

## ON A SUBCLASS OF HARMONIC MAPPINGS

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*Dedicated to the retirement of Professor Shigeyoshi Owa*

ABSTRACT. In the present paper we extend the fundamental property that if  $h(z)$  and  $g(z)$  are regular functions in the open unit disc  $\mathbb{D}$  with the properties  $h(0) = g(0) = 0$ ,  $h(z)$  maps  $\mathbb{D}$  onto  $\lambda$ -spiral region and  $\operatorname{Re}\left\{e^{i\lambda\frac{g'(z)}{h'(z)}}\right\} > 0$ , then  $\operatorname{Re}\left\{e^{i\lambda\frac{g(z)}{h(z)}}\right\} > 0$ , and then give some applications of this to the harmonic functions.

2000 *Mathematics Subject Classification*: Primary 30C45, Secondary 30C55.

### 1. INTRODUCTION

A planar harmonic mapping in the unit disc  $\mathbb{D} = \{z \in \mathbb{C} \mid |z| < 1\}$  is a complex-valued harmonic function  $f$  which maps  $\mathbb{D}$  onto some planar domain  $f(\mathbb{D})$ . Since  $\mathbb{D}$  is simply connected, the mapping  $f$  has a canonical decomposition  $f = h + \bar{g}$ , where  $h$  and  $g$  are analytic in  $\mathbb{D}$ , as usual, we call  $h$  the analytic part of  $f$  and  $g$  the co-analytic part of  $f$ . An elegant and complete account of the theory of planar harmonic mapping is given in Duren's monograph [2].

Lewy [4] proved in 1936 that the harmonic function  $f$  is locally univalent in a simply connected domain  $\mathcal{D}_1$  if and only if its Jacobian

$$J_f(z) = |h'(z)|^2 - |g'(z)|^2 > 0$$

is different from zero in  $\mathcal{D}_1$ . In view of this result, locally univalent harmonic mappings in the unit disc are either sense-reversing if

$$|g'(z)| > |h'(z)|$$

in  $\mathcal{D}_1$  or sense-preserving if

$$|g'(z)| < |h'(z)|$$

in  $\mathcal{D}_1$ . Throughout this paper we will restrict ourselves to the study of sense-preserving harmonic mappings. However, since  $f$  is sense-preserving if and only if  $\bar{f}$

is sense-reserving, all the results obtained in this article regarding sense-preserving harmonic mappings can be adapted to sense-reversing ones. Note that  $f = h + \bar{g}$  is sense-preserving in  $\mathbb{D}$  if and only if  $h'(z)$  does not vanish in the unit disc and the second-complex dilatation  $w(z) = \frac{g'(z)}{h'(z)}$  has the property  $|w(z)| < 1$  in  $\mathbb{D}$ . Therefore we can take  $h(z) = z + a_2z^2 + \dots$ ,  $g(z) = b_1z + b_2z^2 + \dots$ . Thus the class of all harmonic mappings being sense-preserving in the unit disc can be defined by

$$\mathcal{S}_{\mathcal{H}} = \left\{ f = h(z) + \overline{g(z)} \mid h(z) = z + a_2z^2 + \dots, \right. \\ \left. g(z) = b_1z + b_2z^2 + \dots, f \text{ sense-preserving} \right\}.$$

Thus  $\mathcal{S}_{\mathcal{H}}$  contains the standard class  $\mathcal{S}$  of analytic univalent functions.

Let  $\Omega$  be the family of functions  $\phi(z)$  which are regular in  $\mathbb{D}$  and satisfying the conditions  $\phi(0) = 0$ ,  $|\phi(z)| < 1$  for all  $z \in \mathbb{D}$ . Denote by  $\mathcal{P}$ , the family of functions  $p(z) = 1 + p_1z + p_2z^2 + \dots$  which are regular in  $\mathbb{D}$  such that

$$p(z) = \frac{1 + \phi(z)}{1 - \phi(z)}$$

for some function  $\phi(z) \in \Omega$  for all  $z \in \mathbb{D}$ .

Next, let  $\mathcal{S}^*(\lambda)$  denote the family of functions  $s(z) = z + c_2z^2 + c_3z^3 + \dots$  which are regular in  $\mathbb{D}$  such that

$$e^{i\lambda} z \frac{s'(z)}{s(z)} = (\cos \lambda)p(z) + i \sin \lambda \quad \left( |\lambda| < \frac{\pi}{2} \right)$$

for some  $p(z) \in \mathcal{P}$  for all  $z \in \mathbb{D}$ .

Let  $s_1(z) = z + \alpha_2z^2 + \alpha_3z^3 + \dots$  and  $s_2(z) = z + \beta_2z^2 + \beta_3z^3 + \dots$  be analytic functions in  $\mathbb{D}$ . If there exists  $\phi(z) \in \Omega$  such that  $s_1(z) = s_2(\phi(z))$  for all  $z \in \mathbb{D}$ . Then we say that  $s_1(z)$  is subordinate to  $s_2(z)$  and we write  $s_1(z) \prec s_2(z)$ , then  $s_1(\mathbb{D}) \subset s_2(\mathbb{D})$ .

Now, we consider the following class of harmonic mappings in the plane:

$$\mathcal{S}_{\mathcal{HS}}^*(\lambda) = \left\{ f = h(z) + \overline{g(z)} \mid h(z) \in \mathcal{S}^*(\lambda), \right. \\ \left. \operatorname{Re}(e^{i\lambda} w(z)) = \operatorname{Re} \left( e^{i\lambda} \frac{g'(z)}{h'(z)} \right) > 0 \right\}.$$

In the present paper we investigate the class  $\mathcal{S}_{\mathcal{HS}}^*(\lambda)$ .

## 2. MAIN RESULTS

**Lemma 1.** *Let  $h(z)$  be an element of  $\mathcal{S}^*(\lambda)$ , then*

$$r\mathfrak{A}(\lambda, -r) \leq |h(z)| \leq r\mathfrak{A}(\lambda, r), \quad |z| = r < 1, |\lambda| < \pi/2 \quad (1)$$

where

$$\mathfrak{A}(\lambda, r) = \frac{(1+r)^{\cos \lambda(1-\cos \lambda)}}{(1-r)^{\cos \lambda(1+\cos \lambda)}}.$$

This inequality is sharp because the extremal function is

$$h_*(z) = \frac{z}{(1-z)^{2(\cos \lambda)e^{-i\lambda}}}. \quad (2)$$

*Proof.* Since  $h(z) \in \mathcal{S}^*(\lambda)$ , then

$$e^{i\lambda} z \frac{h'(z)}{h(z)} = (\cos \lambda)p(z) + i \sin \lambda \quad \left( |\lambda| < \frac{\pi}{2}, z \in \mathbb{D} \right).$$

Thus, we have

$$e^{i\lambda} z \frac{h'(z)}{h(z)} = (\cos \lambda) \frac{1 + \phi(z)}{1 - \phi(z)} + i \sin \lambda$$

or

$$z \frac{h'(z)}{h(z)} \prec \frac{1 + e^{-2i\lambda} z}{1 - z}. \quad (3)$$

Geometrically, the meaning of the relation (3) is that the image of  $\mathbb{D}$  lies inside the open disc with the center  $C(r) = \left( \frac{1 + (\cos 2\lambda)r^2}{1 - r^2}, -\frac{\sin 2\lambda}{1 - r^2} \right)$  and the radius  $\rho(r) = \frac{2(\cos \lambda)r}{1 - r^2}$ . Therefore we have

$$\left| z \frac{h'(z)}{h(z)} - \frac{1 + e^{-2i\lambda} r^2}{1 - r^2} \right| \leq \frac{2(\cos \lambda)r}{1 - r^2}$$

which gives

$$\frac{1 - 2(\cos \lambda)r + (\cos 2\lambda)r^2}{r(1 - r^2)} \leq \frac{\partial}{\partial r} \log |h(z)| \leq \frac{1 + 2(\cos \lambda)r + (\cos 2\lambda)r^2}{r(1 - r^2)}, \quad (4)$$

integrating the last inequality (4) from 0 to  $r$  we obtain (1).  $\square$

**Corollary 2.** *If  $h(z) \in \mathcal{S}^*(\lambda)$ , then*

$$\frac{1}{\mathfrak{B}(\lambda, r)} \leq \left| z \frac{h'(z)}{h(z)} \right| \leq \mathfrak{B}(\lambda, r), \quad |\lambda| < \pi/2, \quad |z| = r < 1 \quad (5)$$

where

$$\mathfrak{B}(\lambda, r) = \frac{\sqrt{(1-r^2)^2 + 4(\cos^2 \lambda)r^2} + 2(\cos \lambda)r}{1-r^2}.$$

*This inequality sharp because the extremal function is given by (2).*

**Corollary 3.** *If  $h(z) \in \mathcal{S}^*(\lambda)$ , then*

$$\frac{\mathfrak{A}(\lambda, -r)}{\mathfrak{B}(\lambda, r)} \leq |h'(z)| \leq \mathfrak{A}(\lambda, r)\mathfrak{B}(\lambda, r), \quad |\lambda| < \pi/2, \quad |z| = r < 1 \quad (6)$$

where

$$\mathfrak{A}(\lambda, r) = \frac{(1+r)^{\cos \lambda(1-\cos \lambda)}}{(1-r)^{\cos \lambda(1+\cos \lambda)}}.$$

and

$$\mathfrak{B}(\lambda, r) = \frac{\sqrt{(1-r^2)^2 + 4(\cos^2 \lambda)r^2} + 2(\cos \lambda)r}{1-r^2}.$$

*This inequality sharp because the extremal function is given by (2).*

Corollary 2 and Corollary 3 are simple consequences of Lemma 1.

**Theorem 4.** *Let  $f = h(z) + \overline{g(z)}$  be an element of  $\mathcal{S}_{\mathcal{HS}}^*(\lambda)$ , then  $\frac{g(z)}{h(z)} \in \mathcal{P}$  for all  $z \in \mathbb{D}$ .*

*Proof.* Since  $f = h(z) + \overline{g(z)} \in \mathcal{S}_{\mathcal{HS}}^*(\lambda)$  satisfies the condition

$$\operatorname{Re} \left( e^{i\lambda} \frac{g'(z)}{h'(z)} \right) > 0,$$

we have

$$\frac{\frac{1}{b_1}g'(z)}{h'(z)} = \frac{1 + e^{-2i\lambda}\phi(z)}{1 - \phi(z)}, \quad \phi \in \Omega$$

or

$$\frac{\frac{1}{b_1}g'(z)}{h'(z)} \prec \frac{1 + e^{-2i\lambda}z}{1 - z} \quad (7)$$

for all  $z \in \mathbb{D}$ . Now, we define the function

$$\frac{G(z)}{h(z)} = \frac{\frac{1}{b_1}g(z)}{h(z)} = \frac{1 + e^{-2i\lambda}\phi(z)}{1 - \phi(z)} \Leftrightarrow \frac{G(z)}{h(z)} \prec \frac{1 + e^{-2i\lambda}z}{1 - z} \quad (8)$$

for all  $z \in \mathbb{D}$ . Then  $\phi(z)$  is analytic in  $\mathbb{D}$  and  $\phi(0) = 0$ . Taking the logarithmic differentiation in both sides of (8), we have that

$$\frac{G(z)}{h(z)} = \frac{G'(z)}{h'(z)} - \frac{ce^{i\lambda}z\phi'(z)}{(1-\phi(z))^2} \frac{h(z)}{e^{i\lambda}zh'(z)}, \quad (9)$$

where  $c = 1 + e^{-2i\lambda}$ . On the other hand, since  $h(z)$  is  $\lambda$ -spirallike, then we have

$$\frac{h(z)}{e^{i\lambda}zh'(z)} = \frac{1-\phi(z)}{e^{i\lambda} + e^{-i\lambda}\phi(z)}. \quad (10)$$

Considering (7), (8), (9) and (10) together we obtain

$$F(z) = \frac{G(z)}{h(z)} = \frac{1 + e^{-2i\lambda}\phi(z)}{1 - \phi(z)} - \frac{cz\phi'(z)}{(1 - \phi(z))(1 + e^{-2i\lambda}\phi(z))}. \quad (11)$$

Now, it is easy to realize that the subordination (8) is equivalent to  $|\phi(z)| < 1$  for all  $z \in \mathbb{D}$ . Indeed, assume the contrary: there exists a  $z_1 \in \mathbb{D}$  such that  $|\phi(z_1)| = 1$ . Then by Jack's Lemma [3],  $z_1\phi'(z_1) = k\phi(z_1)$  for some real  $k \geq 1$ . For such  $z_1$ , we have

$$\begin{aligned} F(z_1) &= \frac{G(z_1)}{h(z_1)} = \frac{1 + e^{-2i\lambda}\phi(z_1)}{1 - \phi(z_1)} - \frac{ck\phi(z_1)}{(1 - \phi(z_1))(1 + e^{-2i\lambda}\phi(z_1))} \\ &= F(\phi(z_1)) \notin F(\mathbb{D}), \end{aligned}$$

because  $|\phi(z_1)| = 1$  and  $k \geq 1$ . But this contradicts  $F(z) = \frac{G(z)}{h(z)} \prec \frac{1+e^{-2i\lambda}z}{1-z}$ , so the assumption is wrong, i.e.,  $|\phi(z)| < 1$  for every  $z \in \mathbb{D}$ .  $\square$

**Theorem 5.** Let  $f = h(z) + \overline{g(z)}$  be an element of  $\mathcal{S}_{\mathcal{H}\mathcal{S}}^*(\lambda)$ , then

$$\frac{|b_1|\mathfrak{A}(\lambda, -r)}{\mathfrak{B}^2(\lambda, r)} \leq |g'(z)| \leq |b_1|\mathfrak{A}(\lambda, r)\mathfrak{B}^2(\lambda, r), \quad (12)$$

where

$$\mathfrak{A}(\lambda, r) = \frac{(1+r)^{\cos\lambda(1-\cos\lambda)}}{(1-r)^{\cos\lambda(1+\cos\lambda)}},$$

and

$$\mathfrak{B}(\lambda, r) = \frac{\sqrt{(1-r^2)^2 + 4(\cos^2\lambda)r^2} + 2(\cos\lambda)r}{1-r^2}$$

for all  $|z| = r < 1$ .

*Proof.* Since

$$e^{i\lambda} \frac{g'(z)}{h'(z)} = (\cos \lambda)p(z) + i \sin \lambda$$

then we have

$$e^{i\lambda} \frac{g'(z)}{h'(z)} = (\cos \lambda) \frac{1 + \phi(z)}{1 - \phi(z)} + i \sin \lambda \quad (\phi \in \Omega).$$

Thus

$$\frac{1}{b_1} \frac{g'(z)}{h'(z)} = \frac{1 + e^{-2i\lambda}\phi(z)}{1 - \phi(z)}$$

or

$$\frac{1}{b_1 \cos \lambda} \left( e^{i\lambda} \frac{g'(z)}{h'(z)} - ib_1 \sin \lambda \right) = p(z) \quad (13)$$

for all  $z \in \mathbb{D}$ . On the other hand, since  $p(z) \in \mathcal{P}$ , we know that

$$\left| p(z) - \frac{1 + r^2}{1 - r^2} \right| \leq \frac{2r}{1 - r^2} \quad (|z| = r < 1).$$

Therefore, we have

$$\left| \frac{g'(z)}{h'(z)} - \frac{b_1(1 + e^{-2i\lambda}r^2)}{1 - r^2} \right| \leq \frac{|b_1|2(\cos \lambda)r}{1 - r^2}$$

or

$$\begin{aligned} \frac{|b_1| (|1 + e^{-2i\lambda}r^2| - 2(\cos \lambda)r)}{1 - r^2} &\leq \left| \frac{g'(z)}{h'(z)} \right| \\ &\leq \frac{|b_1| (|1 + e^{-2i\lambda}r^2| + 2(\cos \lambda)r)}{1 - r^2}. \end{aligned} \quad (14)$$

We note that the inequality (14) can be written in the form

$$|b_1| \frac{|h'(z)|}{\mathfrak{B}(\lambda, r)} \leq |g'(z)| \leq |b_1| \mathfrak{B}(\lambda, r) |h'(z)|. \quad (15)$$

Using Corollary 3 in the inequality (15) we get (12).  $\square$

**Theorem 6.** *If  $f = h(z) + \overline{g(z)}$  be an element of  $\mathcal{S}_{HS}^*(\lambda)$ , then*

$$\begin{aligned} \frac{\mathfrak{A}^2(\lambda, -r)}{\mathfrak{B}^2(\lambda, r)} \frac{(1 + |b_1|r)^2 - (|b_1| + r)^2}{(1 + |b_1|r)^2} &\leq |J_f| \\ &\leq (\mathfrak{A}(\lambda, r)\mathfrak{B}(\lambda, r))^2 \frac{(1 - |b_1|r)^2 - (|b_1| - r)^2}{(1 - |b_1|r)^2} \end{aligned} \quad (16)$$

for all  $|z| = r < 1$ , and functions  $\mathfrak{A}$  and  $\mathfrak{B}$  are defined in Corollary 3.

*Proof.* Since

$$e^{i\lambda} \frac{g'(z)}{h'(z)} = e^{i\lambda} \frac{(b_1z + b_2z^2 + \dots)'}{(z + a_2z^2 + \dots)'} = e^{i\lambda} \frac{b_1 + 2b_2z + \dots}{1 + 2a_2z + \dots} = \omega(z),$$

then  $\omega(0) = e^{i\lambda}b_1 = b$ . Thus

$$\left| e^{i\lambda} \frac{g'(z)}{h'(z)} \right| = |\omega(z)| < 1,$$

then the function

$$\varphi(z) = \frac{\omega(z) - \omega(0)}{1 - \overline{\omega(0)}\omega(z)}$$

satisfies the conditions of Schwarz lemma, thus we have

$$\omega(z) = e^{i\lambda} \frac{g'(z)}{h'(z)} = \frac{b + \varphi(z)}{1 + \overline{b}\varphi(z)} \Leftrightarrow e^{i\lambda} \frac{g'(z)}{h'(z)} \prec \frac{b + z}{1 + \overline{b}z}$$

for all  $z \in \mathbb{D}$ . On the other hand the transformation  $W(z) = \frac{b+z}{1+\overline{b}z}$  maps  $|z| = r$  onto the disc with the center

$$C(r) = \left( \frac{\alpha_1(1-r^2)}{1 - (\alpha_1^2 + \alpha_2^2)r^2}, \frac{\alpha_2(1-r^2)}{1 - (\alpha_1^2 + \alpha_2^2)r^2} \right)$$

and the radius

$$\rho(r) = \frac{(1 - (\alpha_1^2 + \alpha_2^2))r}{1 - (\alpha_1^2 + \alpha_2^2)r^2},$$

where  $\alpha_1 = \operatorname{Re}b = \operatorname{Re}(e^{i\alpha}b_1)$ ,  $\alpha_2 = \operatorname{Im}b = \operatorname{Im}(e^{i\alpha}b_1)$ . Therefore, using the subordination principle, we can write

$$\left| \frac{g'(z)}{h'(z)} - \frac{b_1(1-r^2)}{1 - |b_1|^2r^2} \right| \leq \frac{(1 - |b_1|^2)r}{1 - |b_1|^2r^2}. \quad (17)$$

After the straightforward calculations from (17) we obtain the following inequality

$$\begin{aligned} \frac{(1 + |b_1|r)^2 - (|b_1| + r)^2}{(1 + |b_1|r)^2} &\leq \left( 1 - \left| \frac{g'(z)}{h'(z)} \right|^2 \right) \\ &\leq \frac{(1 - |b_1|r)^2 - (|b_1| - r)^2}{(1 + |b_1|r)^2}, \end{aligned} \quad (18)$$

and than we have

$$\begin{aligned} |h'(z)| \frac{(1 + |b_1|r)^2 - (|b_1| + r)^2}{(1 + |b_1|r)^2} &\leq J_f = |h'(z)| \left( 1 - \left| \frac{g'(z)}{h'(z)} \right|^2 \right) \\ &\leq |h'(z)| \frac{(1 - |b_1|r)^2 - (|b_1| - r)^2}{(1 - |b_1|r)^2} \end{aligned} \quad (19)$$

for all  $|z| = r < 1$ . Using the Corollary 3 in the inequality (19) we get (16).  $\square$

**Corollary 7.** Let  $f = h(z) + \overline{g(z)}$  be an element of  $\mathcal{S}_{\mathcal{HS}}^*(\lambda)$ , then

$$\begin{aligned} & \int_0^r \frac{\mathfrak{A}(\lambda, -\rho)}{\mathfrak{B}(\lambda, \rho)} \frac{(1-\rho)(1-|b_1|)}{1+|b_1|\rho} d\rho \leq |f| \\ & \leq \int_0^r \mathfrak{A}(\lambda, \rho) \mathfrak{B}(\lambda, \rho) \frac{(1+\rho)(1+|b_1|)}{1+|b_1|\rho} d\rho \end{aligned} \quad (20)$$

for  $|z| = r < 1$ , where  $\mathfrak{A}$  and  $\mathfrak{B}$  are defined in Corollary 3.

*Proof.* Since

$$\left| \frac{g'(z)}{h'(z)} - \frac{b_1(1-r^2)}{1-|b_1|^2 r^2} \right| \leq \frac{(1-|b_1|^2)r}{1-|b_1|^2 r^2}$$

then we have

$$\frac{(1-r)(1+|b_1|)}{1-|b_1|r} \leq 1 + \left| \frac{g'(z)}{h'(z)} \right| \leq \frac{(1+r)(1+|b_1|)}{1+|b_1|r} \quad (21)$$

and

$$\frac{(1-r)(1-|b_1|)}{1+|b_1|r} \leq 1 - \left| \frac{g'(z)}{h'(z)} \right| \leq \frac{(1+r)(1-|b_1|)}{1-|b_1|r}. \quad (22)$$

On the other hand since  $f = h(z) + \overline{g(z)}$  is a sense-preserving mapping, then

$$(|h'(z)| - |g'(z)|)|dz| \leq |df| \leq (|h'(z)| + |g'(z)|)|dz|. \quad (23)$$

Using (21), (22), (23) and Corollary 3, we get the desired result.  $\square$

**Theorem 8.** Let  $f = h(z) + \overline{g(z)}$  be an element of  $\mathcal{S}_{\mathcal{HS}}^*(\lambda)$ , then

$$\sum_{k=2}^n k^2 |b_k - b_1 a_k|^2 \leq |1 - b_1|^2 + \sum_{k=2}^n k^2 |a_k - b_k b_1|^2. \quad (24)$$

*Proof.* The proof of this theorem is based on the Clunie method [1]. Since

$$e^{i\lambda} \frac{g'(z)}{h'(z)} \prec \frac{b+z}{1+\bar{b}z} \Leftrightarrow e^{i\lambda} \frac{g'(z)}{h'(z)} = \frac{b+\varphi(z)}{1+\bar{b}\varphi(z)}$$

then we obtain

$$e^{i\lambda} (g'(z) - h'(z)) = (h'(z) - b_1 g'(z)) \varphi(z). \quad (25)$$

The equality (25) can be written in the form

$$\sum_{k=2}^n e^{i\lambda} k (b_k - a_k b_1) z^k + \sum_{k=n+1}^{\infty} d_k z^k = \left[ 1 - b_1^2 + \sum_{k=2}^n k (a_k - b_k b_1) z^k \right] \varphi(z). \quad (26)$$



Since (26) has the form  $K(z) = L(z)\varphi(z)$ , where  $|\varphi(z)| < 1$ , it follows that

$$\frac{1}{2\pi} \int_0^{2\pi} |K(re^{i\theta})|^2 d\theta \leq \frac{1}{2\pi} \int_0^{2\pi} |L(re^{i\theta})|^2 d\theta \quad (27)$$

for each  $r$  ( $0 < r < 1$ ). Expressing (27) in the terms of the coefficients in (26), we obtain the inequality

$$\sum_{k=2}^n k^2 |b_k - a_k b_1|^2 r^{2n} + \sum_{k=n+1}^{\infty} |d_k|^2 r^{2n} \leq |1 - b_1|^2 + \sum_{k=2}^n k^2 |a_k - b_1 b_k|^2 r^{2n}. \quad (28)$$

In particular (28) implies

$$\sum_{k=2}^n k^2 |b_k - a_k b_1|^2 r^{2n} \leq |1 - b_1|^2 + \sum_{k=2}^n k^2 |a_k - b_1 b_k|^2 r^{2n}. \quad (29)$$

By letting  $r \rightarrow 1$  in (29), we conclude that

$$\sum_{k=2}^n k^2 |b_k - b_1 a_k|^2 \leq |1 - b_1|^2 + \sum_{k=2}^n k^2 |a_k - b_k b_1|^2.$$

□

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