



The product formula for Lusternik-Schnirelmann category

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Abstract If $C = C$ denotes the mapping cone of an essential phantom map from the suspension of the Eilenberg-Mac Lane complex $K = K(\mathbb{Z}; 5)$ to the 4-sphere $S = S^4$; we derive the following properties: (1) The LS category of the product of C with any n -sphere S^n is equal to 3; (2) The LS category of the product of C with itself is equal to 3, hence is strictly less than twice the LS category of C . These properties came to light in the course of an unsuccessful attempt to find, for each positive integer m , an example of a pair of 1-connected CW-complexes of finite type in the same Mislin (localization) genus with LS categories m and $2m$. If C is such that its p -localizations are inessential for all primes p , then by the main result of [10], the pair $C = S_{(p)}^{-2}K, C$ provides such an example in the case $m = 1$.

AMS Classification 55M30

Keywords Phantom map, Mislin (localization) genus, Lusternik-Schnirelmann category, Hopf invariant, Cuplength

1 Introduction

In this sequel to [10], we record two additional curious properties of the space X described in the main Theorem of [10].

Recall that X is the mapping cone of an essential map from K , the suspension of the Eilenberg-Mac Lane complex $K = K(\mathbb{Z}; 5)$, to $S = S^4$, the 4-sphere. Henceforth we use the notation $C = C$ for this space. Recall from [10] that C factors uniquely as

$$C = S_{(p)}^{-2}K \rightarrow C;$$

where

$$r: K \rightarrow S_{(0)}^6$$

is a rationalization map and

$$s: S_{(0)}^6 \rightarrow S;$$

Both C and D are phantom maps in the sense of [14] (see also [9, End of section 1]); they become inessential when restricted to finite subcomplexes of their respective domains. We study $D = D$, the mapping cone of f , side by side with C . The space D is simpler (it is finite-dimensional) and less interesting (it does not have finite type) than C and serves as a model for the latter. The two results below apply to both spaces; the proofs in the (easier) case of D provide motivation and guidance for the proofs in the case of C .

The first result states, in view of the main Theorem of [10], that the spaces $C; D$ satisfy the Ganea "conjecture" for all spheres. Thus:

Theorem 1.1 For any $n > 0$:

$$\text{cat}(C \rightarrow S^n) = 3 = \text{cat}(D \rightarrow S^n):$$

As an application of Theorem 1.1, we obtain a pair of spaces in the same Mislin genus having LS categories 2 and 3. Namely, if C (respectively D) denotes the mapping cone of the trivial map with domain K (respectively $S_{(0)}^6$), and if f is a special phantom map as in [10, Example 2] (that is the p -localization of f is inessential for every prime p), then the spaces $C \rightarrow S^n; C \rightarrow S^n$ are in the same Mislin genus, as are the spaces $D \rightarrow S^n; D \rightarrow S^n$, and

$$\begin{aligned} \text{cat}(C \rightarrow S^n) = 3; & \quad \text{cat}(C \rightarrow S^n) = 2; \\ \text{cat}(D \rightarrow S^n) = 3; & \quad \text{cat}(D \rightarrow S^n) = 2: \end{aligned}$$

This application is only moderately interesting since we may generalize Example 2 of [10] to get an example of a pair of spaces in the same Mislin genus having LS categories m and $m+1$ for any $m > 0$. Indeed, we need only replace K by $K(\mathbb{Z}; 4m+1)$ and S by $\mathbb{H}P^m$, quaternionic projective m -space, and argue as in [10], applying appropriate results of Iwase [8]; details are omitted. What would be more interesting is to find a pair of spaces in the same Mislin genus with LS categories m and $2m$ for any $m > 0$. For according to a result of Cornea [1], with improvement by Stanley [11] (see also Felix-Halperin-Thomas [3]),

$$\text{cat}(X) \leq 2 \sup_{\rho} \text{cat}(X_{(\rho)}); \tag{1.1}$$

where X is a 1-connected CW-complex of finite type and $X_{(\rho)}$ is its ρ -localization.

Examples of the type just mentioned would show that the inequality (1.1) is sharp for all $m > 0$. To that end, our original thought was to try the m -fold product spaces $C^m; C^m$ (or $D^m; D^m$). However, this attempt fails, as the next result shows.

We also take the opportunity to correct a mis-statement in the last paragraph on p. 99 of [10]; I thank Jianzhong Pan for bringing the error to my attention.

As for the proof of Theorem 1.2, the inequalities

$$\text{cat}(C \times C) \leq 3; \quad \text{cat}(D \times D) \leq 3$$

follow from classical obstruction theory arguments. Similar, but slightly more elaborate, arguments lead to the inequalities

$$\text{cat}(C^k) \leq k + 1; \quad \text{cat}(D^k) \leq k + 1$$

for $3 \leq k \leq 6$ as well, but these arguments break down for $k = 7$. To establish the opposite inequalities

$$\text{cat}(C \times C) \geq 3; \quad \text{cat}(D \times D) \geq 3;$$

we exploit techniques of [8] together with cuplength arguments. In fact, we show that $D \times D$ has cuplength 3. This latter fact fails for $C \times C$ (whose cuplength is 2). To remedy this failure, we introduce a notion of *re-ened cup products* - which in the case of $C \times C$ consists of a blend of ordinary cohomology and cohomotopy - and show that $C \times C$ has non-zero re-ened cup products of length 3, thereby leading to the desired inequality.

The latter approach also leads to an alternate, albeit less direct, proof of Theorem 1.1, as well as of the main Theorem of [10].

2 Proofs

Before giving the proof of Theorem 1.1, which closely follows the lines of the proof of the main Theorem of [10], we wish to point out that throughout the last paragraph on page 99 of [10], the space $S_{(0)}^6$ should be replaced by K . To see that the argument beginning in that paragraph and concluding on page 100 is valid for K , it is only necessary to observe that we may identify the map

$$[K; S^7] \xrightarrow{\cong} [K; E^2(S^4)]$$

induced by the inclusion of the bottom S^7 into $E^2(S^4)$ with the analogous map

$$[S_{(0)}^6; S^7] \xrightarrow{\cong} [S_{(0)}^6; E^2(S^4)];$$

denoted in [10] by \mathcal{C}_1 ; here $E^2(S^4)$ is a space homotopy equivalent to the 2-fold join $S^4 \ast S^4$. Indeed, we have a commutative diagram

$$\begin{CD} [S_{(0)}^6; S^7] @>>> [S_{(0)}^6; E^2(S^4)] \\ @V \dot{Y} VV @VV \dot{Y} V \\ [K; S^7] @>>> [K; E^2(S^4)] \end{CD}$$

where the vertical maps are induced by rationalization $r: K \rightarrow S_{(0)}^6$ and are isomorphisms; see [10, (2.5)].

We now give the proof of Theorem 1.1.

Proof of Theorem 1.1 It is clear that

$$\text{cat}(A \ast S^m) = 3; \quad A = C \text{ or } D;$$

for any $m \geq 1$. To prove that

$$\text{cat}(A \ast S^m) = 3;$$

we appeal to a special case of [8, Theorem 3.9(2)] according to which it suffices to establish the following variant of (iii) on page 98 of [10]:

$$(E^2(\dot{i}) \circ j_{m-1}) \circ {}^m H_1(\dot{}) \quad \text{(iii)}^\flat$$

is essential, where the left-most map is the join of the map induced by the inclusion $i: S \rightarrow A$ with the canonical map $j_{m-1}: S^{m-1} \rightarrow S^m$, $\dot{} =$ (respectively $\dot{}$) if $A = C$ (respectively D), and $H_1(\dot{})$ is the Berstein-Hilton-Hopf invariant of $\dot{}$ as generalized by Iwase ([8, Definition 2.4]) and Stanley ([11, Definition 3.4]).

Now the argument on page 99 of [10] prior to the last paragraph actually shows that ${}^m H_1(\dot{})$ is essential for any $m \geq 0$. Moreover the subsequent argument (suitably amended; see above) shows that $E^2(\dot{i})$ has a left homotopy inverse. Since j_{m-1} has a left homotopy inverse; it follows that $E^2(\dot{i}) \circ j_{m-1}$ too has a left homotopy inverse, and (iii)[♭] is verified.

In the case $A = D$, it is even permissible to "ignore" the map $E^2(\dot{i}) \circ j_{m-1}$; indeed, that map induces a monomorphism from $[S_{(0)}^6 \ast S^{m-1}; E^2(S^4) \ast S^{m-1}]$ to $[S_{(0)}^6 \ast S^{m-1}; E^2(D) \ast S^m]$ since $S_{(0)}^6$ has dimension 7. See also [8, Remark 3.10]. □

Remark Since any rationalization map $r: K \rightarrow S_{(0)}^6$ is a suspension, it follows from [8, Proposition 2.11(1)] that

$$H_1(\dot{}) = H_1(\dot{}) \circ r;$$

We next give the proof of Theorem 1.2.

Proof of Theorem 1.2 To prove

$$\text{cat}(B) \leq 3; \quad B = C \cup C \text{ or } D \cup D; \tag{2.1}$$

it suffices to show that the Ganea fibration

$$P^3(B) \rightarrow B; \tag{2.2}$$

with fiber

$$E^4(B) \times B \times B \times B \times B;$$

admits a section; see [8, Theorem 2.1]. For either value of B in (2.1), we easily verify that $E^4(B)$ is 14-connected - more precisely, $E^4(B)$ has the homotopy type of a CW-complex of the form

$$(S^{15} \cup \dots \cup S^{15}) \cup \text{cells of dimension } \leq 18;$$

Next observe that

$$H_n(D \cup D) = 0$$

for $n > 14$; note that unless the coefficients are explicitly indicated, homology and cohomology groups are understood to be integral. Thus

$$H^n(D \cup D; \mathbb{Z}) = H^n(E^4(D \cup D)) = 0$$

for all n . Since the obstructions to a section of (2.2) in the case $B = D \cup D$ lie in these cohomology groups, it is clear that a section exists.

Two remarks:

- (1) An alternate approach to the existence of a section of (2.2) in this case, but not in the case $B = C \cup C$, is via a slight variant of [8, Theorem 5.5] - details are omitted.
- (2) We do not assert that there is a unique section of (2.2) in the case $B = D \cup D$. In fact, the (vertical homotopy classes of) sections are classified by

$$H^{15}(D \cup D; \mathbb{Z}) = H^{15}(E^4(D \cup D)) = \text{Ext}(\mathbb{Q}; \mathbb{Z}) = \mathbb{Z};$$

which has the cardinality of the continuum.

To deal with the case $B = C \cup C$, first note that a homology decomposition of K ; say

$$S^5 = K[5] \cup K[m] \cup K;$$

where each $K[m]$ is a finite complex, naturally induces a homology decomposition of C ;

$$S = C[4] \cup C[m] \cup C;$$

where each $C[m]$ is likewise a finite complex. Explicitly, we take $C[m]$ to be the mapping cone of the restriction of ψ to $K[m-1]$. Thus $C = \bigcup C$ is the ascending union of the finite subcomplexes $C[m] \subset C[m]$, about which we make two claims:

- (1) $\text{cat}(C[m] \subset C[m]) = 2, m \geq 4$. In fact, the homotopy equivalence $C[m] \simeq S_{-2} \wedge K[m-1], m \geq 4$, resulting from the fact that ψ is phantom, implies that $\text{cat}(C[m]) = 1$, hence that $\text{cat}(C[m] \subset C[m]) = 2, m \geq 4$.
- (2) For each $m, H_n(C[m] \subset C[m])$ is finite for all $n > 14$. This follows immediately from the fact that $H_n(C \subset C)$ is finite for all $n > 14$.

It follows from (1) that for each m , the inclusion $C[m] \subset C[m] \subset C \subset C$ lifts to the total space $P^3(C \subset C)$ of the fibration (2.2). Moreover, the number of such lifts is finite since, thanks to (2), the cohomology groups $H^n(C[m] \subset C[m]; \mathbb{Z}(E^4(C \subset C)))$ are finite for all n . A variant of the classical argument utilized in the first paragraph of page 100 of [10] then leads to the conclusion that (2.2) admits a section in the case $B = C \subset C$.

We now verify that

$$\text{cat}(B) \leq 3; \quad B = C \subset C \text{ or } D \subset D;$$

beginning with the case $B = D \subset D$. Our strategy is to detect a non-zero 3-fold cup product in the integral cohomology ring of $D \subset D$: More precisely, if u and v are the canonical generators (up to sign) of $H^4(D \subset D)$, we show that

$$u^2 v \neq 0; \tag{2.3}$$

We first prove the weaker statement

$$u^2 \neq 0 \tag{2.4}$$

and then generalize the proof of (2.4) to obtain (2.3). Let u be the restriction of u to $D \subset D \subset D \subset D$. To prove (2.4), it suffices to prove

$$u^2 \neq 0; \tag{2.5}$$

Applying [8, Theorem 4.1, Remark 4.3] to the co-fibration sequence

$$S_{(0)}^6 \rightarrow S \rightarrow D;$$

we infer that the reduced diagonal map

$$\Delta = \Delta_D : D \rightarrow D \wedge D$$

factors as the composite

$$(i \wedge i) \circ (e \wedge e) : H_1(\Delta) \rightarrow q : D \rightarrow D \wedge D; \tag{2.6}$$

where

- (1) $q : D \rightarrow D=S = S_{(0)}^7$ is the collapsing map,
- (2) $H_1(\) : S_{(0)}^7 \rightarrow E^2(S) \vee S \wedge S$ is the suspension of the Berstein-Hilton-Hopf invariant of θ , and
- (3) $(i \wedge j) \circ (e \wedge e)$ is induced by the evaluation map $e : S \rightarrow S$ and the inclusion map $i : S \rightarrow D$.

Abbreviating $K(m) = K(\mathbb{Z}; m)$ and viewing u as (the homotopy class of) a map

$$u : D \rightarrow K(4);$$

we may view u^2 as the composite

$$(u \wedge u) \circ \theta : D \rightarrow D \wedge D \rightarrow K(4) \wedge K(4) \rightarrow K(8); \tag{2.7}$$

where θ represents a generator of $H^8(K(4) \wedge K(4))$. From (2.6) and (2.7), we see that u^2 may be represented as the composite

$$(j \wedge j) \circ (e \wedge e) \circ H_1(\) \circ q : D \rightarrow K(8); \tag{2.8}$$

where $j \wedge j$ is induced by a generator j of $H^4(S)$ while the other maps in the composite have been defined above.

Reasoning as in the proof of [10, (2.3)] shows that $(e \wedge e) \circ H_1(\)$ is non-zero. It is then routine to argue that the composite (2.8) is itself non-zero. Thus (2.5), and with it (2.4), is proved.

Next let $u ; v$ be the images of $u; v$ under the cohomology map induced by the inclusion $D \rightarrow S \rightarrow D \rightarrow D$. Of course, the restriction of u to $D \rightarrow D \rightarrow S$ is just u . To prove (2.3), it suffices to prove

$$u^2 v \neq 0; \tag{2.9}$$

To that end, we apply [8, Corollary 4.1.1] to the co-bration sequence

$$S_{(0)}^{10} \rightarrow (D \rightarrow S) \rightarrow (S \rightarrow S) \rightarrow D \rightarrow S$$

to infer that the reduced diagonal map

$$\theta \wedge 1_S = q^\theta \circ (\theta \wedge 1_S) : D \rightarrow S \rightarrow (D \wedge D) \rightarrow S \rightarrow D \wedge D \wedge S;$$

where q^θ is the collapsing map, factors as

$$(i \wedge i \wedge 1_S) \circ (e \wedge e \wedge 1_S) \circ {}^5H_1(\) \circ q^i : D \rightarrow S \rightarrow D \wedge D \wedge S \tag{2.10}$$

where

- (1) $q^i : D \rightarrow S \rightarrow D \rightarrow S = (D \rightarrow S) \rightarrow (S \rightarrow S) = (D=S) \wedge S$ is the collapsing map,

- (2) $D \rightarrow S = (D \rightarrow K) \wedge (S \rightarrow S) = (D \rightarrow S) \wedge S = S_{(0)}^{11}$,
- (3) ${}^5H_1(\) : S_{(0)}^{11} \rightarrow S \wedge S \wedge S$ is the 5-fold iterated suspension of $H_1(\)$,
- (4) $e \wedge e \wedge 1_S : S \wedge S \wedge S \rightarrow S \wedge S \wedge S$ is induced by e , and
- (5) $i \wedge i \wedge 1_S : S \wedge S \wedge S \rightarrow D \wedge D \wedge S$ is induced by i .

From (2.10), we see that u^2v may be represented as the composite

$$(j \wedge j \wedge j) \circ (e \wedge e \wedge 1_S) \circ {}^5H_1(\) \circ q^! : D \rightarrow S \rightarrow K(12) \tag{2.11}$$

where $q^!$ represents a generator of $H^{12}(K(4) \wedge K(4) \wedge K(4))$.

Arguing as with (2.8), we readily verify that the composite (2.11) is non-zero. Thus (2.9), and with it (2.3), is proved.

Finally, we adapt the preceding argument to prove the inequality

$$\text{cat}(C \rightarrow C) \leq 3:$$

If $u; v$ now stand for the canonical generators (up to sign) of $H^4(C \rightarrow C)$, the analogs of (2.3) and (2.4) both fail. In fact, as the cohomology of C is indistinguishable from that of C , the cuplength of C is precisely 1 and the cuplength of $C \rightarrow C$ is precisely 2. One might try replacing cohomology by cohomotopy since ${}^8(C) = [C; S^8]$ (as also ${}^8(D) = [D; S^8] \cong H^8(D)$) turns out to be set-theoretically equivalent to $\text{Ext}(\mathbb{Q}; \mathbb{Z})$ as noted in Lemma 2.1 below. Unfortunately, the Puppe sequence

$$[K; S] \rightarrow [S; S] \rightarrow [C; S] \rightarrow [{}^2K; S] \tag{2.12}$$

associated to the cofibration sequence $K \rightarrow S \rightarrow C$ gives that

$${}^4(C) = [C; S] = 0$$

since the left-most map in (2.12) sends $[S; S]$ monomorphically to the (infinite cyclic) group generated by u , and $[{}^2K; S] = 0$ by [14, Theorem D] (see also [9, Theorem 4.2]). In other words, the cohomology classes $u; v$ are not compressible into S .

While neither cohomology alone nor cohomotopy alone supports a cuplength argument necessary to establish the inequality $\text{cat}(C \rightarrow C) \leq 3$, the following compromise between cohomology and cohomotopy provides a way out of the dilemma. Fix a CW-model for $K(m)$ such that the m -skeleton is S^m and the N -skeleton $K(m)_N$ has only finitely many cells for all $N \geq m$.

Lemma 2.1 (1) For $N \geq 9$, the inclusions $K(4)_N \hookrightarrow K(4)_{N+1} \hookrightarrow K(4)$ induce a commutative diagram

$$\begin{array}{ccc}
 [S; K(4)_N] & \dashrightarrow & [C; K(4)_N] \\
 \downarrow \cong & & \downarrow \cong \\
 [S; K(4)_{N+1}] & \dashrightarrow & [C; K(4)_{N+1}] \\
 \downarrow \cong & & \downarrow \cong \\
 [S; K(4)] & \dashrightarrow & [C; K(4)]
 \end{array} \tag{2.13}$$

with each of the sets in (2.13) equivalent to \mathbb{Z} , and each of the arrows a bijection;

(2) For $N \geq 8$, the inclusion $K(8)_N \hookrightarrow K(8)_{N+1}$ induces a commutative diagram

$$\begin{array}{ccc}
 [C; K(8)_N] & \dashrightarrow & [{}^2K; K(8)_N] \\
 \downarrow \cong & & \downarrow \cong \\
 [C; K(8)_{N+1}] & \dashrightarrow & [{}^2K; K(8)_{N+1}]
 \end{array} \tag{2.14}$$

with each of the sets in (2.14) equivalent to $\text{Ext}(\mathbb{Q}; \mathbb{Z})$, and each of the arrows a bijection;

(3) For $N \geq 12$, the inclusion $K(12)_N \hookrightarrow K(12)_{N+1}$ induces a commutative diagram

$$\begin{array}{ccc}
 [C \oplus S; K(12)_N] & \dashrightarrow & [{}^6K; K(12)_N] \\
 \downarrow \cong & & \downarrow \cong \\
 [C \oplus S; K(12)_{N+1}] & \dashrightarrow & [{}^6K; K(12)_{N+1}]
 \end{array} \tag{2.15}$$

with each of the sets in (2.15) equivalent to $\text{Ext}(\mathbb{Q}; \mathbb{Z})$, and each of the arrows a bijection.

Proof To prove the first part of (1), consider the commutative diagram with exact rows

$$\begin{array}{cccc}
 [{}^1K; K(4)_N] & \dashrightarrow & [S; K(4)_N] & \dashrightarrow & [C; K(4)_N] & \dashrightarrow & [{}^2K; K(4)_N] \\
 \downarrow \cong & & \downarrow \cong & & \downarrow \cong & & \downarrow \cong \\
 [{}^1K; K(4)_{N+1}] & \dashrightarrow & [S; K(4)_{N+1}] & \dashrightarrow & [C; K(4)_{N+1}] & \dashrightarrow & [{}^2K; K(4)_{N+1}] \\
 & & & & & & \tag{2.16}
 \end{array}$$

induced by the co-bration sequence $K \rightarrow S \rightarrow C$. Notice that if $M \geq 9$:

$$\pi_7(K(4)_M) = 0 = \pi_8(K(4)_M):$$

Therefore, by [14, Theorem D] (see also [9, Theorem 4.2]),

$$[\pi^1 K; K(4)_M] = 0 = [\pi^2 K; K(4)_M]$$

and (2.16) collapses to the upper square in (2.13). The proof of the rest of (1), and also (2) and (3), are similar. Observe that the diagram in (3) is induced by the co-bration sequence

$$\pi^5 K \rightarrow (C \rightarrow S) \rightarrow (S \rightarrow C) \rightarrow S: \quad \square$$

Define $u_N; u_N; v_N$ to be the unique compressions of $u; u; v$ into $K(4)_N$, $N \geq 9$, guaranteed by part (1) of Lemma 2.1. Further, define two maps

$$u_N : K(4)_N \wedge K(4)_N \rightarrow K(8)_{2N+1};$$

and

$$v_N : K(4)_N \wedge K(4)_N \wedge K(4)_N \rightarrow K(12)_{3N+1}$$

as the maps uniquely determined by η, ν . It may be assumed that these maps are cellular. We define the *reduced cup square* u^2_N as the element represented by the composite

$$u_N \circ (u_N \wedge u_N) \circ \eta_C : C \rightarrow C \wedge C \rightarrow K(4)_N \wedge K(4)_N \rightarrow K(8)_{2N+1}.$$

We define the *reduced 3-fold cup product*, $u^2_N v_N$ similarly, using v_N . Observe that u^2_N compresses uniquely into S^8 by part (2) of Lemma 2.1. In other words, u_N is essentially a 4-dimensional cohomology class while u^2_N is essentially an 8-dimensional cohomotopy class. Similarly, by part (3) of Lemma 2.1, $u^2_N v_N$ is essentially a 12-dimensional cohomotopy class.

We now invoke the analog of (2.8) with D replaced by C , $S^7_{(0)}$ replaced by $\pi^2 K$ and $K(m)$ replaced by $K(m)_N; N \geq 9$; to conclude, with the help of Lemma 2.1, that

$$u^2_N \neq 0;$$

that is the *reduced cuplength* of C is at least 2. Moreover, arguing with the appropriate analog of (2.11), we find, once again with the help of Lemma 2.1, that

$$u^2_N v_N \neq 0;$$

that is the *reduced cuplength* of $C \rightarrow C$ is at least 3.

The classical argument showing that cuplength is a lower bound for cat readily generalizes to show that *reduced cuplength* is also a lower bound for cat . Hence $\text{cat}(C \rightarrow C)$ is at least 3, completing the proof of Theorem 1.2. \square

This research was supported in part by a grant from the City University of New York PSC-CUNY Research Award Program.

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Received: 26 October 2000 Revised: 7 May 2001