

## Research Article

# Elliptic Equations in Weighted Sobolev Spaces on Unbounded Domains

**Serena Boccia, Sara Monsurrò, and Maria Transirico**

*Dipartimento di Matematica e Informatica, Università di Salerno, via Ponte don Melillo,  
84084 Fisciano, Italy*

Correspondence should be addressed to Maria Transirico, [mtransirico@unisa.it](mailto:mtransirico@unisa.it)

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We study in this paper a class of second-order linear elliptic equations in weighted Sobolev spaces on unbounded domains of  $\mathbb{R}^n$ ,  $n \geq 3$ . We obtain an a priori bound, and a regularity result from which we deduce a uniqueness theorem.

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## 1. Introduction

Let  $\Omega$  be an open subset of  $\mathbb{R}^n$ ,  $n \geq 3$ . Assign in  $\Omega$  the uniformly elliptic second-order linear differential operator

$$L = - \sum_{i,j=1}^n a_{ij} \frac{\partial^2}{\partial x_i \partial x_j} + \sum_{i=1}^n a_i \frac{\partial}{\partial x_i} + a, \quad (1.1)$$

with coefficients  $a_{ij} = a_{ji} \in L^\infty(\Omega)$ ,  $i, j = 1, \dots, n$ , and consider the associate Dirichlet problem:

$$\begin{aligned} u &\in W^{2,p}(\Omega) \cap \overset{\circ}{W}{}^{1,p}(\Omega), \\ Lu &= f, \quad f \in L^p(\Omega), \end{aligned} \quad (1.2)$$

where  $p \in ]1, +\infty[$ .

It is well known that if  $\Omega$  is a bounded and sufficiently regular set, the above problem has been widely investigated by several authors under various hypotheses of discontinuity on the leading coefficients, in the case  $p = 2$  or  $p$  sufficiently close to 2. In particular, some  $W^{2,p}$ -bounds for the solutions of the problem (1.2) and related existence and uniqueness results have been obtained. Among the other results on this subject, we quote here those

proved in [1], where the author assumed that  $a_{ij}$ 's belong to  $W^{1,n}(\Omega)$  (and considered the case  $p = 2$ ) and in [2–4] (where the coefficients belong to some classes wider than  $W^{1,n}(\Omega)$ ). More recently, a relevant contribution has been given in [5–8], where the coefficients  $a_{ij}$  are assumed to be in the class VMO and  $p \in ]1, +\infty[$ ; observe here that VMO contains the space  $W^{1,n}(\Omega)$ .

If the set  $\Omega$  is unbounded and regular enough, under assumptions similar to those required in [1], problem (1.2) has for instance been studied in [9–11] with  $p = 2$ , and in [12] with  $p \in ]1, +\infty[$ . Instead, in [13, 14] the leading coefficients satisfy restrictions similar to those in [5, 6].

In this paper, we extend some results of [13, 14] to a weighted case. More precisely, we denote by  $\rho$  a weight function belonging to a suitable class such that

$$\inf_{\Omega} \rho > 0, \quad \lim_{|x| \rightarrow +\infty} \rho(x) = +\infty, \quad (1.3)$$

and consider the Dirichlet problem:

$$\begin{aligned} u &\in W_s^{2,p}(\Omega) \cap \overset{\circ}{W}_s^{1,p}(\Omega), \\ Lu &= f, \quad f \in L_s^p(\Omega), \end{aligned} \quad (1.4)$$

where  $s \in \mathbb{R}$ ,  $W_s^{2,p}(\Omega)$ ,  $\overset{\circ}{W}_s^{1,p}(\Omega)$ , and  $L_s^p(\Omega)$  are some weighted Sobolev spaces and the weight functions are a suitable power of  $\rho$ . We obtain an a priori bound for the solutions of (1.4). Moreover, we state a regularity result that allows us to deduce a uniqueness theorem for the problem (1.4). A similar weighted case was studied in [15] with the leading coefficients satisfying hypotheses of Miranda's type and when  $p = 2$ .

## 2. Weight functions and weighted spaces

Let  $G$  be any Lebesgue measurable subset of  $\mathbb{R}^n$  and let  $\Sigma(G)$  be the collection of all Lebesgue measurable subsets of  $G$ . If  $F \in \Sigma(G)$ , denote by  $|F|$  the Lebesgue measure of  $F$ , by  $\chi_F$  the characteristic function of  $F$ , by  $F(x, r)$  the intersection  $F \cap B(x, r)$  ( $x \in \mathbb{R}^n$ ,  $r \in \mathbb{R}_+$ )—where  $B(x, r)$  is the open ball of radius  $r$  centered at  $x$ —and by  $\mathfrak{D}(F)$  the class of restrictions to  $F$  of functions  $\zeta \in C_{\infty}^{\circ}(\mathbb{R}^n)$  with  $\overline{F} \cap \text{supp } \zeta \subseteq F$ . Moreover, if  $X(F)$  is a space of functions defined on  $F$ , we denote by  $X_{\text{loc}}(F)$  the class of all functions  $g : F \rightarrow \mathbb{R}$ , such that  $\zeta g \in X(F)$  for any  $\zeta \in \mathfrak{D}(F)$ .

We introduce a class of weight functions defined on an open subset  $\Omega$  of  $\mathbb{R}^n$ . Denote by  $\mathcal{A}(\Omega)$  the set of all measurable functions  $\rho : \Omega \rightarrow \mathbb{R}_+$ , such that

$$\gamma^{-1} \rho(y) \leq \rho(x) \leq \gamma \rho(y) \quad \forall y \in \Omega, \quad \forall x \in \Omega(y, \rho(y)), \quad (2.1)$$

where  $\gamma \in \mathbb{R}_+$  is independent of  $x$  and  $y$ . Examples of functions in  $\mathcal{A}(\Omega)$  are the function

$$x \in \mathbb{R}^n \longrightarrow 1 + a|x|, \quad a \in ]0, 1[, \quad (2.2)$$

and, if  $\Omega \neq \mathbb{R}^n$  and  $S$  is a nonempty subset of  $\partial\Omega$ , the function

$$x \in \Omega \longrightarrow a \text{ dist}(x, S), \quad a \in ]0, 1[. \quad (2.3)$$

For  $\rho \in \mathcal{A}(\Omega)$ , we put

$$S_\rho = \{z \in \partial\Omega : \lim_{x \rightarrow z} \rho(x) = 0\}. \quad (2.4)$$

It is known that

$$\rho \in L_{\text{loc}}^\infty(\overline{\Omega}), \quad \rho^{-1} \in L_{\text{loc}}^\infty(\overline{\Omega} \setminus S_\rho) \quad (2.5)$$

(see [16, 17]).

We assign an unbounded open subset  $\Omega$  of  $\mathbb{R}^n$ .

Let  $\rho_1$  be a function, such that  $\rho_1 \in \mathcal{A}(\mathbb{R}^n)$  and

$$\inf_{\Omega} \rho_1 > 0, \quad \lim_{|x| \rightarrow +\infty} \rho_1(x) = +\infty. \quad (2.6)$$

We put

$$\rho = \rho_1|_{\Omega}. \quad (2.7)$$

For any  $a \in ]0, 1]$  and  $x \in \mathbb{R}^n$ , we set

$$I_a(x) = \Omega(x, a\rho_1(x)). \quad (2.8)$$

If  $k \in \mathbb{N}_0$ ,  $1 \leq p < +\infty$ ,  $s \in \mathbb{R}$ , and  $\rho \in \mathcal{A}(\Omega)$ , consider the space  $W_s^{k,p}(\Omega)$  of distributions  $u$  on  $\Omega$ , such that  $\rho^s \partial^\alpha u \in L^p(\Omega)$  for  $|\alpha| \leq k$ , equipped with the norm

$$\|u\|_{W_s^{k,p}(\Omega)} = \sum_{|\alpha| \leq k} \|\rho^s \partial^\alpha u\|_{L^p(\Omega)}. \quad (2.9)$$

Moreover, denote by  $\overset{\circ}{W}_s^{k,p}(\Omega)$  the closure of  $C^\infty(\Omega)$  in  $W_s^{k,p}(\Omega)$  and put  $W_s^{0,p}(\Omega) = L_s^p(\Omega)$ . A more detailed account of properties of the above defined spaces can be found, for instance, in [18].

From [15, Lemmas 1.1 and 2.1], we deduce the following two lemmas, respectively.

**Lemma 2.1.** *For any  $p \in [1, +\infty[$ ,  $s \in \mathbb{R}$ , and  $a \in ]0, 1]$ ,  $g \in L_s^p(\Omega)$  if and only if  $g \in L_{\text{loc}}^p(\overline{\Omega})$  and the function  $x \in \mathbb{R}^n \rightarrow \rho_1^{s-n/p}(x) \|g\|_{L^p(I_a(x))}$  belongs to  $L^p(\mathbb{R}^n)$ . Moreover, there exist  $c_1, c_2 \in \mathbb{R}_+$ , such that*

$$c_1 \|g\|_{L_s^p(\Omega)}^p \leq \int_{\mathbb{R}^n} \rho_1^{sp-n}(x) \|g\|_{L^p(I_a(x))}^p dx \leq c_2 \|g\|_{L_s^p(\Omega)}^p \quad \forall g \in L_s^p(\Omega), \quad (2.10)$$

where  $c_1$  and  $c_2$  depend on  $n, p, s, a$ , and  $\rho$ .

**Lemma 2.2.** *If  $\Omega$  has the segment property, then for any  $k \in \mathbb{N}_0$ ,  $p \in [1, +\infty[$ , and  $s \in \mathbb{R}$  one has*

$$W_s^{k,p}(\Omega) \cap \overset{\circ}{W}_{\text{loc}}^{k,p}(\overline{\Omega}) = \overset{\circ}{W}_s^{k,p}(\Omega). \quad (2.11)$$

### 3. Some embedding lemmas

We now recall the definitions of the function spaces in which the coefficients of the operator will be chosen. If  $\Omega$  has the property

$$|\Omega(x, r)| \geq Ar^n \quad \forall x \in \Omega, \forall r \in ]0, 1], \quad (3.1)$$

where  $A$  is a positive constant independent of  $x$  and  $r$ , it is possible to consider the space  $BMO(\Omega, \tau)$  ( $\tau \in \mathbb{R}_+$ ) of functions  $g \in L^1_{loc}(\overline{\Omega})$  such that

$$[g]_{BMO(\Omega, \tau)} = \sup_{\substack{x \in \Omega \\ r \in ]0, \tau]}} \int_{\Omega(x, r)} \left| g - \int_{\Omega(x, r)} g \right| < +\infty, \quad (3.2)$$

where

$$\int_{\Omega(x, r)} g = |\Omega(x, r)|^{-1} \int_{\Omega(x, r)} g. \quad (3.3)$$

If  $g \in BMO(\Omega) = BMO(\Omega, \tau_A)$ , where

$$\tau_A = \sup \left\{ \tau \in \mathbb{R}_+ : \sup_{\substack{x \in \Omega \\ r \in ]0, \tau]}} \frac{r^n}{|\Omega(x, r)|} \leq \frac{1}{A} \right\}, \quad (3.4)$$

we will say that  $g \in VMO(\Omega)$  if  $[g]_{BMO(\Omega, \tau)} \rightarrow 0$  for  $\tau \rightarrow 0^+$ . A function  $\eta[g] : ]0, 1] \rightarrow \mathbb{R}_+$  is called a *modulus of continuity* of  $g$  in  $VMO(\Omega)$  if

$$[g]_{BMO(\Omega, \tau)} \leq \eta[g](\tau) \quad \forall \tau \in ]0, 1], \quad \lim_{\tau \rightarrow 0^+} \eta[g](\tau) = 0. \quad (3.5)$$

For  $t \in [1, +\infty[$  and  $\lambda \in [0, n[$ , we denote by  $M^{t, \lambda}(\Omega)$  the set of all functions  $g$  in  $L^t_{loc}(\overline{\Omega})$  such that

$$\|g\|_{M^{t, \lambda}(\Omega)} = \sup_{\substack{r \in ]0, 1] \\ x \in \Omega}} r^{-\lambda/t} \|g\|_{L^t(\Omega(x, r))} < +\infty, \quad (3.6)$$

endowed with the norm defined by (3.6). Then, we define  $M^{t, \lambda}_o(\Omega)$  as the closure of  $C^\infty_o(\Omega)$  in  $M^{t, \lambda}(\Omega)$ . In particular, we put  $M^t(\Omega) = M^{t, 0}(\Omega)$ , and  $M^t_o(\Omega) = M^{t, 0}_o(\Omega)$ . In order to define the modulus of continuity of a function  $g$  in  $M^{t, \lambda}_o(\Omega)$ , recall first that for a function  $g \in M^{t, \lambda}(\Omega)$  the following characterization holds:

$$g \in M^{t, \lambda}_o(\Omega) \iff \lim_{\tau \rightarrow 0^+} (p_g(\tau) + \|(1 - \zeta_{1/\tau})g\|_{M^{t, \lambda}(\Omega)}) = 0, \quad (3.7)$$

where

$$p_g(\tau) = \sup_{\substack{E \in \Sigma(\Omega) \\ \sup_{x \in \Omega} |E(x, 1)| \leq \tau}} \|\chi_E g\|_{M^{t, \lambda}(\Omega)}, \quad \tau \in \mathbb{R}_+, \quad (3.8)$$

and  $\zeta_r, r \in \mathbb{R}_+$ , is a function in  $C^\infty(\mathbb{R}^n)$  such that

$$0 \leq \zeta_r \leq 1, \quad \zeta_{r|B_r} = 1, \quad \text{supp } \zeta_r \subset B_{2r}, \quad (3.9)$$

with the position  $B_r = B(0, r)$ . Thus, the *modulus of continuity* of  $g \in M_\circ^{t,\lambda}(\Omega)$  is a function

$$\sigma_\circ[g] : ]0, 1] \longrightarrow \mathbb{R}_+, \quad (3.10)$$

such that

$$p_g(\tau) + \|(1 - \zeta_{1/\tau})g\|_{M^{t,\lambda}(\Omega)} \leq \sigma_\circ[g](\tau) \quad \forall \tau \in ]0, 1], \quad \lim_{\tau \rightarrow 0^+} \sigma_\circ[g](\tau) = 0. \quad (3.11)$$

A more detailed account of properties of the above defined function spaces can be found in [9, 19, 20].

We consider the following condition:

( $h_0$ )  $\Omega$  has the cone property,  $p \in ]1, +\infty[$ ,  $s \in \mathbb{R}$ ,  $k, h, t$  are numbers such that

$$k \in \mathbb{N}, \quad h \in \{0, 1, \dots, k-1\}, \quad t \geq p, \quad t > p \quad \text{if } p = \frac{n}{k-h}, \quad g \in M^t(\Omega). \quad (3.12)$$

From [21, Theorem 3.1] we have the following.

**Lemma 3.1.** *If the assumption ( $h_0$ ) holds, then for any  $u \in W_s^{k,p}(\Omega)$  one has  $g\partial^h u \in L_s^p(\Omega)$  and*

$$\|g\partial^h u\|_{L_s^p(\Omega)} \leq c \|g\|_{M^t(\Omega)} \|u\|_{W_s^{k,p}(\Omega)}, \quad (3.13)$$

with  $c$  dependent only on  $\Omega, n, k, h, p$ , and  $t$ .

From [21, Theorem 3.2] it follows Lemma 3.2.

**Lemma 3.2.** *If the assumption ( $h_0$ ) is satisfied and in addition  $g \in M_\circ^t(\Omega)$ , then for any  $\varepsilon \in \mathbb{R}_+$  there exist a constant  $c(\varepsilon) \in \mathbb{R}_+$  and a bounded open set  $\Omega_\varepsilon \subset\subset \Omega$ , with the cone property, such that*

$$\|g\partial^h u\|_{L_s^p(\Omega)} \leq \varepsilon \|u\|_{W_s^{k,p}(\Omega)} + c(\varepsilon) \|u\|_{L^p(\Omega_\varepsilon)} \quad \forall u \in W_s^{k,p}(\Omega), \quad (3.14)$$

where  $c(\varepsilon), \Omega_\varepsilon$  depend on  $\varepsilon, \Omega, n, k, h, p, t, \rho, s$ , and  $\sigma_\circ[g]$ .

#### 4. An a priori bound

Assume that  $\Omega$  is an unbounded open subset of  $\mathbb{R}^n$ ,  $n \geq 3$ , with the uniform  $C^{1,1}$ -regularity property, and let  $\rho$  be the function defined by (2.7). Moreover, let  $p \in ]1, +\infty[$  and  $s \in \mathbb{R}$ . Consider in  $\Omega$  the differential operator:

$$L = - \sum_{i,j=1}^n a_{ij} \frac{\partial^2}{\partial x_i \partial x_j} + \sum_{i=1}^n a_i \frac{\partial}{\partial x_i} + a, \quad (4.1)$$

with the following conditions on the coefficients:

(h<sub>1</sub>)

$$\begin{aligned} a_{ij} = a_{ji} &\in L^\infty(\Omega) \cap \text{VMO}_{\text{loc}}(\overline{\Omega}), \quad i, j = 1, \dots, n, \\ \exists \nu > 0 : \sum_{i,j=1}^n a_{ij} \xi_i \xi_j &\geq \nu |\xi|^2 \quad \text{a.e. in } \Omega, \quad \forall \xi \in \mathbb{R}^n, \end{aligned} \quad (4.2)$$

there exist functions  $e_{ij}, i, j = 1, \dots, n, g$  and  $\mu \in \mathbb{R}_+$  such that

(h<sub>2</sub>)

$$\begin{aligned} e_{ij} = e_{ji} &\in L^\infty(\Omega), \quad (e_{ij})_{x_h} \in M_0^{t, n-t}(\Omega), \quad \text{with } t \in ]2, n], \quad i, j, h = 1, \dots, n, \\ \sum_{i,j=1}^n e_{ij} \xi_i \xi_j &\geq \mu |\xi|^2 \quad \text{a.e. in } \Omega, \quad \forall \xi \in \mathbb{R}^n, \\ g &\in L^\infty(\Omega), \quad g_0 = \text{ess inf } g > 0, \\ \lim_{r \rightarrow +\infty} \sum_{i,j=1}^n \|e_{ij} - g a_{ij}\|_{L^\infty(\Omega \setminus B_r)} &= 0, \end{aligned} \quad (4.3)$$

(h<sub>3</sub>)

$$\begin{aligned} a_i &\in M_0^{t_1}(\Omega), \quad i = 1, \dots, n, \\ a = a' + a'', \quad a' &\in M_0^{t_2}(\Omega), \quad a'' \in L^\infty(\Omega), \quad \text{ess inf } a'' = a''_0 > 0, \end{aligned} \quad (4.4)$$

where

$$\begin{aligned} t_1 &\geq n \quad \text{if } p < n, \quad t_1 > n \quad \text{if } p = n, \quad t_1 = p \quad \text{if } p > n, \\ t_2 &\geq n/2 \quad \text{if } p < n/2, \quad t_2 > n/2 \quad \text{if } p = n/2, \quad t_2 = p \quad \text{if } p > n/2. \end{aligned} \quad (4.5)$$

Observe that under the assumptions (h<sub>1</sub>)–(h<sub>3</sub>), it follows that the operator  $L : W_s^{2,p}(\Omega) \rightarrow L_s^p(\Omega)$  is bounded from Lemma 3.1.

**Theorem 4.1.** *If the hypotheses (h<sub>1</sub>), (h<sub>2</sub>), and (h<sub>3</sub>) are verified, then there exist a constant  $c \in \mathbb{R}_+$  and a bounded open subset  $\Omega_0 \subset \subset \Omega$ , with the cone property, such that*

$$\|u\|_{W_s^{2,p}(\Omega)} \leq c \left( \|Lu\|_{L_s^p(\Omega)} + \|u\|_{L^p(\Omega_0)} \right), \quad \forall u \in W_s^{2,p}(\Omega) \cap \overset{\circ}{W}_s^{1,p}(\Omega), \quad (4.6)$$

with  $c$  and  $\Omega_0$  depending on  $n, p, \rho, s, \Omega, \nu, \mu, g_0, a''_0, t, t_1, t_2, \|a_{ij}\|_{L^\infty(\Omega)}, \|e_{ij}\|_{L^\infty(\Omega)}, \|g\|_{L^\infty(\Omega)}, \|a''\|_{L^\infty(\Omega)}, \eta[\xi_{2r_0} a_{ij}], \sigma_\circ[(e_{ij})_x], \sigma_\circ[a_i], \sigma_\circ[a'],$  where  $r_0 \in \mathbb{R}_+$  depends on  $n, p, \Omega, \mu, g_0, a''_0, t, \|e_{ij}\|_{L^\infty(\Omega)}, \|g\|_{L^\infty(\Omega)}, \|a''\|_{L^\infty(\Omega)}, \sigma_\circ[(e_{ij})_x]$ .

*Proof.* We consider a function  $\phi \in C^\infty(\mathbb{R}^n)$ , such that

$$\begin{aligned} \phi|_{B_{1/2}} &= 1, \quad \text{supp } \phi \subset B_1, \\ \sup_{\mathbb{R}^n} |\partial^\alpha \phi| &\leq c_\alpha \quad \forall \alpha \in \mathbb{N}_0^n, \end{aligned} \quad (4.7)$$

where  $c_\alpha \in \mathbb{R}_+$  depends only on  $\alpha$ , fix  $y \in \mathbb{R}^n$  and put

$$\psi = \psi_y : x \in \mathbb{R}^n \longrightarrow \phi\left(\frac{x-y}{\rho_1(y)}\right). \quad (4.8)$$

Clearly we have

$$\begin{aligned} \psi|_{B(y,(1/2)\rho_1(y))} &= 1, & \text{supp } \psi &\subset B(y, \rho_1(y)), \\ \sup_{\mathbb{R}^n} |\partial^\alpha \psi| &\leq c_\alpha \rho_1^{-|\alpha|}(y) \quad \forall \alpha \in \mathbb{N}_0^n. \end{aligned} \quad (4.9)$$

Now, we put

$$L_0 = - \sum_{i,j=1}^n a_{ij} \frac{\partial^2}{\partial x_i \partial x_j} \quad (4.10)$$

and fix  $u \in W_s^{2,p}(\Omega) \cap \overset{\circ}{W}_s^{1,p}(\Omega)$ . Since  $\psi u \in W^{2,p}(\Omega) \cap \overset{\circ}{W}^{1,p}(\Omega)$ , from [14, Theorem 3.3], it follows that there exist  $c_1 \in \mathbb{R}_+$  and a bounded open subset  $\Omega_1 \subset\subset \Omega$ , with the cone property, such that

$$\|\psi u\|_{W^{2,p}(\Omega)} \leq c_1 (\|(L_0 + a'')(\psi u)\|_{L^p(\Omega)} + \|\psi u\|_{L^p(\Omega_1)}), \quad (4.11)$$

with  $c_1$  and  $\Omega_1$  depending on  $n, p, \Omega, \nu, \mu, g_0, a_0'', t, \|a_{ij}\|_{L^\infty(\Omega)}, \|e_{ij}\|_{L^\infty(\Omega)}, \|g\|_{L^\infty(\Omega)}, \|a''\|_{L^\infty(\Omega)}, \eta[\zeta_{2r_0} a_{ij}], \sigma_\circ[(e_{ij})_x]$ , where  $r_0 \in \mathbb{R}_+$  depends on  $n, p, \Omega, \mu, g_0, a_0'', t, \|e_{ij}\|_{L^\infty(\Omega)}, \|g\|_{L^\infty(\Omega)}, \|a''\|_{L^\infty(\Omega)}, \sigma_\circ[(e_{ij})_x]$ . Since

$$L_0(\psi u) = \psi L_0 u - 2 \sum_{i,j=1}^n a_{ij} \psi_{x_i} u_{x_j} - \sum_{i,j=1}^n a_{ij} \psi_{x_i x_j} u, \quad (4.12)$$

from (4.11) and (4.12), we have

$$\begin{aligned} &\|\psi u\|_{W^{2,p}(\Omega)} \\ &\leq c_2 \left( \|\psi(L_0 + a'')u\|_{L^p(\Omega)} + \sum_{i,j=1}^n \|\psi_{x_i} u_{x_j}\|_{L^p(\Omega)} + \sum_{i,j=1}^n \|\psi_{x_i x_j} u\|_{L^p(\Omega)} + \|\psi u\|_{L^p(\Omega_1)} \right), \end{aligned} \quad (4.13)$$

with  $c_2$  dependent on the same parameters of  $c_1$ .

On the other hand, since  $\rho \in L_{\text{loc}}^\infty(\overline{\Omega})$ , we have that

$$\|\psi u\|_{L^p(\Omega_1)} \leq c_3 \rho_1^{-2}(y) \|u\|_{L^p(I_1(y))}, \quad (4.14)$$

where  $c_3 \in \mathbb{R}_+$  depends only on  $\rho$ .

Therefore, by (4.13) and (4.14), we deduce the bound:

$$\begin{aligned} \|u\|_{W^{2,p}(I_{1/2}(y))} &\leq \|\psi u\|_{W^{2,p}(\Omega)} \\ &\leq c_4 (\|L_0 u + a'' u\|_{L^p(I_1(y))} + \rho_1^{-1}(y) \|u_x\|_{L^p(I_1(y))} + \rho_1^{-2}(y) \|u\|_{L^p(I_1(y))}), \end{aligned} \quad (4.15)$$

where  $c_4 \in \mathbb{R}_+$  depends on the same parameters of  $c_2$  and on  $\rho$ .

From (4.15) it follows

$$\begin{aligned} & \int_{\mathbb{R}^n} \rho_1^{ps-n}(\mathbf{y}) \|u\|_{W^{2,p}(I_{1/2}(\mathbf{y}))}^p d\mathbf{y} \\ & \leq c_5 \left( \int_{\mathbb{R}^n} \rho_1^{ps-n}(\mathbf{y}) \|L_0 u + a'' u\|_{L^p(I_1(\mathbf{y}))}^p d\mathbf{y} \right. \\ & \quad \left. + \int_{\mathbb{R}^n} \rho_1^{ps-n-p}(\mathbf{y}) \|u_x\|_{L^p(I_1(\mathbf{y}))}^p d\mathbf{y} + \int_{\mathbb{R}^n} \rho_1^{ps-n-2p}(\mathbf{y}) \|u\|_{L^p(I_1(\mathbf{y}))}^p d\mathbf{y} \right), \end{aligned} \quad (4.16)$$

where  $c_5 \in \mathbb{R}_+$  depends on the same parameters of  $c_4$ .

Since

$$L_s^p(\Omega) \hookrightarrow L_{s-1}^p(\Omega), \quad L_s^p(\Omega) \hookrightarrow L_{s-2}^p(\Omega), \quad (4.17)$$

from (4.16) and from Lemma 2.1 we have that

$$\|u\|_{W_s^{2,p}(\Omega)} \leq c_6 (\|L_0 u + a'' u\|_{L_s^p(\Omega)} + \|u_x\|_{L_{s-1}^p(\Omega)} + \|u\|_{L_{s-2}^p(\Omega)}), \quad (4.18)$$

with  $c_6 \in \mathbb{R}_+$  dependent on the same parameters of  $c_5$  and also on  $s$ .

Moreover, from Lemma 3.2 it follows that for any  $\varepsilon \in \mathbb{R}_+$ , there exist  $c'(\varepsilon), c''(\varepsilon) \in \mathbb{R}_+$ , and two bounded open sets  $\Omega'_\varepsilon, \Omega''_\varepsilon \subset \subset \Omega$ , both with the cone property, such that

$$\begin{aligned} & \|u_x\|_{L_{s-1}^p(\Omega)} + \|u\|_{L_{s-2}^p(\Omega)} \leq \varepsilon \|u\|_{W_s^{2,p}(\Omega)} + c'(\varepsilon) \|u\|_{L^p(\Omega'_\varepsilon)}, \\ & \left\| \sum_{i=1}^n a_i u_{x_i} + a' u \right\|_{L_s^p(\Omega)} \leq \varepsilon \|u\|_{W_s^{2,p}(\Omega)} + c''(\varepsilon) \|u\|_{L^p(\Omega''_\varepsilon)}, \end{aligned} \quad (4.19)$$

where  $c'(\varepsilon), \Omega'_\varepsilon$  depend on  $\varepsilon, \Omega, n, p, \rho, s$ , and  $c''(\varepsilon), \Omega''_\varepsilon$  depend on  $\varepsilon, \Omega, n, p, t_1, t_2, \rho, s, \sigma_\circ[a_i]$ , and  $\sigma_\circ[a']$ .

From (4.18) and (4.19) it follows (4.6) and then we have the result.  $\square$

## 5. A uniqueness result

In this section, we will prove our uniqueness theorem. We begin to prove a regularity result.

**Lemma 5.1.** *Suppose that the assumptions  $(h_1), (h_2),$  and  $(h_3)$  (with  $t_1 > n$  and  $t_2 > n/2$ ) hold, and let  $u$  be a solution of the problem*

$$\begin{aligned} u & \in W_{loc}^{2,q}(\overline{\Omega}) \cap \overset{\circ}{W}_{loc}^{1,q}(\overline{\Omega}) \cap L_m^p(\Omega), \\ Lu & \in L_s^p(\Omega), \end{aligned} \quad (5.1)$$

where  $q \in ]1, p]$  and  $m \in \mathbb{R}$ . Then,  $u$  belongs to  $W_s^{2,p}(\Omega)$ .

*Proof.* By [13, Lemma 4.1] we have

$$\mathbf{u} \in W_{\text{loc}}^{2,p}(\overline{\Omega}) \cap \overset{\circ}{W}_{\text{loc}}^{1,p}(\overline{\Omega}). \quad (5.2)$$

We choose  $r, r' \in \mathbb{R}_+$ , with  $r < r' < 1$ , and a function  $\phi \in C^\infty(\mathbb{R}^n)$ , such that

$$\begin{aligned} \phi|_{B_r} &= 1, & \text{supp } \phi &\subset B_{r'}, \\ \sup_{\mathbb{R}^n} |\partial^\alpha \phi| &\leq c_\alpha (r' - r)^{-|\alpha|} \quad \forall \alpha \in \mathbb{N}_0^n, \end{aligned} \quad (5.3)$$

where  $c_\alpha \in \mathbb{R}_+$  depends only on  $\alpha$ .

We fix  $\mathbf{y} \in \mathbb{R}^n$  and put

$$\psi = \psi_{\mathbf{y}} : x \in \mathbb{R}^n \longrightarrow \phi\left(\frac{x - \mathbf{y}}{\rho_1(\mathbf{y})}\right). \quad (5.4)$$

Clearly we have

$$\begin{aligned} \psi|_{B(\mathbf{y}, r\rho_1(\mathbf{y}))} &= 1, & \text{supp } \psi &\subset B(\mathbf{y}, r'\rho_1(\mathbf{y})), \\ \sup_{\mathbb{R}^n} |\partial^\alpha \psi| &\leq c_\alpha \rho_1^{-|\alpha|}(\mathbf{y}) (r' - r)^{-|\alpha|} \quad \forall \alpha \in \mathbb{N}_0^n. \end{aligned} \quad (5.5)$$

Since  $\psi \mathbf{u} \in W^{2,p}(\Omega) \cap \overset{\circ}{W}^{1,p}(\Omega)$ , from [14, Theorem 3.3] it follows that there exist  $c_1 \in \mathbb{R}_+$  and a bounded open subset  $\Omega_1 \subset\subset \Omega$ , with the cone property, such that

$$\|\psi \mathbf{u}\|_{W^{2,p}(\Omega)} \leq c_1 (\|L(\psi \mathbf{u})\|_{L^p(\Omega)} + \|\psi \mathbf{u}\|_{L^p(\Omega_1)}), \quad (5.6)$$

with  $c_1$  and  $\Omega_1$  depending on  $n, p, \Omega, \nu, \mu, g_0, a''_0, t, t_1, t_2, \|a_{ij}\|_{L^\infty(\Omega)}, \|e_{ij}\|_{L^\infty(\Omega)}, \|g\|_{L^\infty(\Omega)}, \|a''\|_{L^\infty(\Omega)}, \eta[\xi_{2r_0} a_{ij}], \sigma_\circ[(e_{ij})_x], \sigma_\circ[a_i], \sigma_\circ[a']$ , where  $r_0 \in \mathbb{R}_+$  depends on  $n, p, \Omega, \mu, g_0, a''_0, t, \|e_{ij}\|_{L^\infty(\Omega)}, \|g\|_{L^\infty(\Omega)}, \|a''\|_{L^\infty(\Omega)}, \sigma_\circ[(e_{ij})_x]$ .

Since

$$\begin{aligned} L(\psi \mathbf{u}) &= - \sum_{i,j=1}^n a_{ij}(\psi \mathbf{u})_{x_i x_j} + \sum_{i=1}^n a_i(\psi \mathbf{u})_{x_i} + a \psi \mathbf{u} \\ &= \psi L \mathbf{u} - 2 \sum_{i,j=1}^n a_{ij}(\psi_{x_i} \mathbf{u})_{x_j} + \sum_{i,j=1}^n a_{ij} \psi_{x_i x_j} \mathbf{u} + \sum_{i=1}^n a_i \psi_{x_i} \mathbf{u}, \end{aligned} \quad (5.7)$$

from (5.6) and (5.7), we have

$$\begin{aligned} &\|\psi \mathbf{u}\|_{W^{2,p}(\Omega)} \\ &\leq c_2 \left( \|\psi L \mathbf{u}\|_{L^p(\Omega)} + \sum_{i,j=1}^n \|(\psi_{x_i} \mathbf{u})_{x_j}\|_{L^p(\Omega)} + \sum_{i,j=1}^n \|\psi_{x_i x_j} \mathbf{u}\|_{L^p(\Omega)} + \sum_{i=1}^n \|a_i \psi_{x_i} \mathbf{u}\|_{L^p(\Omega)} + \|\psi \mathbf{u}\|_{L^p(\Omega_1)} \right), \end{aligned} \quad (5.8)$$

with  $c_2$  dependent on the same parameters of  $c_1$ .

From Lemma 3.1 with  $s = 0$ , we have that

$$\|a_i \psi_{x_i} u\|_{L^p(\Omega)} \leq c_3 \|a_i\|_{M^{t_1}(\Omega)} (\|\psi_{x_i} u\|_{L^p(\Omega)} + \|(\psi_{x_i} u)_x\|_{L^p(\Omega)}), \quad (5.9)$$

with  $c_3$  dependent on  $\Omega$ ,  $n$ ,  $p$ , and  $t_1$ .

Using [22, Corollary 4.5], we can obtain the following interpolation estimate:

$$\|\psi_{x_i} u\|_{L^p(\Omega)} + \|(\psi_{x_i} u)_{x_j}\|_{L^p(\Omega)} \leq c_4 (\|(\psi_{x_i} u)_{xx}\|_{L^p(\Omega)}^{1/2} \|\psi_{x_i} u\|_{L^p(\Omega)}^{1/2} + \|\psi_{x_i} u\|_{L^p(\Omega)}), \quad (5.10)$$

where the constant  $c_4$  depends on  $\Omega$ ,  $n$ ,  $p$ .

Thus, by (5.8)–(5.10), with easy computations, we deduce the bound:

$$\begin{aligned} \|u\|_{W^{2,p}(I_r(y))} &\leq \|Lu\|_{W^{2,p}(\Omega)} \leq c_5 (r' - r)^{-2} \\ &\quad \times (\|Lu\|_{L^p(I_r(y))} + \|u\|_{W^{2,p}(I_r(y))}^{1/2} (\rho_1^{-1}(y) \|u\|_{L^p(I_r(y))})^{1/2} + \rho_1^{-1}(y) \|u\|_{L^p(I_r(y))}), \end{aligned} \quad (5.11)$$

where  $c_5 \in \mathbb{R}_+$  depends on  $n$ ,  $p$ ,  $\rho$ ,  $\Omega$ ,  $v$ ,  $\mu$ ,  $g_0$ ,  $a_0''$ ,  $t$ ,  $t_1$ ,  $t_2$ ,  $\|a_{ij}\|_{L^\infty(\Omega)}$ ,  $\|e_{ij}\|_{L^\infty(\Omega)}$ ,  $\|g\|_{L^\infty(\Omega)}$ ,  $\|a''\|_{L^\infty(\Omega)}$ ,  $\eta[\zeta_{2r_0} a_{ij}]$ ,  $\sigma_\circ[(e_{ij})_x]$ ,  $\|a_i\|_{M^{t_1}(\Omega)}$ ,  $\sigma_\circ[a_i]$ ,  $\sigma_\circ[a']$ .

By a well-known lemma of monotonicity of Miranda (see [23, Lemma 3.1]), it follows from (5.11) that

$$\|u\|_{W^{2,p}(I_{1/2}(y))} \leq c_6 (\|Lu\|_{L^p(I_1(y))} + \rho_1^{-1}(y) \|u\|_{L^p(I_1(y))} + (\rho_1^{-1}(y) \|u\|_{L^p(I_1(y))})^{1/2} \|u\|_{W^{2,p}(I_{1/2}(y))}^{1/2}), \quad (5.12)$$

and then, using Young's inequality, we deduce from (5.12) that

$$\|u\|_{W^{2,p}(I_{1/2}(y))} \leq c_7 (\|Lu\|_{L^p(I_1(y))} + \rho_1^{-1}(y) \|u\|_{L^p(I_1(y))}), \quad (5.13)$$

with  $c_6, c_7 \in \mathbb{R}_+$  dependent on the same parameters of  $c_5$ .

From (5.13) it follows

$$\begin{aligned} &\int_{\mathbb{R}^n} \rho_1^{ps-n}(y) \|u\|_{W^{2,p}(I_{1/2}(y))}^p dy \\ &\leq c_8 \left( \int_{\mathbb{R}^n} \rho_1^{ps-n}(y) \|Lu\|_{L^p(I_1(y))}^p dy + \int_{\mathbb{R}^n} \rho_1^{ps-n-p}(y) \|u\|_{L^p(I_1(y))}^p dy \right), \end{aligned} \quad (5.14)$$

where  $c_8 \in \mathbb{R}_+$  depends on the same parameters of  $c_7$ .

If  $m \geq s - 1$ , since

$$L_m^p(\Omega) \hookrightarrow L_{s-1}^p(\Omega), \quad (5.15)$$

from (5.14) and from Lemma 2.1 we have that

$$\|u\|_{W_s^{2,p}(\Omega)} \leq c_9 (\|Lu\|_{L_s^p(\Omega)} + \|u\|_{L_{s-1}^p(\Omega)}), \quad (5.16)$$

with  $c_9 \in \mathbb{R}_+$  dependent on the same parameters of  $c_8$  and on  $s$ . Therefore,  $u$  belongs to  $W_s^{2,p}(\Omega)$ .

If  $m < s - 1$ , we denote by  $k$  the positive integer, such that

$$s - m - 1 \leq k < s - m. \quad (5.17)$$

Then, for  $i = 1, \dots, k$ , we have that

$$L_s^p(\Omega) \hookrightarrow L_{m+i}^p(\Omega). \quad (5.18)$$

Therefore, using (5.14) and (5.16) with  $m + i$ ,  $i = 1, \dots, k$ , instead of  $s$ , we deduce that  $u \in W_{m+1}^{2,p}(\Omega), \dots, u \in W_{m+k}^{2,p}(\Omega)$ . On the other hand, we have that

$$W_{m+k}^{2,p}(\Omega) \hookrightarrow L_{s-1}^p(\Omega) \quad (5.19)$$

and then, since  $u \in L_{s-1}^p(\Omega)$ , (5.14) holds. Thus,  $u$  satisfies (5.16) and then  $u \in W_s^{2,p}(\Omega)$ .  $\square$

**Theorem 5.2.** *If conditions  $(h_1)$ ,  $(h_2)$ , and  $(h_3)$  (with  $t_1 > n$  and  $t_2 > n/2$ ) hold, and  $a \geq a_0 > 0$  a.e. in  $\Omega$ , then the problem*

$$u \in W_s^{2,p}(\Omega) \cap \overset{\circ}{W}_s^{1,p}(\Omega), \quad Lu = 0, \quad (5.20)$$

*admits only the zero solution.*

*Proof.* Fix  $u \in W_s^{2,p}(\Omega) \cap \overset{\circ}{W}_s^{1,p}(\Omega)$ , such that  $Lu = 0$ . From Lemma 5.1 it follows that  $u \in W^{2,p}(\Omega)$ . On the other hand, since  $u \in W^{1,p}(\Omega) \cap \overset{\circ}{W}_{\text{loc}}^{1,p}(\overline{\Omega})$ , from Lemma 2.2 we have that  $u \in \overset{\circ}{W}^{1,p}(\Omega)$ . Thus, from [13, Theorem 5.2] we deduce that  $u = 0$ .  $\square$

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