

IMPROPER INTERSECTION OF ANALYTIC CURVES

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*Dedicated to Professor Tadeusz Winiarski
on the occasion of his 60th birthday*

Abstract. We give an effective formula for the improper intersection cycle of analytic curves in terms of local parametrizations of the curves.

1. Introduction. A new geometric improper intersection theory in the complex analytic geometry was initiated by Achilles, Tworzewski and Winiarski in [2] (for isolated improper intersections). In general case the theory was introduced by Tworzewski in [18]. For arbitrary analytic sets X, Y (or more generally for analytic cycles X, Y) in a complex manifold M we obtain an analytic cycle $X \bullet Y$ in M which reflects the geometric structure of intersection of X and Y in M . It has also been generalized to arbitrary analytic spaces M by Rams [15]. The theory has found applications in the separation of analytic sets [4], [5], [6], [10], [17]. The main idea of construction of $X \bullet Y$ in M is as follows (see [18]): for any $x \in M$ we define the index of intersection $i(X, Y; x) \in \mathbb{Z}$ of X and Y at x . Since $i(X, Y; \cdot) : M \rightarrow \mathbb{Z}$ is an analytically constructible function, it generates an analytic cycle in M , just $X \bullet Y$. If X and Y intersect properly in M then $X \bullet Y$ is the ordinary cycle of intersection of X and Y in M in the sense of Draper [7]. Much more complicated case is when X and Y intersect improperly. We will consider this case when X and Y are analytic curves in M i.e. analytic sets of pure dimension one in M . If X and Y are irreducible analytic curves in M then two cases may occur:

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1. $X \cap Y$ is an isolated set in M . Then

$$X \bullet Y = \sum_{P \in X \cap Y} i(X \bullet Y; P)P$$

where $i(X \bullet Y; P) \in \mathbb{N}$ (in this case $i(X, Y; P) = i(X \bullet Y; P)$). An effective formula for $i(X \bullet Y; P)$ was given in [3],

2. $X = Y$. In this case

$$X \bullet X = X + \sum_{P \in \text{Sing}(X)} i(X \bullet X; P)P$$

where $\text{Sing}(X)$ is the set of singular points of X . The main result of the paper is an effective formula for the coefficients $i(X \bullet X; P)$ in terms of local parametrizations of X near P (Th. 4).

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2. Intersection algorithm. Since in the proof of the main theorem we will use notions from the Tworzewski intersection algorithm, we first recall it (see [18]).

Let M be a complex manifold of dimension n . An *analytic cycle on M* is a formal sum

$$A = \sum_{j \in J} \alpha_j C_j,$$

where $\mathbb{Z} \ni \alpha_j \neq 0$ for $j \in J$ and $\{C_j\}_{j \in J}$ is a locally finite family of distinct irreducible analytic subsets of M . By $\text{Supp } A$ we mean $\bigcup_{j \in J} C_j$. If $U \subset M$ is an open set then by $A|U$ we mean the restriction of A to U (defined in an obvious way). The *degree $\mu(A; x)$ of A at $x \in M$* is defined to be the sum

$$\sum_{j \in J} \alpha_j \mu(C_j; x),$$

where $\mu(C_j; x)$ stands for the degree of the component C_j at x . Then the function

$$M \ni x \mapsto \mu(A; x) \in \mathbb{Z}$$

is analytically constructible, and inversely, for each analytically constructible function $f : M \rightarrow \mathbb{Z}$ there exists a unique analytic cycle A on M such that its degree equals the value of f at every point of M i.e.

$$f(x) = \mu(A; x), \quad x \in M.$$

Each analytic cycle A has the unique decomposition into the sum of analytic cycles T_d of pure dimension d

$$A = \sum_{d=0}^n T_d.$$

The *extended degree of A at x* is defined by

$$\mu^{ext}(A; x) := (\mu(T_n; x), \dots, \mu(T_0; x)) \in (\mathbb{N}_0)^{n+1},$$

where $\mathbb{N}_0 := \mathbb{N} \cup \{0\}$. If $\Delta \subset M$ is an analytic submanifold then the *part of A supported by Δ* is defined by

$$A^\Delta := \sum_{j \in J, C_j \subset \Delta} \alpha_j C_j.$$

Now we may recall the Tworzewski algorithm. Since the intersection cycle is a biholomorphic invariant we will lead considerations in open sets of \mathbb{C}^n .

Let X and Y be pure dimensional analytic sets in an open set $\Omega \subset \mathbb{C}^n$. Let $r := \dim X$ and $s := \dim Y$. Denote by $\Delta \subset \mathbb{C}^n \times \mathbb{C}^n$ the diagonal, i.e.

$$\Delta := \{(x, y) \in \mathbb{C}^n \times \mathbb{C}^n : x_1 = y_1, \dots, x_n = y_n\}.$$

For any open set $U \subset \mathbb{C}^n \times \mathbb{C}^n$ such that $U \cap \Delta \neq \emptyset$, we denote by $\mathcal{H}(U, X \times Y)$ the family of all systems $\mathcal{H} = (H_1, \dots, H_n)$ of analytic hypersurfaces in U (i.e. analytic sets of codimension 1 in U) such that:

- (a) H_j is a nonsingular hypersurface and contains Δ ,
- (b) $\bigcap_{j=1}^n T_{(x,x)} H_j = T_{(x,x)} \Delta$ for $x \in U \cap \Delta$,
- (c) $(U \setminus \Delta) \cap (X \times Y) \cap H_1 \cap \dots \cap H_j$ is an analytic subset of $(U \setminus \Delta)$ of pure dimension $r + s - j$ (or empty) for $j = 1, \dots, n$.

For any $\mathcal{H} = (H_1, \dots, H_n) \in \mathcal{H}(U, X \times Y)$ we define an analytic cycle $(X \times Y) \cdot \mathcal{H}$ in U by the following procedure:

Step 0. Let $Z_0 := (X \times Y) \cap U$, treated as an analytic cycle. Then $Z_0 = Z_0^\Delta + (Z_0 - Z_0^\Delta)$, where Z_0^Δ is the part of Z_0 supported by $U \cap \Delta$ (usually $Z_0^\Delta = 0$ unless $X = Y = \{\text{one point}\}$).

Step 1. Let $Z_1 := (Z_0 - Z_0^\Delta) \cdot H_1$ – it is the intersection cycle in the sense of Draper of $(Z_0 - Z_0^\Delta)$ and H_1 (note that the intersection of these sets is proper). Then $Z_1 = Z_1^\Delta + (Z_1 - Z_1^\Delta)$, where Z_1^Δ is the part of Z_1 supported by $U \cap \Delta$.

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Step n. Let $Z_n := (Z_{n-1} - Z_{n-1}^\Delta) \cdot H_n$. Then $Z_n = Z_n^\Delta + (Z_n - Z_n^\Delta)$, where Z_n^Δ is the part of Z_n supported by $U \cap \Delta$. In this last case $\text{Supp}(Z_n - Z_n^\Delta) \cap \Delta = \emptyset$.

Then we define

$$(X \times Y) \cdot \mathcal{H} := Z_0^\Delta + \dots + Z_n^\Delta.$$

Now we may define the basic notions of the intersection theory. For any $x \in \Omega$ we define *the extended index of intersection of X and Y at x* by

$$(1) \quad i^{ext}(X, Y; x) := \min_{lex} \{ \mu^{ext}((X \times Y) \cdot \mathcal{H}; (x, x)) \in (\mathbb{N}_0)^{n+1} : \mathcal{H} \in \mathcal{H}(U, X \times Y), U \ni (x, x) \}$$

where minimum in $(\mathbb{N}_0)^{n+1}$ is taken with respect to the lexicographic order. Next, we define *the index of intersection of X and Y at x* by

$$i(X, Y; x) := \sum i^{ext}(X, Y; x),$$

where $\sum v$ is the sum of coordinates of $v \in \mathbb{Z}^{n+1}$. The function

$$\Omega \ni x \mapsto i(X, Y; x) \in \mathbb{Z}$$

is analytically constructible in Ω . So, it generates an analytic cycle in Ω . We denote it by $X \bullet Y$ and call *the intersection cycle of X and Y in Ω* . If

$$X \bullet Y = \sum_{j \in J} \alpha_j C_j, \quad \alpha_j \in \mathbb{Z}$$

then α_j is called *the intersection multiplicity of X and Y along C_j* and is denoted by $i(X \bullet Y; C_j)$.

We extend this definition to the case of arbitrary analytic cycles in the usual way, i.e. by \mathbb{Z} -linearity.

In the sequel we will need results concerning the above algorithm and the intersection cycle. First we quote two formal properties of the intersection cycle.

THEOREM 1. 1. *Let X_1, X_2 and Y be pure dimensional analytic sets in an open set $\Omega \subset \mathbb{C}^n$. If X_1, X_2 are irreducible in Ω , $X_1 \neq X_2$, and $\dim X_1 = \dim X_2$ then*

$$(X_1 \cup X_2) \bullet Y = X_1 \bullet Y + X_2 \bullet Y.$$

2. *Let X and Y be pure dimensional analytic sets in an open set $\Omega \subset \mathbb{C}^n$. If $\tilde{\Omega} \subset \Omega$ is an open set and $\tilde{X} := X \cap \tilde{\Omega}$, $\tilde{Y} := Y \cap \tilde{\Omega}$ then*

$$\tilde{X} \bullet \tilde{Y} = (X \bullet Y) |_{\tilde{\Omega}}.$$

PROOF. Ad 1. See Corollary 5 in [1] or Prop. 3, Ch. III in [13].

Ad 2. It follows from the fact that the definition of the extended index of intersection and, a fortiori, the index of intersection is local, i.e.

$$i(X, Y; x) = i(\tilde{X}, \tilde{Y}; x), \quad x \in \tilde{\Omega}.$$

This gives $\tilde{X} \bullet \tilde{Y} = (X \bullet Y) |_{\tilde{\Omega}}$. □

The next results concern the algorithm. The first is that in the above algorithm it suffices to take for H_j linear hyperplanes, and the second one that there are many such hyperplanes. To formulate precisely these results we have to fix some notions. Since an arbitrary hyperplane H in $\mathbb{C}^n \times \mathbb{C}^n$ containing Δ has the equation

$$A_1(x_1 - y_1) + \dots + A_n(x_n - y_n) = 0, \quad A_1, \dots, A_n \in \mathbb{C} \text{ and not all vanish,}$$

the set of such hyperplanes will be identified with \mathbb{P}^{n-1} . For $A \in \mathbb{P}^{n-1}$ we denote by H^A the hyperplane generated by A and for $\mathbf{A} \in (\mathbb{P}^{n-1})^n$ by $\mathcal{H}^{\mathbf{A}}$ an appropriate system of hyperplanes. If $x \in X \cap Y$ then we define

$$\begin{aligned} \mathcal{J}(x) &= \{\mathbf{A} \in (\mathbb{P}^{n-1})^n : \mathcal{H}^{\mathbf{A}} \text{ realizes minimum in the intersection algorithm}\} \\ &= \{\mathbf{A} \in (\mathbb{P}^{n-1})^n : i^{ext}(X, Y; x) = \mu^{ext}((X \times Y) \cdot \mathcal{H}^{\mathbf{A}}; (x, x))\}. \end{aligned}$$

THEOREM 2. For $x \in X \cap Y$

$$\mathcal{J}(x) \neq \emptyset.$$

PROOF. See Nowak [12], Cor. 6, or Achilles and Rams [1], Cor. 3. \square

THEOREM 3. Fix $x \in X \cap Y$, $i \in \{1, \dots, n\}$ and $\mathbf{A} = (A^1, \dots, A^n) \in \mathcal{J}(x)$. Then there exists an neighbourhood $W \subset \mathbb{P}^{n-1}$ of A^i such that for any $A \in W$ we have

$$(A^1, \dots, A^{i-1}, A, A^{i+1}, \dots, A^n) \in \mathcal{J}(x).$$

PROOF. See Rams [15], Th. 3.3, Achilles and Rams [1], Cor. 3, Nowak [13], Prop. 5, Ch. III, or Spodzieja [16], Th. 3. \square

3. Improper intersections of analytic curves. Let X, Y be irreducible analytic curves in an open set $\Omega \subset \mathbb{C}^n$. Then either $X \cap Y$ is an isolated set in Ω or $X = Y$. In the first case from the intersection algorithm we easily obtain that

$$\text{Supp}(X \bullet Y) = X \cap Y$$

(see [18], Th. 6.6). So,

$$X \bullet Y = \sum_{P \in X \cap Y} i(X \bullet Y; P)P.$$

The effective formulas for $i(X \bullet Y; P)$ were given in [3], Th. 1, in terms of local parametrizations of X and Y near P . Namely, without loss of generality (by the biholomorphic invariance of intersection cycle and Theorem 1) we may assume that $P = 0 \in X \cap Y$ is an isolated point of intersection of X and Y , the germs of X and Y at 0 are irreducible and that

$$\begin{aligned} \mathbb{C} \supset K_1 \ni t &\mapsto (t^p, \phi(t)) \in X, \quad \text{ord } \phi \geq p, \\ \mathbb{C} \supset K_2 \ni \tau &\mapsto (\tau^q, \psi(\tau)) \in Y, \quad \text{ord } \psi \geq q \end{aligned}$$

are local parametrizations of X and Y in a neighbourhood of 0 (K_1, K_2 are neighbourhoods of 0 in \mathbb{C}). Then

$$(2) \quad \begin{aligned} i(X \bullet Y; P) &= (1/q) \sum_{i=1}^q \text{ord}(\phi(t^q) - \psi(\eta^i t^p)) \\ &= (1/p) \sum_{i=1}^p \text{ord}(\psi(t^p) - \phi(\varepsilon^i t^q)) \end{aligned}$$

where η, ε are primitive roots of unity of degree q and p , respectively (in the above formulas by $\text{ord } \lambda$ of a holomorphic mapping $\lambda = (\lambda_1, \dots, \lambda_n)$ defined in a neighbourhood of $0 \in \mathbb{C}^k$ we mean $\min_{i=1}^n (\text{ord } \lambda_i)$).

Consider now the other case, $X = Y$.

THEOREM 4. *Let X be an irreducible analytic curve in an open set $\Omega \subset \mathbb{C}^n$. Then the intersection cycle $X \bullet X$ is equal to*

$$(3) \quad X \bullet X = X + \sum_{P \in \text{Sing}(X)} i(X \bullet X; P)P$$

where $i(X \bullet X; P)$ is given by the following formulas (for simplicity we assume that $P = 0$):

1. If the germ of X at 0 is irreducible and

$$\mathbb{C} \supset K \ni t \mapsto (t^p, \phi(t)) \in X, \quad \text{ord } \phi > p > 1,$$

is a local parametrization of X in a neighbourhood of 0 (K is a neighbourhood of 0 in \mathbb{C}), then

$$(4) \quad i(X \bullet X; P) = \sum_{i=1}^{p-1} \text{ord}(\phi(t) - \phi(\varepsilon^i t))$$

where ε is a primitive root of unity of degree p ,

2. If the germ of X at 0 is reducible and

$$(X)_0 = (X_1)_0 \cup \dots \cup (X_k)_0$$

is the decomposition of the germ $(X)_0$ of X at 0 into irreducible components, then

$$(5) \quad i(X \bullet X; P) = \sum_{\substack{i,j=1 \\ i \neq j}}^k i(X_i \bullet X_j; P) + \sum_{i=1}^k i(X_i \bullet X_i; P)$$

and $i(X_i \bullet X_j; P)$ can be calculated by formula (2) and $i(X_i \bullet X_i; P)$ by formula (4).

PROOF. Take an arbitrary point $P \in X$. We may assume that $P = 0$.

1. Assume that the germ of X at 0 is irreducible. We will calculate the index $i(X, X; P)$. Let

$$\mathbb{C} \supset K \ni t \mapsto \Phi(t) := (t^p, \phi(t)) = (t^p, \phi_2(t), \dots, \phi_n(t)) \in X, \quad \text{ord } \phi > p \geq 1,$$

be a local parametrization of X in a neighbourhood of 0. We may also assume (shrinking Ω) that

$$X = \{\Phi(t) : t \in K\}.$$

Then we have

$$X \times X = \{(t^p, \phi(t), \tau^p, \phi(\tau)) : t, \tau \in K\}.$$

Now we apply the intersection algorithm. Take an open set $U = \tilde{U} \times \tilde{U} \subset \Omega \times \Omega$, $(0, 0) \in U$ and a system of hyperplanes $\mathcal{H} = (H_1, \dots, H_n) \in \mathcal{H}(U, X \times Y)$, $H_i = \{(x, y) : A_1^i(x_1 - y_1) + \dots + A_n^i(x_n - y_n) = 0, \quad A_1^i, \dots, A_n^i \in \mathbb{C} \text{ and not all vanish}\}$. By Theorem 3 we may assume that $A_1^1 \neq 0$. Consider two cases:

(i) P is a nonsingular point of X . Then $p = 1$. Consider the step 0 of the algorithm. Since $X \times X$ is an irreducible analytic set of pure dimension 2 and $X \times X \not\subseteq \Delta$ then $Z_0^\Delta = 0$ and $Z_0 - Z_0^\Delta = (X \times X) \cap U$. Let us pass to the step 1 of the algorithm. We have to find $Z_1 = (Z_0 - Z_0^\Delta) \cdot H_1 = ((X \times X) \cap U) \cdot H_1$. Notice first that

$$\begin{aligned} & (X \times X) \cap U \cap H_1 \\ &= \{(t, \phi(t), \tau, \phi(\tau)) : t, \tau \in \Phi^{-1}(\tilde{U}), A_1^1(t - \tau) + \dots + A_n^1(\phi_n(t) - \phi_n(\tau)) = 0\} \\ &= \{(t, \phi(t), t, \phi(t)) : t \in \Phi^{-1}(\tilde{U})\} \\ &\cup \{(t, \phi(t), \tau, \phi(\tau)) : t, \tau \in \Phi^{-1}(\tilde{U}), A_1^1 + A_2^1 \frac{(\phi_2(t) - \phi_2(\tau))}{t - \tau} + \dots \\ &+ A_n^1 \frac{(\phi_n(t) - \phi_n(\tau))}{t - \tau} = 0\}. \end{aligned}$$

The first set is equal to $X^\Delta \cap U \subset \Delta$, where $X^\Delta := \{(x, x) : x \in X\}$. So, it is biholomorphic to X near 0. Since the vector $[1, 0, \dots, 0]$ belongs to the tangent space $T_{(0,0)}(X \times X)$ and not to H_1 (because $A_1^1 \neq 0$), the proper intersection of $(X \times X) \cap U$ with H_1 is transversal along $X^\Delta \cap U$. Hence

$$Z_1^\Delta = X^\Delta \cap U$$

and

$$\mu(Z_1^\Delta; (0, 0)) = 1.$$

Since $A_1^1 \neq 0$ and $\text{ord } \phi > 1$, the second set is empty for sufficiently small U . Then for such U we have $Z_1 - Z_1^\Delta = \emptyset$. Hence

$$i^{\text{ext}}(X, Y; 0) = (0, \dots, 0, 1, 0)$$

and consequently

$$i(X, Y; 0) = 1.$$

(ii) P is a singular point of X . Then $p > 1$. The step 0 of the algorithm is the same as in the first case. We have $Z_0^\Delta = 0$ and $Z_0 - Z_0^\Delta = (X \times X) \cap U$. Let us pass to the step 1 of the algorithm. We have to find

$$Z_1 = (Z_0 - Z_0^\Delta) \cdot H_1 = ((X \times X) \cap U) \cdot H_1.$$

Notice first that

$$\begin{aligned} & (X \times X) \cap U \cap H_1 \\ &= \{(t^p, \phi(t), \tau^p, \phi(\tau)) : t, \tau \in \Phi^{-1}(\tilde{U}), A_1^1(t^p - \tau^p) + \dots + A_n^1(\phi_n(t) - \phi_n(\tau)) = 0\} \\ &= \{(t^p, \phi(t), t^p, \phi(t)) : t \in \Phi^{-1}(\tilde{U})\} \\ &\cup \{(t^p, \phi(t), \tau^p, \phi(\tau)) : t, \tau \in \Phi^{-1}(\tilde{U}), A_1^1(t^{p-1} + \dots + \tau^{p-1}) \\ &+ A_2^1 \frac{(\phi_2(t) - \phi_2(\tau))}{t - \tau} + \dots + A_n^1 \frac{(\phi_n(t) - \phi_n(\tau))}{t - \tau} = 0\} \end{aligned}$$

The first set is equal to $X^\Delta \cap U \subset \Delta$, where $X^\Delta := \{(x, x) : x \in X\}$. So, it is biholomorphic to X near 0. Moreover, the proper intersection of $(X \times X) \cap U$ with H_1 is transversal along $X^\Delta \cap U$. In fact, for sufficiently small $t \in K$, $t \neq 0$, the vector $[pt^{p-1}, \phi'(t), 0, \dots, 0]$ belongs to the tangent space

$$T_{(pt^{p-1}, \phi'(t), pt^{p-1}, \phi'(t))}(X \times X)$$

to $X \times X$ at a nonsingular point $(pt^{p-1}, \phi'(t), pt^{p-1}, \phi'(t))$ and does not belong to H_1 (because $A_1^1 \neq 0$). Hence

$$Z_1^\Delta = X^\Delta \cap U$$

and

$$\mu(Z_1^\Delta; (0, 0)) = \mu(X^\Delta \cap U; (0, 0)) = \mu(X; 0) = p.$$

Now, we will analyse the second set. Since $A_1^1 \neq 0$, we may for simplicity put $A_1^1 = 1$. First we consider the analytic set

$$\Psi(t, \tau) = 0$$

in a neighbourhood of $0 \in \mathbb{C}_{(t, \tau)}^2$ where we put

$$\Psi(t, \tau) := (t^{p-1} + \dots + \tau^{p-1}) + A_2^1 \frac{(\phi_2(t) - \phi_2(\tau))}{t - \tau} + \dots + A_n^1 \frac{(\phi_n(t) - \phi_n(\tau))}{t - \tau}.$$

Since $\text{ord } \phi > p$, this analytic set generates $(p-1)$ irreducible nonsingular germs at $0 \in \mathbb{C}_{(t, \tau)}^2$. So, shrinking U , we may assume that this analytic set is a sum

of $(p - 1)$ irreducible analytic sets V_i , $i = 1, \dots, p - 1$ and each of them has a parametrization

$$\begin{aligned}\Phi_i : K_i &\rightarrow V_i, \quad 0 \in K_i \subset \mathbb{C}, \\ \Phi_i(s) &= (s, \psi_i(s)) = (s, \varepsilon^i s + \dots)\end{aligned}$$

where ε is a primitive root of unity of degree p . Since $\Psi(\Phi_i(s)) \equiv 0$, easy calculations give a more precise form of the $\Phi_i(s)$

$$\Phi_i(s) = (s, \varepsilon^i s + (a_2^i A_2^1 + \dots + a_n^i A_n^1) s^{k_i} + \dots),$$

for some $k_i > 1$ and $a_2^i, \dots, a_n^i \in \mathbb{C}$ which do not all vanish.

The above considerations show that

$$(X \times X) \cap U \cap H_1 = (X^\Delta \cap U) \cup \tilde{V}_1 \cup \dots \cup \tilde{V}_{p-1},$$

where

$$\tilde{V}_i := \{(t^p, \phi(t), \tau^p, \phi(\tau)) : (t, \tau) \in V_i\}, \quad i = 1, \dots, p - 1.$$

Similarly as above we prove that the proper intersection of $(X \times X) \cap U$ with H_1 is transversal along each \tilde{V}_i , $i = 1, \dots, p - 1$. Summing up, we obtain

$$\begin{aligned}Z_1 &= (X^\Delta \cap U) + \tilde{V}_1 + \dots + \tilde{V}_{p-1}, \\ Z_1^\Delta &= X^\Delta \cap U, \\ Z_1 - Z_1^\Delta &= \tilde{V}_1 + \dots + \tilde{V}_{p-1}.\end{aligned}$$

Let us pass to the step 2 of the algorithm. We have to find

$$Z_2 = (Z_1 - Z_1^\Delta) \cdot H_2 = (\tilde{V}_1 + \dots + \tilde{V}_{p-1}) \cdot H_2.$$

Since $\dim(Z_1 - Z_1^\Delta) = 1$ and $\dim(Z_1 - Z_1^\Delta) \cap H_2 = 0$, then shrinking U we have

$$(Z_1 - Z_1^\Delta) \cap H_2 = \{(0, 0)\}.$$

Hence

$$Z_2 = \alpha\{(0, 0)\},$$

where α is the sum of multiplicities of the proper isolated intersection of the hyperplane H_2 with the analytic curves \tilde{V}_i at $(0, 0)$ for $i = 1, \dots, p - 1$. Since each \tilde{V}_i has a parametrization

$$\tilde{\Phi}_i(s) = (s^p, \phi(s), \psi(s)^p, \phi(\psi(s))),$$

there is

$$\alpha = \sum_{i=1}^{p-1} \text{ord}(A_1^2(s^p - \psi_i(s)^p) + A_2^2(\phi_2(s) - \phi_2(\psi_i(s))) + \dots).$$

Since

$$(X \times X) \cdot \mathcal{H} = (X^\Delta \cap U) + \alpha\{(0, 0)\},$$

there is

$$i^{ext}(X, X; 0) := \min_{\substack{(1, A_2^1, \dots, A_n^1) \\ (A_1^2, A_2^2, \dots, A_n^2)}} \{(0, \dots, 0, \mu(X; 0), \alpha)\}.$$

So, we have to calculate

$$\min_{\substack{(1, A_2^1, \dots, A_n^1) \\ (A_1^2, A_2^2, \dots, A_n^2)}} \sum_{i=1}^{p-1} \text{ord}(A_1^2(s^p - \psi_i(s)^p) + A_2^2(\phi_2(s) - \phi_2(\psi_i(s))) + \dots).$$

Notice that it is equal to

$$\min_{(1, A_2^1, \dots, A_n^1)} \sum_{i=1}^{p-1} \text{ord}(s^p - \psi_i(s)^p, \phi_2(s) - \phi_2(\psi_i(s)), \dots).$$

So, to conclude the proof it suffices to prove that for each $i \in \{1, \dots, p-1\}$ the equality

$$(6) \quad \begin{aligned} & \min_{(1, A_2^1, \dots, A_n^1)} \text{ord}(s^p - \psi_i(s)^p, \phi_2(s) - \phi_2(\psi_i(s)), \dots, \phi_n(s) - \phi_n(\psi_i(s))) \\ &= \text{ord}(\phi_2(s) - \phi_2(\varepsilon^i s), \dots, \phi_n(s) - \phi_n(\varepsilon^i s)) \end{aligned}$$

holds. Then fix $i \in \{1, \dots, p-1\}$. Put

$$u := \text{ord}(\phi_2(s) - \phi_2(\varepsilon^i s), \dots, \phi_n(s) - \phi_n(\varepsilon^i s)).$$

It means that

$$\begin{aligned} u &:= \min_{j=2}^n u_j \\ u_j &:= \min\{r \in \mathbb{N} : r \in \text{Supp } \phi_j, \varepsilon^{ir} \neq 1\}, \end{aligned}$$

where $\text{Supp } \phi$ for a series $0 \neq \phi(s) = c_{n_1}s^{n_1} + c_{n_2}s^{n_2} + \dots$, $c_{n_i} \neq 0$, denotes the set $\{n_1, n_2, \dots\}$. We have

$$\begin{aligned} & \min_{(1, A_2^1, \dots, A_n^1)} \text{ord}(s^p - \psi_i(s)^p, \phi_2(s) - \phi_2(\psi_i(s)), \dots, \phi_n(s) - \phi_n(\psi_i(s))) \\ & \leq \min_{(1, A_2^1, \dots, A_n^1)} \text{ord}(\phi_2(s) - \phi_2(\psi_i(s)), \dots, \phi_n(s) - \phi_n(\psi_i(s))) \\ & = \min_{\substack{(1, A_2^1, \dots, A_n^1) \\ 2 \leq j \leq n}} \text{ord}(\phi_j(s) - \phi_j(\varepsilon^i s + (a_2^i A_2^1 + \dots + a_n^i A_n^1)s^{k_i} + \dots)). \end{aligned}$$

Notice that for a fixed $j \in \{2, \dots, n\}$:

(a) if $\varepsilon^{i \text{ord } \phi_j} \neq 1$ then

$$\text{ord}(\phi_j(s) - \phi_j(\varepsilon^i s + (a_2^i A_2^1 + \dots + a_n^i A_n^1)s^{k_i} + \dots)) = u_j,$$

(b) if $\varepsilon^{i \text{ord } \phi_j} = 1$ then

$$\begin{aligned} & \min_{(1, A_2^1, \dots, A_n^1)} \text{ord}(\phi_j(s) - \phi_j(\varepsilon^i s + (a_2^i A_2^1 + \dots + a_n^i A_n^1) s^{k_i} + \dots)) \\ &= \min_{(1, A_2^1, \dots, A_n^1)} \text{ord}(\gamma_j (a_2^i A_2^1 + \dots + a_n^i A_n^1) s^{\text{ord } \phi_j - 1 + k_i} + \dots + \delta_j (1 - \varepsilon^{iu_j}) s^{u_j} + \dots) \end{aligned}$$

for some constants $\gamma_j, \delta_j \neq 0$, which do not depend on A_2^1, \dots, A_n^1 . Since $a_2^i A_2^1 + \dots + a_n^i A_n^1 \neq 0$ in $\mathbb{C}[A_2^1, \dots, A_n^1]$, this last expression is equal to

$$\min(\text{ord } \phi_j - 1 + k_i, u_j) \leq u_j.$$

So, from these cases we obtain the inequality " \leq " in formula (6). To prove the opposite inequality we assume to the contrary that there is a strict inequality " $<$ " in formula (6). Then two cases may happen:

A.

$$\min_{(1, A_2^1, \dots, A_n^1)} \text{ord}(\phi_2(s) - \phi_2(\psi_i(s)), \dots, \phi_n(s) - \phi_n(\psi_i(s))) < u$$

Then from the last considerations there exists $j \in \{2, \dots, n\}$ such that

$$\begin{aligned} & \min_{(1, A_2^1, \dots, A_n^1)} \text{ord}(\phi_2(s) - \phi_2(\psi_i(s)), \dots, \phi_n(s) - \phi_n(\psi_i(s))) \\ &= \text{ord } \phi_j - 1 + k_i \geq \text{ord } \phi - 1 + k_i. \end{aligned}$$

But we have

$$\Psi(s, \psi_i(s)) \equiv 0$$

Since $\psi_i(s)$ is not equal to s , the last identity is equivalent to the following one

$$(7) \quad \underbrace{(s^p - \psi_i(s)^p)}_{I(s)} + \underbrace{(A_2^1(\phi_2(s) - \phi_2(\psi_i(s)) + \dots))}_{II(s)} \equiv 0.$$

We have

$$\begin{aligned} & \text{ord } I(s) = p - 1 + k_i < \text{ord } \phi - 1 + k_i, \\ & \min_{(1, A_2^1, \dots, A_n^1)} \text{ord } II(s) \geq \text{ord } \phi - 1 + k_i, \end{aligned}$$

which gives a contradiction in this case.

B.

$$\begin{aligned} & \min_{(1, A_2^1, \dots, A_n^1)} \text{ord}(s^p - \psi_i(s)^p) \\ & < \min_{(1, A_2^1, \dots, A_n^1)} \text{ord}(\phi_2(s) - \phi_2(\psi_i(s)), \dots, \phi_n(s) - \phi_n(\psi_i(s))). \end{aligned}$$

But this is impossible by (7).

Summing up, we obtain

$$i^{ext}(X, X; 0) = \{(0, \dots, 0, \mu(X; 0), \sum_{i=1}^{p-1} \text{ord}(\phi(s) - \phi(\varepsilon^i s))\}.$$

Hence

$$i(X, X; 0) = \mu(X; 0) + \sum_{i=1}^{p-1} \text{ord}(\phi(s) - \phi(\varepsilon^i s)).$$

2. Assume that the germ of X at 0 is reducible. Then formula (5) follows from Theorem 1.

This concludes the proof. \square

4. Plane curves. Let X be an analytic curve in a neighbourhood $U \subset \mathbb{C}^2$ of the origin $P = (0, 0) \in X$, and let $f = 0$ be its (reduced) equation, where $f \in \mathbb{C}\{x, y\}$. We denote by $\mu_P(X)$ the Milnor number of X at P . Then we have

$$\mu_P(X) = \mu_P\left(\frac{\partial f}{\partial x}, \frac{\partial f}{\partial y}\right),$$

where $\mu_P(g, h)$ stands for the multiplicity of a holomorphic mapping (g, h) at P . Now, we recall two well known formulas (see e.g. [14]):

1. the Teissier formula: if $f(0, y) \neq 0$ then

$$\mu_P\left(f, \frac{\partial f}{\partial y}\right) = \mu_P(X) + \text{ord } f(0, y) - 1,$$

2. the relation between the Milnor number and the virtual number of double points $\delta_P(X)$ of X at P : if $P \in X$ and $r_P(X)$ is the number of irreducible germs of X at P , then

$$2\delta_P(X) = \mu_P(X) + r_P(X) - 1.$$

PROPOSITION 5. *If $P = (0, 0)$ is a singular point of X and the axis $x = 0$ is not tangent to the curve X at P then*

$$(8) \quad i(X \bullet X; P) = \mu_P\left(f, \frac{\partial f}{\partial y}\right).$$

PROOF. First assume that the germ of X at P is irreducible and that the tangent line to the curve X at P is the axis $y = 0$. Then in a neighbourhood $U' \subset U$ of P the curve X has a parametrization

$$\mathbb{C} \supset K \ni t \mapsto (t^p, \phi(t)) \in X, \quad \text{ord } \phi > p.$$

(K is a neighbourhood of 0 in \mathbb{C}). Then, from the main theorem and the Puiseux Theorem, there follows

$$i(X \bullet X; P) = \sum_{i=1}^{p-1} \text{ord}(\phi(t) - \phi(\varepsilon^i t)) = \mu_P\left(f, \frac{\partial f}{\partial y}\right)$$

where ε is a primitive root of unity of degree p . If the germ of X at P is still irreducible and the tangent line to the curve X at P is not the axis $y = 0$ (and also not the axis $x = 0$ by assumption) then by a linear change of variables L in \mathbb{C}^2 we obtain from the above case that for $\tilde{f}(\tilde{x}, \tilde{y}) := f \circ L(\tilde{x}, \tilde{y})$ we have

$$i(X \bullet X; P) = \mu_P(\tilde{f}, \frac{\partial \tilde{f}}{\partial \tilde{y}}).$$

But from the Teissier formula we obtain that for such a linear change of variables we have

$$\mu_P(\tilde{f}, \frac{\partial \tilde{f}}{\partial \tilde{y}}) = \mu_P(f, \frac{\partial f}{\partial y}).$$

Assume now that the germ of X at P is reducible. Let $(X)_P = (X_1)_P \cup \dots \cup (X_k)_P$ be the decomposition of the germ $(X)_P$ into irreducible germs. Then we also have $f = f_1 \dots f_k$ in a neighbourhood $U' \subset U$ of P , where each f_i is holomorphic and describes X_i in U' . Then from the additivity of the intersection cycle (Theorem 1), cases considered above and properties of the multiplicity of mappings we have

$$\begin{aligned} i(X \bullet X; P) &= \sum_{i=1}^k i(X_i \bullet X_i; P) + \sum_{\substack{i,j=1 \\ i \neq j}}^k i(X_i \bullet X_j; P) \\ &= \sum_{i=1}^k \mu_P(f_i, \frac{\partial f_i}{\partial y}) + \sum_{\substack{i,j=1 \\ i \neq j}}^k \mu_P(f_i, f_j) \\ &= \sum_{i=1}^k \mu_P(f_i, \frac{\partial f}{\partial y}) = \mu_P(f, \frac{\partial f}{\partial y}). \end{aligned}$$

□

COROLLARY 6. *Under the assumptions of Proposition 5 we have*

$$(9) \quad \begin{aligned} i(X \bullet X; P) &= \mu_P(X) + \mu(X; P) - 1 \\ &= 2\delta_P(X) - r_P(X) + \mu(X; P). \end{aligned}$$

PROOF. It follows from the fact that from the assumption on the tangent line we have

$$\mu(X; P) = \text{ord } f(0, y).$$

□

REMARK 7. Notice that in the case that X is an algebraic plane curve in the projective plane \mathbb{P}^2 over \mathbb{C} the coefficients $i(X \bullet X; P)$ in the intersection

cycle $X \bullet X$ are the same as coefficients of singular points in the Stückrad–Vogel intersection cycle $v(X, X)$. Namely, formula (8) is given in [9], Ch. 3, S. 2(2) and formulas (9) in [8], Example 2.5.16).

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