BPEL²>oWFN

Translating BPEL Processes to Open Workflow Nets BPEL2oWFN Version 1.0, 16 January 2006 User's Manual

About this document:

This manual is for BPEL2oWFN, Version 1.0, a tool translating business processes described in BPEL to open workflow nets (oWFN), last updated 16 January 2006. This manual does not explain how to setup or install BPEL2oWFN. For this information please read the Installation Manual which is part of the distribution or can be downloaded from the website of BPEL2oWFN (http://www.informatik.hu-berlin.de/top/tools4bpel/bpel2owfn).

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1 Overview

1.1 Introduction

BPEL2oWFN is a compiler translating a business processes expressed in BPEL (Business Process Execution Language for Web Services) [ACD⁺03] to an oWFN (open Workflow Net) [MRS05]. This oWFN can be used to:

- check controllability [Mar03, Wei04],
- generate the operating guidelines [MRS05],
- check for deadlocks, or
- check any temporal logic formula expressed in CTL (Computation Tree Logic) with the model checking tool LoLA (Low-Level Analyzer) [Sch00].

In future versions of BPEL2oWFN static analysis is used to find the smallest oWFN to analyze a chosen property. This is called *flexible model generation* (see Chapter 7 [Future Work], page 13). BPEL2oWFN was written by Niels Lohmann, Christian Gierds and Dennis Reinert. It is part of the Tools4BPEL project funded by the Bundesministerium für Bildung und Forschung. See http://www.informatik.hu-berlin.de/top/tools4bpel for details.

1.2 Translation Process

The translation process of the BPEL business process is performed in six steps which we describe briefly in this section:

- 1. Lexical and syntactical analysis. BPEL2oWFN parses the input process according to the specification of BPEL4WS version 1.1 [ACD+03]. All information about the process is collected in a symbol table for further use.
- 2. **Semantic analysis**. The input file is checked against the constraints of the specification, e.g. that each defined link has to be used as source and target exactly once. BPEL processes violating these constraints are rejected.
- 3. **AST generation**. For further analysis steps the exact syntax (indentation etc.) is not used any more. The input process is represented as an AST (abstract syntax tree). While generating the AST, the implicit transformation rules of BPEL (e.g. the presence of an 'otherwise'-branch with an empty activity) are applied.
- 4. **Net generation**. The nodes of the AST are used to create the Petri net using the pattern database by applying 'unparse'-rules (rules associating each node with a pattern).
- 5. **Net optimization** (optional). To reduce the generated net several structural reduction rules can be applied, e.g. to merge sequences.
- 6. **Net output**. The generated Petri net can be exported in several file formats.

1.3 Concepts of BPEL2oWFN

In this section we describe the main concepts of BPEL2oWFN used to realize the translation. Reading this section is not necessary for using BPEL2oWFN, yet knowing the underlying algorithms and data structures not only helps to locate bugs, but also helps you to customize BPEL2oWFN or request a feature.

1.3.1 Abstract Syntax Tree

The AST (abstract syntax tree) is an abstraction of the syntax tree generated while parsing the BPEL process: any unnecessary information (e.g. indention, brackets or other "syntax-supporting" elements) is omitted. It is the central data structure of BPEL2oWFN. The nodes of the AST are annotated during the analysis steps. These annotations are used to select the most compact Petri net pattern from the pattern database to check a given property.

1.3.2 Pattern Database

The idea of flexible model generation is to find the most compact model to check a given property. The patterns of the Petri net semantics of [Sta05] are designed to fit in any given context. However when the context is known some behavior modeled in the patterns (i.e. some of the nodes) can be safely removed without changing its semantics. BPEL2oWFN is designed to hold several sets of Petri net patterns each suitable in certain contexts. These patterns are collected in a pattern database.

1.3.3 Petri Net Class

BPEL2oWFN provides many algorithms and data structures to build, represent, modify and simplify Petri nets and open workflow nets, resp. They are the interface between the pattern database and the file output for the model checking tool. The functions are collected in an extensible class allowing to add more output file formats (e.g. PNML (Petri Net Markup Language), PEP notation, etc.), structural simplification rules (optimized to preserve certain properties such as deadlock freedom or liveness) or abstractions (e.g. abstraction from variables, abstraction from external behavior).

2 Invoking BPEL2oWFN

The standard invocation of BPEL2oWFN is:

```
bpel2owfn -f inputfile.bpel -02F
```

where 'inputfile.bpel' is a BPEL process. The option -02F causes BPEL2oWFN to generate an open workflow net named 'inputfile.owfn' and a file 'inputfile.info' describing the places and transitions of the net. For more examples, see [Examples], page 4.

2.1 Options

BPEL2oWFN supports the following command-line options:

```
'--file filename.bpel'
```

'-f filename.bpel'

Read BPEL input from file 'filename.bpel'. The filename is used to name any files generated by BPEL2oWFN. If this parameter is omitted, input is read from standard input.

'--help'

'-h' Print an overview of the command-line options and exit.

'--version'

'-v' Print version information and exit.

2.1.1 Output modes

When invoking BPEL2oWFN several output modes are possible. While the '-pn'-mode can be implied by other options the other modes have to be set explicitly. Either way, at most one of these options can be chosen.

'--ast'

'-a' Outputs the AST (abstract syntax tree) generated while parsing the input file to standard output. This option is mostly used for debugging reasons.

'--xml'

'-x' Outputs the parsed BPEL file in XML representation. Any unnecessary attributes are omitted. This option is mostly used for debugging reasons.

'--petrinet'

'-pn' Generates a Petri net representing the semantics of the given process. Other options can be added to simplify, abstract or modify that Petri net (see below).

2.1.2 Petri net related options

These options imply the '-pn'-option. When BPEL2oWFN is run with the '-pn'-option reading a file 'input.bpel', a file 'input.info' holding the information about the nodes of the created Petri net is generated. See [Naming Conventions], page 7 for more information.

'--simplify'

'-s' Uses several rules to structurally simplify the generated Petri net. See Chapter 5 [Petri Net related Functions], page 11 for more details.

'--low-level'

'-11' Abstracts the generated Petri net to low-level representation. See Chapter 5 [Petri Net related Functions], page 11 for more details.

'--lola'

'-L' Outputs a low-level Petri net representation in the LoLA format (see Chapter 3 [File Formats], page 6). This option should not be used together with '-D', '-D2F', '-O', '-O2F'. This option implies '-11'.

'--lola2file'

'-L2F' The same effect as the '--lola' option, yet an output file is generated: If the input BPEL process is read from 'file.bpel', the LoLA net is named 'file.lola'.

'--owfn'

'-0' Outputs an oWFN representing the semantics of the input process (see Chapter 3 [File Formats], page 6). This option should not used together with '-D', '-D2F', '-L' or '-L2F'.

'--owfn2file'

'-O2F' The same effect as the '--owfn' option, yet an output file is generated: If the input BPEL process is read from 'file.bpel', the oWFN is named 'file.owfn'.

'--dot'

'-D' Outputs a Dot representation of the Petri net (see Chapter 3 [File Formats], page 6). Should not used together with options '-L', '-L2F', '-O', '-O2F'. Implies option '-pn'.

'--dot2file'

'-D2F' The same effect as the '--dot' option, yet an output file is generated: If the input BPEL process is read from 'file.bpel', the Dot representation is named 'file.dot'.

2.2 Examples

In this section we show some examples how BPEL2oWFN can be invoked.

'bpel2owfn -f sample.bpel -L2F -s'

Reads the file 'sample.bpel', generates a structural simplified low-level Petri net and saves it in a LoLA file 'sample.lola'. For further information a file 'sample.info' is generated.

'bpel2owfn -f sample.bpel -02F -ddd'

Reads the file 'sample.bpel', generates a low-level open workflow net and saves it in an oWFN file 'sample.owfn'. For further information a file 'sample.info' is generated. During the conversion several debug messages are printed to standard output.

'prog | bpel2owfn -D -l1 | dot -Tpng -osample.png'

Runs the program *prog* and reads its output as BPEL process, generates a low-level Petri net and outputs its Dot representation. This stream is read by Dot which layouts the Petri net and creates an output PNG (Portable Network Graphic) file 'sample.png'.

'bpel2owfn -f sample.bpel -a'

Reads the file 'sample.bpel' and prints the abstract syntax tree (AST) to standard output.

2.3 Exit Values

When BPEL2oWFN is invoked and run without any error, the exit value is 0.

- No error. The input file could be correctly opened, parsed and the output file(s) could be generated without any error.
- Lexical or syntax error. This error occurs while lexing or parsing the input file. It is thrown by the lexer or the parser, resp. Usually the 'source' of the error (i.e. the filename and line number) is indicated together with the unexpected (last read) and expected token.

An example:

```
Error while parsing

syntax error, unexpected X_SLASH, expecting X_OPEN

Error in 'example.bpel' in line 12:

token/text last read was '/'
```

Please note that the indicated position (i.e. the line number) may be fuzzy — it should be understood as a hint to the erroneous line.

'File not found' exception. The given input file was not found resp. could not be opened.

An example:

```
An error has occurred while parsing "example.bpel"!

Exception #2 occurred!

File 'example.bpel' not found.
```

Option mismatch. The given command-line options cannot be processed together.

An example:

```
An error has occurred while parsing "example.bpel"!

Exception #10 occurred!

Chosen parameters cannot work together (see parameter -h).

Additional information:

LoLA and dot output on stdout are confusing, chose one!
```

- **'Dynamic cast error' exception.** While building an internal scope tree an unexpected error has occurred.
- 40 Node not found.
- 41 **'Node already defined' exception.** While generating the Petri net a node was found having a history entry covered by another node before.

An example:

```
An error has occurred while parsing "example.bpel"!

Exception #41 occurred!

Place with role '1.internal.final' already defined.
```

- 42 'Merging error' exception. While generating the Petri net an error occurred while merging two nodes. It happens either when one of the nodes was not found or one of the nodes is a guarded transition—the merging of guarded transitions is not yet supported.
- 'Arc error' exception. While generating the Petri net an error occurred while adding an arc to the net. It happens either on type errors i.e. an arc between two transitions (or two places, resp.) should be drawn or when the source or target node of an arc was not found.

Please report the occurrence of any exception with numbers 30–50 since it indicates a bug in BPEL2oWFN we would like to fix immediately (see [Reporting Bugs], page 12).

3 File Formats

BPEL2oWFN can generate several file formats:

3.1 LoLA place/transition net

A (low-level) place/transition net as described in [LoLA]. The places and transitions are named using the internal (numeric) name of each node. Furthermore, the first entry of the history of each node is added as a comment.

```
{ Petri net created by BPEL2oWFN reading filename.bpel }

PLACE
   a comma-separated list of places

MARKING
   the initial marking

a comma-separated list of transitions

{ END OF FILE }
```

For more information on the node naming conventions of BPEL2oWFN, see [Naming Conventions], page 7.

3.2 oWFN in LoLA format

An open workflow net is a Petri net with an *interface*, i.e. two sets of places: *input places* and *output places*. To represent oWFNs the LoLA format was extended to implement this categorization:

```
{ oWFN created by BPEL2oWFN reading filename.bpel }

PLACE
INPUT
a comma-separated list of input places
OUTPUT
a comma-separated list of output places
INTERNAL
a comma-separated list of internal places

MARKING
the initial marking

a comma-separated list of transitions

{ END OF FILE }
```

Additionally an open workflow net has a set of final markings. Since there exist no tools reading oWFNs yet, the representation of final markings is not implemented.

3.3 Info-files

The Info-files are generated when any command-line option is used which imply Petri netgeneration. When reading from a file 'process.bpel' a file 'process.info' is generated. This file sums up all places and transitions together with their internal (numeric) name and their complete history:

```
PLACES:
ID TYPE ROLES
a list of places

TRANSITIONS:
ID GUARD ROLES
a list of transitions
```

These files are generated to document the connection between the generated output file and the chosen Petri net patterns. In future distributions of BPEL2oWFN the Info-files will be used to annotate witness and counter-example paths, resp. and to "re-translate" Petri net properties (e.g. a dead transition) to the input BPEL process.

For more information on the node naming conventions of BPEL2oWFN, see [Naming Conventions], page 7.

3.3.1 Naming Conventions

BPEL2oWFN generates the output Petri net by creating and merging parameterized patterns of the Petri net semantics defined in [Sta05]. Due to merging and simplifying the Petri net nodes "belong" to more than one pattern. For example, in a sequence the initial place of the sequence and the initial place of its first activity are merged so that the final Petri net contains one place with two roles.

The roles of each place are collected during the Petri net generation. They form the *history* of the node. It is used to locate errors of the modeled business process: If, for example, BPEL2oWFN generates a Petri net of a business process and the model checker LoLA finds a dead transition, its history helps to find which BPEL constructs are affected and in this case will never be executed.

The roles are named using the following conventions:

- Each BPEL activity has been assigned an identifier during the syntactic analysis of the input process. Each node added to the Petri net from the Petri net pattern of that activity begins with that identifier.
 - For example, BPEL's activity process has the identifier '1', so that all nodes of the process pattern begin with '1.'. To find out the identifiers of a given process use the '--xml' command-line option which prints the id of each activity as an XML attribute.
- In most cases each BPEL activity can be source or target of links. The semantics defined in [Sta05] organizes this link concept by several wrappers. For an activity with the identifier *id* the nodes of the wrapper begin with '*id*.' whereas the nodes of the actual activity begin with '*id*.internal.'.
- The roles of nodes of the stop pattern of a process or scope with identifier 'id' begin with 'id.internal.stop.'.
 - The same schema is used for fault handlers ('id.internal.faultHandler.'), compensation handlers ('id.internal.compensationHandler.') and event handlers ('id.internal.eventHandler.'), resp.
- Labels (e.g. 'initial') in a figure of [Sta05] are appended to the id string (e.g. 'id.internal.initial'). If both numeric (e.g. 'p1') and textual (e.g. 'initial') labels are depicted in a figure, the latter is used.
- The labels of fault-throwing transitions also contain the last place of the positive control flow: If, for example, a reply activity throws a fault, the fault-throwing transition reads from the place labeled 'id.internal.running' and is labeled 'id.internal.throwFault.running'.
- In parameterized patterns (e.g. an assign activity or all structured activities) the labels of the figures of [Sta05] are trailed by an numeration (e.g. 'id.internal.copy.number.running').

3.4 Dot Graph

To bugfix 1 the implemented Petri net patterns BPEL2oWFN implements a graph representation of the generate Petri net.

¹ The Petri nets usually have a large number of nodes so that the graphical representation of a 'real world' process would not be suitable to process, read or understand. That is why the Dot output shall be seen as a means to debug small patterns.

4 Petri Net Patterns

In version 1.0 of BPEL2oWFN the following Petri net patterns are implemented:

4.1 Petri net semantics from [Sta05]

The Petri net semantics for BPEL4WS from Christian Stahl (Humboldt-Universität zu Berlin) published in [Sta04].

4.1.1 Overview

Feature complete semantics covering both positive control flow with event handling and negative control flow (fault and compensation handling).

4.1.2 Limitations of the semantics

- Only one instance of a BPEL process can be transformed into a Petri net.
- The semantics abstracts from the connection of a BPEL process to its partner processes. The interface of a BPEL process is transformed into a set of message channels, i.e. places in the Petri net.
- In our Petri net patterns we model data, but we abstract from the definition of the functions which edit the data. Furthermore, we did not specify the transition guards and so we did not specify which circumstances are necessary that a specific fault can occur.
- Every activity is limited to one correlation set (except the synchronous invoke which is limited to two correlation sets).

4.1.3 Changes and Modulation

We tried to stick as close to the Petri net patterns of [Sta05] as possible. However, the implemented patterns in the pattern database sometimes differ to the given patterns due to discovery of bugs or implementation decisions. In this subsection we sum up these changes to help you understand the generated Petri net model.

• Faults. At most one error can occur in the positive control flow of each scope or process. Yet this confines the possible runs of the process it is only a little change of the semantics, since — according to the specification — only the first fault is handled anyway. While further faults occurring before the positive control flow is stopped are ignored in the original semantics of [Sta05] (in fact, the faults are collected on place 'fault_in' and then consumed by a reset arc) they are prevented in the implemented semantics. In our model, exactly the first occurring fault is handled, whereas in [Sta05] one fault is chosen non-deterministically. Furthermore, all 'failed' places of the activities were removed. In the original Petri net semantics, all faults of a scope were collected on the 'fault_in' of the stop-pattern and then classified as being the first fault of the scope, a following fault, a fault from the fault handler, a fault from the compensation handler, or a fault from a child scope. In our implementation, new places ('fh_fault_in' and 'ch_fault_in') were introduced and each activity throws its faults to the "correct" place automatically.

To ensure that at most one error can occur (i.e. at most one token is produced on any fault place) the fault places are guarded by state places: To throw a fault from an activity enclosed in a scope, the state of that scope has to be 'Active'. The first thrown fault changes the state to '!Active' thus preventing more faults to occur. The places 'fh_fault_in' and 'ch_fault_in', resp. are guarded by '!FHFaulted','FHFaulted' and '!CHFaulted', resp.

Moreover, the generated Petri nets have less nodes than those generated by BPEL2PN [SHS05] since an unfolding of the reset arcs in not necessary any more.

- 1-safety. The new modeling of the fault management yields to 1-safe Petri nets (i.e. any reachable state of the Petri net model puts at most one token on each place of the net). Beside performance (e.g. only 1 bit is needed to store the marking of a place) and compatibility issues (e.g. 1-safety is a prerequisite to use the Model Checking Kit [MCK]), features not supported by the Petri net semantics can be discovered since the generated net will most likely violate 1-safety when an unsupported BPEL feature is used. If, for example, a scope is enclosed in a while loop (which would model instantiation which is not supported by the Petri net semantics [Limitations of the semantics], page 9), the state places of that scope would not be 1-safe.
- Assign activity. All copy branches of an assign activity are modeled in a single pattern (i.e. Fig. 6 and Fig. 7 are merged). Furthermore, when an error (outside that activity) occurs, an active assign-activity is not stopped until all copy branches have finished. This is described in [ACD⁺03] as:

The assign activities are sufficiently short-lived that they are allowed to complete rather than being interrupted when termination is forced.

This change fixes a bug in the Petri net semantics.

- Event handlers. There is one pattern for both alarm and message event handlers (i.e. Fig. 29 and Fig. 30 are merged). When no event handler is specified, an "implicit" event handler is installed which is just a stub and does not change the semantics.
- Deadlocks. A transition named '1.internal.finishloop' was added to livelock the process upon completion. This leads to deadlock-free Petri nets in case of processes with "reasonable" control flow and helps to find unwanted deadlocks occurring due wrong modeling. If, for example, the links of a process model are cyclic the generated Petri net will deadlock. In future versions of BPEL2oWFN these found deadlocks shall be mapped back into the BPEL code to highlight the "unreasonable" activities (i.e. a cycle-closing link).
- Unfoldings. Due to the abstraction (high-level to low-level) of the patterns some places were unfolded: the place 'compScope' of Fig. 42–44. usually holding a token with a name of a scope is unfolded to 'compScope.scopename' and only merged with the 'ch_in'-place of that respective scope. In all other cases the places are "converted" to low-level places so the generated model completely abstracts from data.
- Link semantics. The generated Petri net model always generates 'negLink' places for structured activities independently of the presence of links. Anyway, the semantics is not changed since the resulting subnets are dead in this case.
- Correlation sets. Correlation sets are not implemented and are simply ignored during parsing.

5 Petri Net related Functions

Currently implemented Petri net-specific functions:

5.1 Structural Simplification

- If two transitions t_1 and t_2 have the same preset and postset, one of them can be removed.
- If a transition has a singleton preset and postset, the transition can be removed (sequence) and the preset and postset can be merged.

These structural reduction rules are implemented in the command-line option '--simplify', see Chapter 2 [Invoking BPEL2oWFN], page 3).

5.2 Abstractions

- To obtain a place/transition Petri net from an open workflow net the communication places are removed. (Implemented in command-line option '--lola'.)
- To improve readability of the implemented patterns all transition guards, arc inscriptions and arc types were adopted from [Sta05]. To "convert" these patterns to a low-level Petri net, all transition guards and arc inscriptions were removed (decisions are now made non-deterministically) and read arcs are "unfolded" to loops. Due to a new fault management (see [Changes and Modulation], page 9) the semantics does not contain any reset arcs.

These abstractions are implemented in the command-line option '--low-level'.

5.3 Markings

The following places are initially marked to ensure a deadlock-free model of processes with "reasonable" control-flow (e.g. with an acyclic link structure):

- the initial place of the process ('1.internal.initial'),
- the variable places ('variable.variablename'), and
- the clock ('1.internal.clock').

All interleavings should end in a livelock-marking which covers '1.internal.final' and in which only transition '1.internal.finishloop' can fire. All livelock-markings differ in the marking of the state places of the process and its child scopes.

Deadlocks indicate modeling mistakes (e.g. a cyclic link structure). Non-1-safe markings indicate the usage of an unsupported feature of the Petri net semantics (e.g. instantiation, see [Limitations of the semantics], page 9).

6 Limitations and Bugs

6.1 Limitations

The current version of BPEL2oWFN underlies several limitations:

• The pattern database consists of only one collection of patterns (i.e. the semantics of [Sta05], see *Petri Net Patterns*).

6.2 Known Bugs

As this is the first public version of BPEL2oWFN the translation from a BPEL process to an open workflow net might be unstable or incorrect in some few scenarios:

• **Problem:** The original semantics of [Sta05] was created to support executable BPEL processes. Therefore the translation of abstract BPEL processes (business protocols) might throw an exception or even crash.

Solution: Each communicating activity (i.e. invoke, receive, reply) should be defined with (input/output) variables.

• **Problem:** The implementation of the structural reduction rules might crash when applied to BPEL processes that created Petri nets with a large number of nodes.

Solution: Avoid using the '-s' mode in this case.

• **Problem:** The parser of BPEL2oWFN is not capable of skipping XML elements originating other namespaces than 'bpws'. Processes using these elements are rejected with a syntax error message.

Solution: Try removing or commenting these elements.

6.3 Reporting Bugs

If you find a bug in BPEL2oWFN, please first check that it is not a known bug listed in 'Known Bugs'. Otherwise please send us an electronic mail to nlohmann@informatik.hu-berlin.de. Include the version number which you can find by running 'bpel2owfn --version'. Also include in your message the input BPEL process and the output that the program produced. We will try to answer your mail within a week.

If you have other questions, comments or suggestions about BPEL2oWFN, contact us via electronic mail to nlohmann@informatik.hu-berlin.de.

6.4 Contact Person

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7 Future Work

For future releases of BPEL2oWFN the following features are planned:

- Flexible model generation. Originally, BPEL2oWFN was designed to support flexible model generation, i.e. finding the most abstract (thus smallest) model capable to check a given property. With static analysis we hope to generate the smallest Petri net possible to check properties like deadlock-freedom or controllability.
- More Petri net patterns. Being feature-complete the Petri nets generated from the Petri net semantics of [Sta05] consist of a large number of nodes and might be to large to be analyzed by model checkers. The elaboration of different petri net patterns specialized for certain purposes (e.g. omitting the negative control flow) does not only help to find smaller models, but is also a prerequisite for flexible model generation.
- More output file formats. The currently supported output file formats limit the analysis of the generated Petri net to the model checker LoLA. The support of more output file formats such as the low-level PEP notation allows the analysis of the generated models with the large number of both explicit and symbolic model checking tools embedded in the Model Checking Kit [MCK].
- **Detailed info-files**. The generated info-files currently just list the nodes of the generated net. To help the retranslation of Petri net-specific properties to the input process the generated files have to be more detailed.
- Support for WS-BPEL. The specification of WS-BPEL (Web Service Business Process Execution Language) version 2.0 is in its final phase. As soon as the standardization is completed, WS-BPEL can be supported by BPEL2oWFN by overworking the grammar and adding appropriate patterns to the pattern database.

Appendix A References

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¹ Tool available at http://www.informatik.hu-berlin.de/top/bpel2pn

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³ http://www.fmi.uni-stuttgart.de/szs/tools/mckit

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 $^{^{5}\ \}mathrm{http://www.informatik.hu-berlin.de/Institut/struktur/systemanalyse/preprint/stahl188.pdf}$

⁶ http://www.informatik.hu-berlin.de/top/download/publications/weinberg04.pdf

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