

ON DECOMPOSABLY REGULAR OPERATORS

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Abstract: Let X be a complex Banach space and $\mathcal{L}(X)$ the algebra of all bounded linear operators on X . $T \in \mathcal{L}(X)$ is said to be *decomposably regular* provided there is an operator S such that S is invertible in $\mathcal{L}(X)$ and $TST = T$. For each $T \in \mathcal{L}(X)$ we introduce the following subset $\rho_{gr}(T)$ of the resolvent set of T : $\mu \in \rho_{gr}(T)$ if and only if there is a neighbourhood U of μ and a holomorphic function $F: U \rightarrow \mathcal{L}(X)$ such that $F(\lambda)$ is invertible for all $\lambda \in U$ and $(T - \lambda)F(\lambda)(T - \lambda) = T - \lambda$ on U . In this note we determine the interior points of the class of decomposably regular operators and we prove a spectral mapping theorem for $\mathbb{C} \setminus \rho_{gr}(T)$.

1 – Terminology

X always denotes an infinite-dimensional complex Banach space, and the Banach algebra of all bounded linear operators on X is denoted by $\mathcal{L}(X)$.

If $T \in \mathcal{L}(X)$ we denote by $N(T)$ the kernel of T and by $\alpha(T)$ the dimension of $N(T)$. $T(X)$ denotes the range of T , and we define $\beta(T) = \text{codim } T(X)$ ($= \dim X/T(X)$). We write $\sigma(T)$ for the spectrum of T and $\rho(T)$ for the resolvent set $\mathbb{C} \setminus \sigma(T)$.

The maximal group of invertible elements in $\mathcal{L}(X)$ is denoted by $\mathcal{G}(X)$. Let $\mathcal{R}(X)$ denote the set of all relatively regular operators in $\mathcal{L}(X)$, that is, operators T such that $TST = T$ for some $S \in \mathcal{L}(X)$. Observe that $T \in \mathcal{R}(X)$ if and only if T has complemented kernel and range ([1], p. 10). $T \in \mathcal{L}(X)$ is called *decomposably regular* if $T = TST$ for some $S \in \mathcal{G}(X)$. Let us write $\mathcal{GR}(X)$ for the class of all decomposably regular operators. In [8] decomposably regular operators are called *unit regular*. For a subset \mathcal{M} of $\mathcal{L}(X)$ let $\text{cl } \mathcal{M}$ and $\text{int } \mathcal{M}$ denote, respectively, the closure and the interior of \mathcal{M} .

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Proposition 1.1.

- (1) $\mathcal{GR}(X) = \mathcal{R}(X) \cap \text{cl } \mathcal{G}(X)$.
 (2) $T \in \mathcal{GR}(X) \Leftrightarrow T \in \mathcal{R}(X)$, $N(T)$ and $X/T(X)$ are isomorphic.

Proof: (1) [2], Theorem 1.1. (2) [3], Theorem 3.8.6. ■

If X is a separable Hilbert space, then part (2) of the above proposition shows that $T \in \mathcal{GR}(X)$ if and only if $T(X)$ is closed and $\alpha(T) = \beta(T)$. $T \in \mathcal{L}(X)$ is said to be an *Atkinson operator* if $T \in \mathcal{R}(X)$ and at least one of $\alpha(T)$, $\beta(T)$ is finite. $\mathcal{A}(X)$ denotes the set of Atkinson operators. For $T \in \mathcal{A}(X)$ we define the index of T by $\text{ind}(T) = \alpha(T) - \beta(T)$.

We call $T \in \mathcal{L}(X)$ *Fredholm operator* if $\alpha(T)$ and $\beta(T)$ are both finite. Observe that a Fredholm operator is relatively regular ([6], Satz 74.4). For $n \in \mathbb{Z}$ let $\mathcal{F}_n(X) = \{T \in \mathcal{L}(X) : T \text{ is Fredholm and } \text{ind}(T) = n\}$. Denote by $\mathcal{F}(X)$ the set of all Fredholm operators, thus $\mathcal{F}(X) = \bigcup_{n \in \mathbb{Z}} \mathcal{F}_n(X)$.

It is well known that $\mathcal{A}(X)$, $\mathcal{F}(X)$ and $\mathcal{F}_n(X)$ are open subsets of $\mathcal{L}(X)$ ([6], Satz 82.4).

Proposition 1.2. $\text{int } \mathcal{R}(X) = \mathcal{A}(X) = \{T \in \mathcal{L}(X) : T + K \in \mathcal{R}(X) \text{ for all compact operators } K\}$.

Proof: [14], Theorem 6. ■

Remarks.

(1) We call $T \in \mathcal{L}(X)$ a *semi-Fredholm operator* if $T(X)$ is closed and at least one of $\alpha(T)$, $\beta(T)$ is finite. We have shown in [14] that

$$\begin{aligned} \text{int}\{T \in \mathcal{L}(X) : T(X) \text{ is closed}\} &= \{T \in \mathcal{L}(X) : T \text{ is semi-Fredholm}\} = \\ &= \{T \in \mathcal{L}(X) : T + K \text{ has closed range for each compact } K\} . \end{aligned}$$

(2) If X is a Hilbert space then $\mathcal{A}(X) = \{T \in \mathcal{L}(X) : T \text{ is semi-Fredholm}\}$. In this case M. Mbekhta ([9], Théorème 2.2) has shown that $\text{int } \mathcal{R}(X) = \mathcal{A}(X)$.

(3) If X is a Hilbert space and $T \in \text{int } \mathcal{R}(X)$ then there is $\delta > 0$ and a *meromorphic* function $F : \{\lambda \in \mathbb{C} : |\lambda| < \delta\} \rightarrow \mathcal{L}(X)$ such that

$$(T - \lambda) F(\lambda) (T - \lambda) = T - \lambda \quad \text{for } |\lambda| < \delta$$

(see [9], Corollaire 2.3).

2 – Interior points of some classes of relatively regular operators

Proposition 1.1 (2) shows that we have for a Fredholm operator $T : T \in \mathcal{GR}(X) \Leftrightarrow T \in \mathcal{F}_0(X)$. We can be more precise:

Theorem 2.1. $\text{int } \mathcal{GR}(X) = \mathcal{F}_0(X)$.

Proof: Since $\mathcal{F}_0(X)$ is open and $\mathcal{F}_0(X) \subseteq \mathcal{GR}(X)$, we only have to show that $\text{int } \mathcal{GR}(X) \subseteq \mathcal{F}_0(X)$.

Let $T \in \text{int } \mathcal{GR}(X)$, then $T \in \text{int } \mathcal{R}(X)$, thus $T \in \mathcal{A}(X)$ by Proposition 1.2. We have $T \in \text{cl } \mathcal{G}(X)$ (Proposition 1.1(1)), thus there is a sequence (T_n) in $\mathcal{G}(X)$ such that $\|T - T_n\| \rightarrow 0$ ($n \rightarrow \infty$). Since $T \in \mathcal{A}(X)$ and $\mathcal{A}(X)$ is open, the stability of the index ([6], Satz 82.4) shows

$$\text{ind}(T) = \text{ind}(T_n) \text{ for } n \text{ sufficiently large.}$$

Thus $\text{ind}(T) = 0$. This gives $T \in \mathcal{F}_0$. ■

Let us consider some further classes of relatively regular operators: For $n \in \mathbb{Z}$ let

$$\mathcal{F}_n \mathcal{R}(X) = \left\{ T \in \mathcal{L}(X) : TST = T \text{ for some } S \in \mathcal{F}_n(X) \right\}.$$

Define $\mathcal{FR}(X) = \bigcup_{n \in \mathbb{Z}} \mathcal{F}_n \mathcal{R}(X)$. Thus we have

$$\mathcal{FR}(X) = \left\{ T \in \mathcal{L}(X) : TST = T \text{ for some } S \in \mathcal{F}(X) \right\}.$$

It is shown in [10], Theorem 3, that

$$\mathcal{FR}(X) = \mathcal{R}(X) \cap \text{cl } \mathcal{F}(X).$$

Theorem 2.2.

(1) $\text{int } \mathcal{F}_n \mathcal{R}(X) = \mathcal{F}_{-n}(X)$.

(2) $\text{int } \mathcal{FR}(X) = \mathcal{F}(X)$.

Proof: (1) Take $T \in \mathcal{F}_{-n}(X)$, then T is relatively regular, hence $T = TST$ for some $S \in \mathcal{L}(X)$. [3], Theorem 6.5.5, gives $S \in \mathcal{F}(X)$ and $\text{ind}(S) = -\text{ind}(T) = n$, therefore $T \in \mathcal{F}_n \mathcal{R}(X)$. Thus we have $\mathcal{F}_{-n}(X) \subseteq \mathcal{F}_n \mathcal{R}(X)$. Since $\mathcal{F}_{-n}(X)$ is open, we get $\mathcal{F}_{-n}(X) \subseteq \text{int } \mathcal{F}_n \mathcal{R}(X)$.

Let $T \in \text{int } \mathcal{F}_n \mathcal{R}(X)$, thus $T \in \text{int } \mathcal{R}(X) = \mathcal{A}(X)$ and $T \in \mathcal{FR}(X) \subseteq \text{cl } \mathcal{F}(X)$. There is a sequence (T_n) in $\mathcal{F}(X)$ such that $\|T - T_n\| \rightarrow 0$ ($n \rightarrow \infty$). Since $T \in \mathcal{A}(X)$ and $\mathcal{A}(X)$ is open, the stability of the index shows that

$$\text{ind}(T) = \text{ind}(T_n) \text{ for } n \text{ sufficiently large.}$$

Thus $\text{ind}(T)$ is finite, hence $T \in \mathcal{F}(X)$. Since $T \in \mathcal{F}_n\mathcal{R}(X)$, $T = TST$ for some $S \in \mathcal{F}_n(X)$. As above, we see that $\text{ind}T = -\text{ind}(S) = -n$. This gives $T \in \mathcal{F}_{-n}(X)$.

(2) Similar. ■

3 – A spectral mapping theorem

In [11] and [13] we introduced the following concepts for $T \in \mathcal{L}(X)$:

$$\begin{aligned} \rho_K(T) &= \left\{ \lambda \in \mathbb{C} : (T - \lambda)(X) \text{ is closed, } N(T - \lambda) \subseteq \bigcap_{n=1}^{\infty} (T - \lambda)^n(X) \right\}, \\ \rho_{rr}(T) &= \left\{ \lambda \in \rho_K(T) : T - \lambda \in \mathcal{R}(X) \right\}, \\ \sigma_K(T) &= \mathbb{C} \setminus \rho_K(T) \quad \text{and} \quad \sigma_{rr}(T) = \mathbb{C} \setminus \rho_{rr}(T). \end{aligned}$$

Write $\mathcal{H}(T)$ for the set of all complex valued functions which are analytic in some neighbourhood of $\sigma(T)$. For $f \in \mathcal{H}(T)$ let the operator $f(T) \in \mathcal{L}(X)$ be defined by the well-known analytic calculus (see [6], §99).

The following proposition lists some properties of the above defined ‘essential spectra’ of $T \in \mathcal{L}(X)$.

Proposition 3.1.

(1) $\partial\sigma(T) \subseteq \sigma_K(T) \subseteq \sigma_{rr}(T) \subseteq \sigma(T)$.

(2) $\mu \in \rho_{rr}(T) \Leftrightarrow$ there is a neighbourhood $U(\mu)$ of μ and a holomorphic function $F: U(\mu) \rightarrow \mathcal{L}(X)$ such that

$$(T - \lambda)F(\lambda)(T - \lambda) = T - \lambda \quad \text{for all } \lambda \in U(\mu).$$

(3) $\rho_{rr}(T)$ and $\rho_K(T)$ are open.

(4) $f(\sigma_K(T)) = \sigma_K(f(T))$, $f(\sigma_{rr}(T)) = \sigma_{rr}(f(T))$ for all $f \in \mathcal{H}(T)$.

Proof: (1) The first inclusion is shown in [11], Satz 2. The other inclusions are clear.

(2) is shown in [12], Theorem 1.4 (in a more general context).

(3) By (2), $\rho_{rr}(T)$ is open. $\rho_K(T)$ is open by [7], Theorem 3.

(4) See [11] and [13]. ■

Remark. Some of the arguments for Proposition 3.1 are also given in [4], Theorems 9.10 and in [5].

Definition 3.2. For $T \in \mathcal{L}(X)$ we define the set $\rho_{gr}(T) \subset \mathbb{C}$ by:

$\mu \in \rho_{gr}(T)$ if and only if there is a neighbourhood $U(\mu)$ of μ and a holomorphic function $F: U(\mu) \rightarrow \mathcal{L}(X)$ such that

$$F(\lambda) \in \mathcal{G}(X) \text{ and } (T - \lambda)F(\lambda)(T - \lambda) = T - \lambda \text{ for all } \lambda \in U(\mu) .$$

$$\sigma_{gr}(T) := \mathbb{C} \setminus \rho_{gr}(T).$$

An operator $T \in \mathcal{L}(X)$ for which $0 \in \rho_{gr}(T)$ is called *holomorphically decomposably regular*. The following condition is equivalent to holomorphic decomposably regularity for $T \in \mathcal{L}(X)$ (cf. [4], Theorem 9):

There are $R \in \mathcal{G}(X)$ and sequences $(S_n), (T_n)$ in $\mathcal{G}(X)$ for which $\|S_n\| + \|T_n - R\| \rightarrow 0$ ($n \rightarrow \infty$), $S_n T = T S_n$ and $(T - S_n)T_n(T - S_n) = T - S_n$.

Proposition 3.3. Let $T \in \mathcal{L}(X)$.

- (1) $\mu \in \rho_{gr}(T) \Leftrightarrow \mu \in \rho_K(T)$ and $T - \mu \in \mathcal{GR}(X)$.
- (2) $\rho_{gr}(T) \subseteq \rho_{rr}(T) \subseteq \rho_K(T)$, $\sigma_K(T) \subseteq \sigma_{rr}(T) \subseteq \sigma_{gr}(T)$.

Proof: (1) “ \Rightarrow ”: Use Definition 3.2 and Proposition 3.1 (2).

“ \Leftarrow ”: Without loss of generality let us assume that $\mu = 0$. Take $S \in \mathcal{G}(X)$ such that $TST = T$ and define the function F by $F(\lambda) = (I - \lambda S)^{-1}S$ for $|\lambda| < \|S\|^{-1}$. Then we have $F(\lambda) \in \mathcal{G}(X)$ for $|\lambda| < \|S\|^{-1}$. [12], Corollary 1.5, shows that

$$(T - \lambda)F(\lambda)(T - \lambda) = T - \lambda \text{ for } |\lambda| < \|S\|^{-1} .$$

(2) Clear. ■

The following example shows that in general $f(\sigma_{gr}(T)) \not\subseteq \sigma_{gr}(f(T))$ ($f \in \mathcal{H}(T)$).

Example 3.4: Let $T \in \mathcal{L}(X)$, $k, m \in \{1, 2, 3, \dots\}$ and $\xi, \eta \in \mathbb{C}$ such that $T - \xi \in \mathcal{F}_k(X)$ and $T - \eta \in \mathcal{F}_{-m}(X)$. We shall construct operators $T - \lambda_0$ and $T - \mu_0$, each Fredholm of positive and negative index, respectively, which also satisfy $\lambda_0, \mu_0 \in \rho_K(T)$.

The *punctured neighbourhood theorem* (see [7]) shows that there exists $\delta > 0$ such that

$$T - \lambda \in \mathcal{F}_k(X), \quad \alpha(T - \lambda) \text{ is a constant for } 0 < |\lambda - \xi| < \delta$$

and

$$T - \mu \in \mathcal{F}_{-m}(X), \quad \alpha(T - \mu) \text{ is a constant for } 0 < |\mu - \eta| < \delta .$$

Fix λ_0 and μ_0 with $0 < |\lambda_0 - \xi| < \delta$ and $0 < |\mu_0 - \eta| < \delta$. By [7], Theorem 3 and Theorem 5, we have

$$\lambda_0, \mu_0 \in \rho_K(T) .$$

Define the function f by $f(\lambda) = (\lambda - \lambda_0)^m (\lambda - \mu_0)^k$. This gives $f(T) = (T - \lambda_0)^m (T - \mu_0)^k \in \mathcal{F}(X)$, and the index theorem ([6], Satz 71.3) shows that

$$\text{ind}(f(T)) = m \text{ind}(T - \lambda_0) + k \text{ind}(T - \mu_0) = m k + k(-m) = 0 ,$$

thus $f(T) \in \mathcal{GR}(X)$. The spectral mapping theorem for $\sigma_K(T)$ (Proposition 3.1 (4)) gives $0 \in \rho_K(f(T))$, since $\lambda_0, \mu_0 \in \rho_K(T)$. Therefore $0 \in \rho_{gr}(f(T))$ by Proposition 3.3 (1). We have $\lambda_0 \in \sigma_{gr}(T)$, since $\text{ind}(T - \lambda_0) \neq 0$, hence $0 = f(\lambda_0) \in f(\sigma_{gr}(T))$.

Example 3.4 also shows the failure of the analogue of part of Theorem 10 of [4]: There are $S, T \in \mathcal{L}(X)$ for which

$ST = TS$ is holomorphically decomposably regular but neither S nor T are.

Proposition 3.5. *Suppose*

- (a) $T \in \mathcal{L}(X)$ and $g \in \mathcal{H}(T)$ has only a finite number of zeros in $\sigma(T)$,
- (b) μ_1, \dots, μ_m are the zeros of g in $\sigma(T)$ with respective orders n_1, \dots, n_m
($\mu_i \neq \mu_j$ for $i \neq j$),
- (c) $(T - \mu_j)^{n_j} \in \mathcal{GR}(X)$ for $j = 1, \dots, m$.

Then $g(T) \in \mathcal{GR}(X)$.

Proof: [6], Satz 80.1, asserts that

$$(1) \quad N\left(\prod_{j=1}^k (T - \mu_j)^{n_j}\right) = N\left((T - \mu_1)^{n_1}\right) \oplus \dots \oplus N\left((T - \mu_k)^{n_k}\right) \\ \subseteq (T - \mu_{k+1})^{n_{k+1}}(X)$$

for $k = 1, \dots, m-1$. There are $C_1, \dots, C_m \in \mathcal{G}(X)$ such that

$$(T - \mu_j)^{n_j} C_j (T - \mu_j)^{n_j} = (T - \mu_j)^{n_j} \quad (j = 1, \dots, m) .$$

Put $C = C_m C_{m-1} \cdots C_1$ and $R = \prod_{j=1}^m (T - \mu_j)^{n_j}$. Hence $C \in \mathcal{G}(X)$. By (1) and [14], Lemma 5, we get

$$R C R = R .$$

There is a function $h \in \mathcal{H}(T)$ with $h(\lambda) \neq 0$ for all $\lambda \in \sigma(T)$ and $g(\lambda) = (\prod_{j=1}^m (\lambda - \mu_j)^{n_j}) h(\lambda)$. This gives $g(T) = R h(T)$ and $h(T) \in \mathcal{G}(X)$. Put $D = C h(T)^{-1}$, then we derive $D \in \mathcal{G}(X)$ and

$$\begin{aligned} g(T) D g(T) &= h(T) R C h(T)^{-1} h(T) R \\ &= h(T) R C R = h(T) R = g(T) . \end{aligned}$$

Hence $g(T) \in \mathcal{GR}(X)$. ■

Proposition 3.5 can also be deduced from the analogue of the other half of Theorem 10 of [4]: If (cf. Lemma 3 of [4])

$$\begin{aligned} S, T \in \mathcal{L}(X), \quad ST = TS \quad \text{and either} \quad S = T^n \quad \text{for some } n \quad \text{or} \\ S'S + TT' = I \quad \text{for some } S', T' \in \mathcal{L}(X) \end{aligned}$$

then

$$\begin{aligned} S, T \text{ holomorphically decomposably regular} &\Rightarrow \\ ST \text{ holomorphically decomposably regular.} & \end{aligned}$$

Theorem 3.6. *If $T \in \mathcal{L}(X)$ and $f \in \mathcal{H}(T)$ then*

$$\sigma_{gr}(f(T)) \subseteq f(\sigma_{gr}(T)) .$$

Proof: We have to show that $\mathbb{C} \setminus f(\sigma_{gr}(T)) \subseteq \rho_{gr}(f(T))$. To this end take $\lambda_0 \notin f(\sigma_{gr}(T))$ and put $g(\lambda) = f(\lambda) - \lambda_0$. This gives

$$(2) \quad 0 \notin g(\sigma_{gr}(T))$$

and

$$(3) \quad 0 \notin g(\sigma_K(T)) = \sigma_K(g(T)) .$$

Case 1: g has no zeros in $\sigma(T)$. Then $g(T) = f(T) - \lambda_0 \in \mathcal{G}(X)$, thus $\lambda_0 \in \rho(f(T)) \subseteq \rho_{gr}(f(T))$.

Case 2: g has zeros in $\sigma(T)$. (3) shows that g does not vanish in $\sigma_K(T)$. [11], Satz 3, asserts now that g has only a finite number of zeros in $\sigma(T)$. Let μ_1, \dots, μ_m be these zeros ($\mu_i \neq \mu_j$ for $i \neq j$) and n_1, \dots, n_m their respective orders. By (2), $\mu_1, \dots, \mu_m \in \rho_{gr}(T)$, thus for each $T - \mu_j$ there is an operator $S_j \in \mathcal{G}(X)$ with $(T - \mu_j) S_j (T - \mu_j) = T - \mu_j$. [13], Proposition 2, gives now

$$(T - \mu_j)^{n_j} S_j^{n_j} (T - \mu_j)^{n_j} = (T - \mu_j)^{n_j} \quad (j = 1, \dots, m) .$$

Since each $S_j^{n_j}$ is invertible, it follows that

$$(T - \mu_j)^{n_j} \in \mathcal{GR}(X) \quad \text{for } j = 1, \dots, m .$$

Now use Proposition 3.5 to derive $g(T) \in \mathcal{GR}(X)$. (3) gives $0 \in \rho_K(g(T))$, thus $0 \in \rho_{gr}(g(T))$ and therefore $\lambda_0 \in \rho_{gr}(f(T))$. ■

If the function $f \in \mathcal{H}(T)$ is injective, we can say more:

Theorem 3.7. *If $T \in \mathcal{L}(X)$ and if $f \in \mathcal{H}(T)$ is injective, then*

$$\sigma_{gr}(f(T)) = f(\sigma_{gr}(T)) .$$

Proof: We only have to show the inclusion “ \supseteq ”. Let $\lambda_0 \in f(\sigma_{gr}(T))$, thus $\lambda_0 = f(\mu_0)$ for some $\mu_0 \in \sigma_{gr}(T)$. Put $g(\lambda) = f(\lambda) - \lambda_0$ and

$$h(\lambda) = \begin{cases} \frac{g(\lambda)}{\lambda - \mu_0}, & \lambda \neq \mu_0, \\ f'(\mu_0), & \lambda = \mu_0 . \end{cases}$$

Since $f'(\mu_0) \neq 0$, we have $h(\lambda) \neq 0$ for all $\lambda \in \sigma(T)$ and $g(\lambda) = (\lambda - \mu_0) h(\lambda)$. This gives $g(T) = (T - \mu_0) h(T)$ and $h(T) \in \mathcal{G}(X)$.

Let us assume, to the contrary, that $\lambda_0 \in \rho_{gr}(f(T))$. Therefore $0 \in \rho_{gr}(g(T))$, hence there is an operator S in $\mathcal{G}(X)$ with $g(T) S g(T) = g(T)$. Thus

$$(T - \mu_0) h(T) S h(T) (T - \mu_0) = (T - \mu_0) h(T) .$$

It follows that

$$(T - \mu_0) (h(T) S) (T - \mu_0) = T - \mu_0 ,$$

since $h(T)$ is invertible. Hence $T - \mu_0 \in \mathcal{GR}(X)$. Furthermore, $\lambda_0 \in \rho_{gr}(f(T))$ gives $\lambda_0 \in \rho_K(f(T))$. The spectral mapping theorem for $\sigma_K(T)$ implies that $\mu_0 \in \rho_K(T)$. Therefore we have $\mu_0 \in \rho_{gr}(T)$, a contradiction. ■

We close this paper with a proposition concerning operators in $\mathcal{FR}(X)$.

Proposition 3.8. *Let $T \in \mathcal{L}(X)$ and $g \in \mathcal{H}(T)$ satisfy the hypotheses (a) and (b) of Proposition 3.5. If*

$$(T - \mu_j)^{n_j} \in \mathcal{FR}(X) \quad \text{for } j = 1, \dots, m ,$$

then $g(T) \in \mathcal{FR}(X)$. To be more precise, if $(T - \mu_j)^{n_j} \in \mathcal{F}_{k_j}\mathcal{R}(X)$ and $k = k_1 + \dots + k_m$, then $g(T) \in \mathcal{F}_k\mathcal{R}(X)$.

Proof: With the notation in the proof of Proposition 3.5, there are operators C_1, \dots, C_m with $C_j \in \mathcal{F}_{k_j}(X)$, thus $D = C_m C_{m-1} \cdots C_1 h(T)^{-1} \in \mathcal{F}(X)$ and, by the index theorem,

$$\text{ind}(D) = k_m + \dots + k_1 + \underbrace{\text{ind}(h(T)^{-1})}_{=0} = k . \blacksquare$$

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