

# FIXED POINT THEORY ON EXTENSION-TYPE SPACES AND ESSENTIAL MAPS ON TOPOLOGICAL SPACES

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We present several new fixed point results for admissible self-maps in extension-type spaces. We also discuss a continuation-type theorem for maps between topological spaces.

## 1. Introduction

In Section 2, we begin by presenting most of the up-to-date results in the literature [3, 5, 6, 7, 8, 12] concerning fixed point theory in extension-type spaces. These results are then used to obtain a number of new fixed point theorems, one concerning approximate neighborhood extension spaces and another concerning inward-type maps in extension-type spaces. Our first result was motivated by ideas in [12] whereas the second result is based on an argument of Ben-El-Mechaiekh and Kryszewski [9]. Also in Section 2 we present a new continuation theorem for maps defined between Hausdorff topological spaces, and our theorem improves results in [3].

For the remainder of this section we present some definitions and known results which will be needed throughout this paper. Suppose  $X$  and  $Y$  are topological spaces. Given a class  $\mathcal{X}$  of maps,  $\mathcal{X}(X, Y)$  denotes the set of maps  $F : X \rightarrow 2^Y$  (nonempty subsets of  $Y$ ) belonging to  $\mathcal{X}$ , and  $\mathcal{X}_c$  the set of finite compositions of maps in  $\mathcal{X}$ . We let

$$\mathcal{F}(\mathcal{X}) = \{Z : \text{Fix } F \neq \emptyset \ \forall F \in \mathcal{X}(Z, Z)\}, \quad (1.1)$$

where  $\text{Fix } F$  denotes the set of fixed points of  $F$ .

The class  $\mathcal{A}$  of maps is defined by the following properties:

- (i)  $\mathcal{A}$  contains the class  $\mathcal{C}$  of single-valued continuous functions;
- (ii) each  $F \in \mathcal{A}_c$  is upper semicontinuous and closed valued;
- (iii)  $B^n \in \mathcal{F}(\mathcal{A}_c)$  for all  $n \in \{1, 2, \dots\}$ ; here  $B^n = \{x \in \mathbb{R}^n : \|x\| \leq 1\}$ .

*Remark 1.1.* The class  $\mathcal{A}$  is essentially due to Ben-El-Mechaiekh and Deguire [7]. It includes the class of maps  $\mathcal{U}$  of Park ( $\mathcal{U}$  is the class of maps defined by (i), (iii), and (iv) each  $F \in \mathcal{U}_c$  is upper semicontinuous and compact valued). Thus if each  $F \in \mathcal{A}_c$  is compact

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valued, the classes  $\mathcal{A}$  and  $\mathcal{U}$  coincide and this is what occurs in [Section 2](#) since our maps will be compact.

The following result can be found in [7, Proposition 2.2] (see also [11, page 286] for a special case).

**THEOREM 1.2.** *The Hilbert cube  $I^\infty$  (subset of  $l^2$  consisting of points  $(x_1, x_2, \dots)$  with  $|x_i| \leq 1/2^i$  for all  $i$ ) and the Tychonoff cube  $T$  (Cartesian product of copies of the unit interval) are in  $\mathcal{F}(\mathcal{A}_c)$ .*

We next consider the class  $\mathcal{U}_c^k(X, Y)$  (resp.,  $\mathcal{A}_c^k(X, Y)$ ) of maps  $F : X \rightarrow 2^Y$  such that for each  $F$  and each nonempty compact subset  $K$  of  $X$ , there exists a map  $G \in \mathcal{U}_c(K, Y)$  (resp.,  $G \in \mathcal{A}_c(K, Y)$ ) such that  $G(x) \subseteq F(x)$  for all  $x \in K$ .

**THEOREM 1.3.** *The Hilbert cube  $I^\infty$  and the Tychonoff cube  $T$  are in  $\mathcal{F}(\mathcal{A}_c^k)$  (resp.,  $\mathcal{F}(\mathcal{U}_c^k)$ ).*

*Proof.* Let  $F \in \mathcal{A}_c^k(I^\infty, I^\infty)$ . We must show that  $\text{Fix} F \neq \emptyset$ . Now, by definition, there exists  $G \in \mathcal{A}_c(I^\infty, I^\infty)$  with  $G(x) \subseteq F(x)$  for all  $x \in I^\infty$ , so [Theorem 1.2](#) guarantees that there exists  $x \in I^\infty$  with  $x \in Gx$ . In particular,  $x \in Fx$  so  $\text{Fix} F \neq \emptyset$ . Thus  $I^\infty \in \mathcal{F}(\mathcal{A}_c^k)$ .  $\square$

Notice that  $\mathcal{U}_c^k$  is closed under compositions. To see this, let  $X, Y$ , and  $Z$  be topological spaces,  $F_1 \in \mathcal{U}_c^k(X, Y)$ ,  $F_2 \in \mathcal{U}_c^k(Y, Z)$ , and  $K$  a nonempty compact subset of  $X$ . Now there exists  $G_1 \in \mathcal{U}_c(K, Y)$  with  $G_1(x) \subseteq F_1(x)$  for all  $x \in K$ . Also [4, page 464] guarantees that  $G_1(K)$  is compact so there exists  $G_2 \in \mathcal{U}_c^k(G_1(K), Z)$  with  $G_2(y) \subseteq F_2(y)$  for all  $y \in G_1(K)$ . As a result,

$$G_2 G_1(x) \subseteq F_2 G_1(x) \subseteq F_2 F_1(x) \quad \forall x \in K \quad (1.2)$$

and  $G_2 G_1 \in \mathcal{U}_c(X, Z)$ .

For a subset  $K$  of a topological space  $X$ , we denote by  $\text{Cov}_X(K)$  the set of all coverings of  $K$  by open sets of  $X$  (usually we write  $\text{Cov}(K) = \text{Cov}_X(K)$ ). Given a map  $F : X \rightarrow 2^X$  and  $\alpha \in \text{Cov}(X)$ , a point  $x \in X$  is said to be an  $\alpha$ -fixed point of  $F$  if there exists a member  $U \in \alpha$  such that  $x \in U$  and  $F(x) \cap U \neq \emptyset$ . Given two maps  $F, G : X \rightarrow 2^Y$  and  $\alpha \in \text{Cov}(Y)$ ,  $F$  and  $G$  are said to be  $\alpha$ -close if for any  $x \in X$  there exists  $U_x \in \alpha$ ,  $y \in F(x) \cap U_x$ , and  $w \in G(x) \cap U_x$ .

The following results can be found in [5, Lemmas 1.2 and 4.7].

**THEOREM 1.4.** *Let  $X$  be a regular topological space and  $F : X \rightarrow 2^X$  an upper semicontinuous map with closed values. Suppose there exists a cofinal family of coverings  $\theta \subseteq \text{Cov}_X(F(X))$  such that  $F$  has an  $\alpha$ -fixed point for every  $\alpha \in \theta$ . Then  $F$  has a fixed point.*

**THEOREM 1.5.** *Let  $T$  be a Tychonoff cube contained in a Hausdorff topological vector space. Then  $T$  is a retract of  $\text{span}(T)$ .*

*Remark 1.6.* From [Theorem 1.4](#) in proving the existence of fixed points in uniform spaces for upper semicontinuous compact maps with closed values, it suffices [6, page 298] to prove the existence of approximate fixed points (since open covers of a compact set  $A$

admit refinements of the form  $\{U[x] : x \in A\}$  where  $U$  is a member of the uniformity [14, page 199], so such refinements form a cofinal family of open covers). Note also that uniform spaces are regular (in fact completely regular) [10, page 431] (see also [10, page 434]). Note in [Theorem 1.4](#) if  $F$  is compact valued, then the assumption that  $X$  is regular can be removed. For convenience in this paper we will apply [Theorem 1.4](#) only when the space is uniform.

## 2. Extension-type spaces

We begin this section by recalling some results we established in [3]. By a space we mean a Hausdorff topological space. Let  $Q$  be a class of topological spaces. A space  $Y$  is an *extension space* for  $Q$  (written  $Y \in \text{ES}(Q)$ ) if for all  $X \in Q$  and all  $K \subseteq X$  closed in  $X$ , any continuous function  $f_0 : K \rightarrow Y$  extends to a continuous function  $f : X \rightarrow Y$ .

Using (i) the fact that every compact space is homeomorphic to a closed subset of the Tychonoff cube and (ii) [Theorem 1.3](#), we established the following result in [3].

**THEOREM 2.1.** *Let  $X \in \text{ES}(\text{compact})$  and  $F \in \mathcal{U}_c^k(X, X)$  a compact map. Then  $F$  has a fixed point.*

*Remark 2.2.* If  $X \in \text{AR}$  (an absolute retract as defined in [11]), then of course  $X \in \text{ES}(\text{compact})$ .

A space  $Y$  is an *approximate extension space* for  $Q$  (written  $Y \in \text{AES}(Q)$ ) if for all  $\alpha \in \text{Cov}(Y)$ , all  $X \in Q$ , all  $K \subseteq X$  closed in  $X$ , and any continuous function  $f_0 : K \rightarrow Y$ , there exists a continuous function  $f : X \rightarrow Y$  such that  $f|_K$  is  $\alpha$ -close to  $f_0$ .

**THEOREM 2.3.** *Let  $X \in \text{AES}(\text{compact})$  be a uniform space and  $F \in \mathcal{U}_c^k(X, X)$  a compact upper semicontinuous map with closed values. Then  $F$  has a fixed point.*

*Remark 2.4.* This result was established in [3]. However, we excluded some assumptions ( $X$  uniform and  $F$  upper semicontinuous with closed values) so the proof in [3] has to be adjusted slightly.

*Proof.* Let  $\alpha \in \text{Cov}_X(K)$  where  $K = \overline{F(X)}$ . From [Theorem 1.4](#) (see [Remark 1.6](#)), it suffices to show that  $F$  has an  $\alpha$ -fixed point. We know (see [13]) that  $K$  can be embedded as a closed subset  $K^*$  of  $T$ ; let  $s : K \rightarrow K^*$  be a homeomorphism. Also let  $i : K \hookrightarrow X$  and  $j : K^* \hookrightarrow T$  be inclusions. Next let  $\alpha' = \alpha \cup \{X \setminus K\}$  and note that  $\alpha'$  is an open covering of  $X$ . Let the continuous map  $h : T \rightarrow X$  be such that  $h|_{K^*}$  and  $s^{-1}$  are  $\alpha'$ -close (guaranteed since  $X \in \text{AES}(\text{compact})$ ). Then it follows immediately from the definition (note that  $\alpha' = \alpha \cup \{X \setminus K\}$ ) that  $hs : K \rightarrow X$  and  $i : K \rightarrow X$  are  $\alpha$ -close. Let  $G = jsFh$  and notice that  $G \in \mathcal{U}_c^k(T, T)$ . Now [Theorem 1.3](#) guarantees that there exists  $x \in T$  with  $x \in Gx$ . Let  $y = h(x)$ , and so, from the above, we have  $y \in hjsF(y)$ , that is,  $y = hjs(q)$  for some  $q \in F(y)$ . Now since  $hs$  and  $i$  are  $\alpha$ -close, there exists  $U \in \alpha$  with  $hs(q) \in U$  and  $i(q) \in U$ , that is,  $q \in U$  and  $y = hjs(q) = hs(q) \in U$  since  $s(q) \in K^*$ . Thus  $q \in U$  and  $y \in U$ , so  $y \in U$  and  $F(y) \cap U \neq \emptyset$  since  $q \in F(y)$ . As a result,  $F$  has an  $\alpha$ -fixed point.  $\square$

**Definition 2.5.** Let  $V$  be a uniform space. Then  $V$  is *Schauder admissible* if for every compact subset  $K$  of  $V$  and every covering  $\alpha \in \text{Cov}_V(K)$ , there exists a continuous function (called the Schauder projection)  $\pi_\alpha : K \rightarrow V$  such that

- (i)  $\pi_\alpha$  and  $i : K \hookrightarrow V$  are  $\alpha$ -close;
- (ii)  $\pi_\alpha(K)$  is contained in a subset  $C \subseteq V$  with  $C \in \text{AES}(\text{compact})$ .

**THEOREM 2.6.** *Let  $V$  be a uniform space and Schauder admissible and  $F \in \mathcal{O}u_c^k(V, V)$  a compact upper semicontinuous map with closed values. Then  $F$  has a fixed point.*

*Proof.* Let  $K = \overline{F(X)}$  and let  $\alpha \in \text{Cov}_V(K)$ . From [Theorem 1.4](#) (see [Remark 1.6](#)), it suffices to show that  $F$  has an  $\alpha$ -fixed point. There exists  $\pi_\alpha : K \rightarrow V$  (as described in [Definition 2.5](#)) and a subset  $C \subseteq V$  with  $C \in \text{AES}(\text{compact})$  such that (here  $F_\alpha = \pi_\alpha F$ )

$$F_\alpha(V) = \pi_\alpha F(V) \subseteq C. \tag{2.1}$$

Notice that  $F_\alpha \in \mathcal{O}u_c^k(C, C)$  is a compact upper semicontinuous map with closed (in fact compact) values. So [Theorem 2.3](#) guarantees that there exists  $x \in C$  with  $x \in \pi_\alpha F(x)$ , that is,  $x = \pi_\alpha q$  for some  $q \in F(x)$ . Now [Definition 2.5\(i\)](#) guarantees that there exists  $U \in \alpha$  with  $\pi_\alpha(q) \in U$  and  $i(q) \in U$ , that is,  $x \in U$  and  $q \in U$ . Thus  $x \in U$  and  $F(x) \cap U \neq \emptyset$  since  $q \in F(x)$ , so  $F$  has an  $\alpha$ -fixed point.  $\square$

A space  $Y$  is a *neighborhood extension space* for  $Q$  (written  $Y \in \text{NES}(Q)$ ) if for all  $X \in Q$ , all  $K \subseteq X$  closed in  $X$ , and any continuous function  $f_0 : K \rightarrow Y$ , there exists a continuous extension  $f : U \rightarrow Y$  of  $f_0$  over a neighborhood  $U$  of  $K$  in  $X$ .

Let  $X \in \text{NES}(Q)$  and  $F \in \mathcal{O}u_c^k(X, X)$  a compact map. Now let  $K, K^*, s$ , and  $i$  be as in the proof of [Theorem 2.3](#). Let  $U$  be an open neighborhood of  $K^*$  in  $T$  and let  $h_U : U \rightarrow X$  be a continuous extension of  $is^{-1} : K^* \rightarrow X$  on  $U$  (guaranteed since  $X \in \text{NES}(\text{compact})$ ). Let  $j_U : K^* \hookrightarrow U$  be the natural embedding, so  $h_U j_U = is^{-1}$ . Now consider  $\text{span}(T)$  in a Hausdorff locally convex topological vector space containing  $T$ . Now [Theorem 1.5](#) guarantees that there exists a retraction  $r : \text{span}(T) \rightarrow T$ . Let  $i^* : U \hookrightarrow r^{-1}(U)$  be an inclusion and consider  $G = i^* j_U s F h_U r$ . Notice that  $G \in \mathcal{O}u_c^k(r^{-1}(U), r^{-1}(U))$ . We now assume that

$$G \in \mathcal{O}u_c^k(r^{-1}(U), r^{-1}(U)) \text{ has a fixed point.} \tag{2.2}$$

Now there exists  $x \in r^{-1}(U)$  with  $x \in Gx$ . Let  $y = h_U r(x)$ , so  $y \in h_U r i^* j_U s F(y)$ , that is,  $y = h_U r i^* j_U s(q)$  for some  $q \in F(y)$ . Since  $h_U(z) = is^{-1}(z)$  for  $z \in K^*$ , we have

$$h_U r i^* j_U s(q) = (h_U r i^* j_U) s(q) = i(q), \tag{2.3}$$

so  $y \in F(y)$ .

**THEOREM 2.7.** *Let  $X \in \text{NES}(\text{compact})$  and  $F \in \mathcal{O}u_c^k(X, X)$  a compact map. Also assume that (2.2) holds with  $K, K^*, s, i, i^*, j_U, h_U$ , and  $r$  as described above. Then  $F$  has a fixed point.*

*Remark 2.8.* [Theorem 2.7](#) was also established in [\[3\]](#). Note that if  $F$  is admissible in the sense of Gorniewicz and the Lefschetz set  $\Lambda(F) \neq \{0\}$ , then we know [\[11\]](#) that (2.2) holds. Note that if  $X \in \text{ANR}$  (see [\[11\]](#)), then of course  $X \in \text{NES}(\text{compact})$ .

A space  $Y$  is an *approximate neighborhood extension space* for  $Q$  (written  $Y \in \text{ANES}(Q)$ ) if for all  $\alpha \in \text{Cov}(Y)$ , all  $X \in Q$ , all  $K \subseteq X$  closed in  $X$ , and any continuous function  $f_0 : K \rightarrow Y$ , there exists a neighborhood  $U_\alpha$  of  $K$  in  $X$  and a continuous function  $f_\alpha : U_\alpha \rightarrow Y$  such that  $f_\alpha|_K$  and  $f_0$  are  $\alpha$ .

Let  $X \in \text{ANES}(\text{compact})$  be a uniform space and  $F \in \mathcal{O}u_c^k(X, X)$  a compact upper semicontinuous map with closed values. Also let  $\alpha \in \text{Cov}_X(K)$  where  $K = \overline{F(X)}$ . To show that  $F$  has a fixed point, it suffices ([Theorem 1.4](#) and [Remark 1.6](#)) to show that  $F$  has an  $\alpha$ -fixed point. Let  $\alpha' = \alpha \cup \{X \setminus K\}$  and let  $K^*$ ,  $s$ , and  $i$  be as in the proof of [Theorem 2.3](#). Since  $X \in \text{ANES}(\text{compact})$ , there exists an open neighborhood  $U_\alpha$  of  $K^*$  in  $T$  and  $f_\alpha : U_\alpha \rightarrow X$  a continuous function such that  $f_\alpha|_{K^*}$  and  $s^{-1}$  are  $\alpha'$ -close and as a result  $f_\alpha s : K \rightarrow X$  and  $i : K \rightarrow X$  are  $\alpha$ -close. Let  $j_{U_\alpha} : K^* \hookrightarrow U_\alpha$  be the natural imbedding. We know (see [[5](#), page 426]) that  $U_\alpha \in \text{NES}(\text{compact})$ . Also notice that  $G_\alpha = j_{U_\alpha} s F f_\alpha \in \mathcal{O}u_c^k(U_\alpha, U_\alpha)$  is a compact upper semicontinuous map with closed values. We now assume that

$$G_\alpha = j_{U_\alpha} s F f_\alpha \in \mathcal{O}u_c^k(U_\alpha, U_\alpha) \text{ has a fixed point for each } \alpha \in \text{Cov}_X(\overline{F(X)}). \quad (2.4)$$

We still have  $\alpha \in \text{Cov}_X(K)$  fixed and we let  $x$  be a fixed point of  $G_\alpha$ . Now let  $y_\alpha = f_\alpha(x)$ , so  $y = f_\alpha j_{U_\alpha} s F(y)$ , that is,  $y = f_\alpha j_{U_\alpha} s(q)$  for some  $q \in F(y)$ . Now since  $f_\alpha s$  and  $i$  are  $\alpha$ -close, there exists  $U \in \alpha$  with  $f_\alpha s(q) \in U$  and  $i(q) \in U$ , that is,  $q \in U$  and  $y = f_\alpha j_{U_\alpha} s(q) = f_\alpha s(q) \in U$  since  $s(q) \in K^*$ . Thus  $q \in U$  and  $y \in U$ , so

$$y \in U, \quad F(y) \cap U \neq \emptyset \quad \text{since } q \in F(y). \quad (2.5)$$

**THEOREM 2.9.** *Let  $X \in \text{ANES}(\text{compact})$  be a uniform space and  $F \in \mathcal{O}u_c^k(X, X)$  a compact upper semicontinuous map with closed values. Also assume that (2.4) holds with  $K$ ,  $s$ ,  $U_\alpha$ ,  $j_{U_\alpha}$ , and  $f_\alpha$  as described above. Then  $F$  has a fixed point.*

Next we present continuation results for multimaps. Let  $Y$  be a completely regular topological space and  $U$  an open subset of  $Y$ . We consider a subclass  $\mathcal{D}$  of  $\mathcal{O}u_c^k$ . This subclass must have the following property: for subsets  $X_1, X_2$ , and  $X_3$  of Hausdorff topological spaces, if  $F \in \mathcal{D}(X_2, X_3)$  is compact and  $f \in \mathcal{C}(X_1, X_2)$ , then  $F \circ f \in \mathcal{D}(X_1, X_3)$ .

*Definition 2.10.* The map  $F \in \mathcal{D}_{\partial U}(\overline{U}, Y)$  if  $F \in \mathcal{D}(\overline{U}, Y)$  with  $F$  compact and  $x \notin Fx$  for  $x \in \partial U$ ; here  $\overline{U}$  (resp.,  $\partial U$ ) denotes the closure (resp., the boundary) of  $U$  in  $Y$ .

*Definition 2.11.* A map  $F \in \mathcal{D}_{\partial U}(\overline{U}, Y)$  is essential in  $\mathcal{D}_{\partial U}(\overline{U}, Y)$  if for every  $G \in \mathcal{D}_{\partial U}(\overline{U}, Y)$  with  $G|_{\partial U} = F|_{\partial U}$ , there exists  $x \in U$  with  $x \in Gx$ .

**THEOREM 2.12 (homotopy invariance).** *Let  $Y$  and  $U$  be as above. Suppose  $F \in \mathcal{D}_{\partial U}(\overline{U}, Y)$  is essential in  $\mathcal{D}_{\partial U}(\overline{U}, Y)$  and  $H \in \mathcal{D}(\overline{U} \times [0, 1], Y)$  is a closed compact map with  $H(x, 0) = F(x)$  for  $x \in \overline{U}$ . Also assume that*

$$x \notin H_t(x) \quad \text{for any } x \in \partial U, t \in (0, 1] \quad (H_t(\cdot) = H(\cdot, t)). \quad (2.6)$$

*Then  $H_1$  has a fixed point in  $U$ .*

*Proof.* Let

$$B = \{x \in \overline{U} : x \in H_t(x) \text{ for some } t \in [0, 1]\}. \quad (2.7)$$

When  $t = 0$ ,  $H_t = F$ , and since  $F \in \mathcal{D}_{\partial U}(\overline{U}, Y)$  is essential in  $\mathcal{D}_{\partial U}(\overline{U}, Y)$ , there exists  $x \in U$  with  $x \in Fx$ . Thus  $B \neq \emptyset$  and note that  $B$  is closed, in fact compact (recall that  $H$  is a closed, compact map). Notice also that (2.6) implies  $B \cap \partial U = \emptyset$ . Thus, since  $Y$  is

completely regular, there exists a continuous function  $\mu : \overline{U} \rightarrow [0, 1]$  with  $\mu(\partial U) = 0$  and  $\mu(B) = 1$ . Define a map  $R$  by  $R(x) = H(x, \mu(x))$  for  $x \in \overline{U}$ . Let  $j : \overline{U} \rightarrow \overline{U} \times [0, 1]$  be given by  $j(x) = (x, \mu(x))$ . Note that  $j$  is continuous, so  $R = H \circ j \in \mathcal{D}(\overline{U}, Y)$  (see the description of the class  $\mathcal{D}$  before [Definition 2.10](#)). In addition,  $R$  is compact, and for  $x \in \partial U$ , we have  $R(x) = H_0(x) = F(x)$ . As a result,  $R \in \mathcal{D}_{\partial U}(\overline{U}, Y)$  with  $R|_{\partial U} = F|_{\partial U}$ . Now since  $F$  is essential in  $\mathcal{D}_{\partial U}(\overline{U}, Y)$ , there exists  $x \in U$  with  $x \in R(x)$ , that is,  $x \in H_{\mu(x)}(x)$ . Thus  $x \in B$  and so  $\mu(x) = 1$ . Consequently,  $x \in H_1(x)$ .  $\square$

Next we give an example of an essential map.

**THEOREM 2.13 (normalization).** *Let  $Y$  and  $U$  be as above with  $0 \in U$ . Suppose the following conditions are satisfied:*

*for any map  $\theta \in \mathcal{D}_{\partial U}(\overline{U}, Y)$  with  $\theta|_{\partial U} = \{0\}$ , the map  $J$  is in  $\mathcal{U}_c^k(Y, Y)$ ;*

$$J(x) = \begin{cases} \theta(x), & x \in \overline{U}, \\ \{0\}, & x \in Y \setminus \overline{U}, \end{cases} \quad (2.8)$$

and

$$J \in \mathcal{U}_c^k(Y, Y) \text{ has a fixed point.} \quad (2.9)$$

*Then the zero map is essential in  $\mathcal{D}_{\partial U}(\overline{U}, Y)$ .*

**Remark 2.14.** Note that examples of spaces  $Y$  for (2.9) to be true can be found in [Theorems 2.1, 2.3, 2.6, 2.7, and 2.9](#) (notice that  $J$  is compact).

*Proof of [Theorem 2.13](#).* Let  $\theta \in \mathcal{D}_{\partial U}(\overline{U}, Y)$  with  $\theta|_{\partial U} = \{0\}$ . We must show that there exists  $x \in U$  with  $x \in \theta(x)$ . Define a map  $J$  as in (2.8). From (2.8) and (2.9), we know that there exists  $x \in Y$  with  $x \in J(x)$ . Now if  $x \notin U$ , we have  $x \in J(x) = \{0\}$ , which is a contradiction since  $0 \in U$ . Thus  $x \in U$  so  $x \in J(x) = \theta(x)$ .  $\square$

**Remark 2.15.** Other homotopy and essential map results in a topological vector space setting can be found in [\[1, 2\]](#).

To conclude this paper, we discuss inward-type maps for a general class of admissible maps. The proof presented involves minor modifications of an argument due to Ben-El-Mechaiekh and Kryszewski [\[9\]](#). Let  $Y$  be a normed space and  $X \subseteq Y$ , and consider a subclass  $\mathcal{R}(X, Y)$  of  $\mathcal{U}_c^k(X, Y)$ . This subclass must have the following properties: (i) if  $X \subseteq Z \subseteq Y$  and if  $I : X \hookrightarrow Z$  is an inclusion,  $t > 0$ , and  $F \in \mathcal{R}(X, Y)$  with  $(I + tF)(X) \subseteq Z$ , then  $I + tF \in \mathcal{U}_c^k(X, Z)$ , and (ii) each  $F \in \mathcal{R}(X, Y)$  is upper semicontinuous and compact valued.

In our next result we assume that  $\Omega$  is a compact  $\mathcal{L}$ -retract [\[9\]](#), that is,

- (A)  $\Omega$  is a compact neighborhood retract of a normed space  $E = (E, \|\cdot\|)$  and there exist  $\beta > 0$ ,  $r : B(\Omega, \beta) \rightarrow \Omega$  a retraction, and  $L > 0$  such that  $\|r(x) - x\| \leq Ld(x; \Omega)$  for  $x \in B(\Omega, \beta)$ .

As a result,

$$\exists \eta > 0, \quad \eta < \frac{\beta}{2} \quad \text{with } \|r(x) - x\| < \eta \quad \forall x \in B(\Omega, \eta). \quad (2.10)$$

**THEOREM 2.16.** *Let  $E = (E, \|\cdot\|)$  be a normed space and  $\Omega$  as in assumption (A), and assume either (i)  $\Omega$  is Schauder admissible or (ii) (2.2) holds with  $X = \Omega$ . In addition, suppose  $F \in \mathcal{R}(\Omega, E)$  with*

$$F(x) \subseteq C_\Omega(x) \quad \forall x \in \Omega. \quad (2.11)$$

*Then there exists  $x \in \Omega$  with  $0 \in Fx$ .*

**Remark 2.17.** Here  $C_\Omega$  is the Clarke tangent cone, that is,

$$C_\Omega(x) = \{v \in E : c(x, v) = 0\}, \quad (2.12)$$

where

$$c(x, y) = \limsup_{\substack{y \rightarrow x, y \in \Omega \\ t \downarrow 0}} \frac{d(x + tv; \Omega)}{t}. \quad (2.13)$$

**Remark 2.18.** If  $\Omega$  is a compact neighborhood retract, then of course  $\Omega \in \text{NES}(\text{compact})$ .

**Remark 2.19.** The proof is basically due to Ben-El-Mechaiekh and Kryszewski [9] and is based on [9, Lemma 5.1] (this lemma is a modification of a standard argument in the literature using partitions of unity).

*Proof.* Now [9, Lemma 5.1] (choose  $\Psi(x) = \{x \in E : c(x, v) < \delta\}$  ( $\delta > 0$  appropriately chosen),  $\Phi(x) = co(F(x))$  and apply the argument in [9, page 4176]) implies that there exists  $M > 0$  such that for each  $x \in K$  and each  $y \in Fx$ , we have  $\|y\| \leq M$ . Choose  $\tau > 0$  with  $M\tau < \eta$  (here  $\eta$  is as in (2.10)) and a sequence  $(t_n)_{n \in \mathbb{N}}$  in  $(0, \tau]$  with  $t_n \downarrow 0$ ; here  $N = \{1, 2, \dots\}$ . Define a sequence of maps  $\psi_n, n \in N$ , by

$$\psi_n(x) = r(x + t_n F(x)) \quad \text{for } x \in \Omega; \quad (2.14)$$

note that  $d(x + t_n y; \Omega) < \eta$  for  $x \in \Omega$  and  $y \in F(x)$  since  $M\tau < \eta$ . Fix  $n \in N$  and notice that  $\psi_n \in \mathcal{U}_c^k(\Omega, \Omega)$  is a compact map (note that  $\Omega$  is compact and  $\psi_n$  is upper semicontinuous with compact values). Now **Theorem 2.6** or **Theorem 2.7** guarantees that there exists  $x_n \in \Omega$  and  $y_n \in Fx_n$  with

$$x_n = r(x_n + t_n y_n). \quad (2.15)$$

Also notice from (2.15) and assumption (A) (note that  $M\tau < \eta < \beta/2 < \beta$ ) that

$$t_n \|y_n\| = \|x_n + t_n y_n - r(x_n + t_n y_n)\| \leq Ld(x_n + t_n y_n; \Omega). \quad (2.16)$$

Now  $\Omega$  is compact so  $F(\Omega)$  is compact, and as a result, there exists a subsequence  $S$  of  $N$  with  $(x_n, y_n) \in \text{Graph } F$  and  $(x_n, y_n) \rightarrow (\bar{x}, \bar{y})$  as  $n \rightarrow \infty$  in  $S$ . Of course, since  $F$  is upper

semicontinuous, we have  $\bar{y} \in F(\bar{x})$ . Also from (2.11), we have  $F(\bar{x}) \subseteq C_\Omega(\bar{x})$  and as a result,  $\bar{y} \in F(\bar{x}) \subseteq C_\Omega(\bar{x})$ , so  $c(\bar{x}, \bar{y}) = 0$ . Note also that

$$d(x_n + t_n y_n; \Omega) \leq d(x_n + t_n \bar{y}; \Omega) + t_n \|y_n - \bar{y}\| \quad (2.17)$$

and this together with (2.16) yields

$$\|\bar{y}\| = \limsup_{n \rightarrow \infty} \|y_n\| \leq \limsup \left( \frac{Ld(x_n + t_n \bar{y}; \Omega)}{t_n} + \|y_n - \bar{y}\| \right) = c(\bar{x}, \bar{y}) = 0, \quad (2.18)$$

so  $0 \in F(\bar{x})$ . □

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