

# On Free Pseudo-Product Fundamental Graded Lie Algebras

Tomoaki YATSUI

Department of Mathematics, Asahikawa Medical University, Asahikawa 078-8510, Japan

E-mail: [yatsui@asahikawa-med.ac.jp](mailto:yatsui@asahikawa-med.ac.jp)

Received December 16, 2011, in final form June 14, 2012; Published online June 27, 2012

<http://dx.doi.org/10.3842/SIGMA.2012.038>

**Abstract.** In this paper we first state the classification of the prolongations of complex free fundamental graded Lie algebras. Next we introduce the notion of free pseudo-product fundamental graded Lie algebras and study the prolongations of complex free pseudo-product fundamental graded Lie algebras. Furthermore we investigate the automorphism group of the prolongation of complex free pseudo-product fundamental graded Lie algebras.

*Key words:* fundamental graded Lie algebra; prolongation; pseudo-product graded Lie algebra

*2010 Mathematics Subject Classification:* 17B70

## 1 Introduction

Let  $\mathfrak{m} = \bigoplus_{p < 0} \mathfrak{g}_p$  be a graded Lie algebra over the field  $\mathbb{R}$  of real numbers or the field  $\mathbb{C}$  of complex numbers, and let  $\mu$  be a positive integer. The graded Lie algebra  $\mathfrak{m} = \bigoplus_{p < 0} \mathfrak{g}_p$  is called a fundamental graded Lie algebra if the following conditions hold: (i)  $\mathfrak{m}$  is finite-dimensional; (ii)  $\mathfrak{g}_{-1} \neq \{0\}$ , and  $\mathfrak{m}$  is generated by  $\mathfrak{g}_{-1}$ . Moreover a fundamental graded Lie algebra  $\mathfrak{m} = \bigoplus_{p < 0} \mathfrak{g}_p$  is said to be of the  $\mu$ -th kind if  $\mathfrak{g}_{-\mu} \neq \{0\}$ , and  $\mathfrak{g}_p = \{0\}$  for all  $p < -\mu$ . It is shown that every fundamental graded algebra  $\mathfrak{m} = \bigoplus_{p < 0} \mathfrak{g}_p$  is prolonged to a graded Lie algebra  $\mathfrak{g}(\mathfrak{m}) = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}(\mathfrak{m})_p$  satisfying the following conditions: (i)  $\mathfrak{g}(\mathfrak{m})_p = \mathfrak{g}_p$  for all  $p < 0$ ; (ii) for  $X \in \mathfrak{g}(\mathfrak{m})_p$  ( $p \geq 0$ ),  $[X, \mathfrak{m}] = \{0\}$  implies  $X = 0$ ; (iii)  $\mathfrak{g}(\mathfrak{m})$  is maximum among graded Lie algebras satisfying conditions (i) and (ii) above. The graded Lie algebra  $\mathfrak{g}(\mathfrak{m})$  is called the prolongation of  $\mathfrak{m}$ . Note that  $\mathfrak{g}(\mathfrak{m})_0$  is the Lie algebra of all the derivations of  $\mathfrak{m}$  as a graded Lie algebra.

Let  $\mathfrak{m} = \bigoplus_{p < 0} \mathfrak{g}_p$  be a fundamental graded Lie algebra of the  $\mu$ -th kind, where  $\mu \geq 2$ . The fundamental graded Lie algebra  $\mathfrak{m}$  is called a free fundamental graded Lie algebra of type  $(n, \mu)$  if the following universal properties hold:

- (i)  $\dim \mathfrak{g}_{-1} = n$ ;
- (ii) Let  $\mathfrak{m}' = \bigoplus_{p < 0} \mathfrak{g}'_p$  be a fundamental graded Lie algebra of the  $\mu$ -th kind and let  $\varphi$  be a surjective linear mapping of  $\mathfrak{g}_{-1}$  onto  $\mathfrak{g}'_{-1}$ . Then  $\varphi$  can be extended uniquely to a graded Lie algebra epimorphism of  $\mathfrak{m}$  onto  $\mathfrak{m}'$ .

In Section 3 we see that a universal fundamental graded Lie algebra  $b(V, \mu)$  of the  $\mu$ -th kind introduced by N. Tanaka [11] becomes a free fundamental graded Lie algebra of type  $(n, \mu)$ , where  $\mu \geq 2$ , and  $V$  is a vector space such that  $\dim V = n \geq 2$ .

In [13], B. Warhurst gave the complete list of the prolongations of real free fundamental graded Lie algebras by using a Hall basis of a free Lie algebra. The complex version of his theorem has the completely same form except for the ground number field as follows:

**Theorem I.** *Let  $\mathfrak{m} = \bigoplus_{p<0} \mathfrak{g}_p$  be a free fundamental graded Lie algebra of type  $(n, \mu)$  over  $\mathbb{C}$ . Then the prolongation  $\mathfrak{g}(\mathfrak{m}) = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}(\mathfrak{m})_p$  of  $\mathfrak{m}$  is one of the following types:*

- (a)  $(n, \mu) \neq (n, 2) (n \geq 2), (2, 3)$ . In this case,  $\mathfrak{g}(\mathfrak{m})_1 = \{0\}$ .
- (b)  $(n, \mu) = (n, 2) (n \geq 3), (2, 3)$ . In this case,  $\dim \mathfrak{g}(\mathfrak{m}) < \infty$  and  $\mathfrak{g}(\mathfrak{m})_1 \neq \{0\}$ . Furthermore  $\mathfrak{g}(\mathfrak{m})$  is isomorphic to a finite-dimensional simple graded Lie algebra of type  $(B_n, \{\alpha_n\})$  ( $n \geq 3$ ) or  $(G_2, \{\alpha_1\})$  ( $n = 2$ ) (see [15] or Section 5 for the gradations of finite-dimensional simple graded Lie algebras over  $\mathbb{C}$ ).
- (c)  $(n, \mu) = (2, 2)$ . In this case,  $\dim \mathfrak{g}(\mathfrak{m}) = \infty$ . Furthermore,  $\mathfrak{g}(\mathfrak{m})$  is isomorphic to the contact algebra  $K(1)$  as a graded Lie algebra.

The first purpose of this paper is to give a proof of Theorem I by using the classification of complex irreducible transitive graded Lie algebras of finite depth (cf. [6]). Note that Warhurst's methods in [13] are available to the proof of Theorem I.

Next we introduce the notion of free pseudo-product fundamental graded Lie algebras. Let  $\mathfrak{m} = \bigoplus_{p<0} \mathfrak{g}_p$  be a fundamental graded Lie algebra, and let  $\mathfrak{e}$  and  $\mathfrak{f}$  be nonzero subspaces of  $\mathfrak{g}_{-1}$ . Then  $\mathfrak{m}$  is called a pseudo-product fundamental graded Lie algebra with pseudo-product structure  $(\mathfrak{e}, \mathfrak{f})$  if the following conditions hold: (i)  $\mathfrak{g}_{-1} = \mathfrak{e} \oplus \mathfrak{f}$ ; (ii)  $[\mathfrak{e}, \mathfrak{e}] = [\mathfrak{f}, \mathfrak{f}] = \{0\}$  (cf. [10]).

Let  $\mathfrak{m} = \bigoplus_{p<0} \mathfrak{g}_p$  be a pseudo-product fundamental graded Lie algebra with a pseudo-product structure  $(\mathfrak{e}, \mathfrak{f})$ , and let  $\mathfrak{g}(\mathfrak{m}) = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}(\mathfrak{m})_p$  be the prolongation of  $\mathfrak{m}$ . Moreover let  $\mathfrak{g}_0$  be the Lie algebra of all the derivations of  $\mathfrak{m}$  as a graded Lie algebra preserving  $\mathfrak{e}$  and  $\mathfrak{f}$ . Also for  $p \geq 1$  we set  $\mathfrak{g}_p = \{X \in \mathfrak{g}(\mathfrak{m})_p : [X, \mathfrak{g}_k] \subset \mathfrak{g}_{p+k} \text{ for all } k < 0\}$  inductively. Then the direct sum  $\mathfrak{g} = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}_p$  becomes a graded subalgebra of  $\mathfrak{g}(\mathfrak{m})$ , which is called the prolongation of  $(\mathfrak{m}; \mathfrak{e}, \mathfrak{f})$ .

Let  $\mathfrak{m} = \bigoplus_{p<0} \mathfrak{g}_p$  be a pseudo-product fundamental graded Lie algebra of the  $\mu$ -th kind with pseudo-product structure  $(\mathfrak{e}, \mathfrak{f})$ , where  $\mu \geq 2$ . The pseudo-product fundamental graded Lie algebra  $\mathfrak{m} = \bigoplus_{p<0} \mathfrak{g}_p$  is called a free pseudo-product fundamental graded Lie algebra of type  $(m, n, \mu)$  if the following conditions hold:

- (i)  $\dim \mathfrak{e} = m$  and  $\dim \mathfrak{f} = n$ ;
- (ii) Let  $\mathfrak{m}' = \bigoplus_{p<0} \mathfrak{g}'_p$  be a pseudo-product fundamental graded Lie algebra of the  $\mu$ -th kind with pseudo-product structure  $(\mathfrak{e}', \mathfrak{f}')$  and let  $\varphi$  be a surjective linear mapping of  $\mathfrak{g}_{-1}$  onto  $\mathfrak{g}'_{-1}$  such that  $\varphi(\mathfrak{e}) \subset \mathfrak{e}'$  and  $\varphi(\mathfrak{f}) \subset \mathfrak{f}'$ . Then  $\varphi$  can be extended uniquely to a graded Lie algebra epimorphism of  $\mathfrak{m}$  onto  $\mathfrak{m}'$ .

The main purpose of this paper is to prove the following theorem.

**Theorem II.** *Let  $\mathfrak{m} = \bigoplus_{p<0} \mathfrak{g}_p$  be a free pseudo-product fundamental graded Lie algebra of type  $(m, n, \mu)$  with pseudo-product structure  $(\mathfrak{e}, \mathfrak{f})$  over  $\mathbb{C}$ , and let  $\mathfrak{g} = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}_p$  be the prolongation of  $(\mathfrak{m}; \mathfrak{e}, \mathfrak{f})$ . If  $\mathfrak{g}_1 \neq \{0\}$ , then  $\mathfrak{g} = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}_p$  is a finite-dimensional simple graded Lie algebra of type  $(A_{m+n}, \{\alpha_m, \alpha_{m+1}\})$ .*

Let  $\mathfrak{g} = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}_p$  be the prolongation of a free pseudo-product fundamental graded Lie algebra  $\mathfrak{m} = \bigoplus_{p < 0} \mathfrak{g}_p$  with pseudo-product structure  $(\mathfrak{e}, \mathfrak{f})$  over  $\mathbb{C}$ . We denote by  $\text{Aut}(\mathfrak{g}; \mathfrak{e}, \mathfrak{f})_0$  the group of all the automorphisms as a graded Lie algebra preserving  $\mathfrak{e}$  and  $\mathfrak{f}$ , which is called the automorphism group of the pseudo-product graded Lie algebra  $\mathfrak{g} = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}_p$ . In Section 9, we show that  $\text{Aut}(\mathfrak{g}; \mathfrak{e}, \mathfrak{f})_0$  is isomorphic to  $GL(\mathfrak{e}) \times GL(\mathfrak{f})$ .

## Notation and conventions

- (1) From Section 2 to the last section, all vector spaces are considered over the field  $\mathbb{C}$  of complex numbers.
- (2) Let  $V$  be a vector space and let  $W_1$  and  $W_2$  be subspaces of  $V$ . We denote by  $W_1 \wedge W_2$  the subspace of  $\Lambda^2 V$  spanned by all the elements of the form  $w_1 \wedge w_2$  ( $w_1 \in W_1, w_2 \in W_2$ ).
- (3) Graded vector spaces are always  $\mathbb{Z}$ -graded. If we write  $V = \bigoplus_{p < 0} V_p$ , then it is understood that  $V_p = \{0\}$  for all  $p \geq 0$ . Let  $V = \bigoplus_{p \in \mathbb{Z}} V_p$  be a graded vector space. We denote by  $V_-$  the subspace  $V = \bigoplus_{p < 0} V_p$ . Also for  $k \in \mathbb{Z}$  we denote by  $V_{\leq k}$  the subspace  $\bigoplus_{p \leq k} V_p$ .  
Let  $V = \bigoplus_{p \in \mathbb{Z}} V_p$  and  $W = \bigoplus_{p \in \mathbb{Z}} W_p$  be graded vector spaces. For  $r \in \mathbb{Z}$ , we set

$$\text{Hom}(V, W)_r = \{\varphi \in \text{Hom}(V, W) : \varphi(V_p) \subset W_{p+r} \text{ for all } p \in \mathbb{Z}\}.$$

## 2 Free fundamental graded Lie algebras

First of all we give several definitions about graded Lie algebras. Let  $\mathfrak{g}$  be a Lie algebra. Assume that there is given a family of subspaces  $(\mathfrak{g}_p)_{p \in \mathbb{Z}}$  of  $\mathfrak{g}$  satisfying the following conditions:

- (i)  $\mathfrak{g} = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}_p$ ;
- (ii)  $\dim \mathfrak{g}_p < \infty$  for all  $p \in \mathbb{Z}$ ;
- (iii)  $[\mathfrak{g}_p, \mathfrak{g}_q] \subset \mathfrak{g}_{p+q}$  for all  $p, q \in \mathbb{Z}$ .

Under these conditions, we say that  $\mathfrak{g} = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}_p$  is a graded Lie algebra (GLA). Moreover we define the notion of homomorphism, isomorphism, monomorphism, epimorphism, subalgebra and ideal for GLAs in an obvious manner.

A GLA  $\mathfrak{g} = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}_p$  is called transitive if for  $X \in \mathfrak{g}_p$  ( $p \geq 0$ ),  $[X, \mathfrak{g}_-] = \{0\}$  implies  $X = 0$ , where  $\mathfrak{g}_-$  is the negative part  $\bigoplus_{p < 0} \mathfrak{g}_p$  of  $\mathfrak{g}$ . Furthermore a GLA  $\mathfrak{g} = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}_p$  is called irreducible if the  $\mathfrak{g}_0$ -module  $\mathfrak{g}_{-1}$  is irreducible.

Let  $\mu$  be a positive integer. A GLA  $\mathfrak{g} = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}_p$  is said to be of depth  $\mu$  if  $\mathfrak{g}_{-\mu} \neq \{0\}$  and  $\mathfrak{g}_p = \{0\}$  for all  $p < -\mu$ .

Next we define fundamental GLAs. A GLA  $\mathfrak{m} = \bigoplus_{p < 0} \mathfrak{g}_p$  is called a *fundamental graded Lie algebra* (FGLA) if the following conditions hold:

- (i)  $\dim \mathfrak{m} < \infty$ ;
- (ii)  $\mathfrak{g}_{-1} \neq \{0\}$ , and  $\mathfrak{m}$  is generated by  $\mathfrak{g}_{-1}$ , or more precisely  $\mathfrak{g}_{p-1} = [\mathfrak{g}_p, \mathfrak{g}_{-1}]$  for all  $p < 0$ .

If an FGLA  $\mathfrak{m} = \bigoplus_{p < 0} \mathfrak{g}_p$  is of depth  $\mu$ , then  $\mathfrak{m}$  is also said to be of the  $\mu$ -th kind. Moreover an FGLA  $\mathfrak{m} = \bigoplus_{p < 0} \mathfrak{g}_p$  is called non-degenerate if for  $X \in \mathfrak{g}_{-1}$ ,  $[X, \mathfrak{g}_{-1}] = \{0\}$  implies  $X = 0$ .

Let  $\mathfrak{m} = \bigoplus_{p < 0} \mathfrak{g}_p$  be an FGLA of the  $\mu$ -th kind, where  $\mu \geq 2$ .  $\mathfrak{m}$  is called a free fundamental graded Lie algebra of type  $(n, \mu)$  if the following conditions hold:

- (i)  $\dim \mathfrak{g}_{-1} = n$ ;
- (ii) Let  $\mathfrak{m}' = \bigoplus_{p < 0} \mathfrak{g}'_p$  be an FGLA of the  $\mu$ -th kind and let  $\varphi$  be a surjective linear mapping of  $\mathfrak{g}_{-1}$  onto  $\mathfrak{g}'_{-1}$ . Then  $\varphi$  can be extended uniquely to a GLA epimorphism of  $\mathfrak{m}$  onto  $\mathfrak{m}'$ .

**Proposition 2.1.** *Let  $n$  and  $\mu$  be positive integers such that  $n, \mu \geq 2$ .*

- (1) *There exists a unique free FGLA of type  $(n, \mu)$  up to isomorphism.*
- (2) *Let  $\mathfrak{m} = \bigoplus_{p < 0} \mathfrak{g}_p$  be a free FGLA of type  $(n, \mu)$ . We denote by  $\text{Der}(\mathfrak{m})_0$  the Lie algebra of all the derivations of  $\mathfrak{m}$  preserving the gradation of  $\mathfrak{m}$ . Then the mapping  $\Phi : \text{Der}(\mathfrak{m})_0 \ni D \mapsto D|_{\mathfrak{g}_{-1}} \in \mathfrak{gl}(\mathfrak{g}_{-1})$  is a Lie algebra isomorphism.*

**Proof.** (1) The uniqueness of a free FGLA of type  $(n, \mu)$  follows from the definition. We set  $X = \{1, \dots, n\}$ . Let  $L(X)$  be the free Lie algebra on  $X$  (see [1, Chapter II, § 2]) and let  $i : X \rightarrow L(X)$  be the canonical injection. We define a mapping  $\phi$  of  $X$  into  $\mathbb{Z}$  by  $\phi(k) = -1$  ( $k \in X$ ). The mapping  $\phi$  defines the natural gradation  $(L(X)_p)_{p < 0}$  on  $L(X)$  such that: (i)  $L(X)$  is generated by  $L(X)_{-1}$ ; (ii)  $\{i(1), \dots, i(n)\}$  is a basis of  $L(X)_{-1}$  (see [1, Chapter II, § 2, no. 6]). Note that if  $n > 1$ , then  $L(X)_p \neq 0$  for all  $p < 0$ . We set  $\mathfrak{a} = \bigoplus_{p < -\mu} L(X)_p$ ; then  $\mathfrak{a}$  is a graded ideal of  $L(X)$  and the factor GLA  $\mathfrak{m} = L(X)/\mathfrak{a}$  becomes an FGLA of the  $\mu$ -th kind. We put  $\mathfrak{a}_p = \mathfrak{a} \cap L(X)_p$  and  $\mathfrak{g}_p = L(X)_p/\mathfrak{a}_p$ .

Now we prove that  $\mathfrak{m} = \bigoplus_{p < 0} \mathfrak{g}_p$  is a free FGLA of type  $(n, \mu)$ . Let  $\mathfrak{m}' = \bigoplus_{p < 0} \mathfrak{g}'_p$  be an FGLA of the  $\mu$ -th kind and let  $\varphi$  be a surjective linear mapping of  $\mathfrak{g}_{-1}$  onto  $\mathfrak{g}'_{-1}$ . Let  $h$  be a mapping of  $X$  into  $\mathfrak{m}'$  defined by  $h(k) = \varphi(i(k))$  ( $k \in X$ ). Then there exists a Lie algebra homomorphism  $\tilde{h}$  of  $L(X)$  into  $\mathfrak{m}'$  such that  $\tilde{h} \circ i = h$ . Since  $L(X)$  (resp.  $\mathfrak{m}'$ ) is generated by  $L(X)_{-1}$  (resp.  $\mathfrak{g}'_{-1}$ ),  $\tilde{h}$  is surjective. Since  $\mathfrak{m}' = \bigoplus_{p < 0} \mathfrak{g}'_p$  is of the  $\mu$ -th kind,  $\tilde{h}(\mathfrak{a}) = 0$ , so  $\tilde{h}$  induces a GLA epimorphism  $L(\varphi)$  of  $\mathfrak{m}$  onto  $\mathfrak{m}'$  such that  $L(\varphi)|_{\mathfrak{g}_{-1}} = \varphi$ . The homomorphism  $L(\varphi)$  is unique, because  $\mathfrak{m} = \bigoplus_{p < 0} \mathfrak{g}_p$  is generated by  $\mathfrak{g}_{-1}$ . Thus  $\mathfrak{m}$  is a free FGLA of type  $(n, \mu)$ .

(2) Assume that  $\mathfrak{m}$  is a free FGLA constructed in (1). Let  $\phi$  be an endomorphism of  $\mathfrak{g}_{-1}$ . By Corollary to Proposition 8 of [1, Chapter II, § 2, no. 8],  $\phi$  can be extended uniquely to a unique derivation  $D$  of  $L(X)$ . Since  $D(L(X)_{-1}) = \phi(L(X)_{-1}) = \phi(\mathfrak{g}_{-1}) \subset L(X)_{-1}$ , and since  $L(X)$  is generated by  $L(X)_{-1}$ , we see that  $D(L(X)_p) \subset L(X)_p$  and  $D(\mathfrak{a}) \subset \mathfrak{a}$ . Thus there is a derivation of  $D_\phi$  of  $\mathfrak{m}$  such that  $\pi \circ D = D_\phi \circ \pi$ , where  $\pi$  is the natural projection of  $L(X)$  onto  $\mathfrak{m}$ . The correspondence  $\mathfrak{gl}(\mathfrak{g}_{-1}) \ni \phi \mapsto D_\phi \in \text{Der}(\mathfrak{m})_0$  is an injective linear mapping. Hence  $\dim \mathfrak{gl}(\mathfrak{g}_{-1}) \leq \dim \text{Der}(\mathfrak{m})_0$ . On the other hand, since  $\mathfrak{m}$  is generated by  $\mathfrak{g}_{-1}$ , the mapping  $\Phi$  is a Lie algebra monomorphism. Therefore  $\Phi$  is a Lie algebra isomorphism. ■

**Remark 2.1.** Let  $n$  and  $\mu$  be positive integers with  $n, \mu \geq 2$ , and let  $\mathfrak{m} = \bigoplus_{p < 0} \mathfrak{g}_p$  be a free FGLA of type  $(n, \mu)$ . Furthermore let  $\mathfrak{m}' = \bigoplus_{p < 0} \mathfrak{g}'_p$  be an FGLA of the  $\mu$ -th kind, and let  $\varphi$  be a linear mapping of  $\mathfrak{g}_{-1}$  into  $\mathfrak{g}'_{-1}$ .

- (1) From the proof of Proposition 2.1, there exists a unique GLA homomorphism  $L(\varphi)$  of  $\mathfrak{m}$  into  $\mathfrak{m}'$  such that  $L(\varphi)|_{\mathfrak{g}_{-1}} = \varphi$ .

- (2) Let  $\mathfrak{m}'' = \bigoplus_{p<0} \mathfrak{g}_p''$  be an FGLA of the  $\mu$ -th kind, and let  $\varphi'$  be a linear mapping of  $\mathfrak{g}'_{-1}$  into  $\mathfrak{g}''_{-1}$ . Assume that  $\mathfrak{m}' = \bigoplus_{p<0} \mathfrak{g}_p'$  is a free FGLA. By the uniqueness of  $L(\varphi' \circ \varphi)$ , we see that  $L(\varphi' \circ \varphi) = L(\varphi') \circ L(\varphi)$ .
- (3) Assume that  $\mathfrak{m}' = \bigoplus_{p<0} \mathfrak{g}_p'$  is a free FGLA and  $\varphi$  is injective. By the result of (2),  $L(\varphi)$  is a monomorphism.
- (4) Let  $W$  be an  $m$ -dimensional subspace of  $\mathfrak{g}_{-1}$  with  $m \geq 2$ . By the result of (3), the subalgebra of  $\mathfrak{m}$  generated by  $W$  is a free FGLA of type  $(m, \mu)$ .

By Remark 2.1 (4) and [1, Chapter II, § 2, Theorem 1], we get the following lemma.

**Lemma 2.1.** *Let  $\mathfrak{m} = \bigoplus_{p<0} \mathfrak{g}_p$  be a free FGLA of type  $(n, \mu)$  with  $\mu \geq 3$ . If  $X, Y$  are linearly independent elements of  $\mathfrak{g}_{-1}$ , then*

$$\begin{aligned} \operatorname{ad}(X)^\mu(Y) &= 0, & \operatorname{ad}(X)^{\mu-1}(Y) &\neq 0, \\ \operatorname{ad}(Y) \operatorname{ad}(X)^{\mu-1}(Y) &= 0, & \operatorname{ad}(Y) \operatorname{ad}(X)^{\mu-2}(Y) &\neq 0. \end{aligned}$$

### 3 Universal fundamental graded Lie algebras

Following N. Tanaka [11], we introduce universal FGLAs of the  $\mu$ -th kind.

Let  $V$  be an  $n$ -dimensional vector space. We define vector spaces  $b(V)_p$  ( $p < 0$ ) and linear mappings  $B_p$  of  $\sum_{r+s=p} b(V)_r \wedge b(V)_s$  into  $b(V)_p$  ( $p \leq -2$ ) as follows: First of all, we put  $b(V)_{-1} = V$  and  $b(V)_{-2} = \Lambda^2 V$ . Further we define a mapping  $B_{-2} : b(V)_{-1} \wedge b(V)_{-1} \rightarrow b(V)_{-2}$  to be the identity mapping. For  $k \leq -3$ , we define  $b(V)_k$  and  $B_k$  inductively as follows: We set  $b(V)^{(k+1)} = \bigoplus_{p=-1}^{k+1} b(V)_p$  and we define a subspace  $c(V)_k$  of  $\Lambda^2(b(V)^{(k+1)})$  to be  $\sum_{r+s=k} b(V)_r \wedge b(V)_s$ . We denote by  $A(V)_k$  the subspace of  $c(V)_k$  spanned by the elements

$$\mathfrak{S}_{(X,Y,Z)} \sum_{r+s=k} \sum_{u+v=r} B_r(X_u \wedge Y_v) \wedge Z_s, \quad X, Y, Z \in b(V)^{(k+1)},$$

where  $\mathfrak{S}_{(X,Y,Z)}$  stands for the cyclic sum with respect to  $X, Y, Z$ , and  $X_u$  denotes the  $b(V)_u$ -component in the decomposition  $b(V)^{(k+1)} = \bigoplus_{p=-1}^{k+1} b(V)_p$ . Now we define  $b(V)_k$  to be the factor space  $c(V)_k/A(V)_k$ , and  $B_k$  to be the projection of  $c(V)_k$  onto  $b(V)_k$ . We put  $b(V) = \bigoplus_{p<0} b(V)_p$  and define a bracket operation  $[\ , \ ]$  on  $b(V)$  by

$$[X, Y] = \sum_{p \leq -2} \sum_{r+s=p} B_p(X_r \wedge Y_s)$$

for all  $X, Y \in b(V)$ . Then  $b(V) = \bigoplus_{p<0} b(V)_p$  becomes a GLA generated by  $b(V)_{-1}$ , and  $b(V)_p \neq 0$  for all  $p < 0$  if  $\dim V > 1$ .

Note that  $b(V)_{-3}$  is isomorphic to  $\Lambda^2(V) \otimes V/\Lambda^3 V$ . Let  $\mu$  be a positive integer. Assume that  $\mu \geq 2$  and  $\dim V = n \geq 2$ . Since  $\bigoplus_{p<-\mu} b(V)_p$  is a graded ideal of  $b(V)$ , we see that the factor space  $b(V, \mu) = b(V)/\bigoplus_{p<-\mu} b(V)_p$  becomes an FGLA of  $\mu$ -th kind, which is called a universal fundamental graded Lie algebra of the  $\mu$ -th kind. By [11, Proposition 3.2],  $b(V, \mu)$  is a free FGLA of type  $(n, \mu)$ .

## 4 The prolongations of fundamental graded Lie algebras

Following N. Tanaka [11], we introduce the prolongations of FGLAs. Let  $\mathfrak{m} = \bigoplus_{p < 0} \mathfrak{g}_p$  be an FGLA. A GLA  $\mathfrak{g}(\mathfrak{m}) = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}(\mathfrak{m})_p$  is called the prolongation of  $\mathfrak{m}$  if the following conditions hold:

- (i)  $\mathfrak{g}(\mathfrak{m})_p = \mathfrak{g}_p$  for all  $p < 0$ ;
- (ii)  $\mathfrak{g}(\mathfrak{m})$  is a transitive GLA;
- (iii) If  $\mathfrak{h} = \bigoplus_{p \in \mathbb{Z}} \mathfrak{h}_p$  is a GLA satisfying conditions (i) and (ii) above, then  $\mathfrak{h} = \bigoplus_{p \in \mathbb{Z}} \mathfrak{h}_p$  can be embedded in  $\mathfrak{g}(\mathfrak{m})$  as a GLA.

We construct the prolongation  $\mathfrak{g}(\mathfrak{m}) = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}(\mathfrak{m})_p$  of  $\mathfrak{m}$ . We set  $\mathfrak{g}(\mathfrak{m})_p = \mathfrak{g}_p$  ( $p < 0$ ). We define subspaces  $\mathfrak{g}(\mathfrak{m})_k$  ( $k \geq 0$ ) of  $\text{Hom}(\mathfrak{m}, \bigoplus_{p \leq k-1} \mathfrak{g}(\mathfrak{m})_p)_k$  and a bracket operation on  $\mathfrak{g}(\mathfrak{m}) = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}(\mathfrak{m})_p$  inductively. First  $\mathfrak{g}(\mathfrak{m})_0$  is defined to be  $\text{Der}(\mathfrak{m})_0$  and a bracket operation  $[\cdot, \cdot] : \bigoplus_{p \leq 0} \mathfrak{g}(\mathfrak{m})_p \times \bigoplus_{p \leq 0} \mathfrak{g}(\mathfrak{m})_p \rightarrow \bigoplus_{p \leq 0} \mathfrak{g}(\mathfrak{m})_p$  is defined by

$$\begin{aligned} [X, Y] &= -[Y, X] = X(Y), & X \in \mathfrak{g}(\mathfrak{m})_0, & Y \in \mathfrak{m}, \\ [X, Y] &= XY - YX, & X, Y \in \mathfrak{g}(\mathfrak{m})_0. \end{aligned}$$

Next for  $k > 0$  we define  $\mathfrak{g}(\mathfrak{m})_k$  ( $k \geq 1$ ) inductively as follows:

$$\mathfrak{g}(\mathfrak{m})_k = \left\{ X \in \text{Hom} \left( \mathfrak{m}, \bigoplus_{p \leq k-1} \mathfrak{g}(\mathfrak{m})_p \right)_k : X([u, v]) = [X(u), v] + [u, X(v)] \text{ for all } u, v \in \mathfrak{m} \right\},$$

where for  $X \in \mathfrak{g}(\mathfrak{m})_r$ ,  $u \in \mathfrak{m}$ , we set  $[X, u] = -[u, X] = X(u)$ . Further for  $X \in \mathfrak{g}(\mathfrak{m})_k$ ,  $Y \in \mathfrak{g}(\mathfrak{m})_l$  ( $k, l \geq 0$ ), by induction on  $k + l \geq 0$ , we define  $[X, Y] \in \text{Hom}(\mathfrak{m}, \mathfrak{g}(\mathfrak{m}))_{k+l}$  by

$$[X, Y](u) = [X, [Y, u]] - [Y, [X, u]], \quad u \in \mathfrak{m}.$$

It follows easily that  $[X, Y] \in \mathfrak{g}(\mathfrak{m})_{k+l}$ . With this bracket operation,  $\mathfrak{g}(\mathfrak{m}) = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}(\mathfrak{m})_p$  becomes a graded Lie algebra satisfying conditions (i), (ii) and (iii) above.

Let  $\mathfrak{m}$  and  $\mathfrak{g}(\mathfrak{m})$  be as above. Assume that we are given a subalgebra  $\mathfrak{g}_0$  of  $\mathfrak{g}(\mathfrak{m})_0$ . We define subspaces  $\mathfrak{g}_k$  ( $k \geq 1$ ) of  $\mathfrak{g}(\mathfrak{m})_k$  inductively as follows:

$$\mathfrak{g}_k = \{X \in \mathfrak{g}(\mathfrak{m})_k : [X, \mathfrak{g}_p] \subset \mathfrak{g}_{p+k} \text{ for all } p < 0\}.$$

If we put  $\mathfrak{g} = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}_p$ , then  $\mathfrak{g} = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}_p$  becomes a transitive graded Lie subalgebra of  $\mathfrak{g}(\mathfrak{m})$ , which is called the prolongation of  $(\mathfrak{m}, \mathfrak{g}_0)$ .

By Proposition 2.1 (2) we get the following proposition.

**Proposition 4.1.** *Let  $\mathfrak{m} = \bigoplus_{p < 0} \mathfrak{g}_p$  be a free FGLA and let  $\mathfrak{g}(\mathfrak{m}) = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}(\mathfrak{m})_p$  be the prolongation of  $\mathfrak{m}$ . Then the mapping  $\mathfrak{g}(\mathfrak{m})_0 \ni D \mapsto D|_{\mathfrak{g}_{-1}} \in \mathfrak{gl}(\mathfrak{g}_{-1})$  is an isomorphism.*

Conversely we obtain the following proposition.

**Proposition 4.2.** *Let  $\mathfrak{m} = \bigoplus_{p < 0} \mathfrak{g}_p$  be an FGLA of the  $\mu$ -th kind and let  $\mathfrak{g}(\mathfrak{m}) = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}(\mathfrak{m})_p$  be the prolongation of  $\mathfrak{m}$ . Assume that  $\mathfrak{g}(\mathfrak{m})_0$  is isomorphic to  $\mathfrak{gl}(\mathfrak{g}_{-1})$ . If  $\mu = 2$  or  $\mu = 3$ , then  $\mathfrak{m}$  is a free FGLA.*



**Proof.** We put  $n = \dim \mathfrak{g}_{-1}$ . We consider a universal FGLA  $b(\mathfrak{g}_{-1}, \mu) = \bigoplus_{p < 0} b(\mathfrak{g}_{-1}, \mu)_p$  of the  $\mu$ -th kind. Since  $b(\mathfrak{g}_{-1}, \mu)$  is a free FGLA of type  $(n, \mu)$ , there exists a GLA epimorphism  $\varphi$  of  $b(\mathfrak{g}_{-1}, \mu)$  onto  $\mathfrak{m}$  such that the restriction  $\varphi|_{b(\mathfrak{g}_{-1}, \mu)_{-1}}$  is the identity mapping. Let  $\check{b}(\mathfrak{g}_{-1}, \mu) = \bigoplus_{p \in \mathbb{Z}} \check{b}(\mathfrak{g}_{-1}, \mu)_p$  be the prolongation of  $b(\mathfrak{g}_{-1}, \mu)$ . Since the mapping  $\mathfrak{g}(\mathfrak{m})_0 \ni D \mapsto D|_{\mathfrak{g}_{-1}} \in \mathfrak{gl}(\mathfrak{g}_{-1})$

is an isomorphism,  $\varphi$  can be extended to be a homomorphism  $\check{\varphi}$  of  $\bigoplus_{p \leq 0} \check{b}(\mathfrak{g}_{-1}, \mu)_p$  onto  $\bigoplus_{p \leq 0} \mathfrak{g}(\mathfrak{m})_p$ .

Let  $\mathfrak{a}$  be the kernel of  $\check{\varphi}$ ; then  $\mathfrak{a}$  is a graded ideal of  $\bigoplus_{p \leq 0} \check{b}(\mathfrak{g}_{-1}, \mu)_p$ . We set  $\mathfrak{a}_p = \mathfrak{a} \cap \check{b}(\mathfrak{g}_{-1}, \mu)_p$ ; then

$\mathfrak{a} = \bigoplus_{p \leq 0} \mathfrak{a}_p$ . Since the restriction of  $\check{\varphi}$  to  $\check{b}(\mathfrak{g}_{-1}, \mu)_{-1} \oplus \check{b}(\mathfrak{g}_{-1}, \mu)_0$  is injective,  $\mathfrak{a}_p = \{0\}$  for  $p \geq -1$ .

Also each  $\mathfrak{a}_p$  is a  $\check{b}(\mathfrak{g}_{-1}, \mu)_0$ -submodule of  $\check{b}(\mathfrak{g}_{-1}, \mu)_p$ . From the construction of  $b(\mathfrak{g}_{-1}, \mu)$ , we see that  $b(\mathfrak{g}_{-1}, \mu)_{-2}$  (resp.  $b(\mathfrak{g}_{-1}, \mu)_{-3}$ ) is isomorphic to  $\Lambda^2(\mathfrak{g}_{-1})$  (resp.  $\Lambda^2(\mathfrak{g}_{-1}) \otimes \mathfrak{g}_{-1}/\Lambda^3(\mathfrak{g}_{-1})$ ) as a  $\check{b}(\mathfrak{g}_{-1}, \mu)_0$ -module. By the table of [8],  $\Lambda^2(\mathfrak{g}_{-1})$  and  $\Lambda^2(\mathfrak{g}_{-1}) \otimes \mathfrak{g}_{-1}/\Lambda^3(\mathfrak{g}_{-1})$  are irreducible  $\mathfrak{gl}(\mathfrak{g}_{-1})$ -modules. Thus we see that  $\mathfrak{a}_{-2} = \mathfrak{a}_{-3} = \{0\}$ . From  $\mu \leq 3$  it follows that  $\varphi$  is an isomorphism.  $\blacksquare$

## 5 Finite-dimensional simple graded Lie algebras

Following [15], we first state the classification of finite-dimensional simple GLAs.

Let  $\mathfrak{g} = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}_p$  be a finite-dimensional simple GLA of the  $\mu$ -th kind over  $\mathbb{C}$  such that the negative part  $\mathfrak{g}_-$  is an FGLA. Let  $\mathfrak{h}$  be a Cartan subalgebra of  $\mathfrak{g}_0$ ; then  $\mathfrak{h}$  is a Cartan subalgebra of  $\mathfrak{g}$  such that  $E \in \mathfrak{h}$ , where  $E$  is the element of  $\mathfrak{g}_0$  such that  $[E, x] = px$  for all  $x \in \mathfrak{g}_p$  and  $p$ . Let  $\Delta$  be a root system of  $(\mathfrak{g}, \mathfrak{h})$ . For  $\alpha \in \Delta$ , we denote by  $\mathfrak{g}^\alpha$  the root space corresponding to  $\alpha$ . We set  $\mathfrak{h}_{\mathbb{R}} = \{h \in \mathfrak{h} : \alpha(h) \in \mathbb{R} \text{ for all } \alpha \in \Delta\}$  and let  $(h_1, \dots, h_l)$  be a basis of  $\mathfrak{h}_{\mathbb{R}}$  such that  $h_1 = E$ . We define the set of positive roots  $\Delta^+$  as the set of roots which are positive with respect to the lexicographical ordering in  $\mathfrak{h}_{\mathbb{R}}^*$  determined by the basis  $(h_1, \dots, h_l)$  of  $\mathfrak{h}_{\mathbb{R}}$ . Let  $\Pi \subset \Delta^+$  be the corresponding simple root system. We denote by  $\{m_1, \dots, m_l\}$  the coordinate functions corresponding to  $\Pi$ , i.e., for  $\alpha \in \Delta$ , we can write  $\alpha = \sum_{i=1}^l m_i(\alpha) \alpha_i$ .

We set  $\alpha_i(E) = s_i$  and  $\mathbf{s} = (s_1, \dots, s_l)$ ; then each  $s_i$  is a non-negative integer. For  $\alpha \in \Delta$ , we call the integer  $\ell_{\mathbf{s}}(\alpha) = \sum_{i=1}^l m_i(\alpha) s_i$  the  $\mathbf{s}$ -length of  $\alpha$ . We put  $\Delta_p = \{\alpha \in \Delta : \ell_{\mathbf{s}}(\alpha) = p\}$ ,  $\Pi_p = \Delta_p \cap \Pi$  and  $I = \{i \in \{1, \dots, l\} : s_i = 1\}$ . Let  $\theta$  be the highest root of  $\mathfrak{g}$ ; then  $\ell_{\mathbf{s}}(\theta) = \mu$ . Also since the  $\mathfrak{g}_0$ -module  $\mathfrak{g}_{-\mu}$  is irreducible,  $\dim \mathfrak{g}_{-\mu} = 1$  if and only if  $\langle \theta, \alpha_i^\vee \rangle = 0$  for all  $i \in \{1, \dots, l\} \setminus I$ , where  $\{\alpha_i^\vee\}$  is the simple root system of the dual root system  $\Delta^\vee$  of  $\Delta$  corresponding to  $\{\alpha_i\}$ . In our situation, since  $\mathfrak{g}_-$  is generated by  $\mathfrak{g}_{-1}$ , we have  $s_i = 0$  or  $1$  for all  $i$ . The  $l$ -tuple  $\mathbf{s} = (s_1, \dots, s_l)$  of non-negative integers is determined only by the ordering of  $(\alpha_1, \dots, \alpha_l)$ . In what follows, we assume that the ordering of  $(\alpha_1, \dots, \alpha_l)$  is as in the table of [2]. If  $\mathfrak{g}$  has the Dynkin diagram of type  $X_l$  ( $X = A, \dots, G$ ), then the simple GLA  $\mathfrak{g} = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}_p$  is said

to be of type  $(X_l, \Pi_1)$ . Here we remark that for an automorphism  $\bar{\mu}$  of the Dynkin diagram, a simple GLA of type  $(X_l, \Pi_1)$  is isomorphic to that of type  $(X_l, \bar{\mu}(\Pi_1))$ . We will identify a simple GLA of type  $(X_l, \Pi_1)$  with that of type  $(X_l, \bar{\mu}(\Pi_1))$ .

For  $i \in I$ , we put  $\Delta_p^{(i)} = \{\alpha \in \Delta : m_i(\alpha) = p \text{ and } m_j(\alpha) = 0 \text{ for } j \in I \setminus \{i\}\}$  and  $\mathfrak{g}_p^{(i)} = \sum_{\alpha \in \Delta_p^{(i)}} \mathfrak{g}^\alpha$ ; then  $\mathfrak{g}_{-1}^{(i)}$  is an irreducible  $\mathfrak{g}_0$ -submodule of  $\mathfrak{g}_{-1}$  with highest weight  $-\alpha_i$ . In particular, if the  $\mathfrak{g}_0$ -module  $\mathfrak{g}_{-1}$  is irreducible, then  $\#(I) = 1$ .

For  $i \in I$ , we denote by  $\mathfrak{g}^{(i)}$  the subalgebra of  $\mathfrak{g}$  generated by  $\mathfrak{g}_{-1}^{(i)} \oplus \mathfrak{g}_1^{(i)}$ ; then  $\mathfrak{g}^{(i)}$  is a simple GLA whose Dynkin diagram is the connected component containing the vertex  $i$  of the subdiagram of  $X_l$  corresponding to vertices  $(\{1, \dots, l\} \setminus I) \cup \{i\}$ . We denote by  $\theta^{(i)}$  the highest root of  $\mathfrak{g}^{(i)}$ . Then  $[\mathfrak{g}_{-1}^{(i)}, \mathfrak{g}_{-1}^{(i)}] = \{0\}$  if and only if  $m_i(\theta^{(i)}) = 1$ .

From Theorem 5.2 of [15], we obtain the following theorem:

**Theorem 5.1.** *Let  $\mathfrak{g} = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}_p$  be a finite-dimensional simple GLA over  $\mathbb{C}$  such that  $\mathfrak{g}_-$  is an FGLA and the  $\mathfrak{g}_0$ -module  $\mathfrak{g}_{-1}$  is irreducible. Then  $\mathfrak{g} = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}_p$  is the prolongation of  $\mathfrak{g}_-$  except for the following cases:*

- (a)  $\mathfrak{g}_-$  is of the first kind;
- (b)  $\mathfrak{g}_-$  is of the second kind and  $\dim \mathfrak{g}_{-2} = 1$ .

Let  $\mathfrak{g} = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}_p$  be a finite-dimensional simple GLA. Now we assume that  $\mathfrak{g}_0$  is isomorphic to  $\mathfrak{gl}(\mathfrak{g}_{-1})$ ; then the  $\mathfrak{g}_0$ -module  $\mathfrak{g}_{-1}$  is irreducible. The derived subalgebra  $[\mathfrak{g}_0, \mathfrak{g}_0]$  of  $\mathfrak{g}_0$  is a semisimple Lie algebra whose Dynkin diagram is the subdiagram of  $X_l$  consisting of the vertices  $\{1, \dots, l\} \setminus I$ . Since  $[\mathfrak{g}_0, \mathfrak{g}_0]$  is of type  $A_{l-1}$  and since the  $\mathfrak{g}_0$ -module  $\mathfrak{g}_{-1}$  is elementary,  $(X_l, \Delta_1)$  is one of the following cases:

$$(A_l, \{\alpha_1\}), \quad (B_l, \{\alpha_l\}), \quad l \geq 2, \quad (G_2, \{\alpha_1\}).$$

From this result and Propositions 4.1 and 4.2, we get the following theorem:

**Theorem 5.2.** *Let  $\mathfrak{g} = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}_p$  be a finite-dimensional simple GLA of type  $(X_l, \Pi_1)$  over  $\mathbb{C}$  satisfying the following conditions:*

- (i)  $\mathfrak{g}_-$  is an FGLA of the  $\mu$ -th kind;
- (ii) The  $\mathfrak{g}_0$ -module  $\mathfrak{g}_{-1}$  is irreducible;
- (iii)  $\mathfrak{g}_0$  is isomorphic to  $\mathfrak{gl}(\mathfrak{g}_{-1})$ ;
- (iv)  $\mathfrak{g}$  is the prolongation of  $\mathfrak{g}_-$ .

*Then  $\mathfrak{g}_-$  is a free FGLA of type  $(l, \mu)$ , and  $\mathfrak{g} = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}_p$  is one of the following types:*

- (a)  $l \geq 3$ ,  $\mu = 2$ ,  $(X_l, \Pi_1) = (B_l, \{\alpha_l\})$ .
- (b)  $l = 2$ ,  $\mu = 3$ ,  $(X_l, \Pi_1) = (G_2, \{\alpha_1\})$ .

## 6 Graded Lie algebras $W(n)$ , $K(n)$ of Cartan type

In this section, following V.G. Kac [3], we describe Lie algebras  $W(n)$ ,  $K(n)$  of Cartan type and their standard gradations.

Let  $A(m)$  denote the monoid (under addition) of all  $m$ -tuples of non-negative integers. For an  $m$ -tuple  $\mathbf{s} = (s_1, \dots, s_m)$  of positive integers and  $\alpha = (\alpha_1, \dots, \alpha_m) \in A(m)$  we set  $\|\alpha\|_{\mathbf{s}} = \sum_{i=1}^m s_i \alpha_i$ . Also we denote the  $m$ -tuple  $(1, \dots, 1)$  by  $\mathbf{1}_m$  and we denote the  $(m+1)$ -tuple  $(1, \dots, 1, 2)$  by  $(\mathbf{1}_m, 2)$ . Let  $\mathfrak{A}(m) = \mathbb{C}[x_1, \dots, x_m]$ . For any  $m$ -tuple  $\mathbf{s}$  of positive integers, we denote by  $\mathfrak{A}(m; \mathbf{s})_p$  the subspace of  $\mathfrak{A}(m)$  spanned by polynomials

$$x^\alpha = x_1^{\alpha_1} \cdots x_m^{\alpha_m}, \quad \alpha = (\alpha_1, \dots, \alpha_m) \in A(m), \quad \|\alpha\|_{\mathbf{s}} = p.$$



Let  $W(m)$  be the Lie algebra consisting of all the polynomial vector fields

$$\sum_{i=1}^m P_i \frac{\partial}{\partial x_i}, \quad P_i \in \mathfrak{A}(m). \quad (6.1)$$

For an  $m$ -tuple  $\mathbf{s} = (s_1, \dots, s_m)$  of positive integers, we denote by  $W(m; \mathbf{s})_p$  the subspaces of  $W(m)$  consisting of those polynomial vector fields (6.1) such that the polynomials  $P_i$  are contained in  $\mathfrak{A}(m; \mathbf{s})_{p+s_i}$ ; then  $W(m; \mathbf{s}) = \bigoplus_{p \in \mathbb{Z}} W(m; \mathbf{s})_p$  is a transitive GLA. In particular,  $W(m; \mathbf{1}_m) = \bigoplus_{p \geq -1} W(m; \mathbf{1}_m)_p$  is a transitive irreducible GLA such that: (i)  $W(m; \mathbf{1}_m)_0$  is isomorphic to  $\mathfrak{gl}(m, \mathbb{C})$ ; (ii) the  $W(m; \mathbf{1}_m)_0$ -module  $W(m; \mathbf{1}_m)_{-1}$  is elementary; (iii)  $W(m; \mathbf{1}_m)$  is the prolongation of  $W(m; \mathbf{1}_m)_-$ .

We now consider the following differential form

$$\omega_K = dx_{2n+1} - \sum_{i=1}^n x_{i+n} dx_i.$$

Define

$$K(n) = \{D \in W(2n+1) : D\omega_K \in \mathfrak{A}(2n+1)\omega_K\}.$$

(Here the action of  $D$  on the differential forms is extended from its action  $\mathfrak{A}(2n+1)$  by requiring that  $D$  be derivation of the exterior algebra satisfying  $D(df) = d(Df)$ , where  $df = \sum \frac{\partial f}{\partial x_i} dx_i$ ,  $f \in \mathfrak{A}(m)$ .) We set  $K(n)_p = W(2n+1; (\mathbf{1}_{2n}, 2))_p \cap K(n)$ . Then  $K(n) = \bigoplus_{p \geq -2} K(n)_p$  is a transitive irreducible GLA such that: (i)  $K(n)_0$  is isomorphic to  $\mathfrak{csp}(n, \mathbb{C})$ ; (ii) the  $K(n)_0$ -module  $K(n)_{-1}$  is elementary; (iii)  $K(n)$  is the prolongation of  $K(n)_-$  (cf. [3, 5]).

From Proposition 2.2 of [6], we get

**Theorem 6.1.** *Let  $\mathfrak{g} = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}_p$  be a transitive GLA over  $\mathbb{C}$  satisfying the following conditions:*

- (i)  $\mathfrak{g}_-$  is an FGLA of the  $\mu$ -th kind;
- (ii)  $\mathfrak{g}$  is infinite-dimensional;
- (iii) The  $\mathfrak{g}_0$ -module  $\mathfrak{g}_{-1}$  is irreducible;
- (iv)  $\mathfrak{g}$  is the prolongation of  $\mathfrak{g}_-$ .

Then  $\mu \leq 2$  and  $\mathfrak{g} = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}_p$  is isomorphic to  $W(m; \mathbf{1}_m)$  or  $K(n)$ .

## 7 Classification of the prolongations of free fundamental graded Lie algebras

Let  $\mathfrak{m} = \bigoplus_{p < 0} \mathfrak{g}_p$  be a free FGLA of type  $(n, \mu)$  over  $\mathbb{C}$ , and let  $\mathfrak{g}(\mathfrak{m}) = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}(\mathfrak{m})_p$  be the prolongation of  $\mathfrak{m}$ . First of all, we assume that  $\dim \mathfrak{g}(\mathfrak{m}) = \infty$ . By Theorem 6.1,  $\mathfrak{g}(\mathfrak{m})$  is isomorphic to  $K(m)$  as a GLA, where  $n = 2m$ . Since  $K(m)_0$  is isomorphic to  $\mathfrak{csp}(m, \mathbb{C})$  and since  $\mathfrak{g}(\mathfrak{m})_0$  is isomorphic to  $\mathfrak{gl}(n, \mathbb{C})$ , we see that  $m = 1$ . Therefore  $\mathfrak{g}(\mathfrak{m})$  is isomorphic to  $K(1)$  as a GLA.

Next we assume that  $\dim \mathfrak{g}(\mathfrak{m}) < \infty$  and  $\mathfrak{g}(\mathfrak{m})_1 \neq 0$ . Since the  $\mathfrak{g}(\mathfrak{m})_0$ -module  $\mathfrak{g}(\mathfrak{m})_{-1}$  is irreducible,  $\mathfrak{g}(\mathfrak{m})$  is a finite-dimensional simple GLA (see [4, 7]). By Theorem 5.2,  $\mathfrak{g}(\mathfrak{m})$  is isomorphic to one of the following types:

$$(B_l, \{\alpha_l\}) \quad l \geq 3, \quad (G_2, \{\alpha_1\}).$$

Thus we get a proof of the following theorem:

**Theorem 7.1.** Let  $\mathfrak{m} = \bigoplus_{p < 0} \mathfrak{g}_p$  be a free FGLA of type  $(n, \mu)$  over  $\mathbb{C}$ , and let  $\mathfrak{g}(\mathfrak{m}) = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}(\mathfrak{m})_p$  be the prolongation of  $\mathfrak{m}$ . Then one of the following cases occurs:

- (a)  $(n, \mu) \neq (n, 2) (n \geq 2), (2, 3)$ . In this case,  $\mathfrak{g}(\mathfrak{m})_1 = \{0\}$ .
- (b)  $(n, \mu) = (n, 2) (n \geq 3), (2, 3)$ . In this case,  $\dim \mathfrak{g}(\mathfrak{m}) < \infty$  and  $\mathfrak{g}(\mathfrak{m})_1 \neq \{0\}$ . Furthermore  $\mathfrak{g}(\mathfrak{m})$  is isomorphic to a finite-dimensional simple GLA of type  $(B_n, \{\alpha_n\}) (n \geq 3)$  or  $(G_2, \{\alpha_1\}) (n = 2)$ .
- (c)  $(n, \mu) = (2, 2)$ . In this case,  $\dim \mathfrak{g}(\mathfrak{m}) = \infty$ . Furthermore,  $\mathfrak{g}(\mathfrak{m})$  is isomorphic to  $K(1)$  as a GLA.

## 8 Free pseudo-product fundamental graded Lie algebras

An FGLA  $\mathfrak{m} = \bigoplus_{p < 0} \mathfrak{g}_p$  equipped with nonzero subspaces  $\mathfrak{e}, \mathfrak{f}$  of  $\mathfrak{g}_{-1}$  is called a pseudo-product FGLA if the following conditions hold:

- (i)  $\mathfrak{g}_{-1} = \mathfrak{e} \oplus \mathfrak{f}$ ;
- (ii)  $[\mathfrak{e}, \mathfrak{e}] = [\mathfrak{f}, \mathfrak{f}] = \{0\}$ .

The pair  $(\mathfrak{e}, \mathfrak{f})$  is called the pseudo-product structure of the pseudo-product FGLA  $\mathfrak{m} = \bigoplus_{p < 0} \mathfrak{g}_p$ . We will also denote by the triplet  $(\mathfrak{m}; \mathfrak{e}, \mathfrak{f})$  the pseudo-product FGLA  $\mathfrak{m} = \bigoplus_{p < 0} \mathfrak{g}_p$  with pseudo-product structure  $(\mathfrak{e}, \mathfrak{f})$ . Let  $\mathfrak{m} = \bigoplus_{p < 0} \mathfrak{g}_p$  (resp.  $\mathfrak{m}' = \bigoplus_{p < 0} \mathfrak{g}'_p$ ) be a pseudo-product FGLA with pseudo-product structure  $(\mathfrak{e}, \mathfrak{f})$  (resp.  $(\mathfrak{e}', \mathfrak{f}')$ ). We say that two pseudo-product FGLAs  $(\mathfrak{m}; \mathfrak{e}, \mathfrak{f})$  and  $(\mathfrak{m}'; \mathfrak{e}', \mathfrak{f}')$  are isomorphic if there exists a GLA isomorphism  $\varphi$  of  $\mathfrak{m}$  onto  $\mathfrak{m}'$  such that  $\varphi(\mathfrak{e}) = \mathfrak{e}'$  and  $\varphi(\mathfrak{f}) = \mathfrak{f}'$ .

**Proposition 8.1.** Let  $\mathfrak{m} = \bigoplus_{p < 0} \mathfrak{g}_p$  be a pseudo-product FGLA of the  $\mu$ -th kind with pseudo-product structure  $(\mathfrak{e}, \mathfrak{f})$ . If  $\mathfrak{m}$  is a free FGLA of type  $(n, \mu)$ , then  $n = 2$ .

**Proof.** Let  $(e_1, \dots, e_m)$  (resp.  $(f_1, \dots, f_l)$ ) be a basis of  $\mathfrak{e}$  (resp.  $\mathfrak{f}$ ). Since  $[\mathfrak{e}, \mathfrak{f}] = \mathfrak{g}_{-2}$ , the space  $\mathfrak{g}_{-2}$  is generated by  $\{[e_i, f_j] : i = 1, \dots, m, j = 1, \dots, l\}$  as a vector space, so  $\dim \mathfrak{g}_{-2} \leq ml$ . On the other hand, since  $\mathfrak{m}$  is a free FGLA,

$$\dim \mathfrak{g}_{-2} = \dim b(\mathfrak{g}_{-1}, \mu)_{-2} = \dim \Lambda^2(\mathfrak{g}_{-1}) = \frac{(m+l)(m+l-1)}{2},$$

so  $ml \geq \frac{(m+l)(m+l-1)}{2}$ . From this fact it follows that  $m = l = 1$ . ■

Let  $\mathfrak{m} = \bigoplus_{p < 0} \mathfrak{g}_p$  be a pseudo-product FGLA of the  $\mu$ -th kind with pseudo-product structure  $(\mathfrak{e}, \mathfrak{f})$ , where  $\mu \geq 2$ .  $\mathfrak{m}$  is called a free pseudo-product FGLA of type  $(m, n, \mu)$  if the following conditions hold:

- (i)  $\dim \mathfrak{e} = m$  and  $\dim \mathfrak{f} = n$ ;
- (ii) Let  $\mathfrak{m}' = \bigoplus_{p < 0} \mathfrak{g}'_p$  be a pseudo-product FGLA of the  $\mu$ -th kind with pseudo-product structure  $(\mathfrak{e}', \mathfrak{f}')$  and let  $\varphi$  be a surjective linear mapping of  $\mathfrak{g}_{-1}$  onto  $\mathfrak{g}'_{-1}$  such that  $\varphi(\mathfrak{e}) \subset \mathfrak{e}'$  and  $\varphi(\mathfrak{f}) \subset \mathfrak{f}'$ . Then  $\varphi$  can be extended uniquely to a GLA epimorphism of  $\mathfrak{m}$  onto  $\mathfrak{m}'$ .

**Proposition 8.2.** Let  $m, n$  and  $\mu$  be positive integers such that  $\mu \geq 2$ .

- (1) *There exists a unique free pseudo-product FGLA of type  $(m, n, \mu)$  up to isomorphism.*
- (2) *Let  $\mathfrak{m} = \bigoplus_{p < 0} \mathfrak{g}_p$  be a free pseudo-product FGLA of type  $(m, n, \mu)$  with pseudo-product structure  $(\mathfrak{e}, \mathfrak{f})$ . We denote by  $\text{Der}(\mathfrak{m}; \mathfrak{e}, \mathfrak{f})_0$  the Lie algebra of all the derivations of  $\mathfrak{m}$  preserving the gradation of  $\mathfrak{m}$ ,  $\mathfrak{e}$  and  $\mathfrak{f}$ . Then the mapping  $\Phi : \text{Der}(\mathfrak{m}; \mathfrak{e}, \mathfrak{f})_0 \ni D \mapsto (D|_{\mathfrak{e}}, D|_{\mathfrak{f}}) \in \mathfrak{gl}(\mathfrak{e}) \times \mathfrak{gl}(\mathfrak{f})$  is a Lie algebra isomorphism.*

**Proof.** (1) The uniqueness of a free pseudo-product FGLA of type  $(m, n, \mu)$  follows from the definition. Let  $V$  be an  $(m + n)$ -dimensional vector space and let  $\mathfrak{e}, \mathfrak{f}$  be subspaces of  $V$  such that  $V = \mathfrak{e} \oplus \mathfrak{f}$ ,  $\dim \mathfrak{e} = m$  and  $\dim \mathfrak{f} = n$ . Let  $\mathfrak{a} = \bigoplus_{p < 0} \mathfrak{a}_p$  be the graded ideal of  $b(V, \mu)$  generated by  $[\mathfrak{e}, \mathfrak{e}] + [\mathfrak{f}, \mathfrak{f}]$ . We set  $\mathfrak{m} = b(V, \mu)/\mathfrak{a}$ ,  $\mathfrak{g}_p = b(V, \mu)_p/\mathfrak{a}_p$ . Clearly  $\mathfrak{m} = \bigoplus_{p < 0} \mathfrak{g}_p$  is a pseudo-product FGLA. We show that the factor algebra  $\mathfrak{m}$  is a free pseudo-product FGLA of type  $(m, n, \mu)$ . First we prove that  $\mathfrak{m}$  is of the  $\mu$ -th kind. Let  $\mathfrak{n} = \bigoplus_{p < 0} \mathfrak{g}_p''$  be a free FGLA of type  $(2, \mu)$  and let  $\mathfrak{e}''$  and  $\mathfrak{f}''$  be one-dimensional subspaces of  $\mathfrak{g}_{-1}''$  such that  $\mathfrak{g}_{-1}'' = \mathfrak{e}'' \oplus \mathfrak{f}''$ . Let  $\varphi_1$  be an injective linear mapping of  $\mathfrak{g}_{-1}''$  into  $V$  such that  $\varphi_1(\mathfrak{e}'') \subset \mathfrak{e}$  and  $\varphi_1(\mathfrak{f}'') \subset \mathfrak{f}$ . Let  $\varphi_2$  be a linear mapping of  $V$  into  $\mathfrak{g}_{-1}''$  such that  $\varphi_2 \circ \varphi_1 = 1_{\mathfrak{g}_{-1}''}$ ,  $\varphi_2(\mathfrak{e}) = \mathfrak{e}''$  and  $\varphi_2(\mathfrak{f}) = \mathfrak{f}''$ . There exists a homomorphism  $L(\varphi_1)$  (resp.  $L(\varphi_2)$ ) of  $\mathfrak{n}$  (resp.  $b(V, \mu)$ ) into  $b(V, \mu)$  (resp.  $\mathfrak{n}$ ) such that  $L(\varphi_1)|_{\mathfrak{g}_{-1}''} = \varphi_1$  (resp.  $L(\varphi_2)|_V = \varphi_2$ ). Since  $L(\varphi_2)([\mathfrak{e}, \mathfrak{e}] + [\mathfrak{f}, \mathfrak{f}]) = \{0\}$ ,  $L(\varphi_2)$  induces a homomorphism  $\hat{L}(\varphi_2)$  of  $\mathfrak{m}$  into  $\mathfrak{n}$  such that  $L(\varphi_2) = \hat{L}(\varphi_2) \circ \pi$ , where  $\pi$  is the natural projection of  $b(V, \mu)$  onto  $\mathfrak{m}$ . Since

$$1_{\mathfrak{n}} = L(\varphi_2) \circ L(\varphi_1) = \hat{L}(\varphi_2) \circ \pi \circ L(\varphi_1),$$

$\pi \circ L(\varphi_1)$  is a monomorphism of  $\mathfrak{n}$  into  $\mathfrak{m}$ , so  $\mathfrak{g}_{-\mu} \neq \{0\}$ . Thus  $\mathfrak{m}$  is of the  $\mu$ -th kind. Let  $\mathfrak{m}' = \bigoplus_{p < 0} \mathfrak{g}_p'$  be a pseudo-product FGLA of the  $\mu$ -th kind with pseudo-product structure  $(\mathfrak{e}', \mathfrak{f}')$  and let  $\phi$  be a surjective linear mapping of  $b(V, \mu)_{-1}$  onto  $\mathfrak{g}'_{-1}$  such that  $\phi(\mathfrak{e}) \subset \mathfrak{e}'$  and  $\phi(\mathfrak{f}) \subset \mathfrak{f}'$ . By the definition of a free FGLA, there exists a GLA epimorphism  $L(\phi)$  of  $b(V, \mu)$  onto  $\mathfrak{m}'$  such that  $L(\phi)|_{b(V, \mu)_{-1}} = \phi$ . Since  $L(\phi)([\mathfrak{e}, \mathfrak{e}] + [\mathfrak{f}, \mathfrak{f}]) \subset [\mathfrak{e}', \mathfrak{e}'] + [\mathfrak{f}', \mathfrak{f}'] = \{0\}$ , we see that  $L(\phi)(\mathfrak{a}) = \{0\}$ , so the epimorphism  $L(\phi)$  induces a GLA epimorphism  $\hat{L}(\phi)$  of  $\mathfrak{m}$  onto  $\mathfrak{m}'$  such that  $\hat{L}(\phi)|_{\mathfrak{g}_{-1}} = \phi$ .

(2) We may prove the fact that the mapping  $\Phi$  is surjective. Let  $\phi$  be an endomorphism of  $\mathfrak{g}_{-1}$  such that  $\phi(\mathfrak{e}) \subset \mathfrak{e}$  and  $\phi(\mathfrak{f}) \subset \mathfrak{f}$ . By Proposition 2.1 (2), there exists a  $D \in \text{Der}(b(V, \mu))_0$  such that  $D|_{b(V, \mu)_{-1}} = \phi$ . Since  $D([\mathfrak{e}, \mathfrak{e}] + [\mathfrak{f}, \mathfrak{f}]) \subset [\mathfrak{e}, \mathfrak{e}] + [\mathfrak{f}, \mathfrak{f}]$ ,  $D$  induces a derivation  $\hat{D}$  of  $\mathfrak{m}$  such that  $\hat{D}|_{\mathfrak{g}_{-1}} = \phi$ . ■

**Remark 8.1.** Let  $m, n, m', n'$  and  $\mu$  be positive integers with  $\mu \geq 2$ , and let  $\mathfrak{m} = \bigoplus_{p < 0} \mathfrak{g}_p$  (resp.  $\mathfrak{m}' = \bigoplus_{p < 0} \mathfrak{g}'_p$ ) be a free pseudo-product FGLA of type  $(m, n, \mu)$  (resp.  $(m', n', \mu)$ ) with pseudo-product structure  $(\mathfrak{e}, \mathfrak{f})$  (resp.  $(\mathfrak{e}', \mathfrak{f}')$ ). Furthermore let  $\varphi$  be a linear mapping of  $\mathfrak{g}_{-1}$  into  $\mathfrak{g}'_{-1}$  such that  $\varphi(\mathfrak{e}) \subset \mathfrak{e}'$  and  $\varphi(\mathfrak{f}) \subset \mathfrak{f}'$ .

- (1) From the proof of Proposition 8.2, there exists a unique GLA homomorphism  $\hat{L}(\varphi)$  of  $\mathfrak{m}$  into  $\mathfrak{m}'$  such that  $\hat{L}(\varphi)|_{\mathfrak{g}_{-1}} = \varphi$ . If  $\varphi$  is injective, then  $\hat{L}(\varphi)$  is a monomorphism.
- (2) Assume that  $m = n = 1$  and  $\varphi$  is injective. Then  $\hat{L}(\varphi)(\mathfrak{m})$  is a graded subalgebra of  $\mathfrak{m}'$  isomorphic to a free FGLA of type  $(2, \mu)$ . From this result, the subalgebra of  $\mathfrak{m}'$  generated by a nonzero element  $X$  of  $\mathfrak{e}'$  and a nonzero element  $Y$  of  $\mathfrak{f}'$  is a free FGLA of type  $(2, \mu)$ .

Let  $\mathfrak{m} = \bigoplus_{p < 0} \mathfrak{g}_p$  be a pseudo-product FGLA of the  $\mu$ -th kind with pseudo-product structure  $(\mathfrak{e}, \mathfrak{f})$ . We denote by  $\mathfrak{g}_0$  the Lie algebra of all the derivations of  $\mathfrak{m}$  preserving the gradation

of  $\mathfrak{m}$ ,  $\mathfrak{e}$  and  $\mathfrak{f}$ :

$$\mathfrak{g}_0 = \{D \in \text{Der}(\mathfrak{g})_0 : D(\mathfrak{e}) \subset \mathfrak{e}, D(\mathfrak{f}) \subset \mathfrak{f}\}.$$

The prolongation  $\mathfrak{g} = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}_p$  of  $(\mathfrak{m}, \mathfrak{g}_0)$  is called the prolongation of  $(\mathfrak{m}; \mathfrak{e}, \mathfrak{f})$ .

A transitive GLA  $\mathfrak{g} = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}_p$  is called a pseudo-product GLA if there are given nonzero subspaces  $\mathfrak{e}$  and  $\mathfrak{f}$  of  $\mathfrak{g}_{-1}$  satisfying the following conditions:

- (i) The negative part  $\mathfrak{g}_-$  is a pseudo-product FGLA with pseudo-product structure  $(\mathfrak{e}, \mathfrak{f})$ ;
- (ii)  $[\mathfrak{g}_0, \mathfrak{e}] \subset \mathfrak{e}$  and  $[\mathfrak{g}_0, \mathfrak{f}] \subset \mathfrak{f}$ .

The pair  $(\mathfrak{e}, \mathfrak{f})$  is called the pseudo-product structure of the pseudo-product GLA  $\mathfrak{g} = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}_p$ . If the  $\mathfrak{g}_0$ -modules  $\mathfrak{e}$  and  $\mathfrak{f}$  are irreducible, then the pseudo-product GLA  $\mathfrak{g} = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}_p$  is said to be of irreducible type.

The following lemma is due to N. Tanaka (cf. [9]). Here we give a proof for the convenience of the readers.

**Lemma 8.1.** *Let  $\mathfrak{g} = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}_p$  be a pseudo-product GLA of depth  $\mu$  with pseudo-product structure  $(\mathfrak{e}, \mathfrak{f})$ .*

- (1) *If  $\mathfrak{g}_-$  is non-degenerate, then  $\mathfrak{g}$  is finite-dimensional.*
- (2) *If  $\mathfrak{g} = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}_p$  is of irreducible type and  $\mu \geq 2$ , then  $\mathfrak{g}$  is finite-dimensional.*

**Proof.** (1) The proof is essentially due to the proof of [11, Corollary 3 to Theorem 11.1]. For  $p \in \mathbb{Z}$ , we set  $\mathfrak{h}_p = \{X \in \mathfrak{g}_p : [X, \mathfrak{g}_{\leq -2}] = \{0\}\}$ . We define  $I \in \mathfrak{gl}(\mathfrak{g}_{-1})$  as follows:  $I(x) = -\sqrt{-1}x$  for  $x \in \mathfrak{e}$ ,  $I(x) = \sqrt{-1}x$  for  $x \in \mathfrak{f}$ . Then  $I^2 = -1$ ,  $I([a, x]) = [a, I(x)]$  and  $[I(x), I(y)] = [x, y]$  for  $a \in \mathfrak{g}_0$  and  $x, y \in \mathfrak{g}_{-1}$ . We put  $\langle x, y \rangle = [I(x), y]$  for  $x, y \in \mathfrak{g}_{-1}$ . Then  $\langle x, y \rangle = \langle y, x \rangle$ , and for  $x \in \mathfrak{g}_{-1}$ ,  $\langle x, \mathfrak{g}_{-1} \rangle = \{0\}$  implies  $x = 0$ , since  $\mathfrak{g}_-$  is non-degenerate. Also  $\langle [a, x], y \rangle + \langle x, [a, y] \rangle = 0$  and  $[[b, x], y] = [[b, y], x]$  for  $a \in \mathfrak{h}_0$ ,  $b \in \mathfrak{h}_1$  and  $x, y \in \mathfrak{g}_{-1}$ . Then, for  $b \in \mathfrak{h}_1$ ,  $x, y, z \in \mathfrak{g}_{-1}$ , we have  $\langle [[b, x], y], z \rangle = -\langle y, [[b, x], z] \rangle = -\langle y, [[b, z], x] \rangle = \langle [[b, z], y], x \rangle = \langle [[b, y], z], x \rangle = -\langle z, [[b, y], x] \rangle = -\langle [[b, x], y], z \rangle$ , so  $\langle [[b, x], y], z \rangle = 0$ . By transitivity of  $\mathfrak{g}$ ,  $\mathfrak{h}_1 = \{0\}$ . Therefore by [11, Corollary 1 to Theorem 11.1],  $\mathfrak{g}$  is finite-dimensional.

(2) We may assume that  $\mathfrak{g}_1 \neq \{0\}$ . By [16, Lemma 2.4], the  $\mathfrak{g}_0$ -modules  $\mathfrak{e}, \mathfrak{f}$  are not isomorphic to each other. We put  $\mathfrak{d} = \{X \in \mathfrak{g}_{-1} : [X, \mathfrak{g}_{-1}] = \{0\}\}$ ; then  $\mathfrak{d}$  is a  $\mathfrak{g}_0$ -submodule of  $\mathfrak{g}_{-1}$ . Hence  $\mathfrak{d} = \{0\}$ ,  $\mathfrak{d} = \mathfrak{e}$ ,  $\mathfrak{d} = \mathfrak{f}$  or  $\mathfrak{d} = \mathfrak{g}_{-1}$ . If  $\mathfrak{d} \neq \{0\}$ , then  $\mathfrak{g}_{-2} = [\mathfrak{e}, \mathfrak{f}] = \{0\}$ , which is a contradiction. Thus  $\mathfrak{g}_-$  is non-degenerate. By (1),  $\mathfrak{g}$  is finite-dimensional.  $\blacksquare$

The prolongation of a pseudo-product FGLA becomes a pseudo-product GLA. By Proposition 8.2 (2), the prolongation of a free pseudo-product FGLA is a pseudo-product GLA of irreducible type. By Lemma 8.1 (2), the prolongation of a free pseudo-product FGLA is finite-dimensional.

**Proposition 8.3.** *Let  $\mathfrak{m} = \bigoplus_{p < 0} \mathfrak{g}_p$  be a free pseudo-product FGLA of type  $(m, n, \mu)$  with pseudo-product structure  $(\mathfrak{e}, \mathfrak{f})$  and let  $\mathfrak{g} = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}_p$  be the prolongation of  $(\mathfrak{m}; \mathfrak{e}, \mathfrak{f})$ .*

- (1)  $\mathfrak{g}_0$  is isomorphic to  $\mathfrak{gl}(\mathfrak{e}) \oplus \mathfrak{gl}(\mathfrak{f})$  as a Lie algebra.
- (2)  $\mathfrak{g}_{-2}$  is isomorphic to  $\mathfrak{e} \otimes \mathfrak{f}$  as a  $\mathfrak{g}_0$ -module. In particular,  $\dim \mathfrak{g}_{-2} = mn$ .

- (3)  $\mathfrak{g}_{-3}$  is isomorphic to  $S^2(\mathfrak{e}) \otimes \mathfrak{f} \oplus S^2(\mathfrak{f}) \otimes \mathfrak{e}$  as a  $\mathfrak{g}_0$ -module. In particular,  $\dim \mathfrak{g}_{-3} = \frac{mn(m+n+2)}{2}$ .

**Proof.** (1) This follows from Proposition 8.2 (2).

(2) Let  $\mathfrak{a} = \bigoplus_{p<0} \mathfrak{a}_p$  be the graded ideal of  $b(\mathfrak{g}_{-1}, \mu)$  generated by  $[\mathfrak{e}, \mathfrak{e}] + [\mathfrak{f}, \mathfrak{f}]$ . By the construction of  $b(\mathfrak{g}_{-1}, \mu)_{-2}$ ,  $\mathfrak{a}_{-2}$  is isomorphic to  $\Lambda^2(\mathfrak{e}) \oplus \Lambda^2(\mathfrak{f})$ , so  $\mathfrak{g}_{-2} = b(\mathfrak{g}_{-1}, \mu)_{-2}/\mathfrak{a}_{-2}$  is isomorphic to  $\mathfrak{e} \otimes \mathfrak{f}$ .

(3) By the construction of  $b(\mathfrak{g}_{-1}, \mu)_{-3}$ ,  $b(\mathfrak{g}_{-1}, \mu)_{-3}$  is isomorphic to

$$(\mathfrak{e} \otimes \mathfrak{f}) \otimes \Lambda^2(\mathfrak{e} \oplus \mathfrak{f})/\Lambda^3(\mathfrak{e} \oplus \mathfrak{f}) \cong (\mathfrak{e} \otimes \mathfrak{e} \otimes \mathfrak{f}) \oplus (\mathfrak{e} \otimes \mathfrak{f} \otimes \mathfrak{f}).$$

Moreover,  $\mathfrak{a}_{-3}$  is isomorphic to

$$(\mathfrak{e} \otimes \mathfrak{f}) \otimes \Lambda^2(\mathfrak{e}) \oplus (\mathfrak{e} \otimes \mathfrak{f}) \otimes \Lambda^2(\mathfrak{f})/\Lambda^3(\mathfrak{e} \oplus \mathfrak{f}) \cong \mathfrak{e} \otimes \Lambda^2(\mathfrak{e}) \oplus \mathfrak{f} \otimes \Lambda^2(\mathfrak{f}).$$

Hence  $\mathfrak{g}_{-3} = b(\mathfrak{g}_{-1}, \mu)_{-3}/\mathfrak{a}_{-3}$  is isomorphic to

$$(\mathfrak{e} \otimes \mathfrak{e} \otimes \mathfrak{f})/\Lambda^2(\mathfrak{e}) \otimes \mathfrak{f} \oplus (\mathfrak{e} \otimes \mathfrak{f} \otimes \mathfrak{f})/\mathfrak{e} \otimes \Lambda^2(\mathfrak{f}) \cong S^2(\mathfrak{e}) \otimes \mathfrak{f} \oplus S^2(\mathfrak{f}) \otimes \mathfrak{e}.$$

This completes the proof. ■

**Proposition 8.4.** Let  $\mathfrak{m} = \bigoplus_{p<0} \mathfrak{g}_p$  be a pseudo-product FGLA of the  $\mu$ -th kind with pseudo-product structure  $(\mathfrak{e}, \mathfrak{f})$ , where  $\mu \geq 2$ . We denote by  $\mathfrak{c}$  the centralizer of  $\mathfrak{g}_{-2}$  in  $\mathfrak{g}_{-1}$ . Let  $\mathfrak{g} = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}_p$  be the prolongation of  $(\mathfrak{m}; \mathfrak{e}, \mathfrak{f})$ . Assume that  $\mathfrak{g}_0$  is isomorphic to  $\mathfrak{gl}(\mathfrak{e}) \oplus \mathfrak{gl}(\mathfrak{f})$  as a Lie algebra.

- (1) If  $\mu = 2$ , then  $\mathfrak{m} = \bigoplus_{p<0} \mathfrak{g}_p$  be a free pseudo-product FGLA.
- (2) If  $\mu \geq 3$  and  $\mathfrak{c} \neq \{0\}$ , then  $(\mathfrak{m}; \mathfrak{e}, \mathfrak{f})$  is not a free pseudo-product FGLA.
- (3) If  $\mu = 3$  and  $\mathfrak{c} = \{0\}$ , then  $(\mathfrak{m}; \mathfrak{e}, \mathfrak{f})$  is a free pseudo-product FGLA.

**Proof.** Let  $\check{\mathfrak{m}} = \bigoplus_{p<0} \check{\mathfrak{g}}_p$  be the free pseudo-product FGLA of type  $(m, n, \mu)$  with pseudo-product structure  $(\check{\mathfrak{e}}, \check{\mathfrak{f}})$  such that  $\check{\mathfrak{g}}_{-1} = \mathfrak{g}_{-1}$ ,  $\check{\mathfrak{e}} = \mathfrak{e}$  and  $\check{\mathfrak{f}} = \mathfrak{f}$ . Let  $\check{\mathfrak{g}} = \bigoplus_{p \in \mathbb{Z}} \check{\mathfrak{g}}_p$  be the prolongation of  $(\check{\mathfrak{m}}; \check{\mathfrak{e}}, \check{\mathfrak{f}})$ . There exists a GLA epimorphism  $\varphi$  of  $\check{\mathfrak{m}}$  onto  $\mathfrak{m}$  such that the restriction  $\varphi|_{\check{\mathfrak{g}}_{-1}}$  is the identity mapping. Since the mapping  $\check{\mathfrak{g}}_0 \ni D \mapsto (D|\mathfrak{e}, D|\mathfrak{f}) \in \mathfrak{gl}(\mathfrak{e}) \times \mathfrak{gl}(\mathfrak{f})$  is an isomorphism,  $\varphi$  can be extended to be a homomorphism  $\check{\varphi}$  of  $\bigoplus_{p \leq 0} \check{\mathfrak{g}}_p$  onto  $\bigoplus_{p \leq 0} \mathfrak{g}_p$ . Let  $\mathfrak{a}$  be the kernel of  $\check{\varphi}$ ; then  $\mathfrak{a}$  is a graded ideal of  $\bigoplus_{p \leq 0} \check{\mathfrak{g}}_p$ . We set  $\mathfrak{a}_p = \mathfrak{a} \cap \check{\mathfrak{g}}_p$ ; then  $\mathfrak{a} = \bigoplus_{p \leq 0} \mathfrak{a}_p$ . Since the restriction of  $\check{\varphi}$  to  $\check{\mathfrak{g}}_{-1} \oplus \check{\mathfrak{g}}_0$  is injective,  $\mathfrak{a}_p = \{0\}$  for  $p \geq -1$ . Also each  $\mathfrak{a}_p$  is a  $\check{\mathfrak{g}}_0$ -submodule of  $\check{\mathfrak{g}}_p$ . Since the  $\check{\mathfrak{g}}_0$ -module  $\check{\mathfrak{g}}_{-2}$  is irreducible (Proposition 8.3 (2)),  $\varphi|_{\mathfrak{g}_{-2}}$  is injective. If  $\mu = 2$ , then  $\varphi$  is an isomorphism. This proves the assertion (1). Now we assume that  $\mu \geq 3$ . Then

$$\check{\mathfrak{g}}_{-3} = [[\mathfrak{e}, \mathfrak{f}], \mathfrak{f}] \oplus [[\mathfrak{e}, \mathfrak{f}], \mathfrak{e}].$$

Since  $\check{\mathfrak{g}}_0$ -modules  $[[\mathfrak{e}, \mathfrak{f}], \mathfrak{f}]$  and  $[[\mathfrak{e}, \mathfrak{f}], \mathfrak{e}]$  are irreducible and not isomorphic to each other (Proposition 8.3 (3)), one of the following cases occurs: (i)  $\mathfrak{a}_{-3} = [[\mathfrak{e}, \mathfrak{f}], \mathfrak{f}]$ ; (ii)  $\mathfrak{a}_{-3} = [[\mathfrak{e}, \mathfrak{f}], \mathfrak{e}]$ ; (iii)  $\mathfrak{a}_{-3} = \{0\}$ . If  $\mathfrak{a}_{-3} = [[\mathfrak{e}, \mathfrak{f}], \mathfrak{f}]$  (resp.  $\mathfrak{a}_{-3} = [[\mathfrak{e}, \mathfrak{f}], \mathfrak{e}]$ ), then  $\mathfrak{c} = \mathfrak{f}$  (resp.  $\mathfrak{c} = \mathfrak{e}$ ). Also since  $\mathfrak{g}_0$ -modules  $\mathfrak{e}, \mathfrak{f}$  are irreducible and not isomorphic to each other, one of the following cases occurs: (i)  $\mathfrak{c} = \mathfrak{e}$ ; (ii)  $\mathfrak{c} = \mathfrak{f}$ ; (iii)  $\mathfrak{c} = \{0\}$ . If  $\mathfrak{c} = \mathfrak{e}$  (resp.  $\mathfrak{c} = \mathfrak{f}$ ), then  $\mathfrak{a}_{-3} = [[\mathfrak{e}, \mathfrak{f}], \mathfrak{e}]$  (resp.  $\mathfrak{a}_{-3} = [[\mathfrak{e}, \mathfrak{f}], \mathfrak{f}]$ ). In this case,  $\varphi$  is not injective. Hence  $(\mathfrak{m}; \mathfrak{e}, \mathfrak{f})$  is not free. If  $\mathfrak{c} = \{0\}$ , then  $\mathfrak{a}_{-3} = \{0\}$ . Hence  $\varphi|_{\check{\mathfrak{g}}_{-3}}$  is an isomorphism. In particular, if  $\mu = 3$ , then  $(\mathfrak{m}; \mathfrak{e}, \mathfrak{f})$  is free. ■

**Example 8.1.** Let  $V$  and  $W$  be finite-dimensional vector spaces and  $k \geq 1$ . We set

$$\begin{aligned} \mathfrak{C}^k(V, W) &= \bigoplus_{p=-k-1}^{-1} \mathfrak{C}^k(V, W)_p, \\ \mathfrak{C}^k(V, W)_p &= W \otimes S^{k+p+1}(V^*), \quad -k-1 \leq p \leq -2, \\ \mathfrak{C}^k(V, W)_{-1} &= V \oplus (W \otimes S^k(V^*)). \end{aligned}$$

The bracket operation of  $\mathfrak{C}^k(V, W)$  is defined as follows:

$$\begin{aligned} [W, V] &= \{0\}, \quad [V, V] = \{0\}, \quad [W \otimes S^r(V^*), W \otimes S^s(V^*)] = \{0\}, \\ [w \otimes s_r, v] &= w \otimes (v \lrcorner s_r) \quad \text{for } v \in V, w \in W, s_r \in S^r(V^*). \end{aligned}$$

Equipped with this bracket operation,  $\mathfrak{C}^k(V, W)$  becomes a pseudo-product FGLA of the  $(k+1)$ -th kind with pseudo-product structure  $(V, W \otimes S^k(V^*))$ , which is called *the contact algebra of order  $k$  of bidegree  $(n, m)$* , where  $n = \dim V$  and  $m = \dim W$  (cf. [14, p. 133]). We assume that  $\mathfrak{C}^k(V, W)$  is a free pseudo-product FGLA. Since

$$\dim \mathfrak{C}^k(V, W)_{-2} = m \binom{n+k-2}{k-1}, \quad \dim V \dim(W \otimes S^k(V^*)) = nm \binom{n+k-1}{k},$$

we get  $n = 1$ . Since  $W \otimes S^k(V^*)$  is contained in the centralizer of  $\mathfrak{C}^k(V, W)_{-2}$  in  $\mathfrak{C}^k(V, W)_{-1}$ , we get  $k = 1$ . Thus we obtain that  $\mathfrak{C}^k(V, W)$  is a free pseudo-product FGLA if and only if  $k = 1$ ,  $n = 1$ .

**Example 8.2.** Let  $\mathfrak{g} = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}_p$  be a finite-dimensional simple GLA of type  $(A_{m+n}, \{\alpha_m, \alpha_{m+1}\})$ .

We set  $\mathfrak{e} = \mathfrak{g}_{-1}^{(m)}$ ,  $\mathfrak{f} = \mathfrak{g}_{-1}^{(m+1)}$ . Then  $(\mathfrak{g}_-; \mathfrak{e}, \mathfrak{f})$  is a pseudo-product FGLA. Since  $\dim \mathfrak{e} = m$ ,  $\dim \mathfrak{f} = n$  and  $\dim \mathfrak{g}_{-2} = mn$ , the pseudo-product FGLA  $(\mathfrak{g}_-; \mathfrak{e}, \mathfrak{f})$  is a free pseudo-product FGLA of type  $(m, n, 2)$  (Proposition 8.3 (2)). Also  $\mathfrak{g} = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}_p$  is the prolongation of  $\mathfrak{g}_-$  except

for the following cases (see [15]):

- (1)  $m = n = 1$ . In this case, the prolongation of  $\mathfrak{g}_-$  is isomorphic to  $K(1)$ .
- (2)  $m = 1$  or  $n = 1$  and  $l = \max\{m, n\} \geq 2$ . In this case, the prolongation of  $\mathfrak{g}_-$  is isomorphic to  $W(l+1; \mathbf{s})$ , where  $\mathbf{s} = (1, 2, \dots, 2)$ .

**Example 8.3.** Let  $V$  and  $W$  be finite-dimensional vector spaces such that  $\dim V = m \geq 1$  and  $\dim W = n \geq 1$ . We set

$$\begin{aligned} \mathfrak{g}_{-1} &= V \oplus W, \quad \mathfrak{g}_{-2} = V \otimes W, \\ \mathfrak{g}_{-3} &= V \otimes S^2(W) \oplus S^2(V) \otimes W, \quad \mathfrak{m} = \mathfrak{g}_{-1} \oplus \mathfrak{g}_{-2} \oplus \mathfrak{g}_{-3}. \end{aligned}$$

The bracket operation of  $\mathfrak{m}$  is defined as follows:

$$\begin{aligned} [\mathfrak{g}_{-3}, \mathfrak{g}_{-1} \oplus \mathfrak{g}_{-2}] &= [\mathfrak{g}_{-2}, \mathfrak{g}_{-2}] = \{0\}, \quad [V, V] = [W, W] = \{0\}, \\ [v, w] &= -[w, v] = v \otimes w, \quad [v, v' \otimes w] = -[v' \otimes w, v] = v \otimes v' \otimes w, \\ [v \otimes w, w'] &= -[w', v \otimes w] = v \otimes w \otimes w', \end{aligned}$$

where  $v, v' \in V$  and  $w, w' \in W$ . Equipped with this bracket operation,  $\mathfrak{m}$  becomes a free pseudo-product FGLA of type  $(m, n, 3)$  with pseudo-product structure  $(V, W)$  (Proposition 8.3).

**Theorem 8.1.** *Let  $\mathfrak{m} = \bigoplus_{p < 0} \mathfrak{g}_p$  be a free pseudo-product FGLA of type  $(m, n, \mu)$  with pseudo-product structure  $(\mathfrak{e}, \mathfrak{f})$  over  $\mathbb{C}$ . Furthermore let  $\mathfrak{g} = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}_p$  (resp.  $\mathfrak{g}(\mathfrak{m}) = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}(\mathfrak{m})_p$ ) be the prolongation of  $(\mathfrak{m}; \mathfrak{e}, \mathfrak{f})$  (resp.  $\mathfrak{m}$ ).*

- (1) *Assume that  $\dim \mathfrak{g}(\mathfrak{m}) = \infty$ . Then  $m = 1$  or  $n = 1$ , and  $\mu = 2$ . Furthermore  $\mathfrak{g} = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}_p$  is isomorphic to a finite-dimensional simple GLA of type  $(A_{l+1}, \{\alpha_1, \alpha_2\})$ , where  $l = \max\{m, n\}$ . If  $l = 1$ , then  $\mathfrak{g}(\mathfrak{m})$  is isomorphic to  $K(1)$ . If  $l \geq 2$ , then  $\mathfrak{g}(\mathfrak{m})$  is isomorphic to  $W(l+1; \mathfrak{s})$ , where  $\mathfrak{s} = (1, 2, \dots, 2)$ .*
- (2) *If  $\mathfrak{g}_1 \neq \{0\}$ , then  $\mathfrak{g} = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}_p$  is a finite-dimensional simple GLA of type  $(A_{m+n}, \{\alpha_m, \alpha_{m+1}\})$ .*

**Proof.** (1) For  $p \geq -1$ , we put  $\mathfrak{h}_p = \{X \in \mathfrak{g}(\mathfrak{m})_p : [X, \mathfrak{g}_{\leq -2}] = \{0\}\}$ . Assume that  $\dim \mathfrak{g}(\mathfrak{m}) = \infty$  and  $\mu \geq 3$ . By Proposition 8.4 (2),  $\mathfrak{h}_{-1} = \{0\}$ . Since  $[\mathfrak{h}_0, \mathfrak{g}_{-1}] \subset \mathfrak{h}_{-1} = \{0\}$ , we see that  $\mathfrak{h}_0 = \{0\}$ . By [11, Corollary 1 to Theorem 11.1], we obtain that  $\dim \mathfrak{g}(\mathfrak{m}) < \infty$ , which is a contradiction. Thus we see that  $\mu = 2$  if  $\dim \mathfrak{g}(\mathfrak{m}) = \infty$ . The remaining assertion follows from Example 8.2.

(2) Assume that  $\mathfrak{g}_1 \neq \{0\}$  and  $\mu \geq 3$ . By transitivity of  $\mathfrak{g}$ ,  $[\mathfrak{g}_1, \mathfrak{e}] \neq \{0\}$  or  $[\mathfrak{g}_1, \mathfrak{f}] \neq \{0\}$ . We may assume that  $[\mathfrak{g}_1, \mathfrak{e}] \neq \{0\}$ . Then there exists an irreducible component  $\mathfrak{g}'_1$  of the  $\mathfrak{g}_0$ -module  $\mathfrak{g}_1$  such that  $[\mathfrak{g}'_1, \mathfrak{e}] \neq \{0\}$  and  $[\mathfrak{g}'_1, \mathfrak{f}] = \{0\}$ . The subalgebra  $\mathfrak{e} \oplus [\mathfrak{e}, \mathfrak{g}'_1] \oplus \mathfrak{g}'_1$  is a simple GLA of the first kind. Since  $\mathfrak{g}_0$  is isomorphic to  $\mathfrak{gl}(\mathfrak{e}) \oplus \mathfrak{gl}(\mathfrak{f})$ ,  $\mathfrak{e} \oplus [\mathfrak{e}, \mathfrak{g}'_1] \oplus \mathfrak{g}'_1$  is of type  $(A_m, \{\alpha_1\})$ . Let  $D$  be a nonzero element of  $\mathfrak{g}'_1$ . There exist  $\lambda \in \mathfrak{e}^*$  and  $\eta \in \mathfrak{f}^*$  such that

$$[[D, Z], U] = \lambda(U)Z + \lambda(Z)U, \quad [[D, Z], W] = \eta(Z)W,$$

where  $Z, U \in \mathfrak{e}$  and  $W \in \mathfrak{f}$  (cf. [12, p. 4]). Let  $X$  (resp.  $Y$ ) be a nonzero element of  $\mathfrak{e}$  (resp.  $\mathfrak{f}$ ). Since the subalgebra generated by  $X, Y$  is a free FGLA of type  $(2, \mu)$  (Remark 8.1 (2)),

$$\begin{aligned} \operatorname{ad}(X)^\mu(Y) &= 0, & \operatorname{ad}(X)^{\mu-1}(Y) &\neq 0, \\ \operatorname{ad}(Y) \operatorname{ad}(X)^{\mu-1}(Y) &= 0, & \operatorname{ad}(Y) \operatorname{ad}(X)^{\mu-2}(Y) &\neq 0 \end{aligned}$$

(Lemma 2.1). By induction on  $\mu$ , we see that

$$\begin{aligned} 0 &= \operatorname{ad}(D) \operatorname{ad}(X)^\mu(Y) = (\mu(\mu-1)\lambda(X) + \mu\eta(X)) \operatorname{ad}(X)^{\mu-1}(Y), \\ 0 &= \operatorname{ad}(D) \operatorname{ad}(Y) \operatorname{ad}(X)^{\mu-1}(Y) \\ &= ((\mu-1)(\mu-2)\lambda(X) + (\mu-1)\eta(X)) \operatorname{ad}(Y) \operatorname{ad}(X)^{\mu-2}(Y). \end{aligned}$$

Since

$$\det \begin{bmatrix} \mu(\mu-1) & \mu \\ (\mu-1)(\mu-2) & \mu-1 \end{bmatrix} = \mu(\mu-1) \neq 0,$$

we see that  $\lambda(X) = \eta(X) = 0$ , which is a contradiction. Thus we obtain that  $\mu = 2$  if  $\dim \mathfrak{g}_1 \neq \{0\}$ . From Example 8.2, it follows that  $\mathfrak{g} = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}_p$  is a simple GLA of type  $(A_{m+n}, \{\alpha_m, \alpha_{m+1}\})$  if  $\dim \mathfrak{g}_1 \neq \{0\}$ . ■

## 9 Automorphism groups of the prolongations of free pseudo-product fundamental graded Lie algebras

For a GLA  $\mathfrak{g} = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}_p$  we denote by  $\operatorname{Aut}(\mathfrak{g})_0$  the group of all the automorphisms of  $\mathfrak{g}$  preserving the gradation of  $\mathfrak{g}$ :

$$\operatorname{Aut}(\mathfrak{g})_0 = \{\varphi \in \operatorname{Aut}(\mathfrak{g}) : \varphi(\mathfrak{g}_p) = \mathfrak{g}_p \text{ for all } p \in \mathbb{Z}\}.$$



**Proposition 9.1.** *Let  $\mathfrak{m} = \bigoplus_{p < 0} \mathfrak{g}_p$  be an FGLA and let  $\mathfrak{g}(\mathfrak{m}) = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}(\mathfrak{m})_p$  be the prolongation of  $\mathfrak{m}$ . The mapping  $\Phi : \text{Aut}(\mathfrak{g}(\mathfrak{m}))_0 \ni \phi \mapsto \phi|_{\mathfrak{m}} \in \text{Aut}(\mathfrak{m})_0$  is an isomorphism.*

**Proof.** It is clear that  $\Phi$  is a group homomorphism. We prove that  $\Phi$  is injective. Let  $\phi$  be an element of  $\text{Ker } \Phi$ . Assume that  $\phi(X) = X$  for all  $X \in \mathfrak{g}(\mathfrak{m})_p$  ( $p < k$ ). For  $X \in \mathfrak{g}(\mathfrak{m})_k$ ,  $Y \in \mathfrak{g}_{-1}$ ,

$$[\phi(X) - X, Y] = \phi([X, Y]) - [X, Y].$$

Since  $[X, Y] \in \mathfrak{g}(\mathfrak{m})_{k-1}$ , we have  $[\phi(X) - X, Y] = 0$ . By transitivity,  $\phi(X) = X$ . By induction, we have proved  $\phi$  to be the identity mapping. Hence  $\Phi$  is a monomorphism.

We prove that  $\Phi$  is surjective. Let  $\varphi \in \text{Aut}(\mathfrak{m})_0$ . We construct the mapping  $\varphi_p : \mathfrak{g}(\mathfrak{m})_p \rightarrow \mathfrak{g}(\mathfrak{m})_p$  inductively as follows: First for  $X \in \mathfrak{g}(\mathfrak{m})_0$ , we set  $\varphi_0(X) = \varphi X \varphi^{-1}$ . Then for  $Y, Z \in \mathfrak{m}$

$$\varphi_0(X)([Y, Z]) = [\varphi(X(\varphi^{-1}(Y))), Z] + [Y, \varphi(X(\varphi^{-1}(Z)))],$$

so  $\varphi_0(X) \in \mathfrak{g}(\mathfrak{m})_0$ . Furthermore we can prove easily that  $[\varphi_0(X), \varphi_p(Y)] = \varphi_p([X, Y])$  for  $X \in \mathfrak{g}_0$  and  $Y \in \mathfrak{g}_p$  ( $p \leq 0$ ). Here for  $p < 0$  we set  $\varphi_p = \varphi|_{\mathfrak{g}(\mathfrak{m})_p}$ . Assume that we have defined linear isomorphisms  $\varphi_p$  of  $\mathfrak{g}(\mathfrak{m})_p$  onto itself ( $0 \leq p < k$ ) such that

$$\varphi_{r+s}([X, Y]) = [\varphi_r(X), \varphi_s(Y)]$$

for  $X \in \mathfrak{g}(\mathfrak{m})_r$ ,  $Y \in \mathfrak{g}(\mathfrak{m})_s$  ( $r + s < k$ ,  $r < k$ ,  $s < k$ ). For  $X \in \mathfrak{g}(\mathfrak{m})_k$  we define  $\varphi_k(X) \in \text{Hom}(\mathfrak{m}, \bigoplus_{p \leq k-1} \mathfrak{g}(\mathfrak{m})_p)_k$  as follows:

$$\varphi_k(X)(Y) = \varphi_{k+s}([X, \varphi^{-1}(Y)]), \quad Y \in \mathfrak{g}_s, \quad s < 0.$$

For  $Y \in \mathfrak{g}_s$ ,  $Z \in \mathfrak{g}_t$  ( $s, t < 0$ ),

$$\begin{aligned} \varphi_k(X)([Y, Z]) &= \varphi_{k+t+s}([X, \varphi^{-1}([Y, Z])]) \\ &= \varphi_{k+s+t}([\varphi^{-1}(Y), \varphi^{-1}(Z)] + [\varphi^{-1}(Y), [X, \varphi^{-1}(Z)]]) \\ &= [\varphi_{k+s}([X, \varphi^{-1}(Y)]), Z] + [Y, \varphi_{k+t}([X, \varphi^{-1}(Z)])] \\ &= [\varphi_k(X)(Y), Z] + [Y, \varphi_k(X)(Z)], \end{aligned}$$

so  $\varphi_k(X) \in \mathfrak{g}(\mathfrak{m})_k$ . Next we prove that for  $X \in \mathfrak{g}_p$ ,  $Y \in \mathfrak{g}_q$  ( $p + q = k$ ,  $0 \leq p \leq k$ ,  $0 \leq q \leq k$ ),

$$\varphi_k([X, Y]) = [\varphi_p(X), \varphi_q(Y)].$$

For  $Z \in \mathfrak{g}_s$  ( $s < 0$ ),

$$\begin{aligned} [[\varphi_p(X), \varphi_q(Y)], Z] &= [\varphi_p(X), [\varphi_q(Y), Z]] - [\varphi_q(Y), [\varphi_p(X), Z]] \\ &= \varphi_{p+q+s}([X, [Y, \varphi^{-1}(Z)]] - [Y, [X, \varphi^{-1}(Z)]]) \\ &= \varphi_{p+q+s}([X, Y], \varphi^{-1}(Z)) = [\varphi_k([X, Y]), Z]. \end{aligned}$$

By transitivity, we see that  $\varphi_k([X, Y]) = [\varphi_p(X), \varphi_q(Y)]$ . We define a mapping  $\check{\varphi}$  of  $\mathfrak{g}(\mathfrak{m})$  into itself as follows:

$$\check{\varphi}(X) = \begin{cases} \varphi(X), & X \in \mathfrak{m}, \\ \varphi_k(X), & k \geq 0, X \in \mathfrak{g}(\mathfrak{m})_k. \end{cases}$$

From the above results and the definition of  $\varphi_k$  ( $k \geq 0$ ), we see that  $\check{\varphi}$  is a GLA homomorphism.

Assume that  $\varphi_{k-1}$  ( $k \geq 0$ ) is a linear isomorphism. For  $X \in \mathfrak{g}(\mathfrak{m})_k$ , if  $\varphi_k(X) = 0$ , then  $0 = [\varphi_k(X), Y] = \varphi_{k-1}([X, \varphi^{-1}(Y)])$  for all  $Y \in \mathfrak{g}_{-1}$ . By transitivity, we see that  $X = 0$ , so  $\varphi_k$  is a linear isomorphism. Therefore  $\check{\varphi}$  is an automorphism of  $\mathfrak{g}(\mathfrak{m})$ .  $\blacksquare$

**Theorem 9.1.** Let  $\mathfrak{m} = \bigoplus_{p < 0} \mathfrak{g}_p$  be a free FGLA over  $\mathbb{C}$ , and let  $\mathfrak{g}(\mathfrak{m}) = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}(\mathfrak{m})_p$  be the prolongation of  $\mathfrak{m}$ . The mapping  $\Phi : \text{Aut}(\mathfrak{g}(\mathfrak{m}))_0 \ni \phi \mapsto \phi|_{\mathfrak{g}_{-1}} \in GL(\mathfrak{g}_{-1})$  is an isomorphism.

**Proof.** We may assume that  $\mathfrak{m}$  is a universal FGLA  $b(\mathfrak{g}_{-1}, \mu)$  of the  $\mu$ -th kind. By Corollary 1 to Proposition 3.2 of [11], the mapping  $\text{Aut}(\mathfrak{m})_0 \ni a \mapsto a|_{\mathfrak{g}_{-1}} \in GL(\mathfrak{g}_{-1})$  is an isomorphism. By Proposition 9.1, we see that the mapping  $\Phi : \text{Aut}(\mathfrak{g}(\mathfrak{m}))_0 \ni \phi \mapsto \phi|_{\mathfrak{g}_{-1}} \in GL(\mathfrak{g}_{-1})$  is an isomorphism. ■

For a pseudo-product GLA  $\mathfrak{g} = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}_p$  with pseudo-product structure  $(\mathfrak{e}, \mathfrak{f})$ , we denote by  $\text{Aut}(\mathfrak{g}; \mathfrak{e}, \mathfrak{f})_0$  the group of all the automorphisms of  $\mathfrak{g}$  preserving the gradation of  $\mathfrak{g}$ ,  $\mathfrak{e}$  and  $\mathfrak{f}$ :

$$\text{Aut}(\mathfrak{g}; \mathfrak{e}, \mathfrak{f})_0 = \{\varphi \in \text{Aut}(\mathfrak{g})_0 : \varphi(\mathfrak{e}) = \mathfrak{e}, \varphi(\mathfrak{f}) = \mathfrak{f}\}.$$

**Theorem 9.2.** Let  $\mathfrak{m} = \bigoplus_{p < 0} \mathfrak{g}_p$  be a free pseudo-product FGLA of type  $(m, n, \mu)$  with pseudo-product structure  $(\mathfrak{e}, \mathfrak{f})$  over  $\mathbb{C}$ , and let  $\mathfrak{g} = \bigoplus_{p \in \mathbb{Z}} \mathfrak{g}_p$  be the prolongation of  $(\mathfrak{m}; \mathfrak{e}, \mathfrak{f})$ . The mapping  $\Phi : \text{Aut}(\mathfrak{g}; \mathfrak{e}, \mathfrak{f})_0 \ni \phi \mapsto (\phi|_{\mathfrak{e}}, \phi|_{\mathfrak{f}}) \in GL(\mathfrak{e}) \times GL(\mathfrak{f})$  is an isomorphism. Furthermore if  $\dim \mathfrak{e} \neq \dim \mathfrak{f}$ , then  $\text{Aut}(\mathfrak{g}; \mathfrak{e}, \mathfrak{f})_0 = \text{Aut}(\mathfrak{g})_0$ .

**Proof.** Clearly  $\Phi$  is a monomorphism. We show that  $\Phi$  is surjective. Let  $(\phi_1, \phi_2)$  be an element of  $GL(\mathfrak{e}) \times GL(\mathfrak{f})$ . We set  $\phi = \phi_1 \oplus \phi_2 \in GL(\mathfrak{g}_{-1})$ . By Corollary 1 to Proposition 3.2 of [11], there exists an element  $\varphi_1 \in \text{Aut}(b(\mathfrak{g}_{-1}, \mu))_0$  such that  $\varphi_1|_{\mathfrak{g}_{-1}} = \phi$ . Since  $\varphi_1([\mathfrak{e}, \mathfrak{e}] + [\mathfrak{f}, \mathfrak{f}]) = [\mathfrak{e}, \mathfrak{e}] + [\mathfrak{f}, \mathfrak{f}]$ ,  $\varphi_1$  induces an element  $\varphi_2 \in \text{Aut}(\mathfrak{m}; \mathfrak{e}, \mathfrak{f})_0$  such that  $\varphi_2|_{\mathfrak{g}_{-1}} = \phi$ . By Proposition 9.1, there exists  $\varphi_3 \in \text{Aut}(\mathfrak{g}(\mathfrak{m}))_0$  such that  $\varphi_3|_{\mathfrak{m}} = \varphi_2$ . We prove that  $\varphi_3(\mathfrak{g}) = \mathfrak{g}$ . For  $X_0 \in \mathfrak{g}_0$  and  $Y \in \mathfrak{e}$ , we see that  $[\varphi_3(X_0), Y] = \varphi_3([X_0, \varphi_3^{-1}(Y)]) \in \varphi_3(\mathfrak{e}) = \mathfrak{e}$ , so  $\varphi_3(X_0)(\mathfrak{e}) \subset \mathfrak{e}$ . Similarly we get  $\varphi_3(X_0)(\mathfrak{f}) \subset \mathfrak{f}$ . Thus we obtain that  $\varphi_3(\mathfrak{g}_0) = \mathfrak{g}_0$ . Now we assume that  $\varphi_i(\mathfrak{g}_i) = \mathfrak{g}_i$  for  $0 \leq i \leq k$ . Then for  $X_{k+1} \in \mathfrak{g}_{k+1}$  and  $Y \in \mathfrak{g}_p$  ( $p < 0$ ), we see that  $[\varphi_3(X_{k+1}), Y] = \varphi_3([X_{k+1}, \varphi_3^{-1}(Y)]) \in \varphi_3(\mathfrak{g}_{p+k+1}) = \mathfrak{g}_{p+k+1}$ , so  $\varphi_3(\mathfrak{g}_{k+1}) \subset \mathfrak{g}_{k+1}$ . Hence  $\varphi_3(\mathfrak{g}) = \mathfrak{g}$  and  $\Phi$  is surjective. Now we assume that  $\dim \mathfrak{e} \neq \dim \mathfrak{f}$ . Let  $\varphi \in \text{Aut}(\mathfrak{g})_0$ . Since  $\mathfrak{g}_0$ -modules  $\mathfrak{e}$  and  $\mathfrak{f}$  are not isomorphic to each other, we see that (i)  $\varphi(\mathfrak{e}) = \mathfrak{e}$ ,  $\varphi(\mathfrak{f}) = \mathfrak{f}$  or (ii)  $\varphi(\mathfrak{e}) = \mathfrak{f}$ ,  $\varphi(\mathfrak{f}) = \mathfrak{e}$ . According to the assumption  $\dim \mathfrak{e} \neq \dim \mathfrak{f}$ , we get  $\varphi(\mathfrak{e}) = \mathfrak{e}$ ,  $\varphi(\mathfrak{f}) = \mathfrak{f}$ , so  $\varphi \in \text{Aut}(\mathfrak{g}; \mathfrak{e}, \mathfrak{f})_0$ . ■

## References

- [1] Bourbaki N., Éléments de mathématique. Fasc. XXXVII. Groupes et algèbres de Lie. Chapitre II: Algèbres de Lie libres. Chapitre III: Groupes de Lie, *Actualités Scientifiques et Industrielles*, No. 1349, Hermann, Paris, 1972.
- [2] Bourbaki N., Éléments de mathématique. Fasc. XXXIV. Groupes et algèbres de Lie. Chapitre IV: Groupes de Coxeter et systèmes de Tits. Chapitre V: Groupes engendrés par des réflexions. Chapitre VI: Systèmes de racines, *Actualités Scientifiques et Industrielles*, No. 1337, Hermann, Paris, 1968.
- [3] Kac V.G., Simple irreducible graded Lie algebras of finite growth, *Math. USSR Izv.* **2** (1968), 1271–1311.
- [4] Kobayashi S., Nagano T., On filtered Lie algebras and geometric structures. I, *J. Math. Mech.* **13** (1964), 875–907.
- [5] Morimoto T., Transitive Lie algebras admitting differential systems, *Hokkaido Math. J.* **17** (1988), 45–81.
- [6] Morimoto T., Tanaka N., The classification of the real primitive infinite Lie algebras, *J. Math. Kyoto Univ.* **10** (1970), 207–243.
- [7] Ochiai T., Geometry associated with semisimple flat homogeneous spaces, *Trans. Amer. Math. Soc.* **152** (1970), 159–193.
- [8] Onishchik A.L., Vinberg È.B., Lie groups and algebraic groups, *Springer Series in Soviet Mathematics*, Springer-Verlag, Berlin, 1990.

- 
- [9] Tanaka N., Geometric theory of ordinary differential equations, Report of Grant-in-Aid for Scientific Research MESC Japan, 1989.
  - [10] Tanaka N., On affine symmetric spaces and the automorphism groups of product manifolds, *Hokkaido Math. J.* **14** (1985), 277–351.
  - [11] Tanaka N., On differential systems, graded Lie algebras and pseudogroups, *J. Math. Kyoto Univ.* **10** (1970), 1–82.
  - [12] Tanaka N., Projective connections and projective transformations, *Nagoya Math. J.* **12** (1957), 1–24.
  - [13] Warhurst B., Tanaka prolongation of free Lie algebras, *Geom. Dedicata* **130** (2007), 59–69.
  - [14] Yamaguchi K., Contact geometry of higher order, *Japan. J. Math. (N.S.)* **8** (1982), 109–176.
  - [15] Yamaguchi K., Differential systems associated with simple graded Lie algebras, in Progress in Differential Geometry, *Adv. Stud. Pure Math.*, Vol. 22, Math. Soc. Japan, Tokyo, 1993, 413–494.
  - [16] Yatsui T., On pseudo-product graded Lie algebras, *Hokkaido Math. J.* **17** (1988), 333–343.