

## Research Article

# Algebraic Integers as Chromatic and Domination Roots

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Let  $G$  be a simple graph of order  $n$  and  $\lambda \in \mathbb{N}$ . A mapping  $f : V(G) \rightarrow \{1, 2, \dots, \lambda\}$  is called a  $\lambda$ -colouring of  $G$  if  $f(u) \neq f(v)$  whenever the vertices  $u$  and  $v$  are adjacent in  $G$ . The number of distinct  $\lambda$ -colourings of  $G$ , denoted by  $P(G, \lambda)$ , is called the chromatic polynomial of  $G$ . The domination polynomial of  $G$  is the polynomial  $D(G, \lambda) = \sum_{i=1}^n d(G, i)\lambda^i$ , where  $d(G, i)$  is the number of dominating sets of  $G$  of size  $i$ . Every root of  $P(G, \lambda)$  and  $D(G, \lambda)$  is called the chromatic root and the domination root of  $G$ , respectively. Since chromatic polynomial and domination polynomial are monic polynomial with integer coefficients, its zeros are algebraic integers. This naturally raises the question: which algebraic integers can occur as zeros of chromatic and domination polynomials? In this paper, we state some properties of this kind of algebraic integers.

## 1. Introduction

Let  $G$  be a simple graph and  $\lambda \in \mathbb{N}$ . A mapping  $f : V(G) \rightarrow \{1, 2, \dots, \lambda\}$  is called a  $\lambda$ -colouring of  $G$  if  $f(u) \neq f(v)$  whenever the vertices  $u$  and  $v$  are adjacent in  $G$ . The number of distinct  $\lambda$ -colourings of  $G$ , denoted by  $P(G, \lambda)$ , is called the *chromatic polynomial* of  $G$ . A zero of  $P(G, \lambda)$  is called a *chromatic zero* of  $G$ . For a complete survey on chromatic polynomial and chromatic root, see [1].

For any vertex  $v \in V$ , the *open neighborhood* of  $v$  is the set  $N(v) = \{u \in V \mid uv \in E\}$ , and the *closed neighborhood* is the set  $N[v] = N(v) \cup \{v\}$ . For a set  $S \subseteq V$ , the open neighborhood of  $S$  is  $N(S) = \bigcup_{v \in S} N(v)$ , and the closed neighborhood of  $S$  is  $N[S] = N(S) \cup S$ . A set  $S \subseteq V$  is a *dominating set* if  $N[S] = V$ , or, equivalently, every vertex in  $V \setminus S$  is adjacent to at least one vertex in  $S$ . An  *$i$ -subset* of  $V(G)$  is a subset of  $V(G)$  of cardinality  $i$ . Let  $\mathfrak{D}(G, i)$  be the

family of dominating sets of  $G$  which are  $i$ -subsets and let  $d(G, i) = |\mathfrak{D}(G, i)|$ . The polynomial  $D(G, x) = \sum_{i=1}^{|V(G)|} d(G, i)x^i$  is defined as *domination polynomial* of  $G$  [2, 3]. A root of  $D(G, x)$  is called a *domination root* of  $G$ . We denote the set of all roots of  $D(G, x)$  by  $Z(D(G, x))$ . For more information and motivation of domination polynomial and domination roots, refer to [2–6].

We recall that a complex number  $\zeta$  is called an *algebraic number* (respectively, *algebraic integer*) if it is a zero of some monic polynomial with rational (resp., integer) coefficients (see [7]). Corresponding to any algebraic number  $\zeta$ , there is a unique monic polynomial  $p$  with rational coefficients, called the *minimal polynomial* of  $\zeta$  (over the rationals), with the property that  $p$  divides every polynomial with rational coefficients having  $\zeta$  as a zero. (The minimal polynomial of  $\zeta$  has integer coefficients if and only if  $\zeta$  is an algebraic integer.)

Since the chromatic polynomial and domination polynomial are monic polynomial with integer coefficients, its zeros are algebraic integers. This naturally raises the question: which algebraic integers can occur as zeros of chromatic and domination polynomials?

In Sections 2 and 3, we study algebraic integers as chromatic roots and domination roots, respectively.

As usual, we denote the complete graph of order  $n$  and the complement of  $G$ , by  $K_n$  and  $\overline{G}$ , respectively.

## 2. Algebraic Integers as Chromatic Roots

Since chromatic polynomial is monic polynomial with integer coefficients, its zeros are algebraic integers. An interval is called a *zero-free interval* for a chromatic (domination) polynomial, if  $G$  has no chromatic (domination) zero in this interval. It is well known that  $(-\infty, 0)$  and  $(0, 1)$  are two maximal zero-free intervals for chromatic polynomials of the family of all graphs (see [8]). Jackson [8] showed that  $(1, 32/27]$  is another maximal zero-free interval for chromatic polynomials of the family of all graphs and the value  $32/27$  is best possible.

For chromatic polynomials clearly those roots lying in  $(-\infty, 0) \cup (0, 1) \cup (1, 32/27]$  are forbidden. Tutte [9] proved that  $B_5 = (3 + \sqrt{5})/2 = 1 + \tau$ , where  $\tau = (1 + \sqrt{5})/2$  is the golden ratio, cannot be a chromatic zero. Salas and Sokal in [10] extended this result to show that the numbers  $B_n^{(k)} = 4 \cos^2(k\pi/n)$  for  $n = 5, 7, 8, 9$  and  $n \geq 11$ , with  $k$  coprime to  $n$ , are never chromatic zeros. For  $n = 10$  they showed the weaker result that  $B_{10} = (5 + \sqrt{5})/2$  and  $B_{10}^* = (5 - \sqrt{5})/2$  are not chromatic zeros of any plane near-triangulation.

Alikhani and Peng [11] have obtained the following theorem.

**Theorem 2.1.**  $\tau^n$  ( $n \in \mathbb{N}$ ), where  $\tau = (1 + \sqrt{5})/2$  is the golden ratio, cannot be zeros of any chromatic polynomials.

Also they extended this result to show that  $\phi_{2n}$  and all their natural powers cannot be chromatic zeros, where  $\phi_n$  is called  $n$ -annaci constant [12].

For some times it was thought that chromatic roots must have nonnegative real part. This is true for graphs with fewer than ten vertices. But Sokal showed the following.

**Theorem 2.2** (see [13]). *Complex chromatic roots are dense in the complex plane.*

**Theorem 2.3.** *The set of chromatic roots of a graph  $G$  is not a semiring.*

*Proof.* The set of chromatic roots is not closed under either addition or multiplication, because it suffices to consider  $\alpha + \alpha^*$  and  $\alpha\alpha^*$ , where  $\alpha$  is nonreal and close to the origin.  $\square$

**Theorem 2.4.** *Suppose that  $a, b$  are rational numbers,  $r \geq 2$  is an integer that is not a perfect square, and  $a - |b|\sqrt{r} < 32/27$ . Then  $a + b\sqrt{r}$  is not the root of any chromatic polynomial.*

*Proof.* If  $\lambda = a + b\sqrt{r}$  is a root of some polynomial with integer coefficients (e.g., a chromatic polynomial), then so is  $\lambda^* = a - b\sqrt{r}$ . But  $\lambda$  or  $\lambda^*$  cannot belong to  $(-1, 0) \cup (0, 1) \cup (1, 32/27]$ , a contradiction.  $\square$

**Corollary 2.5.** *Let  $b$  be a rational number, and let  $r$  be a positive rational number such that  $\sqrt{r}$  is irrational. Then  $b\sqrt{r}$  cannot be a root of any chromatic polynomial.*

We know that for every graph  $G$  with edge  $e = xy$ ,  $P(G, \lambda) = P(G + e, \lambda) + P(G \cdot e, \lambda)$ , where  $G \cdot e$  is the graph obtained from  $G$  by contracting  $x$  and  $y$  and removing any loop. By applying this recursive formula repeatedly, we arrive at

$$P(G, \lambda) = \sum_{i \geq 1} b_i P(K_i, \lambda) = \sum_{i \geq 1} b_i (\lambda)_i, \quad (2.1)$$

where  $b_i$ 's are some constants and

$$(\lambda)_i = \lambda(\lambda - 1) \cdots (\lambda - i + 1). \quad (2.2)$$

Let us recall the definition of join of two graphs. The *join* of two graphs  $G_1$  and  $G_2$ , denoted by  $G_1 + G_2$ , is a graph with vertex set  $V(G_1) \cup V(G_2)$  and edge set  $E(G_1) \cup E(G_2) \cup \{uv \mid u \in V(G_1) \text{ and } v \in V(G_2)\}$ .

**Theorem 2.6** (see [14]). *Let  $G_1$  and  $G_2$  be any two graphs with  $P(G_i, \lambda)$  expressed in factorial form,  $i = 1, 2$ . Then*

$$P(G_1 + G_2, \lambda) = P(G_1, \lambda) \otimes P(G_2, \lambda), \quad (2.3)$$

where  $\otimes$  is called *umbral product*, and acts as powers (i.e.,  $(\lambda)_i \otimes (\lambda)_j = (\lambda)_{i+j}$ ).

Here we state and prove the following theorem.

**Theorem 2.7.** *For any graph  $H$ ,*

$$P(H + K_n, \lambda) = \lambda(\lambda - 1) \cdots (\lambda - n + 1)P(H, \lambda - n). \quad (2.4)$$

*Proof.* It suffices to prove it for  $n = 1$ . Assume that  $P(H, \lambda) = \sum_{i \geq 1} b_i (\lambda)_i$ . By Theorem 2.6,

$$\begin{aligned} P(H + K_1, \lambda) &= P(H, \lambda) \otimes (\lambda)_1 = \sum_{i \geq 1} b_i (\lambda)_{i+1} \\ &= \lambda \sum_{i \geq 1} b_i (\lambda - 1)_i = \lambda P(H, \lambda - 1). \end{aligned} \quad (2.5)$$

$\square$

Here we state and prove the following theorem.

**Theorem 2.8.** *If  $\alpha$  is a chromatic root, then for any natural number  $n$ ,  $\alpha + n$  is a chromatic root.*

*Proof.* Since

$$P(G + K_n, \lambda) = \lambda(\lambda - 1) \cdots (\lambda - n + 1)P(G, \lambda - n), \quad (2.6)$$

we have the result.  $\square$

By Theorem 2.1,  $\tau$  and  $\tau + 1 = \tau^2$  are not chromatic roots. However  $\tau + 3$  is a chromatic root (see Theorem 2.14). Therefore by Theorem 2.8 we have the following corollary.

**Corollary 2.9.** *For every natural number  $n \geq 3$ ,  $\tau + n$  is a chromatic root.*

There are the following conjectures.

**Conjecture 2.10** (see [15]). *Let  $\alpha$  be an algebraic integer. Then there exists a natural number  $n$  such that  $\alpha + n$  is a chromatic root.*

**Conjecture 2.11** (see [15]). *Let  $\alpha$  be a chromatic root. Then  $n\alpha$  is a chromatic root for any natural number  $n$ .*

*Definition 2.12* (see [15]). A ring of cliques is the graph  $R(a_1, \dots, a_n)$  whose vertex set is the union of  $n + 1$  complete subgraphs of sizes  $1, a_1, \dots, a_n$ , where the vertices of each clique are joined to those of the cliques immediately preceding or following it mod  $n + 1$ .

**Theorem 2.13** (see [15]). *The chromatic polynomial of  $R(a_1, \dots, a_n)$  is a product of linear factors and the polynomial*

$$\frac{1}{q} \left( \prod_{i=1}^n (q - a_i) - \prod_{i=1}^n (-a_i) \right). \quad (2.7)$$

We call the polynomial in Theorem 2.13 the interesting factor.

**Theorem 2.14.**  $\tau + 3$  is a chromatic root.

*Proof.* Consider the graph  $R(1, 1, 5)$ . Obviously this graph has eight vertices and by Theorem 2.13 its interesting factor is  $q^2 - 7q + 11$ , with roots  $(7 \pm \sqrt{5})/2$ . Therefore the graph  $R(1, 1, 5)$  has  $\tau + 3$  as chromatic root.  $\square$

*Remark 2.15.* We observed that  $\tau + n$  is a chromatic root for every  $n \geq 3$ . Also we saw that  $\tau + 1$  is not a chromatic root, but we do not know whether  $\tau + 2$  is a chromatic root or not. Therefore this remains as an open problem.

### 3. Algebraic Integers as Domination Roots

For domination polynomial of a graph, it is clear that  $(0, \infty)$  is zero-free interval. Brouwer [16] has shown that  $-1$  cannot be domination root of any graph  $G$ . For more details of the domination polynomial of a graph at  $-1$  refer to [17]. We also have shown that every integer domination root is even [18].

Let us recall the corona of two graphs. The *corona* of two graphs  $G_1$  and  $G_2$ , as defined by Frucht and Harary in [19], is the graph  $G = G_1 \circ G_2$  formed from one copy of  $G_1$  and  $|V(G_1)|$  copies of  $G_2$ , where the  $i$ th vertex of  $G_1$  is adjacent to every vertex in the  $i$ th copy of  $G_2$ . The corona  $G \circ K_1$ , in particular, is the graph constructed from a copy of  $G$ , where for each vertex  $v \in V(G)$ , a new vertex  $v'$  and a pendant edge  $vv'$  are added.

Here we state the following theorem.

**Theorem 3.1** (see [2]). *Let  $G$  be a graph. Then  $D(G, x) = x^n(x + 2)^n$  if and only if  $G = H \circ K_1$  for some graph  $H$  of order  $n$ .*

By above theorem there are infinite classes of graphs which have  $-2$  as domination roots. Since  $-1$  is not domination root of any graph, so we do not have result for domination roots similar to Theorem 2.8. Also we think that the following conjecture is correct.

**Conjecture 3.2** (see [18]). *If  $r$  is an integer domination root of a graph, then  $r = 0$  or  $r = -2$ .*

Now we recall the following theorem.

**Theorem 3.3** (see [2]). *Let  $G$  be a connected graph of order  $n$ . Then,  $Z(D(G, x)) = \{0, (-3 \pm \sqrt{5})/2\}$ , if and only if  $G = H \circ \overline{K}_2$ , for some graph  $H$ . Indeed  $D(H \circ \overline{K}_2, x) = x^{n/3}(x^2 + 3x + 1)^{n/3}$ .*

The following corollary is an immediate consequence of above theorem.

**Corollary 3.4.** *All graphs of the form  $H \circ \overline{K}_2$ , have  $-\tau^2$  as domination roots.*

The following theorem state that  $-\tau$  cannot be a domination root.

**Theorem 3.5.**  *$-\tau$  cannot be a domination root.*

*Proof.* Let  $G$  be any graph. Since  $D(G, -\tau)$  is a polynomial with integral coefficients, we have  $D(G, (-1 + \sqrt{5})/2) = 0$ . But  $(-1 + \sqrt{5})/2 > 0$ , a contradiction.  $\square$

The following theorem is similar to Theorem 3.6 for domination roots.

**Theorem 3.6.** *Suppose that  $a, b$  are rational numbers,  $r \geq 2$  is an integer that is not a perfect square, and  $a - |b|\sqrt{r} < 0$ . Then  $-a - b\sqrt{r}$  is not the root of any domination polynomial.*

*Proof.* If  $\lambda = -a - b\sqrt{r}$  is a root of some polynomial with integer coefficients (e.g., a domination polynomial), then so is  $\lambda^* = -a + b\sqrt{r}$ . But  $\lambda^* \in (0, \infty)$ , a contradiction.  $\square$

**Corollary 3.7.** *Let  $b$  be a rational number, and let  $r$  be a positive rational number such that  $\sqrt{r}$  is irrational. Then  $-|b|\sqrt{r}$  cannot be a root of any domination polynomial.*

Here we will prove that  $-\tau^n$  for odd  $n$ , cannot be a domination root. We need some theorems.

**Theorem 3.8** (see [20]). *For every natural number  $n$ ,*

$$F_n = \frac{1}{\sqrt{5}}(\tau^n - (1 - \tau)^n). \quad (3.1)$$

**Corollary 3.9.** For every natural number  $n$

$$\frac{F_n}{F_{n-1}} \begin{cases} < \tau & \text{if } n \text{ is even,} \\ > \tau & \text{if } n \text{ is odd.} \end{cases} \quad (3.2)$$

*Proof.* This follows from Theorem 3.8. □

Now, we recall the Cassini's formula.

**Theorem 3.10** (Cassini's formula [20]). One has

$$F_{n-1}F_{n+1} - F_n^2 = (-1)^n, \quad (3.3)$$

where  $n \geq 1$ .

Using this formula, we prove another property of golden ratio and Fibonacci numbers which is needed for the proof of Theorem 3.13.

**Theorem 3.11.**

$$F_{n-1} - \tau^{-1}F_n \in \begin{cases} \left(0, \frac{1}{F_{n-1}}\right) & \text{if } n \text{ is even,} \\ \left(\frac{-1}{F_{n-1}}, 0\right) & \text{if } n \text{ is odd.} \end{cases} \quad (3.4)$$

*Proof.* Suppose that  $n$  is even, therefore  $n - 1$  is odd, and by Corollary 3.9, we have

$$\frac{F_{n-1}}{F_{n-2}} > \tau > \frac{F_n}{F_{n-1}}. \quad (3.5)$$

Hence,

$$\frac{F_{n-1}}{F_n} > \tau^{-1} > \frac{F_{n-2}}{F_{n-1}}, \quad (3.6)$$

and by multiplying  $F_n$  in this inequality, we have

$$F_{n-1} > \tau^{-1}F_n > \frac{F_{n-2}F_n}{F_{n-1}}. \quad (3.7)$$

Thus,

$$0 > \tau^{-1}F_n - F_{n-1} > \frac{(-1)^{n-1}}{F_{n-1}}. \quad (3.8)$$

By Theorem 3.10, we have

$$\frac{(-1)^n}{F_{n-1}} > F_{n-1} - \tau^{-1}F_n > 0. \quad (3.9)$$

Hence, for even  $n$ ,

$$0 < F_{n-1} - \tau^{-1}F_n < \frac{1}{F_{n-1}}. \quad (3.10)$$

Similarly, the result holds when  $n$  is odd.  $\square$

**Theorem 3.12** (see [20, page 78]). *For every  $n \geq 2$ ,  $\tau^n = F_n\tau + F_{n-1}$  ( $n \geq 2$ ).*

Now we are ready to prove the following theorem.

**Theorem 3.13.** *Let  $n$  be an odd natural number. Then  $-\tau^n$  cannot be domination roots.*

*Proof.* By Theorem 3.12, we can write

$$\tau^n = F_n\tau + F_{n-1} = \left(\frac{F_n}{2} + F_{n-1}\right) + \left(\frac{\sqrt{5}F_n}{2}\right). \quad (3.11)$$

Suppose that  $D(G, -\tau^n) = 0$ , that is

$$D\left(G, \left(-\frac{F_{n+1} + F_{n-1}}{2} - \frac{\sqrt{5}F_n}{2}\right)\right) = 0. \quad (3.12)$$

Then

$$D\left(G, \left(-\frac{F_{n+1} + F_{n-1}}{2} + \frac{\sqrt{5}F_n}{2}\right)\right) = 0 \quad (3.13)$$

(see page 187 in [21]), but we can write

$$-\frac{F_{n+1} + F_{n-1}}{2} + \frac{\sqrt{5}F_n}{2} = -(-\tau^{-1}F_n + F_{n-1}). \quad (3.14)$$

By Theorem 3.11,  $-(-\tau^{-1}F_n + F_{n-1}) \in (-1, 0)$  when  $n$  is even and  $-(-\tau^{-1}F_n + F_{n-1}) \in (0, 1)$  when  $n$  is odd. Since  $n$  is odd, we have  $-(-\tau^{-1}F_n + F_{n-1}) \in (0, 1)$ . But we know that  $(0, \infty)$  is zero-free interval for domination polynomial of any graph. Hence we have a contradiction.  $\square$

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