

ON MODULAR EQUATIONS AND LAMBERT SERIES FOR A
 CONTINUED FRACTION OF RAMANUJAN

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ABSTRACT. Modular equations and generalized Lambert series are given for theta functions $G_1(q)$ and $H_1(q)$.

1. INTRODUCTION

In [6], we considered the continued fraction of Ramanujan defined by

$$C(q) = \frac{1}{1+} \frac{(1+q)}{1+} \frac{q^2}{1+} \frac{(q+q^3)}{1+} \frac{q^4}{1+\dots}, |q| < 1 \quad (1.1)$$

$$= \frac{\sum_{n=0}^{\infty} \frac{q^{(n^2+n)/2} (-q; q)_n}{(q; q)_n}}{\sum_{n=0}^{\infty} \frac{q^{(n^2-n)/2} (-q; q)_n}{(q; q)_n}} \quad (1.2)$$

$$= \frac{(q; q^4)_{\infty} (q^3; q^4)_{\infty}}{(q^2; q^4)_{\infty}^2}, \quad (1.3)$$

and we called it analogous to the famous celebrated Rogers-Ramanujan continued fraction $R(q)$ defined by [1, p 9]:

$$R(q) = \frac{q^{1/5}}{1+} \frac{q}{1+} \frac{q^2}{1+\dots}, |q| < 1 \quad (1.4)$$

$$= q^{1/5} \frac{(q; q^5)_{\infty} (q^4; q^5)_{\infty}}{(q^2; q^5)_{\infty} (q^3; q^5)_{\infty}}. \quad (1.5)$$

Considering the closed form of the continued fraction $C(q)$, we define theta functions $G_1(q)$ and $H_1(q)$. We prove two relations for these theta functions $G_1(q)$ and $H_1(q)$ and from these relations prove three modular equations. This is done in section 3.

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In section 4, we prove a generalized Lambert series and write number of generalized Lambert series for these $G_1(q)$ and $H_1(q)$ and for the continued fraction $C(q)$. Recall the following two identities of Slater [5, eq. 8 and 13]

$$\sum_{n=0}^{\infty} \frac{q^{(n^2+n)/2}(-q; q)_n}{(q; q)_n} = \frac{(-q; q)_{\infty}}{(q; q)_{\infty}} (q; q^4)_{\infty} (q^3; q^4)_{\infty} (q^4; q^4)_{\infty} \quad (1.6)$$

and

$$\sum_{n=0}^{\infty} \frac{q^{(n^2-n)/2}(-q; q)_n}{(q; q)_n} = \frac{(-q; q)_{\infty}}{(q; q)_{\infty}} [(q; q^4)_{\infty} (q^3; q^4)_{\infty} (q^4; q^4)_{\infty} + (q^2; q^4)_{\infty}^2 (q^4; q^4)_{\infty}]. \quad (1.7)$$

Writing them as

$$\frac{1}{G_1(q)} = \frac{(q; q)_{\infty}}{(-q; q)_{\infty}} \sum_{n=0}^{\infty} \frac{q^{(n^2+n)/2}(-q; q)_n}{(q; q)_n} = (q; q^4)_{\infty} (q^3; q^4)_{\infty} (q^4; q^4)_{\infty} \quad (1.8)$$

and

$$\begin{aligned} \frac{1}{H_1(q)} &= \left[\frac{(q; q)_{\infty}}{(-q; q)_{\infty}} \sum_{n=0}^{\infty} \frac{q^{(n^2-n)/2}(-q; q)_n}{(q; q)_n} - (q; q^4)_{\infty} (q^3; q^4)_{\infty} (q^4; q^4)_{\infty} \right] \\ &= (q^2; q^4)_{\infty}^2 (q^4; q^4)_{\infty}, \end{aligned} \quad (1.9)$$

we have

$$C(q) = \frac{H_1(q)}{G_1(q)}. \quad (1.10)$$

2. PRELIMINARIES

We will be using the following standard notations:

If $|q| < 1$ and $x \neq 0$, then

$$j(x, q) = (x; q)_{\infty} (q/x; q)_{\infty} (q; q)_{\infty}. \quad (2.1)$$

If m is a positive integer and a is an integer, then for $m \geq 1$

$$J_{a,m} = j(q^a, q^m), \quad (2.2)$$

$$J_{a,m} = j(-q^a, q^m), \quad (2.3)$$

and

$$J_m = j(q^m, q^{3m}) = (q^m, q^m)_{\infty}. \quad (2.4)$$

The following identities follow easily from the above definitions:

$$j(q/x, q) = j(x, q), \quad (2.5)$$

$$j(x^{-1}, q) = -x^{-1}j(x, q), \quad (2.6)$$

$$j(x, q)j(-x, q) = J_{1,2}j(x^2, q^2), \quad (2.7)$$

x not integral power of q .

$$j(x, q) = \frac{J_1}{J_n} j(x, q^n)j(qx, q^n)\dots j(q^{n-1}x, q^n), n \geq 1. \quad (2.8)$$

We shall use the following standard q -hypergeometric notation:
For $|q^k| < 1$,

$$(a; q^k)_n = \prod_{m=1}^n (1 - aq^{(m-1)k}),$$

$$(a; q^k)_\infty = \prod_{m=1}^{\infty} (1 - aq^{(m-1)k}),$$

$$(a; q^k)_0 = 1.$$

Lastly, define

$$\chi(-q) = (q; q^2)_\infty.$$

Using the notation given in (2.1),

$$H_1(q) = \frac{1}{j(q^2, q^4)} \quad (2.9)$$

and

$$G_1(q) = \frac{1}{j(q, q^4)}. \quad (2.10)$$

3. TWO IDENTITIES FOR $G_1(q)$ AND $H_1(q)$

We shall prove the following two identities:

$$G_1^2(q)H_1(q^2) - H_1^2(q)G_1(q^2) = 2qj^2(q, q^8)G_1(q)H_1(q)G_1(q^2)H_1^2(q^2) \quad (3.1)$$

and

$$G_1^2(q)H_1(q^2) + H_1^2(q)G_1(q^2) = \frac{2(-q^4; q^4)_\infty j^2(q^3, q^8)}{(-q; q)_\infty} G_1^2(q)G_1^3(q^2). \quad (3.2)$$

In proving the identities we shall use the following theorem of Hickerson [4, eq.(1.19), p. 644].

For $0 < |q| < 1$, $x \neq 0$, $y \neq 0$,

$$j(-x, q)j(y, q) - j(x, q)j(-y, q) = 2xj(y/x, q^2)j(xyq, q^2). \quad (3.3)$$

Proof of (3.1) and (3.2)

First we prove (3.1).

Replacing q by q^4 , x by q and y by q^2 in (3.3), we have

$$j(-q, q^4)j(q^2, q^4) - j(q, q^4)j(-q^2, q^4) = 2qj^2(q, q^8). \quad (3.4)$$

Multiplying both sides of (3.4) by $\frac{1}{j(q, q^4)j(q^2, q^4)j(q^2, q^8)j(q^4, q^8)}$, we obtain

$$\frac{j(-q, q^4)}{j(q, q^4)j(q^2, q^8)j(q^4, q^8)} - \frac{j(-q^2, q^4)}{j(q^2, q^4)j(q^2, q^8)j(q^4, q^8)} = \frac{2qj^2(q, q^8)}{j(q, q^4)j(q^2, q^4)j(q^2, q^8)j(q^4, q^8)}. \quad (3.5)$$

By using (2.9) and (2.10), (3.5) simplifies to

$$G_1^2(q)H_1(q^2) - H_1^2(q)G_1(q^2) = 2qj^2(q, q^8)G_1(q)H_1(q)G_1(q^2)H_1^2(q^2), \quad (3.6)$$

which proves (3.1).

Now we prove (3.2).

Replacing q by q^4 , x by $\frac{1}{q}$ and y by q^2 in (3.3), we have

$$j(-q, q^4)j(q^2, q^4) + j(q, q^4)j(-q^2, q^4) = 2qj^2(q^3, q^8). \quad (3.7)$$

Multiplying both sides of (3.7) by $\frac{1}{j(q, q^4)j(q^2, q^4)j(q^2, q^8)j(q^4, q^8)}$ and using (2.9) and (2.10), we have

$$G_1^2(q)H_1(q^2) + H_1^2(q)G_1(q^2) = \frac{2(-q^4; q^4)_\infty j^2(q^3, q^8)}{(-q; q)_\infty} G_1^2(q)G_1^3(q^2), \quad (3.8)$$

which proves (3.2). Dividing (3.6) by (3.8)

$$B(q) \frac{G_1^2(q)H_1(q^2) - G_1(q^2)H_1^2(q)}{G_1^2(q)H_1(q^2) + G_1(q^2)H_1^2(q)} = C(q)C^2(q^2), \quad (3.9)$$

where

$$B(q) = \frac{(-q^4; q^4)_\infty j^2(q^3, q^8)}{q(-q; q)_\infty j^2(q, q^8)}. \quad (3.10)$$

4. APPLICATIONS

We now prove the following modular equations.

Theorem 1

Let

$$u = C(q^2), v = C(q)$$

then

$$(i) B(q) = \frac{u - v^2}{u + v^2} = u^2 v, \quad (4.1)$$

$$(ii) k \left(\frac{1 - k/B(q)}{1 + k/B(q)} \right)^2 = C^5(q), \quad (4.2)$$

where $k = C(q)C^2(q^2)$

$$(iii) B(q) \frac{1}{u^2 v} - \frac{1}{B(q)} uv^2 = \frac{\chi(-q^2)\chi^6(-q^4)}{q\chi^2(-q)}. \quad (4.3)$$

$B(q)$ is as given in (3.10).

Proof of (i)

Dividing the numerator and denominator of the left side of (3.9) by $G_1^2(q)G_1(q^2)$ and applying (1.10), we have

$$B(q) \frac{C(q^2) - C^2(q)}{C(q^2) + C^2(q)} = C(q)C^2(q^2).$$

By the definition of u and v , we have

$$B(q) \frac{u - v^2}{u + v^2} = u^2v,$$

which proves (4.1).

Proof of (ii)

Writing k for $C(q)C^2(q^2)$, we obtain from (3.9)

$$\begin{aligned} k(q) \left(\frac{1 - k/B(q)}{1 + k/B(q)} \right)^2 &= C(q)C^2(q^2) \frac{\left[1 - \frac{G_1^2(q)H_1(q^2) - G_1(q^2)H_1^2(q)}{G_1^2(q)H_1(q^2) + G_1(q^2)H_1^2(q)} \right]^2}{\left[1 + \frac{G_1^2(q)H_1(q^2) - G_1(q^2)H_1^2(q)}{G_1^2(q)H_1(q^2) + G_1(q^2)H_1^2(q)} \right]^2} \\ &= C(q)C^2(q^2) \left[\frac{2G_1(q^2)H_1^2(q)}{2G_1^2(q)H_1(q^2)} \right]^2 \\ &= C(q)C^2(q^2) \left[\frac{C^2(q)}{C(q^2)} \right]^2 \\ &= C^5(q), \end{aligned}$$

which proves (4.2).

Proof of (iii)

$$\begin{aligned} &B(q) \frac{1}{u^2v} - \frac{1}{B(q)} u^2v \\ &= \frac{G_1^2(q)H_1(q^2) + H_1^2(q)G_1(q^2)}{G_1^2(q)H_1(q^2) - H_1^2(q)G_1(q^2)} - \frac{G_1^2(q)H_1(q^2) - H_1^2(q)G_1(q^2)}{G_1^2(q)H_1(q^2) + H_1^2(q)G_1(q^2)} \\ &= \frac{[G_1^2(q)H_1(q^2) + H_1^2(q)G_1(q^2)]^2 - [G_1^2(q)H_1(q^2) - H_1^2(q)G_1(q^2)]^2}{[G_1^2(q)H_1(q^2) - H_1^2(q)G_1(q^2)][G_1^2(q)H_1(q^2) + H_1^2(q)G_1(q^2)]} \\ &= \frac{4G_1^2(q)H_1^2(q)G_1(q^2)H_1(q^2)}{[2qj^2(q, q^8)G_1(q)H_1(q)G_1(q^2)H_1^2(q^2)] \left[\frac{2(-q^4; q^4)_\infty j^2(q^3, q^8)}{(-q; q)_\infty} G_1^2(q)G_1^3(q^2) \right]} \\ &= \frac{(-q; q)_\infty}{q(-q^4; q^4)_\infty j^2(q, q^8)j^2(q^3, q^8)} \frac{G_1(q)H_1(q)}{G_1^2(q)G_1^2(q^2)G_1(q^2)H_1(q^2)}. \end{aligned} \quad (4.4)$$

Now

$$G_1(q)H_1(q) = \frac{1}{(q; q)_\infty (q^2; q^2)_\infty}, \quad (4.5)$$

$$G_1(q)G_1(q^2) = \frac{1}{(q; q)_\infty (q^8; q^8)_\infty} \quad (4.6)$$

and

$$j(q, q^8)j(q^3, q^8) = (q; q^2)_\infty (q^8; q^8)_\infty^2. \quad (4.7)$$

Putting the values from (4.5), (4.6) and (4.7) in (4.4), we get

$$B(q) \frac{1}{u^2 v} - \frac{1}{B(q)} u^2 v = \frac{\chi(-q^2) \chi^6(-q^4)}{q \chi^2(-q)},$$

which proves (4.3).

5. GENERALIZED LAMBERT SERIES

Series of the form

$$\sum_{n=-\infty}^{\infty} (-1)^{\epsilon n} q^{\lambda n^2} R(q^n),$$

where $\epsilon = 0$ or 1 , $\lambda > 0$ and $R(x)$ is a rational function of x , is called a generalized Lambert series.

In [6] we proved two identities

$$\sum_{n=0}^{\infty} \frac{q^{in}}{1 - q^{4n+i}} = \sum_{n=0}^{\infty} q^{4n^2+2in} \frac{1 + q^{4n+i}}{1 - q^{4n+i}}, \quad (5.1)$$

where $0 < i \leq 3$
and

$$\sum_{n=-\infty}^{\infty} \frac{q^{in}}{1 - q^{4n+i}} = \frac{(q^4; q^4)_\infty^2 (q^{2i}; q^4)_\infty (q^{4-2i}; q^4)_\infty}{(q^{4-i}; q^4)_\infty^2 (q^i; q^4)_\infty^2}, \quad (5.2)$$

where $0 < i \leq 3, i \neq 2$.

This (5.2) can be generalized to

$$\sum_{n=-\infty}^{\infty} \frac{q^{in}}{1 - q^{4n+j}} = \frac{(q^4; q^4)_\infty^2 (q^{i+j}; q^4)_\infty (q^{4-i-i}; q^4)_\infty}{(q^j; q^4)_\infty (q^{4-j}; q^4)_\infty (q^i; q^4)_\infty (q^{4-i}; q^4)_\infty}, \quad (5.3)$$

where $0 < i \leq 3, 0 < j \leq 3$ and $i + j \neq 4$.

The proof (5.2) and (5.3) depends on the summation formula of Ramanujan:

$${}_1\psi_1(a; b; q, z) = \sum_{n=-\infty}^{\infty} \frac{(a; q)_n}{(b; q)_n} z^n = \frac{(b/a; q)_\infty (az; q)_\infty (q/az; q)_\infty (q; q)_\infty}{(q/a; q)_\infty (b/az; q)_\infty (b; q)_\infty (z; q)_\infty}. \quad (5.4)$$

We now prove Lambert series for $G_1(q)$, $H_1(q)$ and $C(q)$.

We recall the definition of $G_1(q)$ and $H_1(q)$ given in (1.8) and (1.9):

$$G_1(q) = \frac{1}{(q; q)_\infty (q^3; q^4)_\infty (q^4; q^4)_\infty}$$

and

$$H_1(q) = \frac{1}{(q^2; q^4)_\infty^2 (q^4; q^4)_\infty}.$$

We list identities for $G_1(q)$ and $H_1(q)$ below and after each identity list the specialization of (5.2) and (5.3) in brackets:

$$(q^4; q^4)_\infty^3 H_1(q) = \sum_{n=-\infty}^{\infty} \frac{q^n}{1 - q^{4n+2}} \quad (5.5)$$

($i = 1, j = 2$ in (5.3)).

$$(q^4; q^4)_\infty^3 \frac{G_1^2(q)}{H_1(q)} = \sum_{n=-\infty}^{\infty} \frac{q^n}{1 - q^{4n+1}} \quad (5.6)$$

($i = 1$ in (5.2)).

$$(q^4; q^4)_\infty^3 H_1(q) = \sum_{n=-\infty}^{\infty} \frac{q^{2n}}{1 - q^{4n+1}} \quad (5.7)$$

($i = 2, j = 1$ in (5.3)).

$$(q^2; q^2)_\infty^2 (q^4; q^4)_\infty^2 G_1^2(q) = \sum_{n=-\infty}^{\infty} \frac{q^n}{1 - q^{4n+1}} \quad (5.8)$$

($i = 1$ in (5.2)).

$$(q^4; q^4)_\infty^3 \frac{G_1^2(q)}{H_1(q)} = \sum_{n=-\infty}^{\infty} q^{4n^2+2n} \frac{1 + q^{4n+1}}{1 - q^{4n+1}} \quad (5.9)$$

($i = 1$ in (5.1) and using (5.6)).

$$(q^2; q^2)_\infty^2 (q^4; q^4)_\infty^2 G_1^2(q) = \sum_{n=-\infty}^{\infty} q^{4n^2+2n} \frac{1 + q^{4n+1}}{1 - q^{4n+1}} \quad (5.10)$$

($i = 1$ in (5.1) and using (5.8)).

$$C^2(q) = \frac{\sum_{n=-\infty}^{\infty} \frac{q^n}{1 - q^{4n+2}}}{\sum_{n=-\infty}^{\infty} \frac{q^n}{1 - q^{4n+1}}} \quad (5.11)$$

(divide (5.5) by (5.6)).

$$C^2(q) = \frac{\sum_{n=-\infty}^{\infty} \frac{q^{2n}}{1 - q^{4n+1}}}{\sum_{n=-\infty}^{\infty} \frac{q^n}{1 - q^{4n+1}}} \quad (5.12)$$

(divide (5.7) by (5.6)).

$$(q^4; q^4)_\infty^3 G_1(q) = \sum_{n=-\infty}^{\infty} \frac{q^n}{1 - q^{8n+3}} \quad (5.13)$$

($q \rightarrow q^2, i = \frac{1}{2}, j = \frac{3}{2}$ in (5.3)).

$$(q^4; q^4)_\infty^3 H_1(q) = \sum_{n=-\infty}^{\infty} \frac{q^{2n}}{1 - q^{8n+2}} \quad (5.14)$$

($q \rightarrow q^2, i = 1$ in (5.2)).

$$C(q) = \frac{\sum_{n=-\infty}^{\infty} \frac{q^{2n}}{1 - q^{8n+2}}}{\sum_{n=-\infty}^{\infty} \frac{q^n}{1 - q^{8n+3}}} \quad (5.15)$$

(divide(5.14) by (5.13)).

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