



ON CONGRUENCE CONDITIONS FOR PRIMALITY

Sherry Gong

Departments of Mathematics and Physics, Harvard University
 sgong@fas.harvard.edu

Scott Duke Kominers¹

Department of Economics, Harvard University, and Harvard Business School
 kominers@fas.harvard.edu, skominers@gmail.com

Received: 5/22/09, Revised: 2/28/10, Accepted: 3/7/10, Published: 7/16/10

Abstract

For any $k \geq 0$, all primes n satisfy the congruence $n\sigma_k(n) \equiv 2 \pmod{\varphi(n)}$. We show that this congruence in fact characterizes the primes, in the sense that it is satisfied by only finitely many composite n . This characterization generalizes the results of Lescot and Subbarao for the cases $k = 0$ and $k = 1$. For $0 \leq k \leq 14$, we enumerate the composite n satisfying the congruence. We also prove that any composite n which satisfies the congruence for some k satisfies it for infinitely many k .

1. Introduction

Lescot [1] and Subbarao [2] showed that, for $k \in \{0, 1\}$, the congruence

$$n\sigma_k(n) \equiv 2 \pmod{\varphi(n)}, \tag{1}$$

in some sense characterizes the set \mathcal{P} of primes. Specifically, they respectively showed the $k = 0$ and $k = 1$ cases of the following theorem.

Theorem 1. *For $k \in \{0, 1\}$ and $n \in \mathbb{N}$, the congruence (1) holds if and only if $n \in \mathcal{P} \cup \{1\} \cup S_k$, where $S_0 = \{4, 6, 14\}$ and $S_1 = \{4, 6, 22\}$.*

Here, σ_k and φ respectively denote the *divisor* and *totient* functions, defined by

$$\sigma_k(n) = \sum_{d|n} d^k = \prod_{i=1}^r \frac{p_i^{(\alpha_i+1)k} - 1}{p_i^k - 1},$$

$$\varphi(n) = |\{d \in \mathbb{N} : 1 \leq d < n \text{ and } (d, n) = 1\}| = \prod_{i=1}^r p_i^{\alpha_i-1} (p_i - 1),$$

for $k \geq 0$ and $\mathbb{N} \ni n = p_1^{\alpha_1} \cdots p_r^{\alpha_r}$ (with the $p_i \in \mathcal{P}$ distinct).

¹Corresponding author.

It is clear that if $n \in \mathcal{P}$, then $\sigma_k(n) = n^k + 1$ and $\varphi(n) = n - 1$. In this case, we have

$$n\sigma_k(n) \equiv n(n^k + 1) \equiv 2 \pmod{n - 1},$$

as $p \equiv 1 \pmod{p - 1}$. We therefore see that, for any $k \geq 0$, all $n \in \mathcal{P}$ satisfy (1).

In this note, we present the following result generalizing the reverse direction of Theorem 1.

Theorem 2. *For any $k \geq 0$, let S_k be the set of composite $n \in \mathbb{N}$ satisfying (1). Then,*

- (i) $S_k \subset 2\mathcal{P}$,
- (ii) S_k is finite, and
- (iii) the maximal element of S_k is at most $2^{k+3} + 6$.

We prove Theorem 2 in Section 2. Then, in Section 3, we enumerate the sets S_k for $0 \leq k \leq 14$. There, we also prove that any $n \in \mathbb{N}$ which appears in S_k for some $k \geq 0$ appears in infinitely many of the sets $\{S_{k'}\}_{k'=0}^\infty$.

2. Proof of Theorem 2

We suppose that $n \in S_k$, i.e., that n is composite and satisfies (1). Upon writing $n = 2^\alpha p_1^{\alpha_1} \cdots p_r^{\alpha_r}$ with the $p_i \in \mathcal{P}$ distinct and odd, we have

$$\varphi(n) = 2^{\alpha-1} \prod_{i=1}^r p_i^{\alpha_i-1} (p_i - 1). \tag{2}$$

For any i ($1 \leq i \leq r$) such that $\alpha_i > 1$, we see from the expression (2) that $p_i \mid \varphi(n)$. Since clearly $p_i \mid n$, the congruence (1) then gives that $p_i \mid 2$ —an impossibility. Thus, we must have $\alpha_i = 1$. An analogous argument shows that $\alpha \in \{1, 2\}$.

Now, as $p_i - 1$ is even for each i ($1 \leq i \leq r$), we see from (2) that $2^r \mid \varphi(n)$. Furthermore, the expression

$$\begin{aligned} \sigma_k(n) &= \frac{2^{(\alpha+1)k} - 1}{2^k - 1} \prod_{i=1}^r \frac{p_i^{(\alpha_i+1)k} - 1}{p_i^k - 1} \\ &= \frac{2^{(\alpha+1)k} - 1}{2^k - 1} \prod_{i=1}^r \frac{p_i^{2k} - 1}{p_i^k - 1} = \frac{2^{(\alpha+1)k} - 1}{2^k - 1} \prod_{i=1}^r (p_i^k + 1) \end{aligned} \tag{3}$$

yields that $2^r \mid \sigma_k(n)$ since $2 \mid p_i^k + 1$. We then obtain from the congruence (1) that $2^r \mid 2$, whence we see that $r \leq 1$.

Since n is composite, we are left with only two possibilities: $n = 2p$ (with $p \in \mathcal{P}$) or $n = 4p$ (with $p \in \mathcal{P}$). The second case is impossible, as when $n = 4p$ we have (1) and $4 \mid \varphi(n)$, together implying $4 \mid 2$; the fact that $S_k \subset 2\mathcal{P}$ follows. In the first case, if $p = 2$, then $n = 4 \leq 2^{k+3} + 6$. If p is odd, then we have $n\sigma_k(n) = 2p(2^k + 1)(p^k + 1)$ and $\varphi(n) = p - 1$. Because $p \equiv 1 \pmod{p - 1}$, we see that

$$n\sigma_k(n) \equiv 2p(2^k + 1)(p^k + 1) \equiv 4(2^k + 1) \pmod{p - 1}. \tag{4}$$

Combining (1) and (4), we see that

$$(p - 1) \mid 2^{k+2} + 2. \tag{5}$$

As $n = 2p$ and (5) implies that $p \leq 2^{k+2} + 3$, we have the stated bound on the size of $n \in S_k$; the finitude of S_k follows.

3. The Sets S_k

Table 1 presents the exceptional sets S_k for $0 \leq k \leq 14$. It is clear that $4, 6 \in S_k$ for each $k \geq 0$, since $\varphi(4) = \varphi(6) = 2$, and $4\sigma_k(4)$ and $6\sigma_k(6)$ are even for all k . Beyond this observation, however, the behavior of the sets S_k appears to be quite erratic.

Nonetheless, we obtain the following partial characterization result for the S_k .

Corollary 3. *If $n \in \mathbb{N}$ is in S_k for some $k \geq 0$, then it is in infinitely many of the sets $\{S_{k'}\}_{k'=0}^\infty$.*

Proof. It is easily seen in the proof of Theorem 2 that $n \in S_k$ if and only if $n = 2p$ for $p \in \mathcal{P}$ satisfying

$$(p - 1) \mid (2^{k+2} + 2),$$

or equivalently,

$$\frac{p - 1}{2} \mid (2^{k+1} + 1).$$

But this means that $2^{k+1} \equiv -1 \pmod{\frac{p-1}{2}}$, hence $2^{2k+2} \equiv 1 \pmod{\frac{p-1}{2}}$. It follows that we have

$$2^{(2j+1)(k+1)-1} = 2^{(2k+2)j+k} \equiv 2^k \pmod{\frac{p-1}{2}}$$

k	S_k
0	{4,6,14}
1	{4,6,22}
2	{4,6,14,38}
3	{4,6}
4	{4,6,14,46,134}
5	{4,6,22,262}
6	{4,6,14}
7	{4,6}
8	{4,6,14,38}
9	{4,6,22,166}
10	{4,6,14,2734}
11	{4,6}
12	{4,6,14}
13	{4,6,22,118,454}
14	{4,6,14,38,46,134,398,3974,14566}

Table 1: The exceptional sets S_k ($0 \leq k \leq 14$)

for any $j \in \mathbb{N}$. Then, for any $k' \in \{(2j + 1)(k + 1) - 1\}_{j=1}^\infty$, we have

$$(p - 1) \mid (2^{k'+2} + 2),$$

hence $n \in S_{k'}$. We have therefore produced infinitely many k' such that $n \in S_{k'}$. \square

References

[1] P. Lescot, *A characterisation of prime numbers*, The Mathematical Gazette **80** (1996), no. 488, 400–401.

- [2] M. V. Subbarao, *On two congruences for primality*, Pacific Journal of Mathematics **52** (1974), 261–268.