $A$  be an augmented algebra, where the augmentation ideal  $\bar{A}$  has finite dimension  $d$  and satisfies  $\bar{A} \cdot \bar{A} = 0$ , then*

1.  $HH_n(A) = \text{Coker}(Id - t_{n+1}) \oplus \text{Ker}(Id - t_n)$  as  $k$ -vector spaces for all  $n > 0$

2. if  $\text{char } k = 0$ ,  $H\tilde{C}_n(A) = \text{Coker}(\text{Id} - t_{n+1})$  for all  $n > 0$ .

3. if  $\text{char } k = 0$ , for all  $n \geq 2$ ,

$$a_{n-1} = \dim HC_{n-1}(A) = (1/n) \sum_{i=1}^n (-1)^{(n-1)i} d^{q(i,n)}$$

where  $q(i, n) = \text{g.c.d.}(i, n)$ .

4. if  $\text{char } k = 0$ , then  $\dim HH_n(A) = a_n + a_{n-1}$  for all  $n \geq 1$  and  $a_0 = d$ .

**Corollary 2.2** Let  $A$  be an augmented algebra, where the augmentation ideal  $\bar{A}$  has finite dimension  $d$ ,  $d \geq 1$  and satisfies  $\bar{A} \cdot \bar{A} = 0$ , then

1.  $HH_n(A) \neq 0$  for all  $n > 0$

2. if  $\text{char } k = 0$ ,  $\lim_{n \rightarrow \infty} \sqrt[n]{\dim HH_n(A)} = d$ .

**Theorem 2.3** Let  $A$  be an augmented algebra over a field  $k$ . Let  $\bar{A}$  be its augmentation ideal, with  $\dim_k \bar{A}$  finite. Let  $A_t = k \oplus \bar{A}_t$  be the augmented algebra with trivial multiplication on  $\bar{A}_t$  and  $\bar{A} = \bar{A}_t$  as  $k$ -vector space. We assume that  $\text{char } k = 0$ , or  $\text{char } k \neq 0$  and there exists  $N \geq 2$  such that  $\bar{A}^N = 0$  in  $A$ ; then we have

1.  $\dim HH_n(A) \leq \dim HH_n(A_t)$  for all  $n \geq 0$

2.  $\dim HC_n(A) \leq \dim HC_n(A_t)$  for all  $n \geq 0$

**Proof:** We define an increasing filtration  $A_k$  on  $A$

$$A_k = A \quad \text{if } k \geq 0, \quad A_{-p} = \bar{A}^p \quad \text{if } p \geq 1$$

We filter  $\bar{A}$  by

$$\bar{A}_k = \bar{A} \quad \text{if } k \geq 0, \quad \bar{A}_{-p} = \bar{A}^p \quad \text{if } p \geq 1$$

This allows us to filter the Hochschild complex as follows :

$$\begin{aligned} \mathcal{F}_k(C_n(A)) &= \sum_{k_0+k_1+\dots+k_n \leq k} A_{k_0} \otimes \bar{A}_{k_1} \otimes \dots \otimes \bar{A}_{k_n} & \text{for } k < 0 \\ \mathcal{F}_k(C_n(A)) &= C_n(A) & \text{for } k \geq 0 \end{aligned}$$

With the additional hypothesis that there exists  $N \geq 2$  such that  $\bar{A}^N = 0$ , we get  $\mathcal{F}_k(C_n(A)) = 0$  for  $k < -(n+1)N$ . This filtration gives rise to a spectral sequence  $(E_{**}^r, d^r)$  converging to  $HH_*(A)$  with

$$\bigoplus_p E_{-p, n+p}^0 = C_n(B)$$

$$B = k \oplus \frac{\bar{A}}{\bar{A}^2} \oplus \frac{\bar{A}^2}{\bar{A}^3} \oplus \dots$$

We check that  $d^0((\lambda + \bar{a}_0) \otimes \bar{a}_1 \dots \otimes \bar{a}_n) = \lambda(Id - t_n)(\bar{a}_1 \dots \otimes \bar{a}_n)$  where  $\bar{a}_i \in \oplus \bar{A}^p / \bar{A}^{p+1}$  for  $i \geq 0$ .

So we have  $\oplus_p E_{-p, n+p}^1 = HH_n(B)$  with  $B$  isomorphic to  $A_t$  as algebras.

A general fact about convergent spectral sequences implies that

$$\dim HH_n(A) \leq \dim HH_n(A_t).$$

To prove 2), we use the reduced bicomplex  $\bar{B}(A)$  to compute cyclic homology ([Lo], page 58), and we define on it an increasing filtration as above. ■

Now, we are interested in algebras  $A$  for which inequality 1 or 2 of theorem 2.3 becomes an equality.

**Theorem 2.4** *Let  $A$  be an augmented algebra over a characteristic zero field  $k$ . Let  $\bar{A}$  be its augmentation ideal. We assume that  $\bar{A}$  is a finite dimensional  $k$ -vector space. Let  $A_t = k \oplus \bar{A}_t$  be the augmented algebra with trivial multiplication on  $\bar{A}_t$ , and  $\bar{A}_t = \bar{A}$  as  $k$ -vector space. Suppose that there exists  $n \geq 1$  such that*

$$\dim HC_n(A) = \dim HC_n(A_t)$$

*then  $A$  is commutative and is isomorphic to  $A = S/I$  where  $S$  is the polynomial algebra  $k[X_1, \dots, X_m]$  and  $I$  is generated by*

$$f_i = X_i^2 - \lambda_i X_i, \quad 1 \leq i \leq m, \quad g_{ij} = X_i X_j - \frac{\lambda_j}{2} X_i - \frac{\lambda_i}{2} X_j, \quad 1 \leq i < j \leq m, \quad \text{and} \quad \lambda_j \in k.$$

**Proof:** It is a refinement of the proof of theorem 1.4 of [Vi]. ■

**Corollary 2.5** *Let  $A$  be an augmented algebra over a characteristic zero field  $k$ . Let  $\bar{A}$  be its augmentation ideal. We assume that  $\bar{A}$  is a finite dimensional  $k$ -vector space and there exists  $N \geq 2$  such that  $\bar{A}^N = 0$ , and  $\bar{A} \neq 0$ . Let  $A_t = k \oplus \bar{A}_t$  be the augmented algebra with trivial multiplication on  $\bar{A}_t$ , and  $\bar{A}_t = \bar{A}$  as  $k$ -vector space. Suppose that there exists  $n \geq 1$  such that*

$$\dim HC_n(A) = \dim HC_n(A_t)$$

*then the multiplication is trivial in the augmented algebra  $A$ , (namely,  $A$  is isomorphic to  $A_t$ , as augmented algebras).*

**Proof:**

The hypothesis  $\bar{A}^N = 0$  implies  $\lambda_i = 0, 1 \leq i \leq m$  so that  $x^2 = 0$ , for any  $x \in \bar{A}$ . ■

**Remark** Theorem 2.4 and Corollary 2.5 remain valid if  $\text{char} k = p, p > 0$ , and  $n < p - 2$ .

**Example 2.6** Let  $A = k[X]/(X^2 - X)$  and  $A_t = k[X]/X^2$ . We check, [B-V], that

$$\begin{aligned} HC_{2n}^{\tilde{}}(A_t) &= HC_{2n}^{\tilde{}}(A) = k \\ HC_{2n+1}(A_t) &= HC_{2n+1}(A) = 0 \\ HH_n(A_t) &= k \quad \text{for all } n > 0 \\ HH_n(A) &= 0 \quad \text{for all } n > 0 \end{aligned}$$

This shows that the hypothesis  $\bar{A}^N = 0$  cannot be omitted in corollary 2.5. On the other hand, the Hochschild homology groups of  $A_t$  and  $A$  are quite distinct.

This observation leads us to hope that the equality of the dimensions of one Hochschild homology group of  $A$  and  $A_t$  characterizes the trivial product.

**Theorem 2.7** *Let  $A$  be an augmented algebra over a characteristic zero field  $k$ . Let  $\bar{A}$  be its augmentation ideal and we assume that  $\bar{A}$  has finite dimension. Let  $A_t = k \oplus \bar{A}_t$  be the augmented algebra with trivial multiplication on  $\bar{A}_t$  and  $\bar{A}_t = \bar{A}$  as  $k$ -vector space. Suppose that there exists  $n \geq 1$  such that*

$$HH_n(A) = HH_n(A_t)$$

*Then the multiplication is trivial in the augmented algebra  $A$  (namely  $A$  is isomorphic to  $A_t$  as augmented algebras).*

**Proof:** It is analogous to the proof of theorem 1.6 in [Vi] but here we do not assume that  $A$  is commutative. ■

**Remark** Theorem 2.7 remains valid if  $\text{char } k = p > 3$ , and  $1 \leq n < p - 1$ .

### 3 Examples and remarks

Let  $A$  be an augmented algebra over a field  $k$  of characteristic zero. We assume that the augmentation ideal  $\bar{A}$  has finite dimension  $d$ ,  $d \geq 2$ .

We have seen, in §§2, that if  $\bar{A} \cdot \bar{A} = 0$ , then

$$\lim_{n \rightarrow \infty} \sqrt[n]{\dim HH_n(A)} = d.$$

**Proposition 3.1** *Let  $A$  be the quotient of a polynomial algebra  $k[X_1, \dots, X_r]$  by an ideal generated by a regular sequence  $(f_1, \dots, f_r)$  where  $f_i \in \mathfrak{m}^2$ , for all  $i$ , and  $\mathfrak{m} = (X_1, \dots, X_r)$ . Then there exist constants  $K_1$  and  $K_2$ , such that*

$$K_2 \cdot n^r \leq \sum_{0 \leq p \leq n} \dim HH_p(A) \leq K_1 \cdot n^r$$

**Proof:** It relies on results proved in [B-V]. ■

**Definition** An algebra satisfying the hypothesis of proposition 3.1 is called a complete intersection.

**Proposition 3.2** *Let  $A$  be a finite dimensional smooth commutative algebra, then we have*

$$\dim HH_n(A) = 0 \quad \text{for all } n > 0.$$

**Proof:** It is a direct consequence of the Hochschild-Kostant-Rosenberg, [H-K-R]. ■

**Conjecture 3.3** *Let  $A$  be an augmented commutative algebra over a field, where the augmentation ideal has finite dimension  $d$ ,  $d \geq 2$ . If  $A$  is neither smooth nor a complete intersection, then there exist real numbers  $C_1, C_2$ ,  $1 < C_2 \leq C_1 \leq d$  such that*

$$C_2^n \leq \sum_{0 \leq p \leq n} \dim HH_p(A) \leq C_1^n$$

**Example 3.4**  $A = k[x]/x^2 \times_k B$

$A$  is the fiber product over  $k$  of  $k[x]/x^2$  and  $B$ , where  $B$  is a finite dimensional augmented commutative algebra which is not smooth.

The fact that  $B$  is not smooth implies that there exists  $y \in \bar{B}$  and  $y \notin \bar{B}^2$ . Consider  $X = (x, 0) \in \bar{A}$  and  $Y = (0, y) \in \bar{A}$ ; we have  $X^2 = XY = 0$ .

Proposition 9 of [La] implies that for  $n = 4m, m \geq 1$ ,  $\dim HH_n(A) \geq 2^{m-1}$ . So we have:

$$C_2^n \leq \sum_{0 \leq p \leq n} \dim HH_p(A) \leq C_1^n$$

where  $C_2 = \sqrt[4]{2}$  and  $C_1 \approx 1 + \dim \bar{B}$ .

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