



**STARLIKENESS AND CONVEXITY CONDITIONS FOR CLASSES OF
FUNCTIONS DEFINED BY SUBORDINATION**

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ABSTRACT. We consider the family $\mathcal{P}(1, b)$, $b > 0$, consisting of functions p analytic in the open unit disc U with the normalization $p(0) = 1$ which have the disc formulation $|p - 1| < b$ in U . Applying the subordination properties to certain choices of p using the functions $f_n(z) = z + \sum_{k=1+n}^{\infty} a_k z^k$, $n = 1, 2, \dots$, we obtain inclusion relations, sufficient starlikeness and convexity conditions, and coefficient bounds for functions in these classes. In some cases our results improve the corresponding results appeared in print.

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1. INTRODUCTION

Let \mathcal{A} denote the class of functions that are analytic in the open unit disc $U = \{z \in \mathcal{C} : |z| < 1\}$ and let \mathcal{A}_n be the subclass of \mathcal{A} consisting of functions f_n of the form

$$(1.1) \quad f_n(z) = z + \sum_{k=1+n}^{\infty} a_k z^k, \quad n = 1, 2, 3, \dots$$

The function $p \in \mathcal{A}$ and normalized by $p(0) = 1$ is said to be in $\mathcal{P}(1, b)$ if

$$(1.2) \quad |p(z) - 1| < b, \quad b > 0, \quad z \in U.$$

The class $\mathcal{P}(1, b)$ which is defined using the disc formulation (1.2) was studied by Janowski [6] and has an alternative characterization in terms of subordination (see [5] or [14]), that is, for

$z \in U$, we have

$$(1.3) \quad p \in \mathcal{P}(1, b) \iff p(z) \prec 1 + bz.$$

For the functions ϕ and ψ in \mathcal{A} , we say that the ϕ is subordinate to ψ in U , denoted by $\phi \prec \psi$, if there exists a function $w(z)$ in \mathcal{A} with $w(0) = 0$ and $|w(z)| < 1$, such that $\phi(z) = \psi(w(z))$ in U . For further references see Duren [3].

The family $\mathcal{P}(1, b)$ contains many interesting classes of functions which have close inter-relations with different well-known classes of analytic univalent functions. For example, for $f_n \in \mathcal{A}_n$ if

$$\left(\frac{zf'_n}{f_n} \right) \in \mathcal{P}(1, 1 - \alpha), \quad 0 \leq \alpha \leq 1,$$

then f_n is starlike of order α in U and if

$$\left(1 + \frac{zf''_n}{f'_n} \right) \in \mathcal{P}(1, 1 - \alpha), \quad 0 \leq \alpha \leq 1,$$

then f_n is convex of order α in U .

For $0 \leq \alpha \leq 1$ we let $\mathcal{S}^*(\alpha)$ be the class of functions $f_n \in \mathcal{A}_n$ which are starlike of order α in U , that is,

$$\mathcal{S}^*(\alpha) \equiv \left\{ f_n \in \mathcal{A}_n : \Re \left(\frac{zf'_n}{f_n} \right) \geq \alpha, \quad |z| < 1 \right\},$$

and let $\mathcal{K}(\alpha)$ be the class of functions $f_n \in \mathcal{A}_n$ which are convex of order α in U , that is,

$$\mathcal{K}(\alpha) \equiv \left\{ f_n \in \mathcal{A}_n : \Re \left(1 + \frac{zf''_n}{f'_n} \right) \geq \alpha, \quad |z| < 1 \right\}.$$

Alexander [1] showed that f_n is convex in U if and only if zf'_n is starlike in U .

In this paper we investigate inclusion relations, starlikeness, convexity, and coefficient conditions on f_n and its related classes for two choices of $p(f_n)$ in $\mathcal{P}(1, b)$. In some cases, we improve the related known results appeared in the literature.

Define $\mathcal{F}(1, b)$ be the subclass of $\mathcal{P}(1, b)$ consisting of functions $p(f_1)$ so that

$$(1.4) \quad p(f_1(z)) = \frac{zf'_1(z)}{f_1(z)} \left(1 + \frac{zf''_1(z)}{f'_1(z)} \right)$$

where $f_1 \in \mathcal{A}_1$ is given by (1.1).

For fixed $v > -1$, $n \geq 1$, and for $\lambda \geq 0$, define $\mathcal{M}_\lambda^v(1, b)$ be the subclass of $\mathcal{P}(1, b)$ consisting of functions $p(f_n)$ so that

$$(1.5) \quad p(f_n(z)) = (1 - \lambda) \frac{D^v f_n(z)}{z} + \lambda (D^v f_n(z))'$$

where $f_n \in \mathcal{A}_n$ and $D^v f$ is the v -th order Ruscheweyh derivative [10].

The v -th order Ruscheweyh derivative D^v of a function f_n in \mathcal{A}_n is defined by

$$(1.6) \quad D^v f_n(z) = \frac{z}{(1-z)^{1+v}} * f_n(z) = z + \sum_{k=1+n}^{\infty} B_k(v) a_k z^k,$$

where

$$B_k(v) = \frac{(1+v)(2+v) \cdots (v+k-1)}{(k-1)!}$$

and the operator “ $*$ ” stands for the convolution or Hadamard product of two power series

$$f(z) = \sum_{i=1}^{\infty} a_i z^i \quad \text{and} \quad g(z) = \sum_{i=1}^{\infty} b_i z^i$$

defined by

$$(f * g)(z) = f(z) * g(z) = \sum_{i=1}^{\infty} a_i b_i z^i.$$

2. THE FAMILY $\mathcal{F}(1, b)$

The class $\mathcal{F}(1, b)$ for certain values of b yields a sufficient starlikeness condition for the functions $f_1 \in \mathcal{A}_1$.

Theorem 2.1. *If $0 < b \leq \frac{9}{4}$ and $p(f_1) \in \mathcal{F}(1, b)$ then*

$$\frac{zf_1'}{f_1} \in \mathcal{P}\left(1, \frac{3 - \sqrt{9 - 4b}}{2}\right).$$

We need the following lemma, which is due to Jack [4].

Lemma 2.2. *Let $w(z)$ be analytic in U with $w(0) = 0$. If $|w|$ attains its maximum value on the circle $|z| = r$ at a points z_0 , we can write $z_0 w'(z_0) = kw(z_0)$ for some real k , $k \geq 1$.*

Proof of Theorem 2.1. For $b_1 = \frac{3 - \sqrt{9 - 4b}}{2}$ write $\frac{zf_1'(z)}{f_1(z)} = 1 + b_1 w(z)$. Obviously, w is analytic in U and $w(0) = 0$. The proof is complete if we can show that $|w| < 1$ in U . On the contrary, suppose that there exists $z_0 \in U$ such that $|w(z_0)| = 1$. Then, by Lemma 2.2, we must have $z_0 w'(z_0) = kw(z_0)$ for some real k , $k \geq 1$ which yields

$$\begin{aligned} \left| \frac{z_0 f_1'(z_0)}{f_1(z_0)} \left(1 + \frac{z_0 f_1''(z_0)}{f_1'(z_0)}\right) - 1 \right| &= |(1 + b_1 w(z_0))^2 + b_1 z_0 w'(z_0) - 1| \\ &= |b_k + 2b_1 + b_1^2 w(z_0)| \\ &\geq 3b_1 - b_1^2 = b. \end{aligned}$$

This contradicts the hypothesis, and so the proof is complete. \square

Corollary 2.3. *For $0 < b \leq 2$ let $p(f_1) \in \mathcal{F}(1, b)$. Then $f_1 \in \mathcal{S}^*\left(\frac{-1 + \sqrt{9 - 4b}}{2}\right)$.*

Corollary 2.4. *If $p(f_1) \in \mathcal{F}(1, b)$ and $0 < b \leq 2$, then*

$$\left| \arg \frac{zf_1'(z)}{f_1(z)} \right| < \arcsin \left(\frac{3 - \sqrt{9 - 4b}}{2} \right).$$

It is not known if the above corollaries can be extended to the case when $b > 2$.

Corollary 2.5. *If $\Re\left(\frac{f_1(z)}{zf_1'(z) + z^2 f_1''(z)}\right) > \frac{1}{2}$ then $f_1 \in \mathcal{S}^*\left(\frac{-1 + \sqrt{5}}{2}\right)$.*

Remark 2.6. For $0 < b < 2$, Theorem 2.1 is an improvement to Theorem 1 obtained by Obradović, Joshi, and Jovanović [8].

Corollary 2.7. *If $p(f_1) \in \mathcal{F}(1, b)$ then f_1 is convex in U for $0 < b \leq 0.935449$.*

Proof. For $p(f_1) \in \mathcal{F}(1, b)$ we can write $|\arg p(f_1)| < \arcsin b$. Therefore,

$$\left| \arg \left(1 + \frac{zf_1''(z)}{f_1'(z)}\right) \right| < \arcsin b + \arcsin \left(\frac{3 - \sqrt{9 - 4b}}{2} \right).$$

Now the proof is complete upon noting that the right hand side of the above inequality is less than $\frac{\pi}{2}$ for $b = 0.935449$. \square

Remark 2.8. It is not known if the above Corollary 2.7 is sharp but it is an improvement to Corollary 2 obtained by Obradovic, Joshi, and Jovanovic [8].

Corollary 2.9. *If $p(f_1) \in \mathcal{F}(1, b)$ then f_1 is convex in the disc $|z| < \frac{0.935449}{b}$ for $0.935449 \leq b \leq 1$.*

Proof. We write $p(f_1) = 1 + bw(z)$ where w is a Schwarz function. Let $|z| \leq \rho$. Then $|w(z)| \leq \rho$ and so $|p(f_1) - 1| < b\rho$ for $|z| \leq \rho$. Upon choosing $b\rho = 0.935449$ it follows from the above Corollary 2.7 that $|\arg(1 + zf_1''/f_1')| < \pi/2$ for $|z| \leq \rho = 0.935449/b$. Therefore the proof is complete. \square

In the following example we show that there exist functions f which are not necessarily starlike or univalent in U for $p(f_1) \in \mathcal{F}(1, b)$ if b is sufficiently large.

Example 2.1. For the spirallike function $g(z) = z/(1 - z)^{1+i}$ we have

$$\Re\left(e^{-\frac{\pi}{4}i} \frac{zg'(z)}{g(z)}\right) = \frac{1}{\sqrt{2}} \left(\frac{1 - |z|^2}{|1 - z|^2}\right) > 0, \quad z \in U.$$

Since $\frac{zg'(z)}{g(z)} = \frac{1+iz}{1-z}$, we obtain

$$\Re\left(\frac{zg'(z)}{g(z)}\right) = \frac{1 - r(\cos\theta + \sin\theta)}{1 - 2r\cos\theta + r^2}$$

for $z = re^{i\theta}$. Thus $g(z)$ is not starlike for $|z| < t$, $\frac{1}{\sqrt{2}} < t < 1$. This means that $f(z) = \frac{g(rz)}{r}$ is not starlike in U . Now set

$$h(z) = \int_0^z \frac{g(\zeta)}{\zeta} d\zeta = i((1 - z)^{-i} - 1)$$

and let $z_0 = \frac{e^{2\pi} - 1}{e^{2\pi} + 1} \approx 0.996$. Therefore, $h(z_0) = h(-z_0)$ and so h is not univalent in U . Consequently, $f(z) = \frac{h(z_0 z)}{z_0}$ is not univalent in U for sufficiently large values of b . On the other hand, $p(g) \in \mathcal{F}(1, b)$ for sufficiently large b , since,

$$|p(g(z)) - 1| = \left| \frac{1 + 3iz}{(1 - z)^2} + \frac{z}{1 - z} - 1 \right| < b$$

for sufficiently large b .

The following theorem is the converse of Theorem 2.1 for a special case.

Theorem 2.10. *If $\frac{zf_1'}{f_1} \in \mathcal{P}\left(1, \frac{3-\sqrt{5}}{2}\right)$ then $p(f_1) \in \mathcal{F}(1, 1)$ for $|z| < r_0 = 0.7851$.*

To prove our theorem, we need the following lemma due to Dieudonné [2].

Corollary 2.11. *Let z_0 and w_0 be given points in U , with $z_0 \neq 0$. Then for all functions f analytic and satisfying $|f(z)| < 1$ in U , with $f(0) = 0$ and $f(z_0) = w_0$, the region of values of $f'(z_0)$ is the closed disc*

$$\left|w - \frac{w_0}{z_0}\right| \leq \frac{|z_0|^2 - |w_0|^2}{|z_0|(1 - |z_0|^2)}.$$

Proof of Theorem 2.10. Write

$$q(z) = \frac{zf_1'(z)}{f_1(z)} = 1 + \left(\frac{3 - \sqrt{5}}{2}\right) w(z),$$

where w is a Schwarz function. We need to find the largest disc $|z| < \rho$ for which

$$\begin{aligned} & \left| \left[1 + \left(\frac{3 - \sqrt{5}}{2} \right) w(z) \right]^2 + \left(\frac{3 - \sqrt{5}}{2} \right) zw'(z) - 1 \right| \\ &= \left| \left(\frac{3 - \sqrt{5}}{2} \right)^2 w^2(z) + (3 - \sqrt{5})w(z) + \left(\frac{3 - \sqrt{5}}{2} \right) zw'(z) \right| < 1. \end{aligned}$$

For fixed $r = |z|$ and $R = |w(z)|$ we have $R \leq r$. Therefore, by Lemma 2.11, we obtain

$$|w'(z)| \leq \frac{R}{r} + \frac{r^2 - R^2}{r(1 - r^2)}$$

and so

$$\begin{aligned} |p(f_1) - 1| &= \left| \frac{zf_1'(z)}{f_1(z)} \left(1 + \frac{zf_1''(z)}{f_1'(z)} \right) - 1 \right| \\ &= \left| \left(\frac{3 - \sqrt{5}}{2} \right)^2 w^2(z) + (3 - \sqrt{5})w(z) + \left(\frac{3 - \sqrt{5}}{2} \right) zw'(z) \right| \\ &\leq t^2 R^2 + 3tR + t \frac{r^2 - R^2}{1 - r^2} \\ &= \frac{t}{1 - r^2} \psi(R), \end{aligned}$$

where

$$\psi(R) = R^2(t - tr^2 - 1) + 3R(1 - r^2) + r^2 \quad \text{and} \quad t = \frac{3 - \sqrt{5}}{2}.$$

We note that $\psi(R)$ attains its maximum at $R_0 = \frac{3(1-r^2)}{2(1+tr^2-t)}$. So the theorem follows for $r_0 \approx 0.7851$ which is the root of the equation $\frac{t}{1-r^2} \psi(R_0) = 1$.

Letting z_0 and w_0 in Lemma 2.11 be so that $|z_0| = r_0$ and $|w_0| = \frac{3(1-r_0^2)}{2(1+tr_0^2-t)}$ we conclude that the bound given by Theorem 2.10 is sharp. \square

3. THE FAMILY $\mathcal{M}_\lambda^v(1, b)$

We begin with stating and proving some properties of the family $\mathcal{M}_\lambda^v(1, b)$.

Theorem 3.1. *If $p(f_n) \in \mathcal{M}_\lambda^v(1, b)$ then*

$$\frac{D^v f_n(z)}{z} \in \mathcal{P}\left(1, \frac{b}{1 + \lambda n}\right).$$

We need the following lemma, which is due to Miller and Mocanu [7].

Lemma 3.2. *Let $q(z) = 1 + q_n z^n + \dots$ ($n \geq 1$) be analytic in U and let $h(z)$ be convex univalent in U with $h(0) = 1$. If $q(z) + \frac{1}{c} z q'(z) \prec h(z)$ for $c > 0$, then*

$$q(z) \prec \frac{c}{n} z^{-c/n} \int_0^z h(t) t^{\frac{c}{n}-1} dt.$$

Proof of Theorem 3.1. For $p(f_n) \in \mathcal{M}_\lambda^v(1, b)$ set $q(z) = \frac{D^v f_n(z)}{z}$. Then we can write $q(z) + \lambda z q'(z) \prec 1 + bz$. Now, applying Lemma 3.2, we obtain

$$q(z) \prec +1 + \frac{b}{1 + \lambda n} z.$$

Substituting back for $q(z)$ and choosing $w(z)$ to be analytic in U with $|w(z)| \leq |z|^n$, by the definition of subordination we have

$$\frac{D^v f_n(z)}{z} = 1 + \frac{b}{(1 + \lambda n)} w(z).$$

Now the theorem follows using the necessary and sufficient condition (1.3). The estimates in Theorem 3.1 are sharp for $p(f_n)$ where f_n is given by

$$\frac{D^v f_n(z)}{z} = 1 + \frac{b}{(1 + \lambda n)} z^n.$$

□

Corollary 3.3. *If $p(f_n) \in \mathcal{M}_\lambda^v(1, b)$ then*

$$\left| \frac{D^v f_n(z)}{z} \right| \leq 1 + \frac{b}{1 + \lambda n} |z|^n.$$

Corollary 3.4. *If $|f'_n(z) + \lambda z f''_n(z) - 1| < b$ then*

$$f'_n(z) \prec 1 + \frac{b}{1 + \lambda n} z.$$

Corollary 3.5. *If $\left| (1 - \lambda) \frac{f_n(z)}{z} + \lambda f'_n(z) - 1 \right| < b$ then*

$$\frac{f_n(z)}{z} \prec 1 + \frac{b}{1 + \lambda n} z.$$

In the next two theorems we investigate the inclusion relations for classes of \mathcal{M}_λ^v .

Theorem 3.6. *For $0 \leq \lambda_1 < \lambda$ and $v \geq 0$, let $b_1 = \frac{1 + \lambda_1 n}{1 + \lambda n} b$. Then*

$$\mathcal{M}_\lambda^v(1, b) \subset \mathcal{M}_{\lambda_1}^v(1, b_1).$$

Proof. The case for $\lambda_1 = 0$ is trivial. For $\lambda_1 \neq 0$ suppose that $p(f_n) \in \mathcal{M}_\lambda^v(1, b)$. Therefore, we can write

$$\begin{aligned} (1 - \lambda_1) \frac{D^v f_n(z)}{z} + \lambda_1 (D^v f_n(z))' \\ = \frac{\lambda_1}{\lambda} \left[(1 - \lambda) \frac{D^v f_n(z)}{z} + \lambda (D^v f_n(z))' \right] + \left(1 - \frac{\lambda_1}{\lambda} \right) \left(\frac{D^v f_n(z)}{z} \right). \end{aligned}$$

Now, by definition, $p(f_n) \in \mathcal{M}_{\lambda_1}^v(1, b_1)$ and so the proof is complete. □

Theorem 3.7. *Let $v \geq 0$ and $b_1 = \frac{b(1+v)}{n+1+v}$. Then*

$$\mathcal{M}_\lambda^{v+1}(1, b) \subset \mathcal{M}_\lambda^v(1, b_1).$$

Proof. For $f_n \in \mathcal{A}_n$ suppose that $p_1(f_n) \in \mathcal{M}_\lambda^{v+1}(1, b)$ where

$$p_1(f_n(z)) = (1 - \lambda) \frac{D^{1+v} f_n(z)}{z} + \lambda (D^{v+1} f_n(z))'.$$

Set

$$p_2(f_n(z)) = (1 - \lambda) \frac{D^v f_n(z)}{z} + \lambda (D^v f_n(z))'.$$

An elementary differentiation yields

$$\begin{aligned} p_1(f_n(z)) &= (1 - \lambda) \frac{D^{1+v} f_n(z)}{z} + \lambda (D^{v+1} f_n(z))' \\ &= p_2(f_n(z)) + \frac{1}{1+v} z p_2'(f_n(z)). \end{aligned}$$

From this and Lemma 3.2, we conclude that $p_1(f_n) \in \mathcal{M}_\lambda^v(1, b_1)$. \square

Corollary 3.8.

$$f_n'(z) + \lambda z f_n''(z) \in \mathcal{P}(1, b) \implies (1 - \lambda) \frac{f_n(z)}{z} + \lambda f_n'(z) \in \mathcal{P}\left(1, \frac{b}{1+n}\right).$$

Theorem 3.9. For $v \geq 0$ and $\lambda > 0$ let $b < 1 + \lambda n$. If $p(f_n) \in \mathcal{M}_\lambda^v(1, b)$ then

$$\left| \frac{z(D^v f_n(z))'}{D^v f_n(z)} - 1 \right| < \frac{b(2 + \lambda n)}{\lambda[(1 + \lambda n) - b]}.$$

Proof. First note that, we can write

$$\left| (1 - \lambda) \frac{D^v f_n(z)}{z} + \lambda (D^v f_n(z))' - 1 \right| < b; \quad \left| \frac{D^v f_n(z)}{z} - 1 \right| < \frac{b}{1 + \lambda n}.$$

For $b_1 = \frac{b(2+\lambda n)}{\lambda[(1+\lambda n)-b]}$ we define $w(z)$ by

$$1 + b_1 w(z) = \frac{[z(D^v f_n(z))']}{[D^v f_n(z)]}.$$

One can easily verify that $w(z)$ is analytic in U and $w(0) = 0$. To conclude the proof, it suffices to show that $|w(z)| < 1$ in U . If this is not the case, then by Lemma 2.2, there exists a point $z_0 \in U$ such that $|w(z_0)| = 1$ and $z_0 w'(z_0) = k w(z_0)$. Therefore

$$\begin{aligned} |p(f_n(z_0)) - 1| &= \left| (1 - \lambda) \frac{D^v f(z_0)}{z_0} + \lambda (D^v f(z_0))' - 1 \right| \\ &= \left| \frac{D^v f_n(z_0)}{z_0} \left[(1 - \lambda) + \lambda \frac{z_0 (D^v f_n(z_0))'}{D^v f_n(z_0)} \right] - 1 \right| \\ &= \left| \lambda \left(\frac{z_0 (D^v f_n(z_0))'}{D^v f_n(z_0)} - 1 \right) \frac{D^v f_n(z_0)}{z_0} + \left(\frac{D^v f_n(z_0)}{z_0} - 1 \right) \right| \\ &\geq \lambda b_1 \left(1 - \frac{b}{1 + n\lambda} \right) - \frac{b}{1 + n\lambda} = b. \end{aligned}$$

This is a contradiction to the hypothesis and so $|w(z)| < 1$ in U . \square

Corollary 3.10. i) If $f_n'(z) \in \mathcal{P}(1, \frac{1+n}{3+n})$ then $\frac{z f_n'(z)}{f_n(z)} \in \mathcal{P}(1, 1)$.

ii) If $f_n'(z) + z f_n''(z) \in \mathcal{P}(1, \frac{1+n}{3+n})$ then $\frac{z f_n''(z)}{f_n'(z)} \in \mathcal{P}(1, 1)$.

Theorem 3.11. Let $p(f_n) \in \mathcal{M}_\lambda^v(1, b)$ for some $\lambda > 0$. If

$$b = \begin{cases} \frac{\lambda(1 + \lambda n)}{2 + \lambda(n - 1)}; & 0 < \lambda \leq \frac{(n - 3) + \sqrt{n^2 + 2n + 9}}{2n} \\ (1 + \lambda n) \sqrt{\frac{2\lambda - 1}{\lambda^2 n^2 + 2\lambda(1 + n)}}; & \frac{(n - 3) + \sqrt{n^2 + 2n + 9}}{2n} \leq \lambda \leq 1 \end{cases}$$

then

$$\Re \left(\frac{D^{v+1} f_n(z)}{D^v f_n(z)} \right) > \frac{v}{1 + v}.$$

We need the following lemma, which is due to Ponnusamy and Singh [9].

Lemma 3.12. *Let $0 < \lambda_1 < \lambda < 1$ and let Q be analytic in U satisfying $Q(z) \prec 1 + \lambda_1 z$, and $Q(0) = 1$. If $q(z)$ is analytic in U , $q(0) = 1$ and satisfies*

$$Q(z)[c + (1 - c)q(z)] \prec 1 + \lambda z,$$

where

$$c = \begin{cases} \frac{1 - \lambda}{1 + \lambda_1}, & 0 < \lambda + \lambda_1 \leq 1 \\ \frac{1 - (\lambda^2 + \lambda_1^2)}{2(1 - \lambda_1^2)}, & \lambda^2 + \lambda_1^2 \leq 1 \leq \lambda + \lambda_1 \end{cases}$$

then $\operatorname{Re}\{q(z)\} > 0$, $z \in U$.

Proof of Theorem 3.11. From Theorem 3.1 and the fact $0 < b < 1 < 1 + \lambda n$ we conclude that

$$\frac{D^v f_n(z)}{z} \prec 1 + b_1 z, \quad 0 < b_1 = \frac{b}{1 + n\lambda} < b < 1.$$

On the other hand, we may write

$$\frac{D^v f_n(z)}{z} \left[(1 - \lambda) + \lambda \left(\frac{z(D^v f_n(z))'}{D^v f_n(z)} \right) \right] \prec 1 + bz.$$

Letting $Q(z) = \frac{D^v f_n(z)}{z}$, $q(z) = \frac{z(D^v f_n(z))'}{D^v f_n(z)}$, and $c = 1 - \lambda$, we see that all conditions in Lemma 3.12 are satisfied. This implies that $\operatorname{Re} q(z) > 0$ and so the proof is complete. \square

Corollary 3.13. *Let $p(f_n) \in \mathcal{M}_\lambda^v(1, b)$ for some $\lambda > 0$. Then $D^v f_n$ is starlike in the disc*

$$|z| \leq \begin{cases} \frac{\lambda(1 + n\lambda)}{(2 + \lambda(n - 1))b} & \text{if } 0 < \lambda < \lambda_1 \text{ and } b_1 \leq b \leq 1 \\ \frac{(1 + \lambda n)}{b} \sqrt{\frac{2\lambda - 1}{\lambda^2 n^2 + 2\lambda(1 + n)}} & \text{if } \lambda_1 \leq \lambda \leq 1 \text{ and } b_2 \leq b \leq 1, \end{cases}$$

where

$$\lambda_1 = \frac{(n - 3) + \sqrt{n^2 + 2n + 9}}{2n}, \quad b_1 = \frac{\lambda(1 + n\lambda)}{[2 + \lambda(n - 1)]}, \quad \text{and}$$

$$b_2 = (1 + \lambda n) \sqrt{\frac{2\lambda - 1}{\lambda^2 n^2 + 2\lambda(1 + n)}}.$$

- i) If $f'_n \in \mathcal{P}\left(1, \frac{(1+n)}{\sqrt{1+(1+n)^2}}\right)$ then f_n is starlike in U .
- ii) If $f'_n + z f''_n \in \mathcal{P}\left(1, \frac{(1+n)}{\sqrt{1+(1+n)^2}}\right)$ then f_n is convex in U .

If we let $\lambda = 1$ and $v = 0, 1$ in Corollary 3.13, then we obtain

Corollary 3.14. *Let $\frac{(1+n)}{\sqrt{1+(1+n)^2}} \leq b \leq 1$ and $f_n \in \mathcal{A}_n$.*

- i) If $f'_n \in \mathcal{P}(1, b)$ then f is starlike for $|z| < \frac{(1+n)}{b\sqrt{1+(1+n)^2}}$.
- ii) If $f'_n + z f''_n \in \mathcal{P}(1, b)$ then f is convex for $|z| < \frac{1+n}{b\sqrt{1+(1+n)^2}}$.

4. COEFFICIENT BOUNDS

Sufficient coefficient conditions for $\mathcal{F}(1, b)$ and $\mathcal{M}_\lambda^v(1, b)$ are given next.

Theorem 4.1. Let $p(f_1)$ be given by (1.4) for f_1 as in (1.1). If

$$(4.1) \quad \sum_{k=2}^{\infty} (k^2 + b - 1) |a_k| < b,$$

then $p(f_1) \in \mathcal{F}(1, b)$.

Proof. We need to show that if (4.1) then $|p(f_1(z)) - 1| < b$. For $p(f_1)$ we can write

$$\begin{aligned} |p(f_1(z)) - 1| &= \left| \frac{zf_1'}{f_1} \left(1 + \frac{zf_1''}{f_1'} \right) - 1 \right| \\ &= \left| \frac{\sum_{k=2}^{\infty} (k^2 - 1) a_k z^k}{z + \sum_{k=2}^{\infty} a_k z^k} \right| \\ &\leq \frac{\sum_{k=2}^{\infty} (k^2 - 1) |a_k| |z|^{k-1}}{1 - \sum_{k=2}^{\infty} |a_k| |z|^{k-1}} \\ &< \frac{\sum_{k=2}^{\infty} (k^2 - 1) |a_k|}{1 - \sum_{k=2}^{\infty} |a_k|}. \end{aligned}$$

The above right hand inequality is less than b by (4.1) and so $p(f_1) \in \mathcal{F}(1, b)$. \square

Theorem 4.2. Let $p(f_n)$ be given by (1.5) for f_n as in (1.1). If

$$(4.2) \quad \sum_{k=1+n}^{\infty} (\lambda k - \lambda + 1) B_k(v) |a_k| < b,$$

then $p(f_n) \in \mathcal{M}_\lambda^v(1, b)$.

Proof. Apply the Ruscheweyh derivative (1.6) to the function $f_n(z)$ and substitute in (1.5) to obtain

$$\begin{aligned} |p(f_n(z)) - 1| &= \left| (1 - \lambda) \frac{D^v f_n(z)}{z} + \lambda (D^v f_n(z))' - 1 \right| \\ &= \left| \sum_{k=1+n}^{\infty} (\lambda k - \lambda + 1) B_k(v) a_k z^{k-1} \right| \\ &< \sum_{k=1+n}^{\infty} (\lambda k - \lambda + 1) B_k(v) |a_k|. \end{aligned}$$

Now this latter inequality is less than b by (4.2) and so $p(f_n) \in \mathcal{M}_\lambda^v(1, b)$. \square

Next, by judiciously varying the arguments of the coefficients of the functions f_n given by (1.1), we shall show that the sufficient coefficient conditions (4.1) and (4.2) are also necessary for their respective classes with varying arguments.

A function f_n given by (1.1) is said to be in $\mathcal{V}(\theta_k)$ if $\arg(a_k) = \theta_k$ for all k . If, further, there exists a real number β such that $\theta_k + (k - 1)\beta \equiv \pi \pmod{2\pi}$ then f_n is said to be in $\mathcal{V}(\theta_k; \beta)$. The union of $\mathcal{V}(\theta_k; \beta)$ taken over all possible $\{\theta_k\}$ and all possible real β is denoted by \mathcal{V} . For more details see Silverman [13].

Some examples of functions in \mathcal{V} are

- i) $\mathcal{T} \equiv \mathcal{V}(\pi; 0) \subset \mathcal{V}$ where \mathcal{T} is the class of analytic univalent functions with negative coefficients studied by Schild [11] and Silverman [12].

ii) Univalent functions of the form $z + \sum_{k=2}^{\infty} |a_k| e^{i\theta_k} z^k$ are in $\mathcal{V}(\theta_k; 2\pi/k) \subset \mathcal{V}$ for $\theta_k = \pi - 2(k-1)\pi/k$.

Note that the family \mathcal{V} is rotationally invariant since $f_n \in \mathcal{V}(\theta_k; \beta)$ implies that

$$e^{-i\gamma} f_n(z e^{i\gamma}) \in \mathcal{V}(\theta_k + (k-1)\gamma; \beta - \gamma).$$

Finally, we let

$$\mathcal{V}\mathcal{F}(1, b) \equiv \mathcal{V} \cap \mathcal{F}(1, b) \quad \text{and} \quad \mathcal{V}\mathcal{M}_{\lambda}^v(1, b) \equiv \mathcal{V} \cap \mathcal{M}_{\lambda}^v(1, b).$$

Theorem 4.3.

$$p(f_1) \in \mathcal{V}\mathcal{F}(1, b) \iff \sum_{k=2}^{\infty} (k^2 + b - 1) |a_k| < b.$$

Proof. In light of Theorem 4.1, we only need to prove the “only if” part of the theorem. Suppose $p(f_1) \in \mathcal{V}\mathcal{F}(1, b)$, then

$$|p(f_1) - 1| = \left| \frac{\sum_{k=2}^{\infty} (k^2 - 1) a_k z^{k-1}}{1 + \sum_{k=2}^{\infty} a_k z^{k-1}} \right| < b$$

or

$$(4.3) \quad \left| \sum_{k=2}^{\infty} (k^2 - 1) a_k z^{k-1} \right| < b \left| 1 + \sum_{k=2}^{\infty} a_k z^{k-1} \right|.$$

The condition (4.3) must hold for all values of z in U . Therefore, for $f_1 \in \mathcal{V}(\theta_k; \beta)$ we set $z = r e^{i\beta}$ in (4.3) and let $r \rightarrow 1^-$. Upon clearing the inequality (4.3) we obtain the condition

$$\sum_{k=2}^{\infty} (k^2 - 1) |a_k| < b \left(1 - \sum_{k=2}^{\infty} |a_k| \right)$$

as required. □

Corollary 4.4. *If $0 < b \leq 1$ and $p(f_1) \in \mathcal{V}\mathcal{F}(1, b)$ then f_1 is convex in U .*

Corollary 4.5. *If $1 < b \leq 3$ and $p(f_1) \in \mathcal{V}\mathcal{F}(1, b)$ then f_1 is starlike in U .*

The above two corollaries can be justified using Theorem 4.3 and the following lemma due to Silverman [12].

Lemma 4.6. *For f_1 of the form (1.1) and univalent in U we have*

- i) *If $\sum_{k=2}^{\infty} k^2 |a_k| \leq 1$, then f_1 is convex in U .*
- ii) *If $\sum_{k=2}^{\infty} k |a_k| \leq 1$, then f_1 is starlike in U .*

Next, we show that the above sufficient coefficient condition (4.2) is also necessary for functions in $\mathcal{V}\mathcal{M}_{\lambda}^v(1, b)$.

Theorem 4.7.

$$p(f_n) \in \mathcal{V}\mathcal{M}_{\lambda}^v(1, b) \iff \sum_{k=1+n}^{\infty} (\lambda k - \lambda + 1) B_k(v) |a_k| < b.$$

Proof. Suppose that $p(f_n) \in \mathcal{V}\mathcal{M}_{\lambda}^v(1, b)$. Then, by (1.5), we have

$$|p(f_n(z)) - 1| = \left| (1 - \lambda) \frac{D^v f_n(z)}{z} + \lambda (D^v f_n(z))' - 1 \right| < b.$$

On the other hand, for $f_n \in \mathcal{V}(\theta_k; \beta)$ we have

$$f_n(z) = z + \sum_{k=1+n}^{\infty} |a_k| e^{i\theta_k} z^k.$$

The condition required for $p(f_n) \in \mathcal{VM}_\lambda^v(1, b)$ must hold for all values of z in U . Setting $z = re^{i\beta}$ yields

$$\sum_{k=1+n}^{\infty} (\lambda k - \lambda + 1) B_k(v) |a_k| r^{k-1} < b.$$

The required coefficient condition follows upon letting $z \rightarrow 1^-$. □

From the above Theorem 4.7 and Lemma 4.6.ii, we obtain

Corollary 4.8. *If $\lambda \geq 2b - 1$ and $p(f_n) \in \mathcal{VM}_\lambda^v(1, b)$ then f is starlike in U .*

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