

SOME ALGEBRAIC RELATIONS ON AN INTEGER SEQUENCE WITH FIXED PARAMETER

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ABSTRACT. Let $a \geq 2$ be an integer. In this work we set an integer sequence $U_n^a = U_n(a + 1, a)$ and deduced some algebraic relations on it.

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1. PRELIMINARIES

Let p and q be two non-zero integers and let $d = p^2 - 4q \neq 0$ (to exclude a degenerate case). We set the integer sequences U_n and V_n to be

$$\begin{aligned} U_n &= U_n(p, q) = pU_{n-1} - qU_{n-2} \\ V_n &= V_n(p, q) = pV_{n-1} - qV_{n-2} \end{aligned} \quad (1)$$

for $n \geq 2$ with $U_0 = 0, U_1 = 1, V_0 = 2$ and $V_1 = p$. The characteristic equation of (1) is

$$x^2 - px + q = 0$$

and hence the roots are

$$\alpha = \frac{p + \sqrt{d}}{2} \quad \text{and} \quad \beta = \frac{p - \sqrt{d}}{2}.$$

So their Binet formulas are

$$U_n = \frac{\alpha^n - \beta^n}{\alpha - \beta} \quad \text{and} \quad V_n = \alpha^n + \beta^n$$

for $n \geq 1$. For the companion matrix $M = \begin{bmatrix} p & -q \\ 1 & 0 \end{bmatrix}$, we have

$$\begin{bmatrix} U_n \\ U_{n-1} \end{bmatrix} = M^{n-1} \begin{bmatrix} 1 \\ 0 \end{bmatrix} \quad \text{and} \quad \begin{bmatrix} V_n \\ V_{n-1} \end{bmatrix} = M^{n-1} \begin{bmatrix} p \\ 0 \end{bmatrix}.$$

Furthermore for U_n and V_n , we have the following formal power series

$$\sum_{n=0}^{\infty} U_n x^n = \frac{x}{1 - px + qx^2} \quad \text{and} \quad \sum_{n=0}^{\infty} V_n x^n = \frac{2 - px}{1 - px + qx^2}.$$

It is easily seen from (1) that

$$\begin{aligned} U_n(1, -1) &= F_n \quad \text{Fibonacci numbers (A000045 in OEIS),} \\ V_n(1, -1) &= L_n \quad \text{Lucas numbers (A000032 in OEIS),} \\ U_n(2, -1) &= P_n \quad \text{Pell numbers (A000129 in OEIS),} \\ V_n(2, -1) &= Q_n \quad \text{Pell-Lucas numbers (A002203 in OEIS).} \end{aligned}$$

2. MAIN RESULTS.

Integer sequences (such as Fibonacci numbers, Lucas numbers, Pell numbers, Pell-Lucas numbers and balancing numbers) with two or more than two parameters and their generalizations have been investigated by several authors ([1, 2, 5, 6, 7, 8, 9, 10, 11, 13]).

In [4], we derived some new results on balancing numbers and in [15], we obtained some new results on oblong and balancing numbers. Later in [14], we defined an integer sequence with four parameters and derived some algebraic relations on it.

In [12], Ribenboim set an integer sequence for $P = a + 1$ and $Q = a$ for an integer $a \geq 2$, namely, $U_n(a + 1, a)$. We rewrite

$$U_n^a = U_n(a + 1, a). \tag{2}$$

Then from (1), we get $U_0^a = 0, U_1^a = 1$ and

$$U_n^a = (a + 1)U_{n-1}^a - aU_{n-2}^a \tag{3}$$

for $n \geq 2$. The characteristic equation of (3) is

$$x^2 - (a + 1)x + a = 0$$

and hence the roots of it are $\alpha = a$ and $\beta = 1$. So its Binet formula is

$$U_n^a = \frac{a^n - 1}{a - 1} \tag{4}$$

for $n \geq 1$.

For the integer sequence defined in (3), we can give the following theorems.

Theorem 1. For the integer sequence U_n^a , we have

1. The sum of first n -terms is

$$\sum_{i=1}^n U_i^a = \frac{U_{n+1}^a - n - 1}{a - 1}$$

for $n \geq 1$.

2. Also

(i) $U_{n+2}^a - a^2 U_n^a = a + 1$ for $n \geq 0$.

(ii) $U_{2n}^a = (a^2 + 1)U_{2n-2}^a - a^2 U_{2n-4}^a$ and $U_{2n+1}^a = (a^2 + 1)U_{2n-1}^a - a^2 U_{2n-3}^a$ for $n \geq 2$.

(iii) $\alpha^n + \beta^n = U_{n+1}^a - aU_{n-1}^a$ for $n \geq 1$ or $\alpha^n + \beta^n = U_{n+1}^a - U_n^a + 1$ for $n \geq 0$.

(iv) $U_{2n+1}^a = a^2 U_{2n-1}^a + a + 1$ and $2U_{n+1}^a - (a + 1)U_n^a = a^n + 1$ for $n \geq 1$.

(v) $\frac{U_{2n-1}^a - 1}{a+1}$ and $\frac{U_{2n-2}^a}{a+1}$ are integers, in fact,

$$\frac{U_{2n-1}^a - 1}{a + 1} = \sum_{i=1}^{n-1} a^{2i-1} \quad \text{and} \quad \frac{U_{2n-2}^a}{a + 1} = \sum_{i=1}^{n-1} a^{2i-2}$$

for $n \geq 1$.

(vi) $U_{n+1}^a + U_{n-1}^a = \left(\frac{a^2+1}{a^2-a}\right)\alpha^n - \left(\frac{2}{a-1}\right)\beta^n$ and $U_n^a - U_{n-1}^a = \alpha^{n-1}$ for $n \geq 1$.

Proof. (1) Note that $U_n^a = (a + 1)U_{n-1}^a - aU_{n-2}^a$. So $U_{n+2}^a = (a + 1)U_{n+1}^a - aU_n^a$. Since $U_{n+2}^a - a^2 U_n^a = a + 1$, we get $U_{n+2}^a = a^2 U_n^a + a + 1$ and hence

$$a^2 U_n^a + a + 1 = (a + 1)U_{n+1}^a - aU_n^a \Leftrightarrow (a + 1)U_{n+1}^a - (a + a^2)U_n^a = a + 1. \quad (5)$$

Since $a + 1 \neq 0$, we can divide both side of (5) with $a + 1$. So

$$U_{n+1}^a - aU_n^a = 1. \quad (6)$$

Applying (6), we deduce that

$$\begin{aligned} U_1^a - aU_0^a &= 1 \\ U_2^a - aU_1^a &= 1 \\ \dots & \\ U_n^a - aU_{n-1}^a &= 1 \\ U_{n+1}^a - aU_n^a &= 1. \end{aligned} \quad (7)$$

If we sum of both sides of (7), then we obtain $(U_1^a + U_2^a + \cdots + U_{n+1}^a) - a(U_0^a + U_1^a + \cdots + U_n^a) = n + 1$ and hence

$$(1 - a)(U_1^a + U_2^a + \cdots + U_n^a) = -U_{n+1}^a + n + 1$$

since $U_0^a = 0$. Thus

$$U_1^a + U_2^a + \cdots + U_n^a = \frac{U_{n+1}^a - n - 1}{a - 1}$$

as we wanted.

(2-i) Recall that $U_n^a = \frac{a^n - 1}{a - 1} = a^{n-1} + a^{n-2} + \cdots + a + 1$ and hence

$$a^2 U_n^a = a^2(a^{n-1} + a^{n-2} + \cdots + a + 1) = a^{n+1} + a^n + \cdots + a^2. \quad (8)$$

Adding $a + 1$ both sides of (8), we get

$$a^2 U_n^a + a + 1 = a^{n+1} + a^n + \cdots + a^2 + a + 1 = U_{n+2}^a$$

and hence

$$U_{n+2}^a - a^2 U_n^a = a + 1.$$

The other cases can be proved similarly. ■

Applying Theorem 1, we can give the following result without giving its proof since it can be proved by induction on n .

Theorem 2. *If a is odd, then U_n^a is even if and only if n is even and U_n^a is odd if and only if n is odd. If a is even, then U_n^a is always odd.*

Now we can give the special case, namely, $a = 10$. Then we have

$$U_n^{10} = 11U_{n-1}^{10} - 10U_{n-2}^{10}.$$

Thus we see that $U_0^{10} = 0$ and

$$U_n^{10} : 1, 11, 111, 1111, 11111, 111111, \dots$$

for $n \geq 1$. Similarly we get

$$\begin{aligned} U_n^{10^2} &: 1, 101, 10101, 1010101, 101010101, \dots \\ U_n^{10^3} &: 1, 1001, 1001001, 1001001001, 1001001001001, \dots \\ U_n^{10^4} &: 1, 10001, 100010001, 1000100010001, 10001000100010001, \dots \\ U_n^{10^5} &: 1, 100001, 10000100001, 1000010000100001, 100001000010000100001, \dots \\ &\dots \end{aligned}$$

for $n \geq 1$. Thus we can give the following theorem.

Theorem 3. *If $a = 10^k$ for some integer $k \geq 1$, then the terms of $U_n^{10^k}$ are*

$$U_n^{10^k} = 1, \underbrace{(10)^{k-1} 1}_{n-1 \text{ times}}$$

for $n \geq 1$ with $U_0^{10^k} = 0$.

Proof. It can be proved by induction on n . ■

Notice that the rank of an integer N is defined to be

$$\rho(N) = \begin{cases} p & \text{if } p \text{ is the smallest prime with } p|N \\ \infty & \text{if } N \text{ is prime.} \end{cases}$$

For the rank of U_n^a , we can give the following theorem.

Theorem 4. *If a is odd, then $\rho(U_{2n}^a) = 2$. Also let $a = 10^k$ for an integer $k \geq 1$. If $k = 1$, then $\rho(U_2^{10}) = \rho(U_{19}^{10}) = \rho(U_{23}^{10}) = \infty$ and $\rho(U_{3n}^{10}) = 3$, if $k = 1$ and $3 \nmid 2n$, then $\rho(U_{2n}^{10}) = 11$, and $\rho(U_{3n}^a) = 3$ for every k and n .*

Proof. We see in Theorem 2 that if a is odd, then U_n^a is even if and only if n is even. So U_{2n}^a is always even and therefore $\rho(U_{2n}^a) = 2$.

Let $k = 1$. Then $U_2^{10} = 11, U_{19}^{10} = 1111111111111111111$ and $U_{23}^{10} = 11111111111111111111$ are primes. So $\rho(U_2^a) = \rho(U_{19}^a) = \rho(U_{23}^a) = \infty$. Similarly since

$$U_{3n}^{10} : \underbrace{11 \cdots 1}_{3n \text{ times}},$$

which is divisible by 3 and so $\rho(U_{3n}^{10}) = 3$. Notice that

$$U_{2n}^{10} = \underbrace{1111 \cdots 1}_{2n \text{ times}}.$$

Hence clearly U_{2n}^{10} is divisible by 11 and therefore $\rho(U_{2n}^{10}) = 11$ (when $3|2n$, we see as below that $\rho(U_{3n}^{10}) = 3$).

Finally let $k \geq 1$ be any integer. Then

$$U_{3n}^a = \underbrace{(10)^{k-1} 1}_{3n-1 \text{ times}}$$

is divisible by 3 and hence $\rho(U_{3n}^a) = 3$. ■

Theorem 5. *Let $M = \begin{bmatrix} U_2^a & 1 - U_2^a \\ U_1^a & U_0^a \end{bmatrix}, W = \begin{bmatrix} U_2^a & U_1^a \\ U_1^a & U_0^a \end{bmatrix}$ and $A = [U_1^a \quad U_0^a]$. Then*

1. $M^n = \begin{bmatrix} U_{n+1}^a & 1 - U_{n+1}^a \\ U_n^a & 1 - U_n^a \end{bmatrix}$ for $n \geq 1$.

2. $U_n^a = AM^{n-1}A^t$ for $n \geq 1$ and $U_n^a = AM^{n-2}WA^t$ for $n \geq 2$.

3. If $n \geq 3$ is odd, then

$$W^n = \begin{bmatrix} \sum_{i=0}^{\frac{n-1}{2}} \binom{n-i}{i} (a+1)^{n-2i} & \sum_{i=0}^{\frac{n-1}{2}} \binom{n-1-i}{i} (a+1)^{n-1-2i} \\ \sum_{i=0}^{\frac{n-1}{2}} \binom{n-1-i}{i} (a+1)^{n-1-2i} & \sum_{i=0}^{\frac{n-3}{2}} \binom{n-2-i}{i} (a+1)^{n-2-2i} \end{bmatrix}$$

and if $n \geq 2$ even, then

$$W^n = \begin{bmatrix} \sum_{i=0}^{\frac{n}{2}} \binom{n-i}{i} (a+1)^{n-2i} & \sum_{i=0}^{\frac{n-2}{2}} \binom{n-1-i}{i} (a+1)^{n-1-2i} \\ \sum_{i=0}^{\frac{n-2}{2}} \binom{n-1-i}{i} (a+1)^{n-1-2i} & \sum_{i=0}^{\frac{n-2}{2}} \binom{n-2-i}{i} (a+1)^{n-2-2i} \end{bmatrix}.$$

Proof. (1) We prove it by induction on n . Let $n = 1$. Then

$$M = \begin{bmatrix} \sum_{i=0}^1 a^i & 1 - \sum_{i=0}^1 a^i \\ \sum_{i=0}^0 a^i & 1 - \sum_{i=0}^0 a^i \end{bmatrix} = \begin{bmatrix} a+1 & -a \\ 1 & 0 \end{bmatrix}.$$

So it is true for $n = 1$. Let us assume that this relation is satisfied for $n - 1$. Then since $M^n = M^{n-1} \cdot M$, we get

$$M^n = \begin{bmatrix} (a+1) \sum_{i=0}^{n-1} a^i + (1 - \sum_{i=0}^{n-1} a^i) & -a \sum_{i=0}^{n-1} a^i \\ (a+1) \sum_{i=0}^{n-2} a^i + (1 - \sum_{i=0}^{n-2} a^i) & -a \sum_{i=0}^{n-2} a^i \end{bmatrix}. \quad (9)$$

In (9), we notice that

$$\begin{aligned} (a+1) \sum_{i=0}^{n-1} a^i + (1 - \sum_{i=0}^{n-1} a^i) &= (a+1)(1 + a + a^2 + \cdots + a^{n-1}) \\ &\quad + 1 - (1 + a + \cdots + a^{n-1}) \end{aligned}$$

$$\begin{aligned}
 &= 1 + a + a^2 + \cdots + a^n \\
 &= \sum_{i=0}^n a^i, \\
 -a \sum_{i=0}^{n-1} a^i &= -a - a^2 - \cdots - a^n \\
 &= 1 - (1 + a + a^2 + \cdots + a^n) \\
 &= 1 - \sum_{i=0}^n a^i, \\
 (a+1) \sum_{i=0}^{n-2} a^i + (1 - \sum_{i=0}^{n-2} a^i) &= (a+1)(1 + a + \cdots + a^{n-2}) \\
 &\quad + 1 - (1 + a + a^2 + \cdots + a^{n-2}) \\
 &= 1 + a + a^2 + \cdots + a^{n-1} \\
 &= \sum_{i=0}^{n-1} a^i
 \end{aligned}$$

and

$$\begin{aligned}
 -a \sum_{i=0}^{n-2} a^i &= -a - a^2 - \cdots - a^{n-1} \\
 &= 1 - (1 + a + a^2 + \cdots + a^{n-1}) \\
 &= 1 - \sum_{i=0}^{n-1} a^i.
 \end{aligned}$$

So (9) becomes

$$M^n = \begin{bmatrix} \sum_{i=0}^n a^i & 1 - \sum_{i=0}^n a^i \\ \sum_{i=0}^{n-1} a^i & 1 - \sum_{i=0}^{n-1} a^i \end{bmatrix}.$$

Since $U_n^a = a^{n-1} + a^{n-2} + \cdots + a + 1$, we conclude that

$$M^n = \begin{bmatrix} U_{n+1}^a & 1 - U_{n+1}^a \\ U_n^a & 1 - U_n^a \end{bmatrix}.$$

(2) We easily get

$$\begin{aligned} AM^{n-1}A^t &= \begin{bmatrix} 1 & 0 \end{bmatrix} \begin{bmatrix} U_n^a & 1 - U_n^a \\ U_{n-1}^a & 1 - U_{n-1}^a \end{bmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix} \\ &= \begin{bmatrix} 1 & 0 \end{bmatrix} \begin{bmatrix} U_n^a \\ U_{n-1}^a \end{bmatrix} \\ &= U_n^a \end{aligned}$$

and since $aU_{n-1}^a + 1 = U_n^a$, we observe that

$$\begin{aligned} AM^{n-2}WA^t &= \begin{bmatrix} 1 & 0 \end{bmatrix} \begin{bmatrix} U_{n-1}^a & 1 - U_{n-1}^a \\ U_{n-2}^a & 1 - U_{n-2}^a \end{bmatrix} \begin{bmatrix} a+1 & 1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix} \\ &= \begin{bmatrix} 1 & 0 \end{bmatrix} \begin{bmatrix} U_{n-1}^a & 1 - U_{n-1}^a \\ U_{n-2}^a & 1 - U_{n-2}^a \end{bmatrix} \begin{bmatrix} a+1 \\ 1 \end{bmatrix} \\ &= \begin{bmatrix} 1 & 0 \end{bmatrix} \begin{bmatrix} (a+1)U_{n-1}^a + 1 - U_{n-1}^a \\ (a+1)U_{n-2}^a + 1 - U_{n-2}^a \end{bmatrix} \\ &= aU_{n-1}^a + 1 \\ &= U_n^a. \end{aligned}$$

(3) It can be proved similarly. ■

For the simple continued fraction expansion, we can give the following result.

Theorem 6. *The simple continued fraction expansion of $\frac{U_{n+1}^a}{U_n^a}$ is*

$$\frac{U_{n+1}^a}{U_n^a} = \left[a; \sum_{i=0}^{n-1} a^{n-1-i} \right]$$

for $n \geq 2$. Also

$$\frac{U_{2n+1}^a}{U_{2n-1}^a} = \left[a^2; \sum_{i=0}^{n-2} a^{2n-3-2i}, a+1 \right]$$

for $n \geq 2$ and

$$\frac{U_{2n}^a}{U_{2n-2}^a} = \left[a^2; \sum_{i=0}^{n-2} a^{2n-4-2i} \right]$$

for $n \geq 3$.

Proof. Recall that $U_n^a = a^{n-1} + a^{n-2} + \dots + a + 1$. So we get

$$\frac{U_{n+1}^a}{U_n^a} = \frac{a^n + a^{n-1} + \dots + a + 1}{a^{n-1} + a^{n-2} + \dots + a + 1} = a + \frac{1}{a^{n-1} + a^{n-2} + \dots + a + 1}.$$

Since $a^{n-1} + a^{n-2} + \dots + a + 1 = \sum_{i=0}^{n-1} a^{n-1-i}$, we conclude that

$$\frac{U_{n+1}^a}{U_n^a} = \left[a; \sum_{i=0}^{n-1} a^{n-1-i} \right].$$

Similarly we obtain

$$\begin{aligned} \frac{U_{2n+1}^a}{U_{2n-1}^a} &= \frac{a^{2n} + a^{2n-1} + \dots + a + 1}{a^{2n-2} + a^{2n-3} + \dots + a + 1} \\ &= a^2 + \frac{a + 1}{a^{2n-2} + a^{2n-3} + \dots + a + 1} \\ &= a^2 + \frac{1}{\frac{a^{2n-2} + a^{2n-3} + \dots + a + 1}{a+1}} \\ &= a^2 + \frac{1}{a^{2n-3} + a^{2n-5} + \dots + a + \frac{1}{a+1}} \\ &= a^2 + \frac{1}{\sum_{i=0}^{n-2} a^{2n-3-2i} + \frac{1}{a+1}}. \end{aligned}$$

Thus

$$\frac{U_{2n+1}^a}{U_{2n-1}^a} = \left[a^2; \sum_{i=0}^{n-2} a^{2n-3-2i}, a + 1 \right].$$

The last assertion can be proved similarly. ■

For the cross-ratio of four consecutive $U_n^a, U_{n+1}^a, U_{n+2}^a$ and U_{n+3}^a numbers we can give the following result.

Theorem 7. Let $U_n^a, U_{n+1}^a, U_{n+2}^a$ and U_{n+3}^a be four consecutive U_n^a numbers. Then

$$[U_n^a, U_{n+1}^a; U_{n+2}^a, U_{n+3}^a] = \frac{a^2 + 2a + 1}{a^2 + a + 1}$$

for $n \geq 1$.

Proof. Notice that the cross-ratio of a quadruple of distinct points on the real line with coordinates z_1, z_2, z_3, z_4 is given by

$$[z_1, z_2; z_3, z_4] = \frac{(z_3 - z_1)(z_4 - z_2)}{(z_3 - z_2)(z_4 - z_1)}. \quad (10)$$

Let $U_n^a, U_{n+1}^a, U_{n+2}^a$ and U_{n+3}^a be four consecutive U_n^a numbers. Then by (10), we get

$$[U_n^a, U_{n+1}^a; U_{n+2}^a, U_{n+3}^a] = \frac{(U_{n+2}^a - U_n^a)(U_{n+3}^a - U_{n+1}^a)}{(U_{n+2}^a - U_{n+1}^a)(U_{n+3}^a - U_n^a)}. \quad (11)$$

In (11), we notice that

$$\begin{aligned} U_{n+2}^a - U_n^a &= a^{n+1} + a^n, & U_{n+3}^a - U_{n+1}^a &= a^{n+2} + a^{n+1}, \\ U_{n+2}^a - U_{n+1}^a &= a^{n+1} & \text{and } U_{n+3}^a - U_n^a &= a^{n+2} + a^{n+1} + a^n. \end{aligned}$$

So (11) becomes

$$\begin{aligned} [U_n^a, U_{n+1}^a; U_{n+2}^a, U_{n+3}^a] &= \frac{(U_{n+2}^a - U_n^a)(U_{n+3}^a - U_{n+1}^a)}{(U_{n+2}^a - U_{n+1}^a)(U_{n+3}^a - U_n^a)} \\ &= \frac{(a^{n+1} + a^n)(a^{n+2} + a^{n+1})}{(a^{n+1})(a^{n+2} + a^{n+1} + a^n)} \\ &= \frac{a^{2n+1}(a^2 + 2a + 1)}{a^{2n+1}(a^2 + a + 1)} \\ &= \frac{a^2 + 2a + 1}{a^2 + a + 1} \end{aligned}$$

as we claimed. ■

A circulant matrix (see [3]) is a matrix M defined as

$$M = \begin{bmatrix} m_1 & m_2 & m_3 & \cdots & m_{n-1} & m_n \\ m_n & m_1 & m_2 & \cdots & m_{n-2} & m_{n-1} \\ m_{n-1} & m_n & m_1 & \cdots & m_{n-3} & m_{n-2} \\ \cdot & \cdot & \cdot & \cdots & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdots & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdots & \cdot & \cdot \\ m_3 & m_4 & m_5 & \cdots & m_1 & m_2 \\ m_2 & m_3 & m_4 & \cdots & m_n & m_1 \end{bmatrix},$$

where m_i are constant. The eigenvalues of M are

$$\lambda_j(M) = \sum_{k=0}^{n-1} m_k w^{-jk}, \quad (12)$$

where $w = e^{\frac{2\pi i}{n}}$, $i = \sqrt{-1}$ and $j = 0, 1, \dots, n-1$. The spectral norm for a matrix $M = [m_{ij}]_{n \times n}$ is defined to be

$$\|M\|_{spec} = \max\{\sqrt{\lambda_i} : \lambda_i \text{ are the eigenvalues of } M^H M \text{ for } 0 \leq j \leq n-1\},$$

where M^H denotes the conjugate transpose of M . Then we can give the following theorem.

Theorem 8. *Let U_n^a denote the circulant matrix for U_n^a numbers. Then the eigenvalues of U_n^a are*

$$\lambda_j(U_n^a) = \frac{w^{-j}(aU_{n-1}^a + 1) - U_n^a}{aw^{-2j} - (a+1)w^{-j} + 1}$$

for $j = 0, 1, 2, \dots, n-1$ and the spectral norm is

$$\|U_n^a\|_{spec} = \sum_{k=1}^n ka^{n-k}.$$

Proof. Applying (12), we get

$$\begin{aligned} \lambda_j(U_n^a) &= \sum_{k=0}^{n-1} U_k^a w^{-jk} \\ &= \frac{1}{\alpha - \beta} \left[\sum_{k=0}^{n-1} (\alpha w^{-j})^k - \sum_{k=0}^{n-1} (\beta w^{-j})^k \right] \\ &= \frac{1}{\alpha - \beta} \left[\frac{\alpha^n - 1}{\alpha w^{-j} - 1} - \frac{\beta^n - 1}{\beta w^{-j} - 1} \right] \\ &= \frac{1}{\alpha - \beta} \left[\frac{(\alpha^n - 1)(\beta w^{-j} - 1) - (\beta^n - 1)(\alpha w^{-j} - 1)}{(\alpha w^{-j} - 1)(\beta w^{-j} - 1)} \right] \\ &= \frac{1}{\alpha - \beta} \left[\frac{w^{-j}(\alpha^n \beta - \alpha \beta^n - \beta + \alpha) - \alpha^n + \beta^n}{\alpha \beta w^{-2j} - w^{-j}(\alpha + \beta) + 1} \right] \\ &= \frac{1}{\alpha - \beta} \left[\frac{w^{-j}[a(\alpha^{n-1} - \beta^{n-1}) + \alpha - \beta] - (\alpha^n - \beta^n)}{\alpha \beta w^{-2j} - w^{-j}(\alpha + \beta) + 1} \right] \\ &= \frac{w^{-j}(aU_{n-1}^a + 1) - U_n^a}{aw^{-2j} - (a+1)w^{-j} + 1}. \end{aligned}$$

Similarly we find that

$$\|U_n^a\|_{spec} = \sum_{k=1}^n ka^{n-k}$$

as we wanted. ■

From above theorem, we can give the following result.

Corollary 9. *If n is odd then λ_0 is a square and*

$$\lambda_0 = \left(\sum_{k=1}^n ka^{n-k} \right)^2.$$

If n is even, then λ_0 and λ_1 are squares and

$$\lambda_0 = \left(\sum_{k=0}^{\frac{n-2}{2}} a^{n-1-2k} \right)^2 \quad \text{and} \quad \lambda_1 = \left(\sum_{k=1}^n ka^{n-k} \right)^2.$$

Example 1. i) *Let $n = 5$. Then the eigenvalues of $(U_5^a)^H U_5^a$ are*

$$\lambda_0 = a^8 + 4a^7 + 10a^6 + 20a^5 + 35a^4 + 44a^3 + 46a^2 + 40a + 25$$

$$\lambda_1 = \lambda_3 = \left(a^2 + \frac{a}{2} + 1 + \frac{a\sqrt{5}}{2} \right) (a^4 + a^3 + a^2 + a + 1) a^2$$

$$\lambda_2 = \lambda_4 = \left(a^2 + \frac{a}{2} + 1 - \frac{a\sqrt{5}}{2} \right) (a^4 + a^3 + a^2 + a + 1) a^2.$$

Here

$$\lambda_0 = (a^4 + 2a^3 + 3a^2 + 4a + 5)^2 = \left(\sum_{k=1}^5 ka^{5-k} \right)^2$$

is maximum and so the spectral norm of U_5^a is

$$\|U_5^a\|_{spec} = \sqrt{\lambda_0} = a^4 + 2a^3 + 3a^2 + 4a + 5.$$

ii) *Let $n = 6$. Then the eigenvalues of $(U_6^a)^H U_6^a$ are*

$$\lambda_0 = a^{10} + 2a^8 + 3a^6 + 2a^4 + a^2$$

$$\lambda_1 = a^{10} + 4a^9 + 10a^8 + 20a^7 + 35a^6 + 56a^5 + 70a^4 + 76a^3 + 73a^2 + 60a + 36$$

$$\lambda_2 = \lambda_4 = a^{10} + 3a^9 + 5a^8 + 6a^7 + 6a^6 + 6a^5 + 5a^4 + 3a^3 + a^2$$

$$\lambda_3 = \lambda_5 = a^{10} + a^9 + a^8 + 2a^7 + 2a^6 + 2a^5 + a^4 + a^3 + a^2.$$

Here

$$\lambda_0 = \left(\sum_{k=0}^2 a^{5-2k} \right)^2 \quad \text{and} \quad \lambda_1 = \left(\sum_{k=1}^6 ka^{6-k} \right)^2.$$

Since λ_1 is maximum, the spectral norm of U_6^a is

$$\|U_6^a\|_{spec} = \sqrt{\lambda_1} = a^5 + 2a^4 + 3a^3 + 4a^2 + 5a + 6.$$

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