

# Modularity, Atomicity and States in Archimedean Lattice Effect Algebras\*

Jan PASEKA

*Department of Mathematics and Statistics, Faculty of Science, Masaryk University,  
Kotlářská 2, CZ-611 37 Brno, Czech Republic*

E-mail: [paseka@math.muni.cz](mailto:paseka@math.muni.cz)

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**Abstract.** Effect algebras are a generalization of many structures which arise in quantum physics and in mathematical economics. We show that, in every modular Archimedean atomic lattice effect algebra  $E$  that is not an orthomodular lattice there exists an ( $o$ )-continuous state  $\omega$  on  $E$ , which is subadditive. Moreover, we show properties of finite and compact elements of such lattice effect algebras.

*Key words:* effect algebra; state; modular lattice; finite element; compact element

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## 1 Introduction, basic definitions and some known facts

Effect algebras (introduced by D.J. Foulis and M.K. Bennett in [10] for modelling unsharp measurements in a Hilbert space) may be carriers of states or probabilities when events are noncompatible or unsharp resp. fuzzy. In this setting, the set  $\mathcal{E}(\mathcal{H})$  of effects on a Hilbert space  $\mathcal{H}$  is the set of all Hermitian operators on  $\mathcal{H}$  between the null operator 0 and the identity operator 1, and the partial operation  $\oplus$  is the restriction of the usual operator sum. D.J. Foulis and M.K. Bennett recognized that effect algebras are equivalent to D-posets introduced in general form by F. Kôpka and F. Chovanec (see [19]), firstly defined as axiomatic systems of fuzzy sets by F. Kôpka in [18].

Effect algebras are a generalization of many structures which arise in quantum physics (see [2]) and in mathematical economics (see [8, 9]). There are some basic ingredients in the study of the mathematical foundations of physics, typically the fundamental concepts are states, observables and symmetries. These concepts are tied together in [11] by employing effect algebras.

It is a remarkable fact that there are even finite effect algebras admitting no states, hence no probabilities. The smallest of them has only nine elements (see [28]). One possibility for eliminating this unfavourable situation is to consider modular complete lattice effect algebras (see [32]).

Having this in mind, we are going to show that, in every modular Archimedean atomic lattice effect algebra  $E$  that is not an orthomodular lattice there exists an ( $o$ )-continuous state  $\omega$  on  $E$ , which is subadditive.

We show some further important properties of finite elements in modular lattice effect algebras. Namely, the set  $G$  of all finite elements in a modular lattice effect algebra is a lattice ideal of  $E$ . Moreover, any compact element in an Archimedean lattice effect algebra  $E$  is a finite join of finite elements of  $E$ .

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**Definition 1** ([10]). A partial algebra  $(E; \oplus, 0, 1)$  is called an *effect algebra* if  $0, 1$  are two distinct elements and  $\oplus$  is a partially defined binary operation on  $E$  which satisfy the following conditions for any  $x, y, z \in E$ :

- (Ei)  $x \oplus y = y \oplus x$  if  $x \oplus y$  is defined,
- (Eii)  $(x \oplus y) \oplus z = x \oplus (y \oplus z)$  if one side is defined,
- (Eiii) for every  $x \in E$  there exists a unique  $y \in E$  such that  $x \oplus y = 1$  (we put  $x' = y$ ),
- (Eiv) if  $1 \oplus x$  is defined then  $x = 0$ .

We put  $\perp = \{(x, y) \in E \times E \mid x \oplus y \text{ is defined}\}$ . We often denote the effect algebra  $(E; \oplus, 0, 1)$  briefly by  $E$ . On every effect algebra  $E$  the partial order  $\leq$  and a partial binary operation  $\ominus$  can be introduced as follows:

$$x \leq y \text{ and } y \ominus x = z \text{ iff } x \oplus z \text{ is defined and } x \oplus z = y.$$

Elements  $x$  and  $y$  of an effect algebra  $E$  are said to be (*Mackey*) *compatible* ( $x \leftrightarrow y$  for short) iff there exist elements  $x_1, y_1, d \in E$  with  $x = x_1 \oplus d$ ,  $y = y_1 \oplus d$  and  $x_1 \oplus y_1 \oplus d \in E$ .

If  $E$  with the defined partial order is a lattice (a complete lattice) then  $(E; \oplus, 0, 1)$  is called a *lattice effect algebra* (a *complete lattice effect algebra*).

If, moreover,  $E$  is a modular or distributive lattice then  $E$  is called *modular* or *distributive* effect algebra.

Lattice effect algebras generalize two important structures: orthomodular lattices and *MV*-algebras. In fact a lattice effect algebra  $(E; \oplus, 0, 1)$  is an orthomodular lattice [17] iff  $x \wedge x' = 0$  for every  $x \in E$  (i.e., every  $x \in E$  is a *sharp element*). A lattice effect algebra can be organized into an *MV*-algebra [4] (by extending  $\oplus$  to a total binary operation on  $E$ ) iff any two elements of  $E$  are compatible iff  $(x \vee y) \ominus x = y \ominus (x \wedge y)$  for every pair of elements  $x, y \in E$  [20, 5].

A minimal nonzero element of an effect algebra  $E$  is called an *atom* and  $E$  is called *atomic* if under every nonzero element of  $E$  there is an atom.

We say that a finite system  $F = (x_k)_{k=1}^n$  of not necessarily different elements of an effect algebra  $(E; \oplus, 0, 1)$  is  $\oplus$ -*orthogonal* if  $x_1 \oplus x_2 \oplus \cdots \oplus x_n$  (written  $\bigoplus_{k=1}^n x_k$  or  $\bigoplus F$ ) exists in  $E$ .

Here we define  $x_1 \oplus x_2 \oplus \cdots \oplus x_n = (x_1 \oplus x_2 \oplus \cdots \oplus x_{n-1}) \oplus x_n$  supposing that  $\bigoplus_{k=1}^{n-1} x_k$  is defined

and  $\bigoplus_{k=1}^{n-1} x_k \leq x'_n$ . We also define  $\bigoplus \emptyset = 0$ . An arbitrary system  $G = (x_\kappa)_{\kappa \in H}$  of not necessarily different elements of  $E$  is called  $\oplus$ -*orthogonal* if  $\bigoplus K$  exists for every finite  $K \subseteq G$ . We say that for a  $\oplus$ -orthogonal system  $G = (x_\kappa)_{\kappa \in H}$  the element  $\bigoplus G$  exists iff  $\bigvee \{\bigoplus K \mid K \subseteq G \text{ is finite}\}$  exists in  $E$  and then we put  $\bigoplus G = \bigvee \{\bigoplus K \mid K \subseteq G \text{ is finite}\}$ . (Here we write  $G_1 \subseteq G$  iff there is  $H_1 \subseteq H$  such that  $G_1 = (x_\kappa)_{\kappa \in H_1}$ ).

An element  $u \in E$  is called *finite* if either  $u = 0$  or there is a finite sequence  $\{a_1, a_2, \dots, a_n\}$  of not necessarily different atoms of  $E$  such that  $u = a_1 \oplus a_2 \oplus \cdots \oplus a_n$ . Note that any atom of  $E$  is evidently finite.

For an element  $x$  of an effect algebra  $E$  we write  $\text{ord}(x) = \infty$  if  $nx = x \oplus x \oplus \cdots \oplus x$  ( $n$ -times) exists for every positive integer  $n$  and we write  $\text{ord}(x) = n_x$  if  $n_x$  is the greatest positive integer such that  $n_x x$  exists in  $E$ . An effect algebra  $E$  is *Archimedean* if  $\text{ord}(x) < \infty$  for all  $x \in E$ .

**Definition 2.** Let  $E$  be an effect algebra. Then  $Q \subseteq E$  is called a *sub-effect algebra* of  $E$  if

- (i)  $0, 1 \in Q$ ,
- (ii) if  $x, y \in Q$  then  $x' \in Q$  and  $x \perp y \implies x \oplus y \in Q$ .

If  $E$  is a lattice effect algebra and  $Q$  is a sub-lattice and a sub-effect algebra of  $E$  then  $Q$  is called a *sub-lattice effect algebra* of  $E$ .

Note that a sub-effect algebra  $Q$  (sub-lattice effect algebra  $Q$ ) of an effect algebra  $E$  (of a lattice effect algebra  $E$ ) with inherited operation  $\oplus$  is an effect algebra (lattice effect algebra) in its own right.

Let  $E$  be an effect algebra and let  $(E_\kappa)_{\kappa \in H}$  be a family of sub-effect algebras of  $E$  such that:

- (i)  $E = \bigcup_{\kappa \in H} E_\kappa$ .
- (ii) If  $x \in E_{\kappa_1} \setminus \{0, 1\}$ ,  $y \in E_{\kappa_2} \setminus \{0, 1\}$  and  $\kappa_1 \neq \kappa_2$ ,  $\kappa_1, \kappa_2 \in H$ , then  $x \wedge y = 0$  and  $x \vee y = 1$ .

Then  $E$  is called the *horizontal sum* of effect algebras  $(E_\kappa)_{\kappa \in H}$ .

Important sub-lattice effect algebras of a lattice effect algebra  $E$  are

- (i)  $S(E) = \{x \in E \mid x \wedge x' = 0\}$  the *set of all sharp elements of  $E$*  (see [13, 14]), which is an orthomodular lattice (see [16]).
- (ii) Maximal subsets of pairwise compatible elements of  $E$  called *blocks* of  $E$  (see [26]), which are in fact maximal sub-*MV*-algebras of  $E$ .
- (iii) The *center of compatibility*  $B(E)$  of  $E$ ,  $B(E) = \bigcap \{M \subseteq E \mid M \text{ is a block of } E\} = \{x \in E \mid x \leftrightarrow y \text{ for every } y \in E\}$  which is in fact an *MV*-algebra (*MV*-effect algebra).
- (iv) The *center*  $C(E) = \{x \in E \mid y = (y \wedge x) \vee (y \wedge x') \text{ for all } y \in E\}$  of  $E$  which is a Boolean algebra (see [12]). In every lattice effect algebra it holds  $C(E) = B(E) \cap S(E)$  (see [24, 25]).

For a poset  $P$  and its subposet  $Q \subseteq P$  we denote, for all  $X \subseteq Q$ , by  $\bigvee_Q X$  the join of the subset  $X$  in the poset  $Q$  whenever it exists.

For a study of effect algebras, we refer to [7].

## 2 Finite elements, modularity and atomicity in lattice effect algebras

It is quite natural, for a lattice effect algebra, to investigate whether the join of two finite elements is again finite and whether each element below a finite element is again finite. The following example shows that generally it is not the case.

**Example 1.** Let  $B$  be an infinite complete atomic Boolean algebra,  $C$  a finite chain *MV*-algebra. Then

1. The set  $F$  of finite elements of the horizontal sum of  $B$  and  $C$  is not closed under order (namely, the top element 1 is finite but the coatoms from  $B$  are not finite).
2. The set  $F$  of finite elements of the horizontal sum of two copies of  $B$  is not closed under join (namely, the join of two atoms in different copies of  $B$  is the top element which is not finite).

**Theorem 1.** *Let  $E$  be a modular lattice effect algebra,  $x \in E$ . Then*

- (i) *If  $x$  is finite, then, for every  $y \in E$ ,  $(x \vee y) \ominus y$  is finite [1, Proposition 2.16].*
- (ii) *If  $x$  is finite, then every  $z \in E$ ,  $z \leq x$  is finite.*
- (iii) *If  $x$  is finite, then every chain in the interval  $[0, x]$  is finite.*
- (iv) *If  $x$  is finite, then  $[0, x]$  is a complete lattice.*
- (v) *If  $x$  and  $y$  are finite, then  $x \vee y$  is finite.*
- (vi) *The set  $F$  of all finite elements of  $E$  is a lattice ideal of  $E$ .*

**Proof.** (i) See [1, Proposition 2.16].

(ii) This follows at once from [1, Proposition 2.16] by putting  $y = x \ominus z$ .

(iii) This is an immediate consequence of [1, Proposition 2.15] that gives a characterization of finite elements in modular lattice effect algebras using the height function and of general and well-known facts about modular lattices (which can be found, for instance, in [15, § VII.4] and are also recalled in [1, § 2.2, page 5]).

(iv) Since the interval  $[0, x]$  has no infinite chains it is complete by [6, Theorem 2.41].

(v) Indeed  $x \vee y = ((x \vee y) \ominus y) \oplus y$  and, clearly, the sum of finite elements is finite.

(vi) It follows immediately from the above facts. ■

Special types of effect algebras called sharply dominating and  $S$ -dominating have been introduced by S. Gudder in [13, 14]. Important example is the standard Hilbert spaces effect algebra  $\mathcal{E}(\mathcal{H})$ .

**Definition 3** ([13, 14]). An effect algebra  $(E, \oplus, 0, 1)$  is called *sharply dominating* if for every  $a \in E$  there exists a smallest sharp element  $\hat{a}$  such that  $a \leq \hat{a}$ . That is  $\hat{a} \in S(E)$  and if  $b \in S(E)$  satisfies  $a \leq b$  then  $\hat{a} \leq b$ .

Similarly to [33, Theorem 2.7] we have the following.

**Theorem 2.** Let  $E$  be a modular Archimedean lattice effect algebra and let  $E_1 = \{x \in E \mid x \text{ is finite or } x' \text{ is finite}\}$ . Then

(i)  $E_1$  is a sub-lattice effect algebra of  $E$ .

(ii) For every finite  $x \in E$ , there exist a smallest sharp element  $\hat{x}$  over  $x$  and a greatest sharp element  $\tilde{x}$  under  $x$ .

(iii)  $E_1$  is sharply dominating.

**Proof.** (i): Clearly,  $x \in E_1$  iff  $x' \in E_1$  by definition of  $E_1$ . Further for any finite  $x, y \in E_1$  we have by Theorem 1 that  $x \vee y \in E_1$  and  $x \oplus y \in E_1$  whenever  $x \oplus y$  exists. The rest follows by de Morgan laws and the fact that  $v \leq u$ ,  $u$  is finite implies  $v$  is finite (Theorem 1).

(ii), (iii): Let  $x = \bigoplus_{i=1}^n k_i a_i$  for some set  $\{a_1, \dots, a_n\}$  of atoms of  $E$ . Clearly, for any index  $j$ ,  $1 \leq j \leq n$ ,  $k_j a_j \wedge \bigoplus_{i=1, i \neq j}^n k_i a_i = 0$  and  $\bigoplus_{i=1, i \neq j}^n k_i a_i \leq (k_j a_j)'$ . Hence by [22, Lemma 3.3]  $n_{a_j} a_j \wedge \bigoplus_{i=1, i \neq j}^n k_i a_i = 0$  and  $\bigoplus_{i=1, i \neq j}^n k_i a_i \leq (n_{a_j} a_j)'$ . By a successive application of the above argument this yields the existence of the sum  $\bigoplus_{i=1}^n n_{a_i} a_i$ . Then by Theorem 1 the interval  $[0, \hat{x}]$ ,  $\hat{x} = \bigoplus_{i=1}^n n_{a_i} a_i$  is a complete lattice effect algebra, hence it is sharply dominating. Moreover by [34, Theorem 3.5],  $\bigoplus_{i=1}^n n_{a_i} a_i$  is the smallest sharp element  $\hat{x}$  over  $x$ . It follows by [13] that there exists a greatest sharp element  $\tilde{x}$  under  $x$  in  $[0, \hat{x}]$  and so in  $E$  and  $E_1$  as well.

If  $x' = \bigoplus_{i=1}^m l_i b_i$  for some set  $\{b_1, \dots, b_m\}$  of atoms of  $E$  then  $w = \bigoplus_{i=1}^m n_{b_i} b_i$  is the smallest sharp element over  $x'$ . Hence  $w'$  is the greatest sharp element under  $x$  both in  $E$  and  $E_1$ . ■

Note that, in any effect algebra  $E$ , the following infinite distributive law holds (see [7, Proposition 1.8.7]):

$$\left( \bigvee_{\alpha} c_{\alpha} \right) \oplus b = \bigvee_{\alpha} (c_{\alpha} \oplus b)$$

provided that  $\bigvee_{\alpha} c_{\alpha}$  and  $(\bigvee_{\alpha} c_{\alpha}) \oplus b$  exist.

**Proposition 1.** Let  $\{b_{\alpha} \mid \alpha \in \Lambda\}$  be a family of elements in a lattice effect algebra  $E$  and let  $a \in E$  with  $a \leq b_{\alpha}$  for all  $\alpha \in \Lambda$ . Then

$$\left( \bigvee \{b_{\alpha} \mid \alpha \in \Lambda\} \right) \ominus a = \bigvee \{b_{\alpha} \ominus a \mid \alpha \in \Lambda\}$$

if one side is defined.

**Proof.** Assume first that  $(\bigvee\{b_\alpha \mid \alpha \in \Lambda\}) \ominus a$  is defined. Then  $\bigvee\{b_\alpha \mid \alpha \in \Lambda\}$  exists. Clearly,  $b_\alpha \ominus a \leq (\bigvee\{b_\alpha \mid \alpha \in \Lambda\}) \ominus a$  for all  $\alpha \in \Lambda$ . Let  $b_\alpha \ominus a \leq c$  for all  $\alpha \in \Lambda$ . Let us put  $d = c \wedge ((\bigvee\{b_\alpha \mid \alpha \in \Lambda\}) \ominus a)$ . Then  $b_\alpha \ominus a \leq d$  for all  $\alpha \in \Lambda$  and  $d \leq (\bigvee\{b_\alpha \mid \alpha \in \Lambda\}) \ominus a$ . Hence  $d \oplus a$  exists and  $b_\alpha \leq d \oplus a$  for all  $\alpha \in \Lambda$ . This yields  $\bigvee\{b_\alpha \mid \alpha \in \Lambda\} \leq d \oplus a$ . Consequently,  $\bigvee\{b_\alpha \mid \alpha \in \Lambda\} \ominus a \leq d$ , so  $d = \bigvee\{b_\alpha \mid \alpha \in \Lambda\} \ominus a \leq c$ .

Now, assume that  $\bigvee\{b_\alpha \ominus a \mid \alpha \in \Lambda\}$  is defined. Then  $\bigvee\{b_\alpha \ominus a \mid \alpha \in \Lambda\} \leq 1 \ominus a$ , which gives  $\bigvee\{b_\alpha \ominus a \mid \alpha \in \Lambda\} \oplus a$  exists. Hence by the above infinite distributive law

$$\bigvee\{b_\alpha \ominus a \mid \alpha \in \Lambda\} \oplus a = \bigvee\{(b_\alpha \ominus a) \oplus a \mid \alpha \in \Lambda\} = \bigvee\{b_\alpha \mid \alpha \in \Lambda\}. \quad \blacksquare$$

Now we are ready for the next proposition that was motivated by [35, § 6, Theorem 20] for complete modular lattices.

**Proposition 2.** *Let  $E$  be a modular lattice effect algebra,  $z \in E$  and let  $F_z = \{x \in E \mid x \text{ is finite, } x \leq z\}$  and suppose that  $\bigvee F_z = z$ . Then the interval  $[0, z]$  is atomic.*

**Proof.** Let  $0 \neq y \in E$ ,  $y \leq z$ . We shall show that there exists an atom  $a \leq y$ . We have (by the same argument as in [1, Lemma 3.1 (a)] for complete lattices) that

$$\begin{aligned} & \bigvee\{(x \vee (z \ominus y)) \ominus (z \ominus y) \mid x \in F_z\} \\ &= \bigvee\{x \vee (z \ominus y) \mid x \in F_z\} \ominus (z \ominus y) = z \ominus (z \ominus y) = y. \end{aligned}$$

This yields  $(x \vee (z \ominus y)) \ominus (z \ominus y) \neq 0$  for some  $x \in F_z$ . By Theorem 1 (i)  $(x \vee (z \ominus y)) \ominus (z \ominus y) \in F_z$ ,  $(x \vee (z \ominus y)) \ominus (z \ominus y) \leq y$ . Hence, there exists an atom  $a \leq (x \vee (z \ominus y)) \ominus (z \ominus y) \leq y$ .  $\blacksquare$

**Corollary 1.** *Let  $E$  be a modular lattice effect algebra and let  $F = \{x \in E \mid x \text{ is finite}\}$  and suppose that  $\bigvee F = 1$ . Then  $E$  is atomic.*

**Corollary 2.** *Let  $E$  be a modular lattice effect algebra. Let at least one block  $M$  of  $E$  be Archimedean and atomic. Then  $E$  is atomic.*

**Proof.** Let us put  $F = \{x \in E \mid x \text{ is finite}\}$ . Clearly,  $F$  contains all finite elements of the block  $M$ . Hence by [30, Theorem 3.3] we have  $1 = \bigvee_M (F \cap M)$ . From [23, Lemma 2.7] we obtain that the joins in  $E$  and  $M$  coincide. Therefore  $1 = \bigvee_M (F \cap M) = \bigvee_E (F \cap M) \leq \bigvee_E F$ . By Corollary 1 we get that  $E$  is atomic.  $\blacksquare$

Further recall that an element  $u$  of a lattice  $L$  is called a *compact element* if, for any  $D \subseteq L$  with  $\bigvee D \in L$ ,  $u \leq \bigvee D$  implies  $u \leq \bigvee F$  for some finite  $F \subseteq D$ .

Moreover, the lattice  $L$  is called *compactly generated* if every element of  $L$  is a join of compact elements.

It was proved in [21, Theorem 6] that every compactly generated lattice effect algebra is atomic. If moreover  $E$  is Archimedean then every compact element  $u \in E$  is finite [21, Lemma 4] and conversely [23, Lemma 2.5].

**Example 2 ([33, Example 2.9]).** If  $a$  is an atom of a compactly generated Archimedean lattice effect algebra  $E$  (hence atomic) then  $n_a a$  need not be an atom of  $S(E)$ .

Indeed, let  $E$  be a horizontal sum of a Boolean algebra  $B = \{0, a, a', 1 = a \oplus a'\}$  and a chain  $M = \{0, b, 1 = 2b\}$ . Then  $S(E) = B$  and  $1 = 2b$  is not an atom of  $S(E)$ .

**Remark 1.** The atomicity of the set of sharp elements  $S(E)$  is not completely solved till now. For example, if  $E$  is a complete modular Archimedean atomic lattice effect algebra then  $S(E)$  is an atomic orthomodular lattice (see [23]).

This remark leads us to

**Proposition 3.** *Let  $E$  be a modular Archimedean atomic lattice effect algebra. Then  $S(E)$  is an atomic orthomodular lattice.*

**Proof.** Let  $x \in S(E)$ ,  $x \neq 0$ . From [30, Theorem 3.3] we get that there is an atom  $a$  of  $E$  such that  $n_a a \leq x$ . Then by Theorem 1 the interval  $[0, n_a a]$  is a complete modular atomic lattice effect algebra. This yields that  $[0, n_a a]$  is a compactly generated complete modular lattice effect algebra and all elements of  $[0, n_a a]$  are compact in  $[0, n_a a]$ . Hence also  $S([0, n_a a])$  is a compactly generated complete modular lattice effect algebra i.e. it is atomic. Clearly, any atom  $p$  of  $S([0, n_a a])$  is an atom of  $S(E)$  and  $p \leq x$ . ■

A *basic algebra* [3] (lattice with sectional antitone involutions) is a system  $L = (L; \vee, \wedge, ({}^a)_{a \in L}, 0, 1)$ , where  $(L; \vee, \wedge, 0, 1)$  is a bounded lattice such that every principal order-filter  $[a, 1]$  (which is called a *section*) possesses an antitone involution  $x \mapsto x^a$ .

Clearly, any principal ideal  $[0, x]$ ,  $x \in L$  of a basic algebra  $L$  is again a basic algebra. Moreover, any lattice effect algebra is a basic algebra. Note that every interval  $[a, b]$ , for  $a < b$  in an effect algebra  $E$  can be organized (in a natural way) into an effect algebra (see [36, Theorem 1]), hence every interval  $[a, b]$  in  $E$  possesses an antitone involution.

The following Lemma is in fact implicitly contained in the proof of [21, Theorem 5].

**Lemma 1** ([21, Theorem 5]). *Let  $L$  be a basic algebra,  $u \in L$ ,  $u \neq 0$  a compact element. Then there is an atom  $a \in L$  such that  $a \leq u$ .*

**Lemma 2.** *Let  $E$  be an Archimedean lattice effect algebra,  $u \in E$  a compact element. Then  $u$  is a finite join of finite elements of  $E$ .*

**Proof.** If  $u = 0$  we are finished. Let  $u \neq 0$ . Let  $\mathcal{Q}$  be a maximal pairwise compatible subset of finite elements of  $E$  under  $u$ . Clearly,  $0 \in \mathcal{Q} \neq \emptyset$ . Assume that  $u$  is not the smallest upper bound of  $\mathcal{Q}$  in  $E$ . Hence there is an element  $c \in E$  such that  $c$  is an upper bound of  $\mathcal{Q}$ ,  $c \not\leq u$ . Let us put  $d = c \wedge u$ . Then  $d < u$ . Clearly, the interval  $[d, 1]$  is a basic algebra and  $u$  is compact in  $[d, 1]$ . Hence by Lemma 1 there is an atom  $b \in [d, 1]$  such that  $b \leq u$ . Let us put  $a = b \oplus d$ . Then  $a$  is an atom of  $E$ . Let  $M$  be a block of  $E$  containing the compatible set  $\mathcal{Q} \cup \{d, b, u\}$ . Evidently  $a \in M$  and  $\mathcal{Q} \cup \{q \oplus a \mid q \in \mathcal{Q}\} \subseteq M$  is a compatible subset of finite elements of  $E$  under  $u$ . From the maximality of  $\mathcal{Q}$  we get that  $\{q \oplus a \mid q \in \mathcal{Q}\} \subseteq \mathcal{Q}$ . Hence, for all  $n \in \mathbb{N}$ ,  $na \in \mathcal{Q}$ , a contradiction with the assumption that  $E$  is Archimedean. Therefore  $u = \bigvee \mathcal{Q}$ . Since  $u$  is compact there are finitely many finite elements  $q_1, \dots, q_n$  of  $\mathcal{Q}$  such that  $u = \bigvee_{i=1}^n q_i$ . ■

**Remark 2.** The condition that  $E$  is Archimedean in Lemma 2 cannot be omitted (e.g., the Chang *MV*-effect algebra  $E = \{0, a, 2a, 3a, \dots, (3a)', (2a)', a', 1\}$  is not Archimedean, every  $x \in E$  is compact and the top element 1 is not a finite join of finite elements of  $E$ ).

**Corollary 3.** *Let  $E$  be a modular Archimedean lattice effect algebra,  $u \in E$  a compact element. Then  $u$  is finite.*

**Proof.** Since  $u$  is a finite join of finite elements of  $E$  and in a modular lattice effect algebra a finite join of finite elements is finite by Theorem 1 we are done. ■

Thus we obtain the following common corollary of Proposition 2 and Corollary 3.

**Theorem 3.** *Let  $E$  be a modular Archimedean lattice effect algebra,  $z \in E$  and let  $C_z = \{x \in E \mid x \text{ is compact, } x \leq z\}$  and suppose that  $\bigvee C_z = z$ . Then the interval  $[0, z]$  is atomic. Moreover, if  $z = 1$  and  $\bigvee C_1 = 1$  then  $E$  is atomic.*

### 3 States on modular Archimedean atomic lattice effect algebras

The aim of this section is to apply results of previous section in order to study  $(o)$ -continuous states on modular Archimedean atomic lattice effect algebras.

**Definition 4.** Let  $E$  be an effect algebra. A map  $\omega : E \rightarrow [0, 1]$  is called a *state* on  $E$  if  $\omega(0) = 0$ ,  $\omega(1) = 1$  and  $\omega(x \oplus y) = \omega(x) + \omega(y)$  whenever  $x \oplus y$  exists in  $E$ . If, moreover,  $E$  is lattice ordered then  $\omega$  is called *subadditive* if  $\omega(x \vee y) \leq \omega(x) + \omega(y)$ , for all  $x, y \in E$ .

It is easy to check that the notion of a state  $\omega$  on an orthomodular lattice  $L$  coincides with the notion of a state on its derived effect algebra  $L$ . It is because  $x \leq y'$  iff  $x \oplus y$  exists in  $L$ , hence  $\omega(x \vee y) = \omega(x \oplus y) = \omega(x) + \omega(y)$  whenever  $x \leq y'$  (see [17]).

It is easy to verify that, if  $\omega$  is a subadditive state on a lattice effect algebra  $E$ , then in fact  $\omega(x) + \omega(y) = \omega(x \vee y) + \omega(x \wedge y)$  for all  $x, y \in E$  (see [29, Theorem 2.5]), so that  $\omega$  is a *modular measure*, as defined for example in [1, § 5, page 13].

Assume that  $(\mathcal{E}; \prec)$  is a directed set and  $E$  is an effect algebra. A net of elements of  $E$  is denoted by  $(x_\alpha)_{\alpha \in \mathcal{E}}$ . Then  $x_\alpha \uparrow x$  means that  $x_{\alpha_1} \leq x_{\alpha_2}$  for every  $\alpha_1 \prec \alpha_2$ ,  $\alpha_1, \alpha_2 \in \mathcal{E}$  and  $x = \bigvee \{x_\alpha \mid \alpha \in \mathcal{E}\}$ . The meaning of  $x_\alpha \downarrow x$  is dual. A net  $(x_\alpha)_{\alpha \in \mathcal{E}}$  of elements of an effect algebra  $E$  *order converges to a point*  $x \in E$  if there are nets  $(u_\alpha)_{\alpha \in \mathcal{E}}$  and  $(v_\alpha)_{\alpha \in \mathcal{E}}$  of elements of  $E$  such that

$$u_\alpha \uparrow x, v_\alpha \downarrow x, \text{ and } u_\alpha \leq x_\alpha \leq v_\alpha \text{ for all } \alpha \in \mathcal{E}.$$

We write  $x_\alpha \xrightarrow{(o)} x$ ,  $\alpha \in \mathcal{E}$  in  $E$  (or briefly  $x_\alpha \xrightarrow{(o)} x$ ).

A state  $\omega$  is called  *$(o)$ -continuous* (*order-continuous*) if, for every net  $(x_\alpha)_{\alpha \in \mathcal{E}}$  of elements of  $E$ ,  $x_\alpha \xrightarrow{(o)} x \implies \omega(x_\alpha) \rightarrow \omega(x)$  (equivalently  $x_\alpha \uparrow x \implies \omega(x_\alpha) \uparrow \omega(x)$ ).

We are going to prove statements about the existence of  $(o)$ -continuous states which are subadditive.

**Theorem 4.** *Let  $E$  be a Archimedean atomic lattice effect algebra,  $c \in C(E)$ ,  $c$  finite in  $E$ ,  $c \neq 0$ ,  $[0, c]$  a modular lattice. Then there exists an  $(o)$ -continuous state  $\omega$  on  $E$ , which is subadditive.*

**Proof.** Note that, for every central element  $z$  of a lattice effect algebra  $E$ , the interval  $[0, z]$  with the  $\oplus$  operation inherited from  $E$  and the new unit  $z$  is a lattice effect algebra in its own right.

Since  $c$  is central we have the direct product decomposition  $E \cong [0, c] \times [0, c']$ . Hence  $E = \{y \oplus z \mid y \in [0, c], z \in [0, c']\}$ .

Since  $c$  is finite in  $E$  and hence in  $[0, c]$  we have by Theorem 1 that the interval  $[0, c]$  is a complete modular atomic lattice effect algebra. From [32, Theorem 4.2] we get a subadditive  $(o)$ -continuous state  $\omega_c$  on  $[0, c]$ .

Let us define  $\omega : E \rightarrow [0, 1] \subseteq \mathbb{R}$  by setting  $\omega(x) = \omega_c(y)$ , for every  $x = y \oplus z$ ,  $y \in [0, c], z \in [0, c']$ . It is easy to check that  $\omega$  is an  $(o)$ -continuous state on  $E$ , which is subadditive. These properties follow by the fact that the effect algebra operations as well as the lattice operations on the direct product  $[0, c] \times [0, c']$  are defined coordinatewise and  $\omega_c$  is a state on the complete modular atomic lattice effect algebra  $[0, c]$  with all enumerated properties. ■

**Corollary 4.** *Let  $E$  be a modular Archimedean atomic lattice effect algebra,  $c \in C(E)$ ,  $c$  finite in  $E$ ,  $c \neq 0$ . Then there exists an  $(o)$ -continuous state  $\omega$  on  $E$ , which is subadditive.*

In [16] it was proved that for every lattice effect algebra  $E$  the subset  $S(E)$  is an orthomodular lattice. It follows that  $E$  is an orthomodular lattice iff  $E = S(E)$ . If  $E$  is atomic then  $E$  is

an orthomodular lattice iff  $a \in S(E)$  for every atom  $a$  of  $E$ . This is because if  $x \in E$  with  $x \wedge x' \neq 0$  exists then there exists an atom  $a$  of  $E$  with  $a \leq x \wedge x'$ , which gives  $a \leq x' \leq a'$  and hence  $a \wedge a' = a \neq 0$ , a contradiction.

**Theorem 5.** *Let  $E$  be an Archimedean atomic lattice effect algebra with  $S(E) \neq E$ . Let  $F = \{x \in E \mid x \text{ is finite}\}$  be an ideal of  $E$  such that  $F$  is a modular lattice. Then there exists an (o)-continuous state  $\omega$  on  $E$ , which is subadditive.*

**Proof.** Let  $x \in E \setminus S(E)$ . From Theorem [30, Theorem 3.3] we have that there are mutually distinct atoms  $a_\alpha \in E$  and positive integers  $k_\alpha$ ,  $\alpha \in \mathcal{E}$  such that  $x = \bigoplus \{k_\alpha a_\alpha \mid \alpha \in \mathcal{E}\} = \bigvee \{k_\alpha a_\alpha \mid \alpha \in \mathcal{E}\}$ , and  $x \in S(E)$  iff  $k_\alpha = n_{a_\alpha} = \text{ord}(a_\alpha)$  for all  $\alpha \in \mathcal{E}$ . Hence there is an atom  $a \in E$  such that  $a \notin S(E)$  i.e.,  $a \leq a'$ .

We shall proceed similarly as in [32, Theorem 3.1].

(i): Assume that  $a \in B(E)$ . Then also  $n_a a \in B(E)$  (by [26]) and, by [31, Theorem 2.4],  $n_a a \in S(E)$ . Thus  $n_a a \in B(E) \cap S(E) = C(E)$ .

(ii): Assume now that  $a \notin B(E)$ . Then there exists an atom  $b \in E$  with  $b \not\leftrightarrow a$ . As  $F$  is a modular lattice we have  $[0, b] = [a \wedge b, b] \cong [a, a \vee b]$  which yields that  $a \vee b$  covers  $a$  both in  $F$  and  $E$ . Hence there exists an atom  $c \in E$  such that  $a \oplus c = a \vee b$ , which gives  $c \leq a'$ . Evidently,  $c \neq b$  as  $b \not\leq a'$ . If  $c \neq a$  then  $a \vee c = a \oplus c = a \vee b$ , which implies  $b \leq a \vee c \leq a'$ , a contradiction. Thus  $c = a$  and  $a \vee b = 2a$ .

Let  $p \in E$  be an atom. Then either  $p \not\leftrightarrow a$  which, as we have just shown, implies that  $p \leq p \vee a = 2a \leq n_a a$ , or  $p \leftrightarrow a$  and hence  $p \leftrightarrow n_a a$  for every atom  $p \in E$ . By [27], for every  $x \in E$  we have  $x = \bigvee \{u \in E \mid u \leq x, u \text{ is a sum of finite sequence of atoms}\}$ . Since  $n_a a \leftrightarrow p$  for every atom  $p$  and hence  $n_a a \leftrightarrow u$  for every finite sum  $u$  of atoms, we conclude that  $n_a a \leftrightarrow x$  for every  $x \in E$ . Thus again  $n_a a \in B(E) \cap S(E) = C(E)$ .

Since  $n_a a$  is finite and the interval  $[0, n_a a]$  is modular we can apply Theorem 4. ■

**Corollary 5.** *Let  $E$  be a modular Archimedean atomic lattice effect algebra with  $S(E) \neq E$ . Then there exists an (o)-continuous state  $\omega$  on  $E$ , which is subadditive.*

**Proof.** It follows immediately from Theorem 1 (vi) and Theorem 5. ■

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